

# PRIMITIVE DIVISORS OF SOME LEHMER-PIERCE SEQUENCES

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ABSTRACT. We study the primitive divisors of the terms of  $(\Delta_n)_{n \geq 1}$ , where  $\Delta_n = N_{K/\mathbb{Q}}(u^n - 1)$  for  $K$  a real quadratic field, and  $u > 1$  a unit element of its ring of integers. The methods used allow us to find the terms of the sequence that do not have a primitive prime divisor.

## 1. INTRODUCTION

Let  $A = (a_n)_{n \geq 1}$  be an integer sequence. A prime  $p$  dividing a term  $a_n$  is called a *primitive prime divisor* (PPD for short) of  $a_n$  if  $p$  does not divide  $a_m$  for any  $m < n$  with  $a_m \neq 0$ . Sequences whose terms all have primitive divisors beyond some point are of great interest in number theory.

**Definition 1.1.** Let  $A = (a_n)_{n \geq 1}$  be an integer sequence. Define

$$Z(A) = \max\{n : a_n \text{ does not have a primitive prime divisor}\}$$

if this set is finite, otherwise set  $Z(A) = \infty$ . The number  $Z(A)$  is called the *Zsigmondy Bound* for the sequence  $A$ .

In [1], Bang considered the sequence  $(a^n - 1)_{n \geq 1}$ , where  $1 < a \in \mathbb{Z}$  and showed that  $Z((a^n - 1)_{n \geq 1}) \leq 6$ . Zsigmondy in [16] proved the more general result that given any positive coprime integers  $a, b$  with  $a > b$ , the sequence  $(a^n - b^n)_{n \geq 1}$  has a primitive prime divisor for all terms beyond the sixth. The sequence studied by Zsigmondy satisfies a binary linear recurrence relation, and much of the work in this area has concentrated on these types of sequences. In [3], Carmichael showed that for any real Lucas or Lehmer sequence  $L$ ,  $Z(L) \leq 12$ . Carmichael's result was later completed by Bilu, Hanrot and Voutier, and in [2] they showed, using powerful methods from transcendence theory and computational number theory, that for any Lucas or Lehmer sequence  $L'$ ,  $Z(L') \leq 30$ . Moreover, they were able to explicitly describe all Lucas and Lehmer numbers without a primitive divisor and hence show that this bound is sharp.

Many arithmetic properties of linear recurrence sequences have analogues for elliptic recurrence sequences. In [14], it is shown that if  $E$  is an elliptic curve in Weierstrass form defined over  $\mathbb{Q}$ , and  $P \in E(\mathbb{Q})$  is a non-torsion point, then the associated elliptic divisibility sequence (the denominators of the  $x$ -coordinates of  $nP$ ) has a finite Zsigmondy bound. For elliptic curves in global minimal form, it seems likely that this bound is uniform, and the papers [6], [8] exhibit infinite families of elliptic curves with a uniform Zsigmondy bound.

The result of Zsigmondy can be generalised to a number field setting, where  $a, b$  are now algebraic integers of a number field  $K$ , so  $a^n - b^n$  lies in the ring of integers  $R$ , of  $K$ . The principal ideal  $(a^n - b^n)$  has a factorisation into a product of prime ideals of  $R$ , which is unique. Therefore, we can ask which terms of a sequence  $S$  of algebraic integers have a primitive prime ideal divisor (or PPID for short), i.e. for which  $n$  is there a prime ideal  $\mathfrak{p}$  which divides the  $n$ th term, but not any preceding term. We therefore define the Zsigmondy bound  $Z_I(S)$ , to be the maximal value of  $n$  for which the  $n$ th term of the sequence does not have a PPID.

In Schinzel's paper [13], he proved the following theorem;

**Theorem 1.2** (Schinzel). Let  $A, B$  be coprime integers of an algebraic number field such that  $\frac{A}{B}$  is not a root of unity. Then the expression  $A^n - B^n$  has a PPID for all  $n > n_0(d)$ , where  $d$  is the degree of the extension  $\mathbb{Q}(\frac{A}{B})/\mathbb{Q}$ .

So, for these sequences the Zsigmondy bound  $Z_I$  is finite and an easy corollary of Schinzel's theorem is the following.

