

# Generalizing Ramanujan's $J$ Functions

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We generalize Ramanujan's expansions of the fractional-power Euler functions  $(q^{1/5})_\infty = [J_1 - q^{1/5} + q^{2/5}J_2](q^5)_\infty$  and  $(q^{1/7})_\infty = [J_1 + q^{1/7}J_2 - q^{2/7} + q^{5/7}J_3](q^7)_\infty$  to  $(q^{1/N})_\infty$ , where  $N$  is a prime number greater than 3. We show that there are exactly  $(N+1)/2$  non-zero  $J$  functions in the expansion of  $(q^{1/N})_\infty$ , that one of these functions has the form  $\pm q^{X_0}$ , that all others have the form  $\pm q^{X_k} \times$  the ratio of two Ramanujan theta functions, and that the product of all the non-zero  $J$ 's is  $\pm q^Z$ , where  $Z$  and the  $X$ 's denote non-negative integers.

## I. INTRODUCTION

In his study of the congruence-5 properties of the partition function  $p(n)$ , Ramanujan [1] made the replacement  $q \rightarrow q^{1/5}$  in its generating-function equation,

$$\sum_{n=0}^{\infty} p(n)q^n = \frac{1}{(q)_\infty}, \quad (1)$$

where

$$(q)_\infty \equiv \prod_{k=1}^{\infty} (1 - q^k) \quad (2)$$

is the Euler function. Then, using Euler's pentagonal number theorem,

$$(q)_\infty = \sum_{m=-\infty}^{\infty} (-1)^m q^{m(3m-1)/2}, \quad (3)$$

he made the expansion

$$\frac{(q^{1/5})_\infty}{(q^5)_\infty} = J_1 - q^{1/5} + q^{2/5}J_2. \quad (4)$$

In this equation, the  $J$  functions denote power series expansions in  $q$  with integer exponents and coefficients. These functions can be expressed as the ratios [2]

$$J_1(q) = \frac{f(-q^2, -q^3)}{f(-q, -q^4)}, \quad J_2(q) = -\frac{f(-q, -q^4)}{f(-q^2, -q^3)}, \quad (5)$$

(sequences A003823 and A007325 in OEIS [3], respectively), where  $f(a, b)$  is the Ramanujan theta function:

$$f(a, b) = f(b, a) \equiv \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2}. \quad (6)$$

Ramanujan then showed that

$$\frac{1}{J_1 - q^{1/5} + q^{2/5}J_2} = \frac{J_1^4 + 3qJ_2 + q^{1/5}(J_1^3 + 2qJ_2^2) + q^{2/5}(2J_1^2 + qJ_2^3) + q^{3/5}(3J_1 + qJ_2^4) + 5q^{4/5}}{J_1^5 - 11q + q^2J_2^5} \quad (7)$$

by rationalizing the denominator on the left and using the identity

$$J_1J_2 = -1. \quad (8)$$

From eqs. (1), (7), and another identity,

$$J_1^5 - 11q + q^2J_2^5 = \frac{(q)_\infty^6}{(q^5)_\infty^6}, \quad (9)$$

it follows that

$$\sum_{n=0}^{\infty} p(5n+4)q^n = 5 \frac{(q^5)_{\infty}^5}{(q)_{\infty}^6} \quad (10)$$

and therefore that  $p(5n+4) \equiv 0 \pmod{5}$ .

In like manner, in studying the congruence-7 properties of  $p(n)$ , Ramanujan wrote down the expansion

$$\frac{(q^{1/7})_{\infty}}{(q^7)_{\infty}} = J_1 + q^{1/7}J_2 - q^{2/7} + q^{5/7}J_3 \quad (11)$$

and showed that these  $N = 7$   $J$  functions satisfy (among others; see Section IV) the identity

$$J_1 J_2 J_3 = -1. \quad (12)$$

In this article we generalize these expansions to  $(q^{1/N})_{\infty}$ , where  $N$  will denote a prime number greater than 3, and we will derive explicit formulas for the  $J_p$  functions. In this, we will be using a slightly different, more convenient notation than that used by Ramanujan, in that the subscript for  $J_p$  will correspond to its associated fractional exponent. I.e., our expansion will read

$$\frac{(q^{1/N})_{\infty}}{(q^N)_{\infty}} = J_0 + q^{1/N}J_1 + q^{2/N}J_2 + \cdots + q^{(N-1)/N}J_{N-1}. \quad (13)$$

This equation is equivalent to “multisecting” the power series for  $(q)_{\infty}$ ; see the article by Somos [2].

