# On the Distribution of Maximal Gaps Between Primes in Residue Classes 

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#### Abstract

Let $q>r \geq 1$ be coprime positive integers. We empirically study the record gaps $G_{q, r}(x)$ between primes $p \leq x$ of the form $p=q n+r$. Extensive computations suggest that $G_{q, r}(x)<\varphi(q) \log ^{2} x$ almost always; more precisely, $G_{q, r}(x) \sim a(\log (\operatorname{li} x / \varphi(q))+b)$, where $a=\varphi(q) x /$ li $x$ is the expected average gap between primes $p=q n+r \leq x$, and $b=O\left((\log q)^{1 / 2} \log \log x\right)$ is a correction term. The distribution of $G_{q, r}(x)$ near its trend is close to the Gumbel extreme value distribution. However, the question whether there exists a limiting distribution of $G_{q, r}(x)$ is open.


## 1 Introduction

Let $q$ and $r$ be fixed positive integers such that $1 \leq r<q$ and $\operatorname{gcd}(q, r)=1$. Dirichlet proved in 1837 that the integer $q n+r$ is prime infinitely often; this is Dirichlet's theorem on arithmetic progressions. The prime number theorem tells us that the total number of primes $\leq x$ is asymptotic to li $x$, i.e., $\pi(x) \sim \operatorname{li} x$. Moreover, the generalized Riemann hypothesis implies that primes are distributed approximately equally among the $\varphi(q)$ residue classes modulo $q$ corresponding to specific values of $r$. Thus each residue class contains a positive proportion, about $\frac{1}{\varphi(q)}$, of all primes below $x$. Accordingly, the average prime gap below $x$ is about $x / \operatorname{li} x \sim \log x$, while the average gap between primes $p=q n+r \leq x$ is about $\varphi(q) x / \operatorname{li} x \sim \varphi(q) \log x$.

In this paper we empirically study the growth and distribution of the values of the function $G_{q, r}(x)$, the record (maximal) gap between primes of the form $q n+r$ below $x$, for $x<10^{12}$. The special case $G_{2,1}(x)$, i.e. the maximal gaps between primes below $x$, has been studied by many authors [1, 3, 4, ,7, 8, 13, 14, 18, 21, 22, Computational experiments investigating
the actual distribution of (properly rescaled) values $G_{q, r}(x)$ are of interest, in part, because in Cramér's probabilistic model of primes [3] there exists a limiting distribution of maximal prime gaps [9], namely, the Gumbel extreme value distribution.

The fact that values of certain arithmetic functions have limiting distributions is among the most beautiful results in number theory. A well-known example is the limiting distribution of $\omega(n)$, the number of distinct prime factors of $n$. The Erdős-Kac theorem states that, roughly speaking, the values of $\omega(n)$ for $n \leq x$ follow the normal distribution with the mean $\log \log x$ and standard deviation $\sqrt{\log \log x}$, as $x \rightarrow \infty$. Note that it is virtually impossible to observe the Erdős-Kac normal curve for $\omega(n)$ in a computational experiment because such an experiment would involve factoring a lot of gigantic values of $n$. By contrast, computations described in Section 3 and Appendix are quite manageable and do allow one to draw maximal gap histograms, which turn out to closely fit the Gumbel distribution. These results, together with [9], support the hypothesis that there is a limiting distribution of (properly rescaled) values of $G_{q, r}(x)$. However, a formal proof or disproof of existence of a Gumbel limit law for maximal gaps between primes in residue classes seems beyond reach.

