An Unusual Continued Fraction

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Abstract

We consider the real number σ with continued fraction expansion $[a_0, a_1, a_2, \ldots] = [1, 2, 1, 4, 1, 2, 1, 8, 1, 2, 1, 4, 1, 2, 1, 16, \ldots]$, where a_i is the largest power of 2 dividing i + 1. We compute the irrationality measure of σ^2 and demonstrate that σ^2 (and σ) are both transcendental numbers. We also show that certain partial quotients of σ^2 grow doubly exponentially, thus confirming a conjecture of Hanna and Wilson.

1 Introduction

By a *continued fraction* we mean an expression of the form

$$a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \dots + \frac{1}{a_n}}}$$

or

$$a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \dots + \frac{1}{a_n + \dots}}}$$

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where a_1, a_2, \ldots , are positive integers and a_0 is an integer. To save space, as usual, we write $[a_0, a_1, \ldots, a_n]$ for the first expression and $[a_0, a_1, \ldots,]$ for the second. For properties of continued fractions, see, for example, [13, 8].

It has been known since Euler and Lagrange that a real number has an ultimately periodic continued fraction expansion if and only if it is a quadratic irrational. But the expansions of some other "interesting" numbers are also known explicitly. For example [24],

$$e = [2, (1, 2n, 1)_{n=1}^{\infty}] = [2, 1, 2, 1, 1, 4, 1, 1, 6, 1, 1, 8, 1, \ldots]$$

$$e^{2} = [7, (3n - 1, 1, 1, 3n, 12n + 6)_{n=1}^{\infty}] = [7, 2, 1, 1, 3, 18, 5, 1, 1, 6, 30, \ldots]$$

$$\tan 1 = [(1, 2n - 1)_{n=1}^{\infty}] = [1, 1, 1, 3, 1, 5, 1, 7, \ldots]$$

These three are examples of "Hurwitz continued fractions", where there is a "quasiperiod" of terms that grow linearly (see, for example, [14, 18] and [19, pp. 110–123]). By contrast, no simple pattern is known for the expansions of e^3 or e^4 .

Recently there has been some interest in understanding the Diophantine properties of numbers whose continued fraction expansion is generated by a simple computational model, such as a finite automaton. One famous example is the Thue-Morse sequence on the symbols $\{a, b\}$ where $\overline{a} = b$ and $\overline{b} = a$ is given by

$$\mathbf{t} = t_0 t_1 t_2 \cdots = abbabaab \cdots$$

and is defined by

$$t_n = \begin{cases} a, & \text{if } n = 0; \\ \frac{t_{n/2}}{t_{n-1}}, & \text{if } n \text{ even}; \end{cases}$$

Queffélec [20] proved that if a, b are distinct positive integers, then the real number $[\mathbf{t}] = [t_0, t_1, t_2, \ldots]$ is transcendental. Later, a simpler proof was found by Adamczewski and Bugeaud [3]. Queffélec [21] also proved the transcendence of a much wider class of automatic continued fractions. More recently, in a series of papers, several authors explored the transcendence properties of automatic, morphic, and Sturmian continued fractions [5, 2, 1, 4, 9, 10].

All automatic sequences (and the more general class of morphic sequences) are necessarily bounded. A more general class, allowing unbounded terms, is the k-regular sequences of integers, for integer $k \ge 2$. These are sequences $(a_n)_{n\ge 0}$ where the k-kernel, defined by

$$\{(a_{k^e n+i})_{n \ge 0} : e \ge 0, 0 \le i < k^e\},\$$

is contained in a finitely generated module [6, 7]. We state the following conjecture:

Conjecture 1. Every continued fraction where the terms form a k-regular sequence of positive integers is transcendental or quadratic.

In this paper we study a particular example of a k-regular sequence:

$$\mathbf{s} = s_0 s_1 s_2 \dots = (1, 2, 1, 4, 1, 2, 1, 8, \dots)$$

where $s_i = 2^{\nu_2(i+1)}$ and $\nu_p(x)$ is the *p*-adic valuation of *x* (the exponent of the largest power of *p* dividing *x*). To see that **s** is 2-regular, notice that every sequence in the 2-kernel is a linear combination of **s** itself and the constant sequence (1, 1, 1, ...).