**Corollary 1.3.** Let  $K$  be a real quadratic field,  $R$  its ring of integers, and let  $\alpha \in R \setminus \{\pm 1\}$  be a unit. Let  $f$  denote the minimum polynomial of  $\alpha$  over  $\mathbb{Q}$  and define the integer sequence,  $\Delta = (\Delta_n(f))_{n \geq 1}$ , by setting

$$\Delta_n(f) = N_{K/\mathbb{Q}}(\alpha^n - 1).$$

Then there exists a positive integer  $C_1$ , so that for all units  $\alpha$  of norm 1,  $Z(\Delta) \leq C_1$ . There exists a positive integer  $C_2$  such that for all units  $\alpha$  of norm  $-1$ ,  $\Delta_n(f)$  has a primitive prime divisor for any  $n > C_2$  with  $n \not\equiv 2 \pmod{4}$ .

The sequence  $\Delta$ , for a general algebraic integer  $\alpha$ , was examined by Pierce in his paper [12], where he looked at what form the factors of  $\Delta_n(f)$  take and what conditions are necessary for the congruence  $f(x) \equiv 0 \pmod{p}$ , where  $p$  is a prime, to have a solution  $x \in \mathbb{F}_p$ . In [10], Lehmer developed a deeper insight into the factors of the terms  $\Delta_n(f)$ , and applied this information to show that certain  $\Delta_n(f)$  were prime. Lehmer was interested in the growth rate of the sequence  $\Delta$ , and he remarked that if none of the roots of  $f$  had absolute value 1, then  $\frac{\Delta_n(f)}{\Delta_{n-1}(f)}$  converges, and  $M(f)$  was written for the limit. For his purposes, polynomials with small values of  $M(f)$  were desirable; in [4] a heuristic argument is put forward that suggests the density of primes in  $\Delta$  is proportional to  $\frac{1}{M(f)}$ . We therefore say that for  $\alpha$  an algebraic integer, the sequence  $\Delta$ , defined in Corollary 1.3, is called the *Lehmer-Pierce sequence* associated to  $\alpha$ . The sequence  $\Delta$  is also of interest in algebraic dynamics, since to  $f$  there is an associated matrix called the companion matrix and multiplication by this matrix induces an endomorphism  $E : \mathbb{T}^N \rightarrow \mathbb{T}^N$ . When none of the roots of  $f$  have absolute value 1,  $E$  is an *ergodic* transformation with respect to Lebesgue measure,  $|\Delta_n(f)|$  counts the number of points of period  $n$  under  $E$ , and the topological entropy of  $E$  is equal to  $\log M(f)$ . A much more detailed account of the connection between  $M(f)$  and dynamical systems can be found in [5].

The sequence  $\Delta$  also has some combinatorial applications. For example, when  $u = 1 + \sqrt{2}$ ,  $|\Delta_n|$  counts the number of  $2 \times 2$  tiles in all tilings of a  $3 \times (n+1)$  rectangle with  $1 \times 1$  and  $2 \times 2$  square tiles; more details about this sequence are provided on Sloane's website [11, A095977]. Similarly, when  $u = \frac{3+\sqrt{5}}{2}$ ,  $\Delta_n$  appears in combinatorics - see [11, A004146]. In addition, certain quadratic Lehmer-Pierce sequences count the sizes of groups: the groups being  $E(\mathbb{F}_{p^n})$ , where  $E$  is a given elliptic curve and  $p$  is a fixed prime.

In this article our aim is to find the numbers  $C_1, C_2$  from Corollary 1.3 associated to the sequence  $\Delta = (\Delta_n)_{n \geq 1} = (N_{K/\mathbb{Q}}(u^n - 1))_{n \geq 1}$ , where  $K$  is a real quadratic field and  $u$  is a fixed unit in its ring of integers.

**Theorem 1.4.** Let  $K$  be a quadratic field,  $\alpha \neq \pm 1$  a positive quadratic unit, and let  $\Delta$  be the Lehmer-Pierce sequence associated to  $\alpha$ . Then for each  $\alpha$  of norm 1,  $\Delta$  has a primitive prime divisor for all terms beyond the twelfth. For each  $\alpha$  of norm  $-1$ , then for  $n > 24$ ,  $\Delta_n$  fails to have a primitive prime divisor if and only if  $n \equiv 2 \pmod{4}$ .

It is easy to see that when  $u$  has norm 1,  $\Delta$  satisfies a ternary linear recurrence relation, and when  $u$  has norm  $-1$ , a quaternary linear recurrence - see [7]. In addition, it is remarked that it seems likely that when  $u = 2 + \sqrt{3}$ ,  $Z(\Delta) = 6$ , and in our later discussion we verify that this is indeed the case. To date, not much is known about primitive prime divisors of the terms  $\Delta_n$  for arbitrary algebraic integers  $\alpha$ , and it would be interesting to know which other Lehmer-Pierce sequences have the property that  $Z(\Delta)$  is finite.