## 2 Notation and abbreviations

| $p_{k}$ | the $k$-th prime; $\left\{p_{k}\right\}=\{2,3,5,7,11, \ldots\}$ |
| :---: | :---: |
| $\pi(x)$ | the prime counting function: the total number of primes $p_{k} \leq x$ |
| $\pi_{q, r}(x)$ | the prime counting function in residue class $r$ modulo $q$ : the total number of primes $p=q n+r \leq x, n \in \mathbb{N}^{0}$ |
| $\operatorname{gcd}(q, r)$ | the greatest common divisor of $q$ and $r$ |
| $\varphi(n)$ | Euler's $\varphi$ function: the number of positive $m \leq n$ with $\operatorname{gcd}(m, n)=1$ |
| $G(x)$ | the record (maximal) gap between primes $\leq x$ |
| $G_{q, r}(x)$ | the record (maximal) gap between primes $p=q n+r \leq x$ |
| $a=a(q, x)$ | the expected average gap between primes $p=q n+r \leq x$; defined as $a=\varphi(q) x /$ li $x$; used in the $G_{q, r}(x)$ trend equation (1) |
| $b=b(q, x)$ | the correction term in equation (1) |
| i.i.d. | independent and identically distributed |
| cdf | cumulative distribution function |
| pdf | probability density function |
| $\operatorname{Exp}(x ; \alpha)$ | the exponential distribution cdf: $\operatorname{Exp}(x ; \alpha)=1-e^{-x / \alpha}$ |
| $\operatorname{Gumbel}(x ; \alpha, \mu)$ | the Gumbel distribution cdf: $\operatorname{Gumbel}(x ; \alpha, \mu)=e^{-e^{-}}$ |
| $\alpha$ | the scale parameter of exponential/Gumbel distributions, as a |
| $\mu$ | the location parameter (mode) of the Gumbel distribution |
| $\gamma$ | the Euler-Mascheroni constant: $\gamma=0.57721 .$. |
| $\log x$ | the natural logarithm of $x$ |
| li $x$ | the logarithmic integral of $x$ : li $x=\int_{0}^{x} \frac{d t}{\log t}=\int_{2}^{x} \frac{d t}{\log t}+1.04516$ |

## 3 Numerical results

Using the PARI/GP program maxgap.gp (see Appendix) we have computed the values of $G_{q, r}(x)$ for many different values of $q$ in the range from 4 to 15000 for $x<10^{12}$. We used all admissible values of $r \in[1, q-1], \operatorname{gcd}(q, r)=1$, to assemble a complete data set of maximal gaps for a given $q$. Below we summarize these numerical results.

Table 1. Example: record gaps between primes $p=1000 n+1$

| Start of gap | End of gap $(p)$ | Gap $G_{q, r}(p)$ | Rescaled gap $w$, eq. (77) |
| ---: | ---: | ---: | ---: |
| 3001 | 4001 | 1000 | -0.7152793957 |
| 4001 | 7001 | 3000 | -0.3807033581 |
| 9001 | 13001 | 4000 | -0.5014278923 |
| 28001 | 51001 | 23000 | 3.3856905292 |
| 294001 | 318001 | 24000 | 1.4131420099 |
| 607001 | 633001 | 26000 | 1.0363075229 |
| 4105001 | 4132001 | 27000 | -0.8849971087 |
| 5316001 | 5352001 | 36000 | 0.3933925543 |
| 14383001 | 14424001 | 41000 | 0.0360536511 |
| 26119001 | 26163001 | 44000 | -0.2102813328 |
| 46291001 | 46336001 | 45000 | -0.7494765793 |
| 70963001 | 71011001 | 48000 | -0.8198719265 |
| 95466001 | 95515001 | 49000 | -1.0343372505 |
| 114949001 | 115003001 | 54000 | -0.5445782196 |
| 229690001 | 229752001 | 62000 | -0.2996631434 |
| 242577001 | 242655001 | 78000 | 1.8227176550 |
| 821872001 | 821958001 | 86000 | 1.1782430130 |
| 3242455001 | 3242545001 | 90000 | -0.2118232294 |
| 7270461001 | 7270567001 | 106000 | 0.5535925382 |
| 11281191001 | 11281302001 | 111000 | 0.5044228464 |
| 32970586001 | 32970700001 | 114000 | -0.6657570997 |
| 50299917001 | 50300032001 | 115000 | -1.1413988974 |
| 63937221001 | 63937353001 | 132000 | 0.3133056088 |
| 92751774001 | 92751909001 | 135000 | 0.0905709933 |
| 286086588001 | 286086729001 | 141000 | -0.9100787710 |
| 334219620001 | 334219767001 | 147000 | -0.5413814289 |
| 554981875001 | 554982043001 | 168000 | 0.7559934308 |
| 1322542861001 | 1322543032001 | 171000 | -0.2444699459 |
| 2599523890001 | 2599524073001 | 183000 | -0.1376484006 |
| 4651789531001 | 4651789729001 | 198000 | 0.3405219324 |
| 787443832001 | 7874438536001 | 214000 | 0.9493170656 |
| 8761032430001 | 8761032657001 | 227000 | 1.9158059981 |
|  |  |  |  |

### 3.1 The growth trend of maximal gaps $G_{q, r}(x)$

Let us begin with a simple example. For $q=1000, r=1$, running the program maxgap.gp produces the results shown in Table 1. It is easy to check that all gaps $G_{q, r}(p)$ in the table satisfy the inequality $G_{q, r}(p)<\varphi(q) \log ^{2} p$, suggesting several possible generalizations of Cramér's conjecture (see Sect.5.2).