The corresponding real number σ has continued fraction expansion

$$\sigma = [\mathbf{s}] = [s_0, s_1, s_2, \ldots] = [1, 2, 1, 4, 1, 2, 1, 8, \ldots] = 1.35387112842988237438889\cdots$$

The sequence **s** is sometimes called the "ruler sequence", and is sequence A006519 in Sloane's *Encyclopedia of Integer Sequences* [23]. The decimal expansion of σ is sequence A100338.

Although σ has slowly growing partial quotients (indeed, $s_i \leq i + 1$ for all *i*), empirical calculation for $\sigma^2 = 1.832967032396003054427219544210417324 \cdots$ demonstrates the appearance of some exceptionally large partial quotients. For example, here are the first few terms:

$$\begin{split} \sigma^2 &= [1, 1, 4, 1, 74, 1, 8457, 1, 186282390, 1, 1, 1, 2, 1, 430917181166219, \\ & 11, 37, 1, 4, 2, 41151315877490090952542206046, 11, 5, 3, 12, 2, 34, 2, 9, 8, 1, 1, 2, 7, \\ & 13991468824374967392702752173757116934238293984253807017, \ldots] \end{split}$$

The terms of this continued fraction form sequence A100864 in [23], and were apparently first noticed by Paul D. Hanna and Robert G. Wilson in November 2004. The very large terms form sequence A100865 in [23]. In this note, we explain the appearance of these extremely large partial quotients. The techniques have some similarity with those of Maillet ([17] and [8, §2.14]).

Throughout the paper we use the following conventions. Given a real irrational number x with partial quotients

$$x = [a_0, a_1, a_2, \ldots]$$

we define the sequence of convergents by

$$p_{-2} = 0 \qquad p_{-1} = 1 \qquad p_n = a_n p_{n-1} + p_{n-2} \quad (n \ge 0)$$

$$q_{-2} = 1 \qquad q_{-1} = 0 \qquad q_n = a_n q_{n-1} + q_{n-2} \quad (n \ge 0)$$

and then

$$[a_0, a_1, \dots, a_n] = \frac{p_n}{q_n}$$

The basic idea of this paper is to use the following classical estimate:

$$\frac{1}{(a_{n+1}+2)q_n^2} < \left| x - \frac{p_n}{q_n} \right| < \frac{1}{a_{n+1}q_n^2}.$$
(1)

Therefore, in order to show that some partial quotients of x are huge, it is sufficient to find convergents p_n/q_n of x such that $|x - p_n/q_n|$ is much smaller than q_n^{-2} . We quantify this idea in Section 3.

Furthermore, we use the Hurwitz-Kolden-Frame representation of continued fractions [15, 16, 12] via 2×2 matrices, as follows:

$$M(a_0,\ldots,a_n) := \begin{bmatrix} a_0 & 1\\ 1 & 0 \end{bmatrix} \begin{bmatrix} a_1 & 1\\ 1 & 0 \end{bmatrix} \cdots \begin{bmatrix} a_n & 1\\ 1 & 0 \end{bmatrix} = \begin{bmatrix} p_n & p_{n-1}\\ q_n & q_{n-1} \end{bmatrix}.$$
 (2)

By taking determinants we immediately deduce the classical identity

$$p_n q_{n-1} - p_{n-1} q_n = (-1)^{n+1} \tag{3}$$

for $n \ge 0$.

Given a finite sequence $z = (a_0, \ldots, a_n)$ we let z^R denote the reversed sequence (a_n, \ldots, a_0) . A sequence is a *palindrome* if $z = z^R$. By taking the transpose of Eq. (2) it easily follows that

$$M(a_n,\ldots,a_0) := \begin{bmatrix} a_n & 1\\ 1 & 0 \end{bmatrix} \cdots \begin{bmatrix} a_1 & 1\\ 1 & 0 \end{bmatrix} \begin{bmatrix} a_0 & 1\\ 1 & 0 \end{bmatrix} = \begin{bmatrix} p_n & q_n\\ p_{n-1} & q_{n-1} \end{bmatrix}.$$
 (4)

