

# ON $\gamma$ -VECTORS AND THE DERIVATIVES OF THE TANGENT AND SECANT FUNCTIONS

SHI-MEI MA

**ABSTRACT.** In this paper we consider the  $\gamma$ -vectors of the types  $A$  and  $B$  Coxeter complexes as well as the  $\gamma$ -vectors of the types  $A$  and  $B$  associahedrons. We show that these  $\gamma$ -vectors can be obtained by using derivative polynomials of the tangent and secant functions. A grammatical description for these  $\gamma$ -vectors is discussed. Moreover, we also present a grammatical description for the well known Legendre polynomials and Chebyshev polynomials of both kinds.

*Keywords:*  $\gamma$ -vectors; Tangent function; Secant function; Eulerian polynomials

*2010 Mathematics Subject Classification:* 05A05; 05A15

## 1. INTRODUCTION

Let  $\mathfrak{S}_n$  denote the symmetric group of all permutations of  $[n]$ , where  $[n] = \{1, 2, \dots, n\}$ . The *hyperoctahedral group*  $B_n$  is the group of signed permutations of the set  $\pm[n]$  such that  $\pi(-i) = -\pi(i)$  for all  $i$ , where  $\pm[n] = \{\pm 1, \pm 2, \dots, \pm n\}$ . A permutation  $\pi = \pi(1)\pi(2) \cdots \pi(n) \in \mathfrak{S}_n$  is *alternating* if  $\pi(1) > \pi(2) < \pi(3) > \cdots > \pi(n)$ . Similarly, an element  $\pi$  of  $B_n$  is alternating if  $\pi(1) > \pi(2) < \pi(3) > \cdots > \pi(n)$ . Denote by  $E_n$  and  $E_n^B$  the number of alternating elements in  $\mathfrak{S}_n$  and  $B_n$ , respectively. It is well known (see [4, 24]) that

$$\sum_{n=0}^{\infty} E_n \frac{x^n}{n!} = \tan x + \sec x, \quad \sum_{n=0}^{\infty} E_n^B \frac{x^n}{n!} = \tan 2x + \sec 2x.$$

Derivative polynomials are an important part of combinatorial trigonometry (see [1, 8, 10, 11, 12, 13, 14] for instance). Define

$$y = \tan(x), \quad z = \sec(x).$$

Denote by  $D$  the differential operator  $d/dx$ . Clearly, we have  $D(y) = 1 + y^2$  and  $D(z) = yz$ . In 1995, Hoffman [10] considered two sequences of *derivative polynomials* defined respectively by  $D^n(y) = P_n(y)$  and  $D^n(z) = zQ_n(y)$ . From the chain rule it follows that the polynomials  $P_n(u)$  satisfy  $P_0(u) = u$  and  $P_{n+1}(u) = (1 + u^2)P'_n(u)$ , and similarly  $Q_0(u) = 1$  and  $Q_{n+1}(u) = (1 + u^2)Q'_n(u) + uQ_n(u)$ .

As shown in [10], the exponential generating functions

$$P(u, t) = \sum_{n=0}^{\infty} P_n(u) \frac{t^n}{n!} \quad \text{and} \quad Q(u, t) = \sum_{n=0}^{\infty} Q_n(u) \frac{t^n}{n!}$$

are given by the explicit formulas

$$P(u, t) = \frac{u + \tan(t)}{1 - u \tan(t)} \quad \text{and} \quad Q(u, t) = \frac{\sec(t)}{1 - u \tan(t)}. \quad (1)$$

Recall that a *descent* of a permutation  $\pi \in \mathfrak{S}_n$  is a position  $i$  such that  $\pi(i) > \pi(i+1)$ , where  $1 \leq i \leq n-1$ . Denote by  $\text{des}(\pi)$  the number of descents of  $\pi$ . Then the equations

$$A_n(x) = \sum_{\pi \in \mathfrak{S}_n} x^{\text{des}(\pi)} = \sum_{k=0}^{n-1} \left\langle \begin{matrix} n \\ k \end{matrix} \right\rangle x^k,$$

define the *Eulerian polynomial*  $A_n(x)$  and the *Eulerian number*  $\left\langle \begin{matrix} n \\ k \end{matrix} \right\rangle$  (see [23, A008292]). For each  $\pi \in B_n$ , we define

$$\text{des}_B(\pi) = \#\{i \in \{0, 1, 2, \dots, n-1\} | \pi(i) > \pi(i+1)\},$$

where  $\pi(0) = 0$ . Let

$$B_n(x) = \sum_{\pi \in B_n} x^{\text{des}_B(\pi)} = \sum_{k=0}^n B(n, k) x^k.$$

The polynomial  $B_n(x)$  is called an *Eulerian polynomial of type B*, while  $B(n, k)$  is called an *Eulerian number of type B* (see [23, A060187]).