Figure 1 shows all values of $G_{q, r}(p)$ for $q=1000, \forall r \in[1, q-1], \operatorname{gcd}(q, r)=1, p<10^{12}$. The horizontal axis $\log ^{2} p$ reflects the actual end-of-gap prime $p=q n+r$ of each maximal gap. The results for other values of $q$ closely resemble Fig. [1.


Figure 1: Record gaps $G_{q, r}(p)$ between primes $p=q n+r<10^{12}$ in residue classes $\bmod q$, with $q=1000, \varphi(q)=400, \operatorname{gcd}(q, r)=1$. Plotted (bottom to top): average gaps a $a=\frac{\varphi(q) \cdot p}{\operatorname{li} p}$ between primes $\leq p$ in residue classes mod $q$ (solid curve); trend curve $T$ of eq. (11) (white dotted curve); the conjectural (a.s.) upper bound for $G_{q, r}(p): y=\varphi(q) \log ^{2} p$ (dashed line).

The vast majority of record gaps $G_{q, r}(x)$ are near a smooth trend curve $T$ :

$$
\begin{equation*}
G_{q, r}(x) \sim T(q, x)=a \cdot\left(\log \frac{\operatorname{li} x}{\varphi(q)}+b\right) \tag{1}
\end{equation*}
$$

where $a$ is the expected average gap between primes in a residue class $\bmod q$, defined as

$$
\begin{equation*}
a=a(q, x)=\varphi(q) \frac{x}{\operatorname{li} x}, \tag{2}
\end{equation*}
$$

and $b$ is a correction term. Clearly, for $b=0$ or for any negative $b=o(\log x)$, we have

$$
T(q, x) \lesssim \varphi(q) \log ^{2} x \quad \text { and } \quad a(q, x) \lesssim \varphi(q) \log x \quad \text { as } x \rightarrow \infty
$$

We can heuristically derive equations (1) and (2) as follows. Extreme value theory predicts that, for $N$ consecutive events occurring at i.i.d. random intervals with cdf $\operatorname{Exp}(\xi ; \alpha)=$ $1-e^{-\xi / \alpha}$ (i. e. at average intervals $\alpha$ ), the most probable maximal interval between events is about $\alpha \log N$, while the width of extreme value distribution is $O(\alpha)$; see [6]. As $N \rightarrow \infty$, the distribution of maximal intervals approaches the Gumbel distribution Gumbel $(x ; \alpha, \mu)$ with scale $\alpha$ and mode $\mu=\alpha \log N$. In eqs. (11), (2) we simply take $N=\pi_{q, r}(x) \approx \operatorname{li} x / \varphi(q)$ and $\alpha \approx a(q, x) \approx x / N \approx \varphi(q) x /$ li $x$. However, extreme value theory alone cannot accurately predict the actual behavior of the correction term $b$ in (11). It is reasonable to expect that, similar to $a$, the term $b$ is a function of both $q$ and $\frac{x}{\operatorname{li} x}$ (the average prime gap below $x$ ). Indeed, empirically ${ }^{1}$ we have

$$
\begin{equation*}
b=b(q, x)=c_{0}-c_{1} \log \frac{x}{\operatorname{li} x}, \tag{3}
\end{equation*}
$$

where the approximate values $\sqrt{2}^{2}$ of $c_{0}$ and $c_{1}$ are

$$
\begin{align*}
& c_{0} \approx 2.7 \sqrt{\log \varphi(q)}-1.2  \tag{4}\\
& c_{1} \approx 0.57 \sqrt{\log \varphi(q)}+1 \tag{5}
\end{align*}
$$

Computations show that formulas (11)-(5) are applicable at least for $20 \leq \varphi(q) \leq 15000$, $10^{6}<x<10^{12}$. (For smaller $q$ the data become scarce.) As we can see in Figure 回 these formulas reflect the actual trend of $G_{q, r}(x)$ quite well.