Hence if

$$[a_0, a_1, \ldots, a_n] = p_n/q_n$$

then

$$[a_n,\ldots,a_1,a_0]=p_n/p_{n-1}.$$

We now briefly mention ultimately periodic continued fractions. By an expression of the form $[x, \overline{w}]$, where x and w are finite strings, we mean the continued fraction [x, w, w, w, ...], where the overbar or "vinculum" denotes the repeating portion. Thus, for example,

$$\sqrt{7} = [2, \overline{1, 1, 1, 4}] = [2, 1, 1, 1, 4, 1, 1, 1, 4, 1, 1, 1, 4, \dots]$$

We now recall a classical result.

Lemma 2. Let a_0 be a positive integer and w denote a finite palindrome of positive integers. Then there exist positive integers p, q such that

$$[a_0, \overline{w, 2a_0}] = \sqrt{\frac{p}{q}}$$

Proof. Define $y := [a_0, \overline{w, 2a_0}]$. Then $y = [a_0, w, a_0 + y]$. Letting

$$M(w) = \left[\begin{array}{cc} \alpha & \beta \\ \gamma & \delta \end{array} \right],$$

the corresponding matrix representation for y is

$$\begin{bmatrix} a_0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \begin{bmatrix} a_0 + y & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} (a_0\alpha + \gamma)(a_0 + y) + a_0\beta + \delta & a_0\alpha + \gamma \\ \alpha(a_0 + y) + \beta & \alpha \end{bmatrix}.$$

Since w is a palindrome, it follows from Eq. (2) and (4) that $\beta = \gamma$. Hence

$$y = \frac{(a_0\alpha + \beta)(a_0 + y) + a_0\beta + \delta}{\alpha(a_0 + y) + \beta}$$

Solving for y, which is clearly positive, we have

$$y = \sqrt{\frac{p}{q}}$$

where $p = a_0^2 \alpha + 2a_0 \beta + \delta$ and $q = \alpha$, as desired.

2 Three sequences

We now define three related sequences for $n \ge 2$:

$$u(n) = (s_1, s_2, \dots, s_{2^n-3})$$

$$v(n) = (s_1, s_2, \dots, s_{2^n-2}) = (u(n), 1)$$

$$w(n) = (s_1, s_2, \dots, s_{2^n-3}, 2) = (u(n), 2).$$

The following table gives the first few values of these quantities:

n	u(n)	v(n)	w(n)
2	2	21	22
3	21412	214121	214122
4	2141218121412	21412181214121	21412181214122

The following proposition, which is easily proved by induction, gives the relationship between these sequences, for $n \ge 2$:

Proposition 3.

- (a) $u(n+1) = (v(n), 2^n, v(n)^R);$
- (b) u(n) is a palindrome;
- (c) $v(n+1) = (v(n), 2^n, 1, v(n)).$

Furthermore, we can define the sequence of associated matrices with u(n) and v(n):

$$M(u(n)) := \begin{bmatrix} c_n & e_n \\ d_n & f_n \end{bmatrix}$$
$$M(v(n)) := \begin{bmatrix} w_n & y_n \\ x_n & z_n \end{bmatrix}.$$

The first few values of these arrays are given in the following table. As $d_n = e_n = z_n$ and $c_n = y_n$ for $n \ge 2$, we omit the duplicate values.

n	c_n	d_n	f_n	w_n	x_n
2	2	1	0	3	1
3	48	17	6	65	23
4	40040	14169	5014	54209	19183
5	51358907616	18174434593	6431407678	69533342209	24605842271