Assume that

$$(Dy)^{n+1}(y) = (Dy)(Dy)^n(y) = D(y(Dy)^n(y)), \quad (Dy)^{n+1}(z) = (Dy)(Dy)^n(z) = D(y(Dy)^n(z)).$$

Note that  $D(y) = z^2$  and  $D(z) = yz$ . Recently, we obtained the following result.

**Theorem 1** ([14]). *For  $n \geq 1$ , we have*

$$(Dy)^n(y) = 2^n \sum_{k=0}^{n-1} \left\langle \begin{matrix} n \\ k \end{matrix} \right\rangle y^{2n-2k-1} z^{2k+2}, \quad (Dy)^n(z) = \sum_{k=0}^n B(n, k) y^{2n-2k} z^{2k+1}.$$

Define

$$f = \sec(2x), \quad g = 2 \tan(2x).$$

In this paper we will mainly consider the following differential system:

$$D(f) = fg, \quad D(g) = 4f^2. \tag{2}$$

Define  $h = \tan(2x)$ . Note that  $f^2 = 1 + h^2$  and  $g = 2h$ . So the following result is immediate.

**Proposition 2.** *For  $n \geq 0$ , we have  $D^n(f) = 2^n f Q_n(h)$ ,  $D^n(g) = 2^{n+1} P_n(h)$ .*

In the next section, we collect some notation and definitions that will be needed in the rest of the paper.

## 2. NOTATION, DEFINITIONS AND PRELIMINARIES

The *h-polynomial* of a  $(d-1)$ -dimension simplicial complex  $\Delta$  is the generating function  $h(\Delta; x) = \sum_{i=0}^d h_i(\Delta) x^i$  defined by the following identity:

$$\sum_{i=0}^d h_i(\Delta) x^i (1+x)^{d-i} = \sum_{i=0}^d f_{i-1}(\Delta) x^i,$$

where  $f_i(\Delta)$  is the number of faces of  $\Delta$  of dimension  $i$ . There is a large literature devoted to the  $h$ -polynomials of the form

$$h(\Delta; x) = \sum_{i=0}^{\lfloor d/2 \rfloor} \gamma_i x^i (1+x)^{d-2i},$$

where the coefficients  $\gamma_i$  are nonnegative. Following Gal [9], we call  $(\gamma_0, \gamma_1, \dots)$  the  $\gamma$ -vector of  $\Delta$ , and the corresponding generating function  $\gamma(\Delta; x) = \sum_{i \geq 0} \gamma_i x^i$  is the  $\gamma$ -polynomial. In particular, the Eulerian polynomials  $A_n(x)$  and  $B_n(x)$  are respectively known as the  $h$ -polynomials of Coxeter complexes of types  $A$  and  $B$ .

Let us now recall two classical result.

**Theorem 3** ([7, 21]). *For  $n \geq 1$ , we have*

$$A_n(x) = \sum_{k=0}^{\lfloor (n-1)/2 \rfloor} a(n, k) x^k (1+x)^{n-1-2k}.$$

**Theorem 4** ([5, 18, 19]). *For  $n \geq 1$ , we have*

$$B_n(x) = \sum_{k=0}^{\lfloor n/2 \rfloor} b(n, k) x^k (1+x)^{n-2k}.$$

It is well known that the numbers  $a(n, k)$  satisfy the recurrence

$$a(n, k) = (k+1)a(n-1, k) + (2n-4k)a(n-1, k-1),$$

with the initial conditions  $a(1, 0) = 1$  and  $a(1, k) = 0$  for  $k \geq 1$  (see [23, A101280]), and the numbers  $b(n, k)$  satisfy the recurrence

$$b(n, k) = (2k+1)b(n-1, k) + 4(n+1-2k)b(n-1, k-1), \quad (3)$$

with the initial conditions  $b(1, 0) = 1$  and  $b(1, k) = 0$  for  $k \geq 1$  (see [5, Section 4]).

