

SHORT NOTE ON THE CONVOLUTION OF BINOMIAL COEFFICIENTS

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ABSTRACT. We know [1] that, for every non-negative integer numbers n, i, j and for every real number ℓ ,

$$(1) \quad \sum_{i+j=n} \binom{2i-\ell}{i} \binom{2j+\ell}{j} = \sum_{i+j=n} \binom{2i}{i} \binom{2j}{j},$$

which is well-known to be 4^n . We extend this result by proving that, indeed,

$$(2) \quad \sum_{i+j=n} \binom{ai+k-\ell}{i} \binom{aj+\ell}{j} = \sum_{i+j=n} \binom{ai+k}{i} \binom{aj}{j}$$

for every integer a and for every real k , and present new expressions for this value.

We consider the sequence $\left\{ \binom{an}{n} \right\}_{n=0}^{\infty}$, where a is any integer number, negative, zero or positive, and take the convolution of this sequence with itself, defined by $P_a(n) = \sum_{i+j=n} \binom{ai}{i} \binom{aj}{j}$.

When $a = 2$, the former is sequence A000984 of [2], the central binomial coefficients, and the latter is sequence A000302 of [2], the powers of 4. In fact (cf. [1]), this can be proved directly using (1), and then the inclusion-exclusion principle. Note that

$$(3) \quad 2P_2(n) = 2^{2n+1} = \sum_{i=0}^{2n+1} \binom{2n+1}{i} = 2 \sum_{i=0}^n \binom{2n+1}{i}.$$

For another identity, define as usual $[n] = \{1, \dots, n\}$ for any natural number n , and consider the collection of the subsets of $[2n]$ with more than n elements with the same $(n+1)$ -th element, say p . Note that $p = n+1+i$ for some $i = 0, \dots, n-1$ and that there are $\binom{n+i}{n} 2^{n-i-1}$ subsets in the collection. It follows that the number of all subsets of $[2n]$ is

$$(4) \quad P_2(n) = 2^{2n} = 2 \sum_{i=0}^{n-1} 2^{n-i-1} \binom{n+i}{i} + \binom{2n}{n} = \sum_{i=0}^n 2^{n-i} \binom{n+i}{i}.$$

We generalize these identities, namely (1), (3) and (4). When $a = 3$ and $a = 4$, we have sequences A006256 and A078995 of [2], and no such simple formulas for $P_3(n)$ and $P_4(n)$ are known as in case $a = 2$. For these sequences, we obtain, for every real ℓ ,

$$\begin{aligned} \sum_{i+j=n} \binom{3i}{i} \binom{3j}{j} &= \sum_{i+j=n} 2^i \binom{3n+1}{j} = \sum_{i+j=n} 3^i \binom{2n+j}{j} = \sum_{i+j=n} \binom{3i-\ell}{i} \binom{3j+\ell}{j} \\ \sum_{i+j=n} \binom{4i}{i} \binom{4j}{j} &= \sum_{i+j=n} 3^i \binom{4n+1}{j} = \sum_{i+j=n} 4^i \binom{3n+j}{j} = \sum_{i+j=n} \binom{4i-\ell}{i} \binom{4j+\ell}{j} \end{aligned}$$

More generally we obtain the following theorem.

Theorem 1. For every non-negative integer numbers i, j and n , and for every real numbers k and ℓ ,

$$\begin{aligned} \sum_{i+j=n} \binom{ai+k-\ell}{i} \binom{aj+\ell}{j} &= \sum_{i+j=n} \binom{ai+k}{i} \binom{aj}{j} \\ (5) \qquad \qquad \qquad &= \sum_{i=0}^n (a-1)^{n-i} \binom{an+k+1}{i} \end{aligned}$$

$$(6) \qquad \qquad \qquad = \sum_{i=0}^n a^{n-i} \binom{(a-1)n+k+i}{i}$$

where we take $0^0 = 1$.

For the proof of this theorem we need some technical results.

Lemma 2. Let, for any real ℓ and integers a and n such that $n \geq 0$,

$$S_{a,\ell}(n) = \sum_{i=0}^n (-1)^i \binom{\ell - (a-1)i}{i} \binom{\ell - ai}{n-i}$$

Then

$$\sum_{i=0}^n \binom{n}{p} S_{a,\ell}(p) = S_{a+1,\ell+n}(n).$$

Proof.

$$\begin{aligned} \sum_{i=0}^n \binom{n}{p} S_{a,\ell}(p) &= \sum_{i=0}^n \left[(-1)^i \binom{\ell - (a-1)i}{i} \sum_{p=i}^n \binom{\ell - ai}{p-i} \binom{n}{p} \right] \\ &= \sum_{i=0}^n \left[(-1)^i \binom{\ell - (a-1)i}{i} \sum_{p=i}^n \binom{\ell - ai}{\ell - (a-1)i - p} \binom{n}{p} \right] \\ &= \sum_{i=0}^n (-1)^i \binom{\ell - (a-1)i}{i} \binom{\ell + n - ai}{\ell - (a-1)i} \\ &= \sum_{i=0}^n (-1)^i \binom{(\ell + n) - ai}{i, n-i, \ell - ai} \\ &= \sum_{i=0}^n (-1)^i \binom{(\ell + n) - ai}{i} \binom{(\ell + n) - (a+1)i}{n-i} \end{aligned}$$