If we now define

$$\sigma_n = [1, \overline{w(n)}]$$

then Lemma 2 with $a_0 = 1$ and w = u(n) gives

$$\sigma_n = \sqrt{\frac{c_n + 2e_n + f_n}{c_n}}.$$

Write $\sigma = [s_0, s_1, \ldots]$ and $[s_0, s_1, \ldots, s_n] = \frac{p_n}{q_n}$. Furthermore define $\hat{\sigma}_n = [1, u(n)]$. Notice that σ , σ_n , and $\hat{\sigma}_n$ all agree on the first $2^n - 2$ partial quotients. We have

$$\left|\sigma - \hat{\sigma}_n\right| < \frac{1}{q_{2^n - 3} q_{2^n - 2}}$$

by a classical theorem on continued fractions (e.g., [13, Theorem 171]), and furthermore, since $s_{2^n-3} = 2$, $s_{2^n-2} = 1$, we have, for $n \ge 3$, that

$$\sigma < \sigma_n < \hat{\sigma}_n.$$

Hence

$$\left|\sigma-\sigma_{n}\right| < \frac{1}{q_{2^{n}-3}q_{2^{n}-2}}.$$

Now by considering

$$M(1)M(u(n))M(1) = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} c_n & e_n \\ d_n & f_n \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 2c_n + d_n & e_n + f_n \\ c_n + d_n & c_n \end{bmatrix},$$

we see that $q_{2^n-3} = c_n$ and $q_{2^n-2} = c_n + d_n$. For simplicity write $g_n = c_n + 2e_n + f_n$. Then

$$|\sigma - \sigma_n| = \left|\sigma - \sqrt{\frac{g_n}{c_n}}\right| < \frac{1}{c_n^2},$$

and so

$$\left|\sigma^{2} - \frac{g_{n}}{c_{n}}\right| = \left|\sigma - \sqrt{\frac{g_{n}}{c_{n}}}\right| \cdot \left|\sigma + \sqrt{\frac{g_{n}}{c_{n}}}\right| < \frac{3}{c_{n}^{2}}.$$

So we have already found good approximations of σ^2 by rational numbers. In the next section we will show that g_n and c_n have a large common factor, which will improve the quality of the approximation.

3 Irrationality measure of σ^2

From Proposition 3 (a), we get that the matrix

$$\left[\begin{array}{cc} c_{n+1} & e_{n+1} \\ d_{n+1} & f_{n+1} \end{array}\right]$$

associated with u(n+1) is equal to the matrix associated with $(v(n), 2^n, v(n)^R)$, which is

$$\begin{bmatrix} w_n & y_n \\ x_n & z_n \end{bmatrix} \begin{bmatrix} 2^n & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} w_n & x_n \\ y_n & z_n \end{bmatrix} = \begin{bmatrix} 2^n w_n^2 + 2w_n y_n & 2^n w_n x_n + x_n y_n + w_n z_n \\ 2^n w_n x_n + x_n y_n + w_n z_n & 2^n x_n^2 + 2x_n z_n \end{bmatrix}.$$

Notice that

$$c_{n+1} = (2^n w_n + 2y_n) w_n. (5)$$

On the other hand, we have

$$g_{n+1} = c_{n+1} + 2d_{n+1} + f_{n+1}$$

= $c_{n+1} + 2(2^n w_n x_n + x_n y_n + w_n z_n) + 2^n x_n^2 + 2x_n z_n$
= $c_{n+1} + 2(2^n w_n x_n + x_n y_n + w_n z_n) + 2^n x_n^2 + 2x_n z_n + 2(x_n y_n - w_n z_n + 1)$
= $c_{n+1} + (2^n w_n + 2y_n) 2x_n + 2^n x_n^2 + 2x_n z_n + 2$
= $(2^n w_n + 2y_n)(2x_n + w_n) + 2^n x_n^2 + 2x_n z_n + 2,$

where we have used Eq. (3). By Euclidean division, we get

$$\gcd(g_{n+1}, 2^n w_n + 2y_n) = \gcd(2^n w_n + 2y_n, 2^n x_n^2 + 2x_n z_n + 2).$$