The  $h$ -polynomials of the types  $A$  and  $B$  associahedrons are respectively given as follows (see [16, 17, 20, 22] for instance):

$$h(\Delta_{FZ}(A_{n-1}), x) = \frac{1}{n} \sum_{k=0}^{n-1} \binom{n}{k} \binom{n}{k+1} x^k = \sum_{k=0}^{\lfloor (n-1)/2 \rfloor} C_k \binom{n-1}{2k} x^k (1+x)^{n-1-2k}, \quad (4)$$

$$h(\Delta_{FZ}(B_n), x) = \sum_{k=0}^n \binom{n}{k}^2 x^k = \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{2k}{k} \binom{n}{2k} x^k (1+x)^{n-2k}, \quad (5)$$

where  $C_k = \frac{1}{k+1} \binom{2k}{k}$  is the  $k$ th *Catalan number* and the coefficients of  $x^k$  of  $h(\Delta_{FZ}(A_{n-1}), x)$  is the *Narayana number*  $N(n, k+1)$ .

Define

$$F(n, k) = C_k \binom{n-1}{2k}, \quad H(n, k) = \binom{2k}{k} \binom{n}{2k}.$$

There are many combinatorial interpretations of the number  $F(n, k)$ , such as  $F(n, k)$  is number of *Motzkin paths* of length  $n-1$  with  $k$  up steps (see [23, A055151]). It is easy to verify that the numbers  $F(n, k)$  satisfy the recurrence relation

$$(n+1)F(n, k) = (n+2k+1)F(n-1, k) + 4(n-2k)F(n-1, k-1),$$

with initial conditions  $F(1, 0) = 1$  and  $F(1, k) = 0$  for  $k \geq 1$ , and the numbers  $H(n, k)$  satisfy the recurrence relation

$$nH(n, k) = (n + 2k)H(n - 1, k) + 4(n - 2k + 1)H(n - 1, k - 1),$$

with initial conditions  $H(1, 0) = 1$  and  $H(1, k) = 0$  for  $k \geq 1$  (see [23, A089627]).

### 3. $\gamma$ -VECTORS

Define the generating functions

$$a_n(x) = \sum_{k \geq 0} a(n, k)x^k, \quad b_n(x) = \sum_{k \geq 0} b(n, k)x^k.$$

The first few  $a_n(x)$  and  $b_n(x)$  are respectively given as follows:

$$a_1(x) = 1, a_2(x) = 1, a_3(x) = 1 + 2x, a_4(x) = 1 + 8x;$$

$$b_1(x) = 1, b_2(x) = 1 + 4x, b_3(x) = 1 + 20x, b_4(x) = 1 + 72x + 80x^2.$$

Combining (1) and [5, Prop. 3.5, Prop. 4.10], we immediately get the following result.

**Theorem 5.** *For  $n \geq 1$ , we have*

$$a_n(x) = \frac{1}{x} \left( \frac{\sqrt{4x-1}}{2} \right)^{n+1} P_n \left( \frac{1}{\sqrt{4x-1}} \right), \quad b_n(x) = (4x-1)^{\frac{n}{2}} Q_n \left( \frac{1}{\sqrt{4x-1}} \right).$$

Assume that

$$(fD)^{n+1}(f) = (fD)(fD)^n(f) = fD((fD)^n(f)),$$

$$(fD)^{n+1}(g) = (fD)(fD)^n(g) = fD((fD)^n(g)).$$

We can now present the main result of this paper.