where we use Vandermonde's convolution in the third equality. □

Lemma 3. With the notation of the previous lemma,

$$S_{a,\ell}(n) = (a-1)^n.$$

Proof. First note that we may assume that ℓ is a natural number, since $S_{a,\ell}(n)$ is a polynomial in ℓ , and thus is constant. Now, suppose that $S_{a,\ell}(p) = x^p$ for some numbers a, ℓ, p and x . Then, from Lemma 2 it follows that $S_{a+1,\ell+n}(n) = (1+x)^n$. Hence, all we must prove is that $S_{a,\ell}(n) = 0$ when $a = 1$ and $\ell \in \mathbb{N}$.

For this purpose, define $\mathcal{A} = \mathcal{A}_\emptyset$ as the set of n -subsets of the set $[\ell] = \{1, 2, \dots, \ell\}$ and, for every non-empty subset T of $[\ell]$, $\mathcal{A}_T = \{A \in \mathcal{A} \mid A \cap T = \emptyset\}$. Now, the result follows immediately from the inclusion-exclusion principle applied to this family. □

Lemma 4. *Let s and t be positive integers. Then*

$$\binom{s+t+1}{j} = \sum_{i=0}^j \binom{s-i}{s-j} \binom{t+i}{i}.$$

Proof. Given a subset S of $[n]$ with k elements and $p \in [n] \setminus S$, let $\text{Bef}_p(S) = S \cap [p-1]$ and $\text{Aft}_p(S) = \{t \in [n-p] \mid t+p \in S\}$.

Now, let A be a subset of $[s+t+1]$ with j elements and $p(A)$ be the $s-j+1$ smallest element of $[s+t+1]$ which is not in A . In other words, $\#\{x \in A \mid x < p(A)\} = j-i$ and $\#\{x \in A \mid x > p(A)\} = i$. One can easily see that the mapping

$$\begin{aligned} \varphi: \mathcal{P}_j([s+t+1]) &\rightarrow \bigcup_{0 \leq i \leq j} \mathcal{P}_{j-i}([s-i]) \times \mathcal{P}_i([t+i]) \\ A &\mapsto (\text{Bef}_{p(A)}(A), \text{Aft}_{p(A)}(A)) \end{aligned}$$

is a bijection, with inverse given by $\psi(B, C) = B \cup \{c + \#C \mid c \in C\}$, and the union is disjoint. \square

Proof of Theorem 1. Let $\mathfrak{S} = \sum_{i+j=n} \binom{a+i+k-\ell}{i} \binom{a+j+\ell}{j} = \sum_{i+j=n} (-1)^i \binom{\ell-k'-(a-1)i}{i} \binom{an+\ell-ai}{j}$, with $k' = k+1$. Then, by Vandermonde's convolution,

$$\begin{aligned} \mathfrak{S} &= \sum_{i+j=n} \left[(-1)^i \binom{\ell-k'-(a-1)i}{i} \sum_{p+m=j} \binom{an+k'}{p} \binom{\ell-k'-ai}{m} \right] \\ &= \sum_{p=0}^n \left[\binom{an+k'}{p} \sum_{i+m=n-p} (-1)^i \binom{\ell-k'-(a-1)i}{i} \binom{\ell-k'-ai}{m} \right] \end{aligned}$$

Now, (5) follows immediately from Lemma 3 and (6) from Lemma 4. \square

We end this article with a new result that, when we represent by $\binom{n}{k}$ the number $\binom{n+k-1}{k}$ of k -multisets of elements of an n -set, can be formulated in the following elegant terms.

Theorem 5. *For every real ℓ and integers a, n, i, j such that $n, i, j \geq 0$,*

$$\sum_{i+j=n} (-1)^i \binom{\ell-ai}{i} \binom{\ell-ai}{j} = a(a-1)^{n-1}.$$

Proof. By Pascal's rule,

$$\begin{aligned} \sum_{i+j=n} (-1)^i \binom{\ell-1-(a-1)i}{i} \binom{\ell-ai}{j} &= \sum_{i=0}^n (-1)^i \binom{\ell-(a-1)i}{i} \binom{\ell-ai}{n-i} \\ &\quad - \sum_{i=1}^n (-1)^i \binom{\ell-(a-1)i-1}{i-1} \binom{\ell-ai}{n-i} \\ &= S_{a,\ell}(n) + S_{a,\ell-a}(n-1) \end{aligned}$$

\square

Problem 6. *Give a full combinatorial proof of Theorem 5.*

Acknowledgments. The work of both authors was supported in part by the European Regional Development Fund through the program COMPETE –Operational Programme Factors of Competitiveness (“Programa Operacional Factores de Competitividade”) - and by the Portuguese Government through FCT – Fundação para a Ciência e a Tecnologia, under the projects PEst-C/MAT/UI0144/2011 and PEst-C/MAT/UI4106/2011.

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