Next, we interpret Proposition 3 (c) in terms of matrices. We get that the matrix

$$\left[\begin{array}{cc} w_{n+1} & y_{n+1} \\ x_{n+1} & z_{n+1} \end{array}\right]$$

associated with v(n + 1) is equal to the matrix associated with $(v(n), 2^n, 1, v(n))$, which is

$$\begin{bmatrix} w_n & y_n \\ x_n & z_n \end{bmatrix} \begin{bmatrix} 2^n & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} w_n & y_n \\ x_n & z_n \end{bmatrix}$$
$$= \begin{bmatrix} (2^n+1)w_n^2 + 2^n w_n x_n + y_n (w_n + x_n) & (2^n+1)w_n y_n + 2^n w_n z_n + y_n (y_n + z_n) \\ (2^n+1)x_n w_n + 2^n x_n^2 + z_n (w_n + x_n) & (2^n+1)x_n y_n + 2^n x_n z_n + z_n (y_n + z_n) \end{bmatrix}.(6)$$

Letting $r_n := 2^n (w_n + x_n) + w_n + y_n + z_n$, we see that

$$2^{n+1}w_{n+1} + 2y_{n+1} = 2^{n+1}((2^n+1)w_n^2 + 2^n w_n x_n + y_n(w_n + x_n)) + 2((2^n+1)w_n y_n + 2^n w_n z_n + y_n(y_n + z_n)) \\ = 2(2^n w_n + y_n)(2^n (w_n + x_n) + w_n + y_n + z_n) \\ = 2(2^n w_n + y_n)r_n.$$
(7)

Now

$$\begin{aligned} x_{n+1} &= (2^n + 1)x_n w_n + 2^n x_n^2 + z_n (w_n + x_n) \\ &= x_n (2^n (w_n + x_n) + w_n + y_n + z_n) + w_n z_n - x_n y_n \\ &= x_n r_n + 1 \end{aligned}$$

and

$$z_{n+1} = (2^{n} + 1)x_{n}y_{n} + 2^{n}x_{n}z_{n} + z_{n}(y_{n} + z_{n})$$

= $z_{n}(2^{n}(w_{n} + x_{n}) + w_{n} + y_{n} + z_{n}) + (2^{n} + 1)(x_{n}y_{n} - w_{n}z_{n})$
= $z_{n}r_{n} - 2^{n} - 1.$

It now follows, from some tedious algebra, that

$$\frac{2^n x_{n+1}^2 + x_{n+1} z_{n+1} + 1}{r_n} = (2^n + 1) w_n x_n z_n + 2^n (2^n + 1) w_n x_n^2 + z_n + (2^n - 1) x_n + 2^n x_n^2 y_n + 2^{n+1} x_n^2 z_n + x_n y_n z_n + 2^{2n} x_n^3 + x_n z_n^2.$$
(8)

From Eq. (5) and reindexing, we get

$$c_{n+2} = w_{n+1}(2^{n+1}w_{n+1} + 2y_{n+1})$$

= $2w_{n+1}(2^nw_n + y_n)r_n,$

where we used Eq. (7). Also, from the argument above about gcd's and Eq. (8), we see that $2r_n \mid g_{n+2}$. Hence for $n \geq 2$ we have

$$\frac{g_{n+2}}{c_{n+2}} = \frac{P_{n+2}}{Q_{n+2}}$$

for integers $P_{n+2} := \frac{g_{n+2}}{2r_n}$ and $Q_{n+2} := w_{n+1}(2^n w_n + y_n)$. It remains to see that P_{n+2}/Q_{n+2} are particularly good rational approximations to σ^2 .

Since w_n/x_n and y_n/z_n denote successive convergents to a continued fraction, we clearly have $w_n \ge x_n$, $w_n \ge y_n$, and $w_n \ge z_n$. It follows that

$$Q_{n+2} = w_{n+1}(2^n w_n + y_n)$$

= $((2^n + 1)w_n^2 + 2^n w_n x_n + y_n(w_n + x_n))(2^n w_n + y_n)$
 $\leq (2^{n+1} + 3)w_n^2 \cdot (2^n + 1)w_n$
= $(2^{n+1} + 3)(2^n + 1)w_n^3.$

On the other hand,

$$c_{n+2} = 2Q_{n+2}r_n$$

> $2(2^n+1)w_n^2 \cdot 2^n w_n \cdot (2^n+1)w_n = 2^{n+1}(2^n+1)^2 w_n^4$
 $\geq Q_{n+2}^{4/3} \frac{2^{n+1}(2^n+1)^2}{((2^{n+1}+3)(2^n+1))^{4/3}}$
> $Q_{n+2}^{4/3}$.