## II. EXPANSION OF $(q^N)_{\infty}/(q^{1/N})_{\infty}$

We make the replacement  $q \rightarrow q^{1/N}$  in the Euler function and write the identity

$$\frac{1}{(q^{1/N})_{\infty}} = \frac{1}{(q^{1/N})_{\infty}} \times \frac{\prod_{p=1}^{N-1} (\omega^p q^{1/N})_{\infty}}{\prod_{p=1}^{N-1} (\omega^p q^{1/N})_{\infty}} = \frac{\prod_{p=1}^{N-1} (\omega^p q^{1/N})_{\infty}}{\prod_{p=0}^{N-1} (\omega^p q^{1/N})_{\infty}}, \quad (14)$$

where  $\omega \equiv e^{2\pi i/N}$  is an  $N$ -th root of unity. We consider the product in the denominator in this expression, with the replacement  $x = q^{1/N}$ :

$$\prod_{p=0}^{N-1} (\omega^p x)_{\infty} = \prod_{p=0}^{N-1} \prod_{k=1}^{\infty} (1 - (\omega^p x)^k). \quad (15)$$

Now make the change of index  $k = nN + a$ ,  $1 \leq a \leq N$ , to get

$$\begin{aligned} \prod_{p=0}^{N-1} (\omega^p x)_{\infty} &= \prod_{p=0}^{N-1} \prod_{n=0}^{\infty} \prod_{a=1}^N (1 - \omega^{pa} x^{nN+a}) \\ &= \prod_{n=0}^{\infty} \prod_{p=0}^{N-1} (1 - x^{nN+N}) \prod_{a=1}^{N-1} (1 - \omega^{pa} x^{nN+a}) \\ &= \prod_{n=0}^{\infty} (1 - x^{nN+N})^N \prod_{p=0}^{N-1} \prod_{a=1}^{N-1} (1 - \omega^{pa} x^{nN+a}) \\ &= (x^N)_{\infty}^N \prod_{n=0}^{\infty} \prod_{a=1}^{N-1} \prod_{p=0}^{N-1} (1 - \omega^{pa} x^{nN+a}). \end{aligned} \quad (16)$$

Since  $N$  is prime,  $1, \omega^a, \omega^{2a}, \dots, \omega^{(N-1)a}$  are, for fixed  $a$ , all distinct, and so  $\{1, \omega^a, \omega^{2a}, \dots, \omega^{(N-1)a}\} = \{1, \omega, \omega^2, \dots, \omega^{N-1}\}$ . We can therefore make the replacement  $\omega^{pa} \rightarrow \omega^p$  in the product over  $p$ , since this amounts to

simply a re-ordering of the factors:

$$\begin{aligned}
\prod_{p=0}^{N-1} (\omega^p x)_\infty &= (x^N)_\infty^N \prod_{n=0}^{\infty} \prod_{a=1}^{N-1} \prod_{p=0}^{N-1} (1 - \omega^p x^{nN+a}) \\
&= (x^N)_\infty^N \prod_{n=0}^{\infty} \prod_{a=1}^{N-1} (1 - x^{N(nN+a)}) \\
&= (x^N)_\infty^N \prod_{n=0}^{\infty} \frac{\prod_{a=1}^N (1 - x^{N(nN+a)})}{1 - (x^{N^2})^{n+1}} = \frac{(x^N)_\infty^{N+1}}{(x^{N^2})_\infty},
\end{aligned} \tag{17}$$

where we've used

$$\prod_{p=0}^{N-1} (1 - \omega^p X) = 1 - X^N. \tag{18}$$

And so we have

$$\prod_{p=0}^{N-1} (\omega^p q^{1/N})_\infty = \frac{(q)_\infty^{N+1}}{(q^N)_\infty} \tag{19}$$

as the denominator on the right side of eq. (14).

We next consider the numerator in this equation. We make the replacement  $q^{1/N} \rightarrow \omega^p q^{1/N}$  in (13) and take the product of  $(\omega^p q^{1/N})_\infty$  over  $p = 1, \dots, N-1$ :

$$\prod_{p=1}^{N-1} (\omega^p q^{1/N})_\infty = (q^N)_\infty^{N-1} \prod_{p=1}^{N-1} \left( J_0 + \omega^p q^{1/N} J_1 + \omega^{2p} q^{2/N} J_2 + \dots + \omega^{(N-1)p} q^{(N-1)/N} J_{N-1} \right). \tag{20}$$