Equations (3)-(5) mean that the correction term $b=b(q, x)$ is unbounded and (eventually) negative: $b(q, x) \rightarrow-\infty$ as $x \rightarrow \infty, q=$ const. Combining (3)-(5) with the inequality $\varphi(q)<q$ for $q>1$, we can also conclude that

$$
\begin{equation*}
b=b(q, x)=O(\sqrt{\log q} \log \log x) \tag{6}
\end{equation*}
$$

[^0]
### 3.2 The distribution of maximal gaps

We have thus found that the maximal gaps between primes in each residue class are mainly observed within a strip of increasing width $O(a)$ around the trend curve $T(q, x)$ of eq. (1), where $a=a(q, x)$ is the expected average gap between primes in the respective residue class. Now let us take a closer look at the distribution of maximal gaps in the neighborhood of this trend curve. We perform a rescaling transformation similar to [7, Sect. 5.2]: subtract the trend $T(q, x)$ from actual gap sizes, and then divide the result by the "natural unit" $a$. All record gap values $G_{q, r}(p)$ are mapped to standardized values $w$ :

$$
\begin{equation*}
G_{q, r}(x) \rightarrow w=\frac{G_{q, r}(x)-T(q, x)}{a}, \quad \text { where } \quad a=a(q, x)=\frac{\varphi(q) x}{\operatorname{li} x} \tag{7}
\end{equation*}
$$

Figure 2 shows the histograms of standardized maximal gaps for $q=10007$. (Histograms for other $q$ look similar to Fig. (2,) We can see at once that the histograms and fitting distributions are skewed: the right tail is longer and heavier. This skewness is a well-known feature of extreme value distributions. Among all two-parameter distributions supplied by the distribution fitting software [12], the best fit is the Gumbel distribution. This opens up the question whether the Gumbel distribution is the limit law for properly rescaled sequences of the $G_{q, r}(x)$ values as $x \rightarrow \infty$; cf. [8, [9]. Does such a limiting distribution exist at all?

If we look at three-parameter distributions, then one of the best fits is the Generalized Extreme Value (GEV) distribution, which includes the Gumbel distribution as a special case. The shape parameter in the best-fit GEV distributions is very close to zero; note that the Gumbel distribution is a GEV distribution whose shape parameter is exactly zero.

There are notable differences between our situation shown in Fig. 2 and the distributions of maximal gaps between prime $k$-tuples shown in [7, Fig. 4] - even though in either case the Gumbel distribution is a good fit, with the majority of record gaps occurring within $\pm 2 a$ of the respective trend curve.

- In our case (Figs. 1 and 2), the available data is not scarce - quite the opposite: there are thousands of data points available. On the other hand, data on maximal gaps between prime $k$-tuples is scarce; at present we have fewer than 100 data points for any given type of prime $k$-tuple [7, Tables 2-4].
- In case of maximal gaps between prime $k$-tuples, $k \geq 2$, a smaller correction term $|b| \leq 1$ usually works well; see [7, Sect.4-5]. (In our randomgap.gp model runs, even $b \approx 0$ did work; see Appendix.) By contrast, for maximal gaps between primes in a residue class, the trend equations (1)-(5) involve an unbounded correction term $b=O(\sqrt{\log q} \log \log x)$.

As noted by Brent [2], primes seem to be less random than twin primes. We can add that, likewise, record gaps between primes in a residue class seem to be somewhat less random than those for prime $k$-tuples. Our record gaps analysis also shows that primes $p=q n+r$ do not go quite as far from each other as in the randomgap.gp model. Pintz [15] discusses various other aspects of the "random" and not-so-random behavior of primes.


Figure 2: Histograms of rescaled maximal gaps $w$ and fitted Gumbel distributions (pdf) for 8 -, 9-, 10-, 11-, and 12-digit primes $p=q n+r$, with $q=10007,1 \leq r<q$.