## 2. A CRITERION FOR PRIMITIVE DIVISOR FAILURE

We begin with a proof of Corollary 1.3 as it will be instrumental in obtaining a condition that will need to be satisfied if  $\Delta_n$  fails to have a PPD.

*Proof of Corollary 1.3.* Define  $A_n = \alpha^n - 1$  and  $B_n = \beta^n - 1$ , where  $\beta$  is the algebraic conjugate of  $\alpha$ . There are only two ways in which  $\Delta_n$  could fail to have a primitive prime divisor, and they are the following:

- (1) Both  $A_n$  and  $B_n$  fail to have PPIDs;
- (2) Every PPID of  $A_n$  has already appeared before as a divisor of  $B_m$  for some  $m < n$ .

Suppose then that  $\mathfrak{p}$  is a PPID of  $A_n$  but that  $\mathfrak{p} \mid B_m$  for some  $m < n$ . Then

$$(\beta^m - 1) = \mathfrak{p}\mathfrak{q}$$

for some integral ideal  $\mathfrak{q}$ . Hence, multiplying through by  $(\alpha^m)$ ,

$$(\alpha^m)(\beta^m - 1) = \mathfrak{p}\mathfrak{q}.$$

If  $\alpha$  has norm 1, this therefore implies that  $\mathfrak{p}$  divides  $A_m$ , which cannot be the case as  $\mathfrak{p}$  is a PPID of  $A_n$ . If  $\alpha$  has norm  $-1$  and  $m$  is even, then by the same method as above we can deduce that possibility 2 will not occur. If  $\alpha$  has norm  $-1$  and  $m$  is odd, a slightly different argument is needed. If possibility 2 occurs in this case, we have that  $\mathfrak{p} \mid (\alpha^m + 1)$ . Therefore,  $\alpha^m \equiv -1 \pmod{\mathfrak{p}}$  and so  $\alpha^{2m} \equiv 1 \pmod{\mathfrak{p}}$ . Now as  $\mathfrak{p}$  is a primitive divisor of  $A_n$ ,  $\alpha$  has order  $n$  in the group  $(R/\mathfrak{p})^*$ . Therefore  $n \mid 2m$ . Since  $m < n$ , this is enough to secure that  $n = 2m$ , and we conclude that possibility 2 can only hold in the case when  $n$  is twice an odd integer. If  $n \equiv 2 \pmod{4}$ , then  $n = 2k$  for some odd integer  $k$  and in this case  $\Delta_n = -\Delta_k^2$ , so  $\Delta_n$  can never have any primitive prime divisors. We have deduced that if  $\Delta_n$  fails to have a PPD, then both  $A_n$  and  $B_n$  fail to have PPIDs except in the case where  $\alpha$  has norm  $-1$  and then all terms which satisfy property 2, are those with  $n \equiv 2 \pmod{4}$ . The fact that  $\Delta_n$  fails to have a primitive divisor beyond some point if  $n \equiv 2 \pmod{4}$  was first pointed out by Györy.

Hence for units of norm 1,  $\Delta_n$  will only fail to have a PPD, when condition 1 holds. So by Theorem 1.2, this tells us that  $Z_I((A_n)_{n \geq 1}) < c_1$ , and  $Z_I((B_n)_{n \geq 1}) < c_2$ , where  $c_1, c_2$  are uniform constants, and so for all units  $\alpha$  of norm 1,  $Z(\Delta)$  is uniformly bounded. If  $\alpha$  has norm  $-1$ , then  $\Delta_n$  will fail to have a PPD when  $n \equiv 2 \pmod{4}$  and when condition 1 holds. Applying Theorem 1.2 again gives the required result.  $\square$

From now on,  $K$  denotes a real quadratic field we will write  $N$  for the field norm  $N_{K/\mathbb{Q}}$ .

**Lemma 2.1.** Let  $u \in R \setminus \{\pm 1\}$  be a quadratic unit of norm 1. Then for any  $n > 6$ , if  $\Delta_n$  fails to have a primitive prime divisor we have

$$(1) \quad N(\phi_n(u)) \mid n^2,$$

where  $\phi_n(x) \in \mathbb{Z}[x]$  denotes the  $n$ th cyclotomic polynomial. Moreover, if  $u$  has norm  $-1$  then for any  $n > 6$  with  $n \not\equiv 2 \pmod{4}$ , if  $\Delta_n$  fails to have a PPD then (1) holds.