This gives

$$\left|\sigma^2 - \frac{P_{n+2}}{Q_{n+2}}\right| < Q_{n+2}^{-8/3}$$

for all integers $n \geq 2$.

The result we have just shown can be nicely formulated in terms of the irrationality measure. Recall that the *irrationality measure* of a real number x is defined to be the infimum, over all real μ , for which the inequality

$$\left|x - \frac{p}{q}\right| < \frac{1}{q^{\mu}}$$

is satisfied by at most finitely many integer pairs (p, q).

Theorem 4. The irrationality measure of σ^2 is at least 8/3.

Note that the classical Khintchine theorem (e.g., [11, Chapter VII, Theorem I]) states that for almost all real numbers (in terms of Lebesgue measure), the irrationality exponent equals two. Hence Theorem 4 says that the number σ^2 belongs to a very tiny set of zero Lebesgue measure.

Furthermore, the famous Roth theorem [22] states that the irrationality exponent of every irrational algebraic number is two. Therefore we conclude that σ^2 (and hence σ) are transcendental numbers.

We now provide a lower bound for some very large partial quotients of σ^2 . For each $n \ge 2$ we certainly have

$$\left|\sigma - \frac{P_{n+2}}{Q_{n+2}}\right| < Q_{n+2}^{-8/3} < \frac{1}{2Q_{n+2}^2}$$

In particular this implies that the rational number P_{n+2}/Q_{n+2} is a convergent of σ^2 .

Notice that P_{n+2} and Q_{n+2} are not necessarily relatively prime. Let $\tilde{P}_{n+2}/\tilde{Q}_{n+2}$ denote the reduced fraction of P_{n+2}/Q_{n+2} . If $\tilde{P}_{n+2}/\tilde{Q}_{n+2}$ is the *m*'th convergent of σ^2 , then define A_{n+2} to be the (m+1)'th partial quotient of σ^2 . Then the estimate (1) implies

$$\frac{1}{(A_{n+2}+2)\tilde{Q}_{n+2}^2} < \left| \sigma^2 - \frac{\tilde{P}_{n+2}}{\tilde{Q}_{n+2}} \right| < \frac{3}{c_{n+2}^2} \le \frac{3}{4r_n^2 \tilde{Q}_{n+2}^2},$$

Hence $A_{n+2} \ge 4r_n^2 - 2$.

From the formula for r_n and the inequalities $w_n \ge x_n, w_n \ge y_n, w_n \ge z_n$ one can easily derive

$$(2^n + 1)w_n \le r_n \le (2^{n+1} + 3)w_n.$$

This, together with the formula (6) for w_{n+1} , gives the estimate

$$r_{n+1} \ge (2^{n+1}+1)w_{n+1} \ge (2^{n+1}+1)(2^n+1)w_n^2 > r_n^2 + 1.$$

Therefore the sequence $4r_n^2 - 2$, and in turn A_{n+2} , grow doubly exponentially. This phenomenon explains the observation of Hanna and Wilson for the sequence A100864 in [23].

The first few values of the sequences we have been discussing are given below:



4 Additional remarks

The same idea can be used to bound the irrationality exponent of an infinite collection of numbers $\sigma = [s_0, s_1, s_2, \ldots,]$. Indeed, there is nothing particularly special about the terms 2^n appearing in Proposition 3. One can check that the same result holds if the strings u(n) and v(n) satisfy the following modified properties from Proposition 3 for infinitely many numbers $n \geq 2$:

- (a') $u(n+1) = (v(n), k_n, v(n)^R);$
- (b') u(n+2) is a palindrome;
- (c') $v(n+2) = (v(n+1), 2k_n, 1, v(n+1)).$

In particular one can easily check these properties for a string $\mathbf{s} = s_0 s_1 s_2 \cdots$ such that $s_i = f(\nu_2(i+1))$ where $f : \mathbb{N} \to \mathbb{N}$ is a function satisfying the following conditions:

1.
$$f(0) = 1;$$

2. f(n+1) = 2f(n) for infinitely many positive integers n.

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