**Theorem 6.** *For  $n \geq 1$ , we have*

$$D^n(f) = \sum_{k=0}^{\lfloor n/2 \rfloor} b(n, k) f^{2k+1} g^{n-2k},$$

$$D^n(g) = 2^{n+1} \sum_{k=0}^{\lfloor n-1/2 \rfloor} a(n, k) f^{2k+2} g^{n-1-2k},$$

$$(fD)^n(f) = n! \sum_{k=0}^{\lfloor n/2 \rfloor} H(n, k) f^{n+1+2k} g^{n-2k},$$

$$(fD)^n(g) = 2(n+1)! \sum_{k=0}^{\lfloor (n-1)/2 \rfloor} F(n, k) f^{n+2+2k} g^{n-1-2k}.$$

*Proof.* We only prove the assertion for  $D^n(f)$  and the others can be proved in a similar way. It follows from (2) that  $D(f) = fg$  and  $D^2(f) = fg^2 + 4f^3$ . For  $n \geq 0$ , we define  $\tilde{b}(n, k)$  by

$$D^n(f) = \sum_{k=0}^{\lfloor n/2 \rfloor} \tilde{b}(n, k) f^{2k+1} g^{n-2k}, \quad (6)$$

Then  $\tilde{b}(1, 0) = 1$  and  $\tilde{b}(1, k) = 0$  for  $k \geq 1$ . It follows from (6) that

$$D(D^n(f)) = \sum_{k=0}^{\lfloor n/2 \rfloor} (2k+1)\tilde{b}(n, k)f^{2k+1}g^{n-2k+1} + 4 \sum_{k=0}^{\lfloor n/2 \rfloor} (n-2k)\tilde{b}(n, k)f^{2k+3}g^{n-2k-1}.$$

We therefore conclude that  $\tilde{b}(n+1, k) = (2k+1)\tilde{b}(n, k) + 4(n+2-2k)\tilde{b}(n, k-1)$  and complete the proof by comparing it with (3).  $\square$

Define

$$N_n(x) = \frac{1}{n} \sum_{k=0}^{n-1} \binom{n}{k} \binom{n}{k+1} (x+1)^k (x-1)^{n-1-k},$$

$$L_n(x) = \sum_{k=0}^n \binom{n}{k}^2 (x+1)^k (x-1)^{n-k}.$$

Taking  $f^2 = 1 + h^2$  and  $g = 2h$  in Theorem 6 leads to the following result and we omit the proof of it, since it is a straightforward application of (4) and (5).

**Corollary 7.** *For  $n \geq 1$ , we have*

$$(fD)^n(f) = n!f^{n+1}(-\iota)^n L_n(\iota h),$$

$$(fD)^n(g) = 2(n+1)!f^{n+2}(-\iota)^{n-1} N_n(\iota h),$$

where  $\iota = \sqrt{-1}$ .

It should be noted that the polynomial  $\frac{1}{2^n} L_n(x)$  is the famous *Legendre polynomial* [23, A100258]. Therefore, from Corollary 7, we see that the Legendre polynomial can be generated by  $(fD)^n(f)$ .

#### 4. CONTEXT-FREE GRAMMARS

Many combinatorial objects permit grammatical interpretations (see [2, 3, 15] for instance). The grammatical method was systematically introduced by Chen [2] in the study of exponential structures in combinatorics. Let  $A$  be an alphabet whose letters are regarded as independent commutative indeterminates. A *context-free grammar*  $G$  over  $A$  is defined as a set of substitution rules that replace a letter in  $A$  by a formal function over  $A$ . The formal derivative  $D$  is a linear operator defined with respect to a context-free grammar  $G$ . For example, if  $G = \{u \rightarrow uv, v \rightarrow v\}$ , then

$$D(u) = uv, D(v) = v, D^2(u) = u(v + v^2), D^3(u) = u(v + 3v^2 + v^3).$$

It follows from Theorem 6 that the  $\gamma$ -vectors of Coxeter complexes (of types  $A$  and  $B$ ) and associahedrons (of types  $A$  and  $B$ ) can be respectively generated by the grammars

$$G_1 = \{u \rightarrow uv, v \rightarrow 4u^2\}$$

and

$$G_2 = \{u \rightarrow u^2v, v \rightarrow 4u^3\}. \quad (7)$$

There are many sequences can be generated by the grammar (7). A special interesting result is the following.

**Proposition 8.** *Let  $G$  be the same as in (7). Then*

$$D^n(uv) = n! \sum_{k=0}^{\lfloor (n+1)/2 \rfloor} 4^k \binom{n+1}{2k} u^{n+1+2k} v^{n+1-2k}.$$

Let  $T_n(x)$  and  $U_n(x)$  be the *Chebyshev polynomials of the first and second kind* of order  $n$ , respectively. We can now conclude the following result, which is based on Proposition 8. The proof runs along the same lines as that of Theorem 6.