*Proof.* Apply Lemma 4 of [13] to deduce that if  $\mathfrak{p}$  is not a PPID of  $A_n$  or  $B_n$ , then for  $n > 6$ ,

$$\text{ord}_{\mathfrak{p}}(\phi_n(u)) \leq \text{ord}_{\mathfrak{p}}(n)$$

and

$$\text{ord}_{\mathfrak{p}}(\phi_n(v)) \leq \text{ord}_{\mathfrak{p}}(n).$$

Adding these two inequalities together tells us that

$$\text{ord}_{\mathfrak{p}}(N(\phi_n(u))) \leq \text{ord}_{\mathfrak{p}}(n^2),$$

and so we have proved the statement of the Lemma.  $\square$

Using (1), we can express this result in a way that will allow us to obtain an upper bound on  $n$  such that  $\Delta_n$  has no PPD.

**Theorem 2.2.** Let  $1 < u \in R$  be a unit, and  $6 < n \in \mathbb{N}$ . If  $u$  has norm 1 and  $\Delta_n$  has no primitive prime divisor, then

$$(2) \quad \log n - 2 \log \log n - \frac{4}{\log n} < 2.02819 - \log \log u.$$

If  $u$  has norm  $-1$ ,  $n \not\equiv 2 \pmod{4}$ , and  $\Delta_n$  has no primitive prime divisor, then

$$(3) \quad \log n - 2 \log \log n - \frac{4}{\log n} < 2.71072 - \log \log u.$$

*Proof.* Recall the factorisation of  $x^n - 1$  into a product of cyclotomic polynomials as follows

$$x^n - 1 = \prod_{d|n} \phi_d(x).$$

Hence we have the following factorisation of  $\Delta_n$

$$|\Delta_n| = \prod_{d|n} |N(\phi_d(u))|.$$

Taking logarithms now gives

$$\log(|N(u^n - 1)|) = \sum_{d|n} \log(|N(\phi_d(u))|).$$

Applying the Möbius Inversion Formula for arithmetical functions now yields

$$(4) \quad \log(|N(\phi_n(u))|) = \sum_{d|n} \log(|N(u^d - 1)|) \mu\left(\frac{n}{d}\right).$$

Now using (4), we are going to estimate the size of  $|N(\phi_n(u))|$ . If  $u$  is a unit of norm 1, then

$$\begin{aligned} \log |N(u^d - 1)| &= \log |u^d - 1| + \log |v^d - 1| \\ &= \log |u^d - 1| + \log \left| \frac{1}{u^d} - 1 \right| \\ &= \log |u^d| + 2 \log \left| 1 - \frac{1}{u^d} \right|. \end{aligned}$$

Therefore, by (4) we have

$$\begin{aligned} \log(|N(\phi_n(u))|) &= \sum_{d|n} \log |u^d| \mu\left(\frac{n}{d}\right) + 2 \sum_{d|n} \log \left| 1 - \frac{1}{u^d} \right| \mu\left(\frac{n}{d}\right) \\ &= \phi(n) \log u + 2 \sum_{d|n} \log \left| 1 - \frac{1}{u^d} \right| \mu\left(\frac{n}{d}\right). \end{aligned}$$

Define  $S := 2 \sum_{d|n} \log \left| 1 - \frac{1}{u^d} \right| \mu\left(\frac{n}{d}\right)$ . Using the Taylor expansion for  $\log(1 - x)$ , we obtain that

$$|S| = 2 \left| \sum_{m=1}^{\infty} \frac{1}{m} \sum_{d|n} \frac{1}{u^{md}} \mu\left(\frac{n}{d}\right) \right|.$$

Hence,

$$\begin{aligned} |S| &< 2 \sum_{m=1}^{\infty} \frac{1}{m} \sum_{d=1}^{\infty} \frac{1}{u^{md}} \\ &= 2 \sum_{m=1}^{\infty} \frac{1}{m} \left( \frac{u^{-m}}{1 - u^{-m}} \right). \end{aligned}$$

Since  $u$  has norm 1,  $u \geq \frac{3+\sqrt{5}}{2}$ . In addition,  $m \geq 1$  so

$$(5) \quad |S| < 3.23607 \sum_{m=1}^{\infty} \frac{1}{m \left( \frac{3+\sqrt{5}}{2} \right)^m}.$$