We can use the fact that the product  $\prod_{p=0}^{N-1} (x_0 + \omega^p x_1 + \dots + \omega^{(N-1)p} x_{N-1})$  is equal to the determinant of a circulant matrix:

$$\prod_{p=0}^{N-1} \left( x_0 + \omega^p x_1 + \dots + \omega^{(N-1)p} x_{N-1} \right) = \begin{vmatrix} x_0 & x_{N-1} & \cdots & x_2 & x_1 \\ x_1 & x_0 & \cdots & x_3 & x_2 \\ x_2 & x_1 & \cdots & x_4 & x_3 \\ \vdots & \vdots & & \vdots & \vdots \\ x_{N-2} & x_{N-3} & \cdots & x_0 & x_{N-1} \\ x_{N-1} & x_{N-2} & \cdots & x_1 & x_0 \end{vmatrix}. \tag{21}$$

We add columns 1 through  $(N-1)$  to the  $N$ -th column and write the determinant as

$$\begin{aligned}
\begin{vmatrix} x_0 & x_{N-1} & \cdots & x_2 & x_1 \\ x_1 & x_0 & \cdots & x_3 & x_2 \\ x_2 & x_1 & \cdots & x_4 & x_3 \\ \vdots & \vdots & & \vdots & \vdots \\ x_{N-2} & x_{N-3} & \cdots & x_0 & x_{N-1} \\ x_{N-1} & x_{N-2} & \cdots & x_1 & x_0 \end{vmatrix} &= \begin{vmatrix} x_0 & x_{N-1} & \cdots & x_2 & x_0 + \cdots + x_{N-1} \\ x_1 & x_0 & \cdots & x_3 & x_0 + \cdots + x_{N-1} \\ x_2 & x_1 & \cdots & x_4 & x_0 + \cdots + x_{N-1} \\ \vdots & \vdots & & \vdots & \vdots \\ x_{N-2} & x_{N-3} & \cdots & x_0 & x_0 + \cdots + x_{N-1} \\ x_{N-1} & x_{N-2} & \cdots & x_1 & x_0 + \cdots + x_{N-1} \end{vmatrix} \\
&= (x_0 + \cdots + x_{N-1}) \begin{vmatrix} x_0 & x_{N-1} & \cdots & x_2 & 1 \\ x_1 & x_0 & \cdots & x_3 & 1 \\ x_2 & x_1 & \cdots & x_4 & 1 \\ \vdots & \vdots & & \vdots & \vdots \\ x_{N-2} & x_{N-3} & \cdots & x_0 & 1 \\ x_{N-1} & x_{N-2} & \cdots & x_1 & 1 \end{vmatrix}.
\end{aligned} \tag{22}$$

And so the product from  $p = 1$  to  $N-1$  is

$$\prod_{p=1}^{N-1} \left( x_0 + \omega^p x_1 + \dots + \omega^{(N-1)p} x_{N-1} \right) = \begin{vmatrix} x_0 & x_{N-1} & \cdots & x_2 & 1 \\ x_1 & x_0 & \cdots & x_3 & 1 \\ x_2 & x_1 & \cdots & x_4 & 1 \\ \vdots & \vdots & & \vdots & \vdots \\ x_{N-2} & x_{N-3} & \cdots & x_0 & 1 \\ x_{N-1} & x_{N-2} & \cdots & x_1 & 1 \end{vmatrix}. \tag{23}$$

Upon the replacements  $x_k \rightarrow q^{k/N} J_k$  we have

$$\prod_{p=1}^{N-1} (\omega^p q^{1/N})_\infty = (q^N)_\infty^{N-1} \begin{vmatrix} J_0 & q^{(N-1)/N} J_{N-1} & \cdots & q^{2/N} J_2 & 1 \\ q^{1/N} J_1 & J_0 & \cdots & q^{3/N} J_3 & 1 \\ q^{2/N} J_2 & q^{1/N} J_1 & \cdots & q^{4/N} J_4 & 1 \\ \vdots & \vdots & & \vdots & \vdots \\ q^{(N-2)/N} J_{N-2} & q^{(N-3)/N} J_{N-3} & \cdots & J_0 & 1 \\ q^{(N-1)/N} J_{N-1} & q^{(N-2)/N} J_{N-2} & \cdots & q^{1/N} J_1 & 1 \end{vmatrix} \quad (24)$$

and, together with (14) and (19),

$$\begin{aligned} \frac{(q^N)_\infty}{(q^{1/N})_\infty} &= \frac{1}{J_0 + q^{1/N} J_1 + q^{2/N} J_2 + \cdots + q^{(N-1)/N} J_{N-1}} \\ &= \frac{(q^N)_\infty^{N+1}}{(q)_\infty^{N+1}} \begin{vmatrix} J_0 & q^{(N-1)/N} J_{N-1} & \cdots & q^{2/N} J_2 & 1 \\ q^{1/N} J_1 & J_0 & \cdots & q^{3/N} J_3 & 1 \\ q^{2/N} J_2 & q^{1/N} J_1 & \cdots & q^{4/N} J_4 & 1 \\ \vdots & \vdots & & \vdots & \vdots \\ q^{(N-2)/N} J_{N-2} & q^{(N-3)/N} J_{N-3} & \cdots & J_0 & 1 \\ q^{(N-1)/N} J_{N-1} & q^{(N-2)/N} J_{N-2} & \cdots & q^{1/N} J_1 & 1 \end{vmatrix}. \end{aligned} \quad (25)$$