**Theorem 9.** *If  $G = \{u \rightarrow u^2v, v \rightarrow u^3\}$ , then*

$$D^n(uv) = n! \sum_{k=0}^{\lfloor (n+1)/2 \rfloor} \binom{n+1}{2k} u^{n+1+2k} v^{n+1-2k},$$

$$D^n(u^2) = n! \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n+1}{2k+1} u^{n+2+2k} v^{n-2k}.$$

*In particular,*

$$D^n(uv) \big|_{u^2=x^2-1, v=x} = n!(x^2-1)^{\frac{n+1}{2}} T_{n+1}(x),$$

$$D^n(u^2) \big|_{u^2=x^2-1, v=x} = n!(x^2-1)^{\frac{n+2}{2}} U_n(x).$$

Taking  $u = \sec^2(x)$  and  $v = 2 \tan(x)$ , it is clear that  $D(u) = uv$  and  $D(v) = 2u$ . One can easily verify another grammatical description of the  $\gamma$ -vectors of the type  $A$  Coxeter complex.

**Theorem 10.** *If  $G = \{u \rightarrow uv, v \rightarrow 2u\}$ , then*

$$D^n(u) = \sum_{k=0}^{\lfloor n/2 \rfloor} a(n+1, k) u^{k+1} v^{n-2k}.$$

Define

$$T(n, k) = \binom{n}{k} \binom{n-k}{\lfloor \frac{n-k}{2} \rfloor}. \quad (8)$$

It is well known that  $T(n, k)$  is the number of paths of length  $n$  with steps  $U = (1, 1)$ ,  $D = (1, -1)$  and  $H = (1, 0)$ , starting at  $(0, 0)$ , staying weakly above the  $x$ -axis (i.e. left factors of *Motzkin paths*) and having  $k$   $H$  steps (see [23, A107230]). It follows from (8) that

$$(n+1)T(n, k) = (2n+1-k)T(n-1, k-1) + 2T(n-1, k) + 4(k+1)T(n-1, k+1). \quad (9)$$

We end our paper by giving the following result.

**Theorem 11.** *If  $G = \{t \rightarrow tu^2, u \rightarrow u^2v, v \rightarrow 4u^3\}$ , then*

$$D^n(t^2u^2) = (n+1)!t^2 \sum_{k=0}^n T(n, k) u^{2n+2-k} v^k,$$

$$D^n(t^2u) = n!t^2 \sum_{k=0}^n \binom{n}{k} 2^{n-k} u^{2n+1-k} v^k,$$

*Proof.* We only prove the assertion for  $D^n(t^2u^2)$  and the corresponding assertion for  $D^n(t^2u)$  can be proved in a similar way. It is easy to verify that  $D(t^2u^2) = 2t^2(u^4 + u^3v)$  and  $D^2(t^2u^2) = 3!t^2(2u^6 + 2u^5v + u^4v^2)$ . For  $n \geq 0$ , we define

$$D^n(t^2u^2) = (n+1)!t^2 \sum_{k=0}^n \tilde{T}(n, k) u^{2n+2-k} v^k.$$

Note that

$$\begin{aligned} \frac{D^{n+1}(t^2u^2)}{(n+1)!t^2} &= \sum_k (2n+2-k) \tilde{T}(n, k) u^{2n+3-k} v^{k+1} + \\ &2 \sum_k \tilde{T}(n, k) u^{2n+4-k} v^k + 4 \sum_k k \tilde{T}(n, k) u^{2n+5-k} v^{k-1}. \end{aligned}$$

Thus, we get

$$(n+2)\tilde{T}(n+1, k) = (2n+3-k)\tilde{T}(n, k-1) + 2\tilde{T}(n, k) + 4(k+1)\tilde{T}(n, k+1).$$

Comparing with (9), we see that the coefficients  $\tilde{T}(n, k)$  satisfy the same recurrence relation and initial conditions as  $T(n, k)$ , so they agree.  $\square$

It should be noted that the numbers  $\binom{n}{k}2^{n-k}$  are elements of the  $f$ -vector for the  $n$ -dimensional cubes (see [23, A038207])