The sum in (5) is equal to  $-\log\left(1 - \frac{2}{3+\sqrt{5}}\right)$ , and so

$$|S| < 1.55724,$$

which therefore yields that

$$\log(|N(\phi_n(u))|) > \phi(n) \log u - 1.55724.$$

Now we use the fact that if  $\Delta_n$  has no PPDs, then  $|N(\phi_n(u))| \leq n^2$ . Therefore, we have the following relation

$$(6) \quad u^{\phi(n)} < e^{1.55724} n^2.$$

Taking logarithms twice of both sides we obtain

$$\log(\phi(n)) + \log \log u < \log(1.55724 + 2 \log n).$$

Since  $n > 6$ ,  $\log n > 1$ , hence we have that

$$\log n + \sum_{p|n} \log \left(1 - \frac{1}{p}\right) < \log(3.55724) - \log \log u + \log \log n,$$

and therefore

$$\log n < 1.26899 - \log \log u + \log \log n - \sum_{p|n} \log \left(1 - \frac{1}{p}\right).$$

Noting now that for all primes  $p$ ,  $-\log \left(1 - \frac{1}{p}\right) \leq \frac{1}{p} + \frac{1}{p^2}$  yields that

$$\log n < 1.26899 - \log \log u + \log \log n + \sum_{p|n} \frac{1}{p} + \sum_{p|n} \frac{1}{p^2}.$$

By Proposition 2.3.3, page 72 in [9], the last term in our previous inequality is at most  $\log(\zeta(2))$ , where  $\zeta(s)$  denotes the Riemann-Zeta function. Therefore,

$$\log n < 1.76669 - \log \log u + \log \log n + \sum_{p \leq n} \frac{1}{p}.$$

In [15], the following estimate is derived

$$\sum_{p \leq n} \frac{1}{p} < \log \log n + B + \frac{4}{\log n},$$

where  $B$  is a numerical constant whose value is approximately equal to 0.2614972128. Inserting all this information into our inequality yields

$$\log n - 2 \log \log n - \frac{4}{\log n} < 2.02819 - \log \log u.$$

If  $u$  is a unit of norm  $-1$ , then

$$\log |N(u^d - 1)| = \log |u^d| + \log \left|1 - \frac{1}{u^d}\right| + \log \left|1 - \frac{(-1)^d}{u^d}\right|.$$

Plugging this in to equation (4), we have

$$\begin{aligned} \log(|N(\phi_n(u))|) &= \sum_{d|n} \log |u^d| \mu\left(\frac{n}{d}\right) + \sum_{d|n} \log \left|1 - \frac{1}{u^d}\right| \mu\left(\frac{n}{d}\right) + \sum_{d|n} \log \left|1 - \frac{(-1)^d}{u^d}\right| \mu\left(\frac{n}{d}\right) \\ &= \phi(n) \log u + \sum_{d|n} \log \left|1 - \frac{1}{u^d}\right| \mu\left(\frac{n}{d}\right) + \sum_{d|n} \log \left|1 - \frac{(-1)^d}{u^d}\right| \mu\left(\frac{n}{d}\right). \end{aligned}$$

Define  $S_1 = \sum_{d|n} \log \left|1 - \frac{1}{u^d}\right| \mu\left(\frac{n}{d}\right)$  and  $S_2 = \sum_{d|n} \log \left|1 - \frac{(-1)^d}{u^d}\right| \mu\left(\frac{n}{d}\right)$ . Again, using the Taylor expansion for  $\log(1-x)$ , and estimating these sums in the same way we did for  $S$ , we get

$$\begin{aligned} |S_i| &\leq \sum_{m=1}^{\infty} \frac{1}{m} \sum_{d|n} \frac{1}{u^{md}} \\ &< \sum_{m=1}^{\infty} \frac{1}{m} \left(\frac{u^{-m}}{1 - u^{-m}}\right). \end{aligned}$$

Noting that since  $u$  is a unit of norm  $-1$ ,  $u \geq \frac{1+\sqrt{5}}{2}$ , we see that

$$|S_i| < 2.61804 \sum_{m=1}^{\infty} \frac{1}{m \left(\frac{1+\sqrt{5}}{2}\right)^m}.$$

Once again, this sum is equal to  $-\log\left(1 - \frac{2}{1+\sqrt{5}}\right)$ , thus

$$|S_i| < 2.51966,$$

and so

$$\log(|N(\phi_n(u))|) > \phi(n) \log u - 5.03933.$$

Exponentiating this relation, we arrive at

$$(7) \quad |N(\phi_n(u))| > \frac{u^{\phi(n)}}{e^{5.03933}}.$$

Running through the same calculation as before gives us the desired inequality.  $\square$