### III. MAIN RESULTS

**Theorem 1** *Let  $N$  be a prime number greater than 3, let  $A$  be an integer  $\in [0, (N-1)/2]$ , and let*

$$p \equiv \frac{(N-6A)^2 - 1}{24} \pmod{N}.$$

*Then*

(I) *the expansion*

$$\frac{(q^{1/N})_\infty}{(q^N)_\infty} = J_0(q) + q^{1/N} J_1(q) + q^{2/N} J_2(q) + \cdots + q^{(N-1)/N} J_{N-1}(q)$$

*has exactly  $(N+1)/2$  non-zero terms;*

(II) *for  $A=0$ ,*

$$J_p(q) = (-1)^{\lfloor (N+1)/6 \rfloor} q^{\lfloor (N^2-1)/24N \rfloor};$$

(III) *for  $A>0$ ,*

$$J_p(q) = (-1)^{A+\lfloor (N+1)/6 \rfloor} q^{\lfloor [(N-6A)^2-1]/24N \rfloor} \frac{f(-q^{2A}, -q^{N-2A})}{f(-q^A, -q^{N-A})}.$$

*Proof:*

Prime numbers greater than 3 can be expressed as  $N = |6m - 1|$  where  $m$  is a positive or a negative integer with absolute value  $|m| = \lfloor (N+1)/6 \rfloor$ .

Proof of (I): We expand  $(q^{1/N})_\infty$  as

$$(q^{1/N})_\infty = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2N}. \quad (26)$$

Set  $n = kN + a$ , with  $-\infty < k < \infty$  and  $a = 0, \dots, N-1$ . Then

$$(q^{1/N})_\infty = \sum_{a=0}^{N-1} (-1)^a q^{a(3a-1)/2N} \sum_{k=-\infty}^{\infty} (-1)^k q^{k(3kN-6a+1)/2} \quad (27)$$

We now define an equivalence relation on the integers  $a \in [0, N - 1]$  such that  $a_1 \sim a_2$  iff

$$\frac{a_1(3a_1 - 1)}{2} \bmod N \equiv \frac{a_2(3a_2 - 1)}{2} \bmod N. \quad (28)$$

We will denote a particular equivalence class either by listing its elements or as  $\{p\}$ , where  $p$  is defined by

$$p \equiv \frac{a(3a - 1)}{2} \bmod N \quad (29)$$

for any  $a \in \{p\}$ . From eqs. (13) and (27), each equivalence class corresponds to a non-zero term, with subscript  $p$ , in the expansion of  $(q^{1/N})_\infty$ . I.e.,

$$(q^N)_\infty J_p(q) = \left[ (-1)^a q^{[a(3a-1)/2N]} \sum_{k=-\infty}^{\infty} (-1)^k q^{k(3kN-6a+1)/2} \right]_{a \in \{p\}} \quad (30)$$

where the expression inside the brackets is to be evaluated over the element(s) of the equivalence class  $\{p\}$ .

Let  $a_1, a_2 \in [0, N - 1]$ . From eq. (28),  $a_2 \sim a_1$  iff

$$\frac{(a_2 - a_1)[3(a_2 + a_1) - 1]}{2} \equiv 0 \bmod N. \quad (31)$$

This requires, since  $N$  is prime and  $|a_2 - a_1|$  is less than  $N$ , that  $N$  divides either  $[3(a_2 + a_1) - 1]$  or  $[3(a_2 + a_1) - 1]/2$ , depending on whether  $a_2 + a_1$  is even or odd.

Case 1:  $a_2 + a_1$  is even. Then

$$a_2 + a_1 = \pm 2Km + \frac{1 \mp K}{3} \text{ for } N = \pm(6m - 1) \quad (32a)$$

for some positive integer  $K$ . The only solutions for this equation for  $a_1, a_2$  in this interval are:

$$\begin{aligned} a_2 &= 2m - a_1 \text{ if } m > 0 \text{ and } a_1 \leq 2m; \\ a_2 &= 2N - |2m| - a_1 \text{ for } m < 0 \text{ and } a_1 \geq N - |2m| + 1. \end{aligned}$$

Case 2:  $a_2 + a_1$  is odd. Then  $N$  divides  $(3(a_2 + a_1) - 1)/2$ , and

$$a_2 + a_1 = \pm 4Km + \frac{1 \mp 2K}{3}. \quad (32b)$$

The only allowed solution is  $a_2 = N + 2m - a_1$  for  $2m + 1 \leq a_1 \leq N + 2m$ .