## REFERENCES

- [1] K.N. Boyadzhiev, Derivative Polynomials for  $\tanh$ ,  $\tan$ ,  $\operatorname{sech}$  and  $\operatorname{sec}$  in Explicit Form, *Fibonacci Quart.* 45 (2007) 291–303.
- [2] W.Y.C. Chen, Context-free grammars, differential operators and formal power series, *Theoret. Comput. Sci.* 117 (1993) 113–129.
- [3] W.Y.C. Chen, R.X.J. Hao and H.R.L. Yang, Context-free Grammars and Multivariate Stable Polynomials over Stirling Permutations, [arXiv:1208.1420v2](https://arxiv.org/abs/1208.1420v2).
- [4] C.-O. Chow, Counting involutory, unimodal, and alternating signed permutations, *Discrete Math.* 36 (2006) 2222–2228.
- [5] C.-O. Chow, On certain combinatorial expansions of the Eulerian polynomials, *Adv. in Appl. Math.* 41 (2008) 133–157.
- [6] K. Dilks, T.K. Petersen, J.R. Stembridge, Affine descents and the Steinberg torus, *Adv. in Appl. Math.* 42 (2009) 423–444.
- [7] D. Foata and M. P. Schützenberger, *Théorie géométrique des polynômes eulériens*, Lecture Notes in Math. vol. 138, Springer, Berlin, 1970
- [8] G.R. Franssens, Functions with derivatives given by polynomials in the function itself or a related function, *Anal. Math.* 33 (2007) 17–36.
- [9] S.R. Gal, Real root conjecture fails for five and higher-dimensional spheres, *Discrete Comput. Geom.* 34 (2005) 269–284.
- [10] M.E. Hoffman, Derivative polynomials for tangent and secant, *Amer. Math. Monthly* 102 (1995) 23–30.
- [11] M.E. Hoffman, Derivative polynomials, Euler polynomials, and associated integer sequences, *Electron. J. Combin.* 6 (1999) #R21.
- [12] S.-M. Ma, Derivative polynomials and enumeration of permutations by number of interior and left peaks, *Discrete Math.* 312 (2012) 405–412.
- [13] S.-M. Ma, An explicit formula for the number of permutations with a given number of alternating runs, *J. Combin. Theory Ser. A* 119 (2012), 1660–1664.

- [14] S.-M. Ma, A family of two-variable derivative polynomials for tangent and secant, *Electron. J. Combin.* 20(1) (2013), #P11.
- [15] S.-M. Ma, Some combinatorial arrays generated by context-free grammars, *European J. Combin.* 34 (2013) 1081–1091.
- [16] T. Mansour, Y. Sun, Identities involving Narayana polynomials and Catalan numbers, *Discrete Math.* 309 (2009) 4079–4088.
- [17] E. Marberg, Actions and identities on set partitions, *Electron. J. Combin.* 19 (2012), #P28.
- [18] E. Nevo, T.K. Petersen, On  $\gamma$ -Vectors Satisfying the Kruskal-Katona Inequalities, *Discrete Comput. Geom.* 45 (2011) 503–521.
- [19] T.K. Petersen, Enriched P-partitions and peak algebras, *Adv. Math.* 209 (2007) 561–610.
- [20] A. Postnikov, V. Reiner, L. Williams, Faces of generalized permutohedra, *Documenta Math.* 13 (2008) 207–273.
- [21] L.W. Shapiro, W.J. Woan, S. Getu, Runs, slides and moments, *SIAM J. Algebraic Discrete Methods* 4 (1983) 459–466.
- [22] R. Simion, A type- $B$  associahedron, *Adv. in Appl. Math.* 30 (2003) 2–25.
- [23] N.J.A. Sloane, The On-Line Encyclopedia of Integer Sequences, <http://oeis.org>.
- [24] R.P. Stanley, A Survey of Alternating Permutations, in *Combinatorics and Graphs*, R. A. Brualdi *et. al.* (eds.), *Contemp. Math.*, Vol. 531, *Amer. Math. Soc.*, Providence, RI, 2010, pp. 165–196.

SCHOOL OF MATHEMATICS AND STATISTICS, NORTHEASTERN UNIVERSITY AT QINHUANGDAO, HEBEI 066004,  
P. R. CHINA

*E-mail address:* shimeima@yahoo.com.cn (S.-M. Ma)