## 4 A new conjecture on $G_{q, r}(x)$ trend. Wolf's conjecture

Our extensive computations and eqs. (1)-(5) suggest the following interesting conjecture describing the growth of maximal gaps between primes in residue classes.
Conjecture on the trend of $G_{q, r}(x)$. For any $q>r \geq 1$ with $\operatorname{gcd}(q, r)=1$, there exist real numbers $c_{0}=c_{0}(q)$ and $c_{1}=c_{1}(q)$ such that

$$
\begin{equation*}
G_{q, r}(x) \sim T(q, x)=\frac{\varphi(q) x}{\operatorname{li} x}\left(\log \frac{\operatorname{li} x}{\varphi(q)}-c_{1} \log \frac{x}{\operatorname{li} x}+c_{0}\right) \quad \text { as } x \rightarrow \infty \tag{8}
\end{equation*}
$$

and the difference $G_{q, r}(x)-T(q, x)$ changes its sign infinitely often.
On the other hand, Marek Wolf [21, 22] gives the following approximation for $G(x)$, the maximal prime gap below $x$, in terms of the prime counting function $\pi(x)$.
Wolf's conjecture:

$$
\begin{equation*}
G(x) \sim g(x)=\frac{x}{\pi(x)}(2 \log \pi(x)-\log x+c) \quad \text { [21, p. 11] } \tag{9}
\end{equation*}
$$

Wolf's reasoning also implies that the difference $G(x)-g(x)$ changes its sign infinitely often.
Conjectures (8) and (9) are related. Indeed, for $q=2$ equation (5) gives $c_{1}=1$; therefore, using the fact that $\varphi(2)=1$ and applying the approximations

$$
\frac{x}{\pi(x)} \approx \frac{x}{\operatorname{li} x} \approx \log x-1, \quad \log \pi(x) \approx \log \operatorname{li} x \approx \log x-\log \log x
$$

we can rewrite both (8) and (9) in this common form:

$$
\begin{equation*}
G_{2,1}(x) \sim T(2, x)=\log ^{2} x-2 \log x \log \log x+O(\log x) \quad \text { as } x \rightarrow \infty \tag{10}
\end{equation*}
$$

So the maximal prime gap predictions (8) and (9) agree up to $O(\log x)$. Moreover, one can ensure that (8) and (9) agree up to $o(\log x)$ using an appropriate choice of $c_{0}$ for $q=2$.

## 5 Generalizations of some familiar conjectures corroborated by experimental data

In this section we discuss several generalizations of familiar conjectures that are related to (and in some cases suggested by) the numerical results of Section 3. This discussion places our computational experiments in a broader context. Some of the conjectures (Sect. 5.2) have been proposed by the author earlier on the PrimePuzzles.net website [17]. We always assume that $1 \leq r<q$ and $\operatorname{gcd}(q, r)=1$.

### 5.1 Generalized Riemann hypothesis

The Riemann hypothesis is a statement about non-trivial zeros of the Riemann $\zeta$-function. An "elementary" reformulation of the RH is the prime number theorem with an $O\left(x^{1 / 2+\varepsilon}\right)$ error term. It states that $\pi(x)$, the total number of primes $\leq x$, satisfies

$$
\pi(x)=\operatorname{li} x+O\left(x^{1 / 2+\varepsilon}\right) \quad \text { for any } \varepsilon>0, \quad \text { as } x \rightarrow \infty
$$

The generalized Riemann hypothesis is a similar statement concerning non-trivial zeros of Dirichlet's $L$-functions. An "elementary" reformulation of the GRH says that $\pi_{q, r}(x)$, the total number of primes $p=q n+r \leq x, n \in \mathbb{N}^{0}$, satisfies

$$
\pi_{q, r}(x)=\frac{\operatorname{li} x}{\varphi(q)}+O_{q}\left(x^{1 / 2+\varepsilon}\right) \quad \text { for any } \varepsilon>0, \quad \text { as } x \rightarrow \infty
$$

Roughly speaking, the GRH means that for large $x$ the numbers $\pi_{q, r}(x)$ and $\lfloor\operatorname{li} x / \varphi(q)\rfloor$ almost agree in the left half of their digits. Thus the GRH justifies our assumption that average gaps between primes $\leq x$ in each residue class mod $q$ are nearly the same size, $\varphi(q) x /$ li $x$. Consequently, it is reasonable to expect that maximal gaps in each residue class have about the same growth trend and obey approximately the same distribution around their trend. This is indeed observed in our computational experiments.
(A weaker unconditional result similar to the GRH is the Siegel-Walfisz theorem.)