Summarizing, for  $m > 0$ ,

$$a \sim \begin{cases} 2m - a & \text{for } a \in [0, 2m], \\ N + 2m - a & \text{for } a \in [2m + 1, N - 1]. \end{cases} \quad (33a)$$

while for  $m < 0$ ,

$$a \sim \begin{cases} N + 2m - a & \text{for } a \in [0, N - |2m|], \\ 2N + 2m - a & \text{for } a \in [N - |2m| + 1, N - 1]. \end{cases} \quad (33b)$$

For  $m > 0$ , the first equation is trivial when  $a = m$ . Therefore, the equivalence class that contains  $m$  has only one distinct element. Similarly, for  $m < 0$ , the class containing  $N + m$  has just one element. All other equivalence classes contain exactly 2 elements. If  $M$  is the number of equivalence classes, the  $N$  values of  $a$  are thus grouped into one 1-element class and  $(M - 1)$  2-element classes:  $N = 1 + 2(M - 1)$ . Therefore,  $M = (N + 1)/2$ , which proves (I).

Proof of (II): The index  $p$  for the 1-element equivalence class, either  $\{a = m\}$  for  $N = 6m - 1$  or  $\{a = N + m\}$  for  $N = -6m + 1$ , is

$$p \equiv \frac{m(3am - 1)}{2} \bmod N = \frac{N^2 - 1}{24} \bmod N. \quad (34)$$

For  $N = 6m - 1, a = m$ , we have from eq. (30) that

$$\begin{aligned} (q^N)_\infty J_p(q) &= (-1)^m q^{\lfloor m(3m-1)/2N \rfloor} \sum_{k=-\infty}^{\infty} (-1)^k q^{k(3kN-6m+1)/2} \\ &= (-1)^{\lfloor (N+1)/6 \rfloor} q^{\lfloor (N^2-1)/24N \rfloor} \sum_{k=-\infty}^{\infty} (-1)^k q^{kN(3k-1)/2} \end{aligned} \quad (35)$$

The result then follows, since the sum over  $k$  is  $(q^N)_\infty$  by the pentagonal number theorem.

The proof for  $N = -6m + 1, a = N + m$  follows a similar calculation, with the substitution  $k \rightarrow k - 1$  in the sum.

Proof of (III): The 2-element equivalence classes are:

$$m > 0 : \begin{cases} \{0, 2m\}, \{1, 2m-1\}, \dots, \{m-1, m+1\}, & \text{(I)} \\ \{2m+1, N-1\}, \{2m+2, N-2\}, \dots, \{\frac{1}{2}(N+2m-1), \frac{1}{2}(N+2m+1)\}; & \text{(II)} \end{cases}$$

$$m < 0 : \begin{cases} \{0, N+2m\}, \{1, N+2m-1\}, \dots, \{\frac{1}{2}(N+2m-1), \frac{1}{2}(N+2m+1)\}, & \text{(II)} \\ \{N+2m+1, N-1\}, \{N+2m+2, N-2\}, \dots, \{N+m-1, N+m+1\}. & \text{(I)} \end{cases}$$

For a given  $m$ , they thus break into two groups, which are characterized by the evenness (group I) or the oddness (group II) of  $a_2 - a_1$ . To each  $\{a_1, a_2\}$ , with  $a_1 < a_2$ , we assign an integer  $A$ , defined as

$$A = \begin{cases} (a_2 - a_1)/2 & \text{if } a_2 - a_1 \text{ is even,} \\ (N - a_2 + a_1)/2 & \text{if } a_2 - a_1 \text{ is odd.} \end{cases} \quad (36)$$

For the 1-element class we set  $A = 0$ . It is easy to see from the list of classes above that each equivalence class corresponds to a different value of  $A$  between 0 and  $(N-1)/2$ .