### 3. MAIN RESULTS

**3.1. Units of Norm 1.** If  $u > 1$  is a unit of norm 1, then  $u \geq \left(\frac{1+\sqrt{5}}{2}\right)^2$ . Inserting this into (2), we have that if  $\Delta_n$  has no PPD, then

$$\log n - 2 \log \log n - \frac{4}{\log n} < 2.06650$$

It is now clear that  $n$  is bounded, since  $g(x) := \log x - 2 \log \log x - \frac{4}{\log x}$  is an increasing function on  $(e, \infty)$ . Then, since  $g(n)$  is bounded above,  $n$  is also and so using Maple 9.5 to solve  $g(x) = 2.06650$  we conclude that

$$n \leq 604.$$

We can now improve this further because we know that inequality (6) must be satisfied in order that  $\Delta_n$  has no PPD. We also know that  $u \geq \left(\frac{1+\sqrt{5}}{2}\right)^2$ . So we do a case by case check of the values of  $n$  between 7 and 604 for which

$$(8) \quad \left(\frac{1+\sqrt{5}}{2}\right)^{2\phi(n)} - e^{1.55724} n^2 < 0.$$

Instructing Maple 9.5 to compute the left hand side of the above inequality for each  $n$  in our range and observing when the quantity is negative yields that

$$n \leq 30.$$

More precisely, inequality (8) only holds when  $n = 8, 9, 10, 12, 14, 18, 24$  or  $30$ . Now a bare hands approach is required to see if we can lower the bound.

If we choose  $u$  so that  $u \geq C > \left(\frac{1+\sqrt{5}}{2}\right)^2$ , the nature of the inequality in (6) will allow us to reduce the bound for  $n$ . Some experimenting shows that if we choose  $C = 6$ , we can deduce that  $n \leq 6$  using the same case checking procedure as before.

Therefore, our strategy will be to find all the units of norm 1 which are between 1 and 6 (of which there are finitely many) and using (1) to look at the terms of the sequence up to the 30th and deduce the Zsigmondy bound. For  $u > 6$ , we know from our above comments that the Zsigmondy bound is at most 6, and there is little more we can say on this point.

To find norm 1 units  $1 < u \leq 6$ , we note that when  $d \not\equiv 1 \pmod{4}$ ,  $u$  is of the shape  $u = a + b\sqrt{d}$ , where  $a, b$  are integers. Hence, the following inequality holds

$$2.618 < a + b\sqrt{d} \leq 6.$$

Taking reciprocals we have

$$0.166 < a - b\sqrt{d} < 0.382,$$

and it is clear that

$$2 \leq a \leq 3.$$

If  $a = 2$  and  $N(u) = 1$  then we have  $b^2d = 3$ . The only solutions of this are when  $b^2 = 1$  and  $d = 3$  thus giving us  $u = 2 \pm \sqrt{3}$ . Hence,  $u = 2 + \sqrt{3}$  is the only valid solution. Similarly if  $a = 3$  the only valid unit is  $u = 3 + 2\sqrt{2}$ .

We now come to the case where  $d \equiv 1 \pmod{4}$ . A similar analysis for  $u = \frac{a+b\sqrt{d}}{2}$  yields

$$3 \leq a \leq 6.$$

The only solutions to  $N(u) = 1$  with  $a$  in this range are  $u = \frac{3 \pm \sqrt{5}}{2}$  and  $u = \frac{5 \pm \sqrt{21}}{2}$ , but again since  $u > 2.618$ , we take the positive sign. Hence there are four units of norm 1 which are greater than 1 but less than 6, namely  $2 + \sqrt{3}, 3 + 2\sqrt{2}, \frac{3 + \sqrt{5}}{2}, \frac{5 + \sqrt{21}}{2}$ .

We start with the case when  $u = 2 + \sqrt{3}$ , and we observe that for  $7 \leq n \leq 30$  inequality (6) holds when  $n = 8, 10, 12$ . We also note that condition (1) fails when  $n = 8, 10, 12$  so  $\Delta_8, \Delta_{10}$  and  $\Delta_{12}$  all have PPDs, so we can restrict our attention to when  $n \leq 6$ . Here is a table illustrating the prime factors of  $\Delta_n$  for  $n$  from 1 to 6.

$n$	$\Delta_n$	Prime factors of $\Delta_n$
1	-2	2
2	-12	2, 3
3	-50	2, 5
4	-192	2, 3
5	-722	2, 19
6	-2700	2, 3, 5

Therefore, the 4th and 6th terms of this sequence are the only ones which do not have a PPD.