The 2-element classes thus give 4 cases to consider, which we can characterize as:

$$\begin{aligned} \text{Case 1: } m > 0, \text{ group I: } & a_1 = m - A, & a_2 = m + A; \\ \text{Case 2: } m > 0, \text{ group II: } & a_1 = m + A, & a_2 = N + m - A; \\ \text{Case 3: } m < 0, \text{ group I: } & a_1 = N + m - A, & a_2 = N + m + A; \\ \text{Case 4: } m < 0, \text{ group II: } & a_1 = m + A, & a_2 = N + m - A. \end{aligned}$$

Expressed in the variables  $N$  and  $A$  however, eq. (29) for  $p$  and eq. (30) for  $J_p(q)$  takes on the same form in all 4 cases:

$$p \equiv \frac{(N-6A)^2 - 1}{24} \pmod{N}; \quad (37)$$

$$J_p(q) = (-1)^{A+\lfloor (N+1)/6 \rfloor} \frac{q^{\lfloor [(N-6A)^2-1]/24N \rfloor}}{(q^N)_\infty} \sum_{k=-\infty}^{\infty} (-1)^k q^{Nk(3k-1)/2} \left[ q^{3kA} + q^{(1-3k)A} \right], \quad (A > 0). \quad (38)$$

Now consider the identity [5],

$$f(a, b) = (-a; ab)_\infty (-b; ab)_\infty (ab; ab)_\infty; \quad (a; q)_\infty \equiv \prod_{n=0}^{\infty} (1 - aq^n). \quad (39)$$

With this identity, the ratio of the theta functions in part (III) of the theorem is

$$\frac{f(-q^{2A}, -q^{N-2A})}{f(-q^A, -q^{N-A})} = \prod_{n=0}^{\infty} \frac{(1 - q^{nN+2A})(1 - q^{nN+N-2A})}{(1 - q^{nN+A})(1 - q^{nN+N-A})}. \quad (40)$$

We separate the factors in the numerator into those with even  $n$  and with odd  $n$ :

$$\begin{aligned}
\prod_{n=0}^{\infty} \frac{(1 - q^{nN+2A})(1 - q^{nN+N-2A})}{(1 - q^{nN+A})(1 - q^{nN+N-A})} &= \prod_{n=0}^{\infty} \frac{(1 - q^{2nN+2A})(1 - q^{(2n+1)N+2A})(1 - q^{2nN+N-2A})(1 - q^{(2n+1)N+N-2A})}{(1 - q^{nN+A})(1 - q^{nN+N-A})} \\
&= \prod_{n=0}^{\infty} (1 + q^{nN+A})(1 - q^{2nN+N+2A})(1 - q^{2nN+N-2A})(1 + q^{nN+N-A}) \\
&= \prod_{n=1}^{\infty} (1 + q^{(n-1)N+A})(1 + q^{nN-A})(1 - q^{(2n-1)N-2A})(1 - q^{(2n-1)N+2A}). \quad (41)
\end{aligned}$$

The product on the right, aside from a factor of  $(q^N)_{\infty}$ , is in the form of the product in the quintuple product identity [4], which we write in the form,

$$\prod_{n=1}^{\infty} (1 - q^n)(1 - aq^{n-1})(1 - a^{-1}q^n)(1 - a^2q^{2n-1})(1 - a^{-2}q^{2n-1}) = \sum_{k=-\infty}^{\infty} q^{k(3k-1)/2} [a^{3k} - a^{1-3k}], \quad (42)$$

under the substitutions  $q \rightarrow q^N$  and  $a \rightarrow -q^A$ . So we have

$$\frac{f(-q^{2A}, -q^{N-2A})}{f(-q^A, -q^{N-A})} = \frac{1}{(q^N)_{\infty}} \sum_{k=-\infty}^{\infty} (-1)^k q^{Nk(3k-1)/2} [q^{3kA} + q^{(1-3k)A}]. \quad (43)$$

Part (III) of the theorem then follows by comparing the above equation to eq. (38). QED.

For  $N = 7$ ,  $A$  takes on the values 0, 1, 2, 3, corresponding to the functions

$$\begin{aligned}
J_2 &= -1, \\
J_0 &= \frac{f(-q^2, -q^5)}{f(-q, -q^6)}, \\
J_1 &= -\frac{f(-q^4, -q^3)}{f(-q^2, -q^5)}, \\
J_5 &= \frac{f(-q^6, -q)}{f(-q^3, -q^4)}.
\end{aligned}$$

The identity (12) then follows trivially. This identity and that of (8) can be written (in our notation) as

$$J_0 J_1 J_2 = 1, \quad (N = 5); \quad J_0 J_1 J_2 J_5 = 1, \quad (N = 7). \quad (44)$$

The generalization of these relations is given by the theorem below:

**Theorem 2** *Let  $S = \{p_1, \dots, p_{(N+1)/2}\}$  be the set of indices corresponding to non-zero  $J$  functions in the expansion of  $(q^{1/N})_{\infty}$ . Then*

$$\prod_{p \in S} J_p(q) = (-1)^{|m|(|m|-1)/2} q^Z,$$

where  $Z$  is the non-negative integer

$$Z = \frac{(N-1)(N+1)^2}{48N} - \sum_{p \in S} \frac{p}{N}.$$

Proof: From parts (II) and (III) of Theorem 1 we have

$$\prod_{p \in S} J_p(q) = (-1)^{\sum_A (m+A)} q^{\sum_A \lfloor [(N-6A)^2 - 1] / 24N \rfloor} \prod_{\{a_1, a_2\}} \frac{f(-q^{2A}, -q^{N-2A})}{f(-q^A, -q^{N-A})}. \quad (45)$$

The sums over  $A$  go from 0 to  $(N-1)/2$ , while the product on the right is over all 2-element equivalence classes, since the 1-element class contributes only a factor of 1. Consider the numerator in this product:

$$\prod_{\{a_1, a_2\}} f(-q^{2A}, -q^{N-2A}). \quad (46)$$

There are  $(N-1)/2$  factors in this product and each factor contains two distinct positive integers less than  $N$ ; i.e., the exponents  $2A$  and  $N-2A$ , with  $A$  ranging from 1 to  $(N-1)/2$ . The set of exponents in this product is therefore the set of positive integers less than  $N$ , and the factors in the product can be reordered as

$$\prod_{\{a_1, a_2\}} f(-q^{2A}, -q^{N-2A}) = f(-q, -q^{N-1})f(-q^2, -q^{N-2}) \cdots f(-q^{(N-1)/2}, -q^{(N+1)/2}). \quad (47)$$

By a similar argument, the  $(N-1)$  exponents in the product in the denominator also equal the set  $\{1, 2, \dots, N-1\}$ . The denominator can thus also be reordered as above and cancels with the numerator.

The sum over  $[(N-6A)^2 - 1]/24N$  is found by writing

$$[(N-6A)^2 - 1]/24N = \frac{(N-6A)^2 - 1}{24N} - \frac{1}{N} \frac{(N-6A)^2 - 1}{24} \pmod{N} = \frac{(N-6A)^2 - 1}{24N} - \frac{p}{N}. \quad (48)$$

We have then

$$Z = \sum_{A=0}^{(N-1)/2} \frac{(N-6A)^2 - 1}{24N} - \sum_{p \in S} \frac{p}{N} = \frac{(N+1)^2(N-1)}{48N} - \sum_{p \in S} \frac{p}{N}. \quad (49)$$

To find the exponent of  $(-1)$ , we consider the cases  $m > 0$  and  $m < 0$  separately:

$m > 0$ :  $A$  goes from 0 to  $(N-1)/2 = 3m-1$ ;

$$\sum_{A=0}^{3m-1} (m+A) = m(3m) + \frac{(3m-1)(3m)}{2} = 3 \frac{5m^2 - m}{2}. \quad (50a)$$

But  $(-1)^{3(5m^2-m)/2} = (-1)^{m(m-1)/2}$ .

$m < 0$ :  $A$  goes from 0 to  $|3m|$ ;

$$\sum_{A=0}^{|3m|} (m+A) = m(|3m|+1) + \frac{|3m|(|3m|+1)}{2} = \frac{3m^2 - m}{2}. \quad (50b)$$

In this case,  $(-1)^{(3m^2-m)/2} = (-1)^{m(m+1)/2}$ . Therefore, both cases are covered by the factor  $(-1)^{|m|(|m|-1)/2}$ .

QED.

#### IV. SOME ADDITIONAL REMARKS

To derive the identities in eqs. (8) and (9), Ramanujan cubed both sides of eq. (4), used Jacobi's identity,

$$(q)_\infty^3 = \sum_{n=0}^{\infty} (-1)^n (2n+1) q^{n(n+1)/2}, \quad (51)$$

to expand the left-hand side in fractional powers of  $q$ , and then equated terms. Another way of arriving at eq. (9) is to use eq. (19) and express the product on the left side as the determinant of a circulant matrix as in eq. (21). Setting  $N=5$  in eq. (19) and dividing by  $(q^5)_\infty^5$ , we have

$$\begin{aligned} \frac{(q)_\infty^6}{(q^5)_\infty^6} &= \frac{1}{(q^5)_\infty^5} \prod_{p=0}^4 (\omega^p q^{1/5})_\infty = \begin{vmatrix} J_0 & 0 & 0 & q^{2/5} J_2 & -q^{1/5} \\ -q^{1/5} & J_0 & 0 & 0 & q^{2/5} J_2 \\ q^{2/5} J_2 & -q^{1/5} & J_0 & 0 & 0 \\ 0 & q^{2/5} J_2 & -q^{1/5} & J_0 & 0 \\ 0 & 0 & q^{2/5} J_2 & -q^{1/5} & J_0 \end{vmatrix} \\ &= J_0^5 + q(5J_0 J_2 - 1 - 5J_0^2 J_2^2) + q^2 J_2^5 \end{aligned} \quad (52)$$

Now substituting  $J_0 J_2 = -1$  into this equation gives the identity in (9).