We now turn our attention to  $u = 3 + 2\sqrt{2}$ , where inequality (6) does not hold for any  $n \geq 7$ . So we can say immediately that  $Z(\Delta) \leq 6$ . We illustrate the prime factors of  $\Delta_n$  in a table as previously.

$n$	$\Delta_n$	Prime factors of $\Delta_n$
1	-4	2
2	-32	2
3	-196	2, 7
4	-1152	2, 3
5	-6724	2, 41
6	-39200	2, 5, 7

It is therefore clear that when  $u = 3 + 2\sqrt{2}$  that all terms beyond the second have a PPD, so  $Z(\Delta) = 2$ .

When  $u = \frac{5 + \sqrt{21}}{2}$ , we have that the inequality (6) holds when  $n = 12$  and that (1) is false when  $n = 12$ . So we only need check terms from the sixth downwards to see which ones, if any, have no PPDs. We again list these terms and their prime factors in the table below.

$n$	$\Delta_n$	Prime factors of $\Delta_n$
1	-3	3
2	-21	3, 7
3	-108	2, 3
4	-525	3, 5, 7
5	-2523	3, 29
6	-12096	2, 3, 7

Hence, we deduce again that  $\Delta_n$  has a PPD for all terms beyond the sixth, and  $\Delta_6$  is the only term which fails to have a PPD.

Finally, when  $u = \frac{3 + \sqrt{5}}{2}$  we find inequality (6) holds when  $n = 8, 9, 10, 12, 14, 18, 24, 30$ . Our condition (1) does not hold when  $n$  is equal to 14, 18, 24, or 30. So we now need to check cases  $n \leq 12$ , to see which terms of  $\Delta_n$  have primitive prime factors. These have all been listed in the table below.

$n$	$\Delta_n$	Prime factors of $\Delta_n$
1	-1	None
2	-5	5
3	-16	2
4	-45	3, 5
5	-121	11
6	-320	2, 5
7	-841	29
8	-2205	3, 5, 7
9	-5776	2, 19
10	-15125	5, 11
11	-39601	199
12	-103680	2, 3, 5

It is immediately clear that  $\Delta_n$  has no PPDs precisely when  $n = 6, 10, 12$ .

We have therefore proven the following theorem

**Theorem 3.1.** Let  $R$  be the ring of integers of the field  $\mathbb{Q}(\sqrt{d})$ , where  $d$  is a squarefree positive integer. Let  $0 < u \in R$  be a unit of norm 1. If  $u < \frac{1}{6}$  or  $u > 6$ , then  $Z(\Delta) \leq 6$ . For all other such units  $u$ , one of the following holds:

- $u = 3 + 2\sqrt{2}, 3 - 2\sqrt{2}$ , where  $Z(\Delta) = 2$ .
- $u = 2 + \sqrt{3}, 2 - \sqrt{3}$ , where  $Z(\Delta) = 6$  and the only terms without a primitive prime divisor are  $\Delta_4$  and  $\Delta_6$ .
- $u = \frac{3+\sqrt{5}}{2}, \frac{3-\sqrt{5}}{2}$ , where  $Z(\Delta) = 12$  and the only terms without a primitive prime divisor are  $\Delta_6, \Delta_{10}$  and  $\Delta_{12}$ .
- $u = \frac{5+\sqrt{21}}{2}, \frac{5-\sqrt{21}}{2}$ , where  $Z(\Delta) = 6$  and the only term without a primitive prime divisor is  $\Delta_6$ .

**3.2. Units of Norm -1.** We now wish to establish a similar result when  $u$  is a unit of norm  $-1$ . If  $u$  has norm  $-1$  and  $n = 2k$  where  $k$  is an odd integer, then (1) will not hold, but when  $n$  is of this form  $\Delta_n$  does not have a PPD, so we just ignore these values of  $n$ . Define  $\Delta'$  to be the sequence obtained by removing from  $\Delta$ , the terms  $\Delta_n$  for which  $n \equiv 2 \pmod{4}$ .