Clearly, we can continue in this fashion. E.g., for  $N = 7$ , this becomes

$$\begin{aligned}
\frac{(q)_\infty^8}{(q^7)_\infty^8} &= \frac{1}{(q^7)_\infty^7} \prod_{p=0}^6 (\omega^p q^{1/7})_\infty = \begin{vmatrix} J_0 & 0 & q^{5/7} J_5 & 0 & 0 & -q^{2/7} & q^{1/7} J_1 \\ q^{1/7} J_1 & J_0 & 0 & q^{5/7} J_5 & 0 & 0 & -q^{2/7} \\ -q^{2/7} & q^{1/7} J_1 & J_0 & 0 & q^{5/7} J_5 & 0 & 0 \\ 0 & -q^{2/7} & q^{1/7} J_1 & J_0 & 0 & q^{5/7} J_5 & 0 \\ 0 & 0 & -q^{2/7} & q^{1/7} J_1 & J_0 & 0 & q^{5/7} J_5 \\ q^{5/7} J_5 & 0 & 0 & -q^{2/7} & q^{1/7} J_1 & J_0 & 0 \\ 0 & q^{5/7} J_5 & 0 & 0 & -q^{2/7} & q^{1/7} J_1 & J_0 \end{vmatrix} \\
&= J_0^7 + q( J_1^7 + 7J_0 J_1^5 + 14J_0^2 J_1^3 + 7J_0^4 J_1^2 J_5 + 7J_0^3 J_1 + 7J_0^5 J_5 ) \\
&\quad + q^2( 7J_0 J_1^4 J_5^2 + 7J_1^3 J_5 + 7J_0^2 J_1^2 J_5^2 + 14J_0 J_1 J_5 + 14J_0^3 J_5^2 - 1 ) \\
&\quad + q^3( 14J_1^2 J_5^3 + 7J_0^2 J_1 J_5^4 + 7J_0 J_5^3 ) + 7q^4 J_1 J_5^5 + q^5 J_5^7. \tag{53}
\end{aligned}$$

Using  $J_0 J_1 J_5 = -1$ , this simplifies to

$$\frac{(q)_\infty^8}{(q^7)_\infty^8} = J_0^7 + qJ_1^7 + q^5 J_5^7 + 7q( J_0 J_1^5 + J_5 J_0^5 + q^3 J_1 J_5^5 ) + 14q( J_0^2 J_1^3 + qJ_5^2 J_0^3 + q^2 J_1^2 J_5^3 ) - 8q^2. \tag{54}$$

We can further simplify this expression by using some of the other Ramanujan  $N = 7$  identities [1]:

$$\frac{J_0^2}{J_5} + \frac{J_1}{J_5^2} = q; \tag{55a}$$

$$J_0^7 + qJ_1^7 + q^5 J_5^7 = \frac{(q)_\infty^8}{(q^7)_\infty^8} + 14q \frac{(q)_\infty^4}{(q^7)_\infty^4} + 57q^2; \tag{55b}$$

$$J_0^3 J_1 + qJ_1^3 J_5 + q^2 J_5^3 J_0 = -\frac{(q)_\infty^4}{(q^7)_\infty^4} - 8q; \tag{55c}$$

$$J_0^2 J_1^3 + qJ_5^2 J_0^3 + q^2 J_1^2 J_5^3 = -\frac{(q)_\infty^4}{(q^7)_\infty^4} - 5q. \tag{55d}$$

[Note that we have corrected a misprint in [1] in the last term on the right in eq. (55b).] Substituting the left-hand sides of eqs. (55b) and (55d) into eq. (54), we get the additional identity,

$$J_0 J_1^5 + J_5 J_0^5 + q^3 J_1 J_5^5 = 3q. \tag{56}$$

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- [1] Berndt and Ono, "Ramanujan's Unpublished Manuscript on the Partition and Tau Functions with Proofs and Commentary". <http://www.math.wisc.edu/~ono/reprints/044.pdf>.  
[2] Somos, M. "A Multisection of q-Series" 2010. <http://cis.csuohio.edu/~somos/multiq.html>.  
[3] The On-Line Encyclopedia of Integer Sequences. <http://oeis.org>.  
[4] Carlitz and Subbarao, "A Simple Proof of the Quintuple Product Identity", Proceedings of the American Mathematical Society vol. 32, Number 1, March 1972.  
[5] Weisstein, Eric, W. "Ramanujan Theta Functions." <http://mathworld.wolfram.com/RamanujanThetaFunctions.html>.