If  $u$  is a quadratic unit of norm  $-1$ , then  $u > \frac{1+\sqrt{5}}{2}$  and so by substituting into (3) we obtain that

$$g(n) < 3.44217,$$

where  $g(x)$  is as before. Solving this inequality again using Maple 9.5 yields that

$$n \leq 3375.$$

Observing that if  $u$  is norm  $-1$ , inequality (7) holds, so by Theorem 2.1 we have

$$(9) \quad u^{\phi(n)} < e^{5.03933} n^2.$$

Since  $u \geq \frac{1+\sqrt{5}}{2}$ , we are led to solve the following inequality

$$\left(\frac{1+\sqrt{5}}{2}\right)^{\phi(n)} < e^{5.03933} n^2,$$

checking cases on Maple 9.5 for  $n$  between 7 and 3375 finds that this inequality is only true when

$$n \leq 90.$$

Using the same trick as for norm 1, we observe that for  $u > 13$ , inequality (9) implies that  $n \leq 6$ . We will therefore look at the cases  $u > 13$  and  $u \leq 13$  separately. Finding the positive units of norm  $-1$  that are between 1 and 13 is a finite problem and using the method from earlier we find that they are  $1 + \sqrt{2}, \frac{1+\sqrt{5}}{2}, 2 + \sqrt{5}, \frac{11+5\sqrt{5}}{2}, 3 + \sqrt{10}, \frac{3+\sqrt{13}}{2}, 4 + \sqrt{17}, 5 + \sqrt{26}, \frac{5+\sqrt{29}}{2}, 6 + \sqrt{37}, \frac{7+\sqrt{53}}{2}, \frac{9+\sqrt{85}}{2}$ .

Now we need to look at the terms of the sequence for  $1 \leq n \leq 90$  where  $n \not\equiv 2 \pmod{4}$  to see which have no PPDs. Doing the individual case checks as in the norm 1 case we find that when

$u = 1 + \sqrt{2}$ , inequality (9) holds when  $n = 7, 8, 9, 10, 11, 12, 14, 15, 16, 18, 20, 21, 22, 24, 26, 28, 30, 36, 42$ . We are however ignoring the terms  $\Delta_n$  for which  $n \equiv 2 \pmod{4}$ , so this leaves us to check the cases  $n = 7, 8, 9, 11, 12, 15, 16, 20, 21, 24, 28, 36$ . However (1) is violated, for all these values of  $n$ , therefore we conclude that  $Z(\Delta') \leq 4$ . Once again we check to see if  $\Delta_n$  has a primitive divisor for the relevant values of  $n$  between 1 and 5. Again we illustrate the factors of  $\Delta_n$  in tabular form

$n$	$\Delta_n$	Prime factors of $\Delta_n$
1	-2	2
3	-14	2, 7
4	-32	2
5	-82	2, 41

It is at once clear that  $\Delta_4 = \Delta'_3$  is the only term of  $\Delta'$  without a PPD.

For  $u = \frac{1+\sqrt{5}}{2}$ , relations (1) and (9) are enough to ensure that for  $n > 6$ ,  $\Delta_n$  has a PPD unless  $n = 12, 20, 24$ . So we now need to check all the terms up to the 24th to see which ones have primitive prime divisors, and then we are done. Here is the table

$n$	$\Delta_n$	Prime factors of $\Delta_n$
1	-1	None
3	-4	2
4	-5	5
5	-11	11
7	-29	29
8	-45	3, 5
9	-76	2, 19
11	-199	199
12	-320	2, 5
13	-521	521
15	-1364	2, 11, 31
16	-2205	3, 5, 7
17	-3571	3571
19	-9349	9349
20	-15125	5, 11
21	-24476	2, 19, 211
23	-64079	139, 461
24	-103680	2, 3, 5

We see that  $\Delta_{12} = \Delta'_9, \Delta_{20} = \Delta'_{15}$  and  $\Delta_{24} = \Delta'_{18}$  are the only terms of  $\Delta'$  that fail to have a PPD.

For all of the other units  $u \leq 13$ , conditions (9) and (1) are enough to secure that  $n < 6$ , and hence that  $Z(\Delta') \leq 4$ . Checking for primitive divisors of the remaining terms in exactly the same way as above, yields that all terms have a primitive prime divisor and so  $Z(\Delta') = 1$ .

Our case checking is now complete and we have derived the following result.

**Theorem 3.2.** Let  $R$  be as in Theorem 3.1 and  $1 < u \in R$  be a unit of norm  $-1$ . Then for all  $u > 13$ ,  $Z(\Delta') \leq 4$ . If  $u \leq 13$ , then one of the following is true:

- $u = 1 + \sqrt{2}$ , where  $Z(\Delta') = 3$  and the only term without a primitive prime divisor is  $\Delta'_3$ ;
- $u = \frac{1+\sqrt{5}}{2}$ , where  $Z(\Delta') = 18$  and the only terms without a primitive prime divisor are  $\Delta'_9, \Delta'_{15}$  and  $\Delta'_{18}$ ;
- $Z(\Delta') = 1$ .

Combining the results of Theorems 3.1 and 3.2, gives us the statement of Theorem 1.4.

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