### 5.2 Generalizations of Cramér's conjecture

If $G(x)$ is the maximal gap between primes below $x$, Cramér [3] conjectured in the 1930s that $G(x)=O\left(\log ^{2} x\right)$. Clearly $G(x)=G_{2,1}(x)$ for all $x \geq 5$. We give four conjectures (from most to least plausible), each of which can be considered a generalization of Cramér's conjecture to maximal gaps $G_{q, r}(p)$ between primes in a residue class modulo $q$. Everywhere we assume that the maximal gap $G_{q, r}(p)$ ends at the prime $p$.

### 5.2.1 "Big-O" formulation

Generalized Cramér conjecture A. For any $q>r \geq 1$ with $\operatorname{gcd}(q, r)=1$, we have

$$
G_{q, r}(p)=O\left(\varphi(q) \log ^{2} p\right)
$$

This weakest generalization of Cramér's conjecture seems quite likely to be true.

### 5.2.2 "Almost-all" formulation

Generalized Cramér conjecture B. Almost all maximal gaps $G_{q, r}(p)$ satisfy

$$
G_{q, r}(p)<\varphi(q) \log ^{2} p \quad \text { for any } q>r \geq 1 \text { with } \operatorname{gcd}(q, r)=1
$$

For $q=2$ and $r=1$, the above two generalizations of Cramér's conjecture are compatible with the heuristics of Granville [5]. On the other hand, the stronger formulations in subsections 5.2 .3 and 5.2 .4 contradict Granville's heuristic reasoning which suggests

$$
\limsup _{p \rightarrow \infty} \frac{G_{2,1}(p)}{\log ^{2} p} \geq 2 e^{-\gamma}=1.12291 \ldots \quad \text { [5, p. 24]. }
$$

### 5.2.3 "Limit superior" formulation

Generalized Cramér conjecture C. For any $q>r \geq 1$ with $\operatorname{gcd}(q, r)=1$, we have

$$
\limsup _{p \rightarrow \infty} \frac{G_{q, r}(p)}{\varphi(q) \log ^{2} p}=1
$$

### 5.2.4 "Naive" formulation

Generalized Cramér conjecture D. For any $q>r \geq 1$ with $\operatorname{gcd}(q, r)=1$, we have

$$
G_{q, r}(p)<\varphi(q) \log ^{2} p \quad \text { if } p \text { is large enough. }
$$

Here $p$ is the prime at the end of the maximal gap $G_{q, r}(p)$.
The latter generalization of Cramér's conjecture seems a little far-fetched. Nevertheless, we are yet to see even a single counterexample with $G_{q, r}(p)>\varphi(q) \log ^{2} p$. In February 2016, conjecture D has been posted at the website PrimePuzzles.net [17]. This website is frequented by many computation-savvy people; yet no one came up with a counterexample.

### 5.3 Generalizations of Shanks conjecture

As above, let $G(p)$ be the maximal prime gap ending at the prime $p$. Shanks [18] conjectured that the infinite sequence of maximal prime gaps satisfies the asymptotic equivalence

$$
G(p) \sim \log ^{2} p \quad \text { as } p \rightarrow \infty \quad[18, \text { p. 648]. }
$$

Our numerical experiments suggest the following natural generalizations of the Shanks conjecture to describe the behavior of $G_{q, r}(p)$.

### 5.3.1 "Almost-all" formulation

Generalized Shanks conjecture I. For any $q>r \geq 1$ with $\operatorname{gcd}(q, r)=1$, there exists an infinite sequence $S$ that comprises almost all maximal gaps $G_{q, r}(p)$ such that every gap in $S$ satisfies the asymptotic equality

$$
G_{q, r}(p) \sim \varphi(q) \log ^{2} p \quad \text { as } p \rightarrow \infty
$$

For $q=2$ and $r=1$, this formulation is compatible with heuristics of Granville [5] implying that there should exist an exceptional infinite subsequence of $G_{2,1}(p)$ satisfying

$$
G_{2,1}(p) \sim M \log ^{2} p \quad \text { for some } M \geq 2 e^{-\gamma}>1
$$

Indeed, our "almost-all" formulation simply means that any exceptional subsequence is very thin; that is, a zero proportion of maximal gaps $G_{2,1}(p)$ have exceptionally large sizes predicted by Granville.

### 5.3.2 Strong formulation

Generalized Shanks conjecture II. All maximal gaps $G_{q, r}(p)$ satisfy

$$
G_{q, r}(p) \sim \varphi(q) \log ^{2} p \quad \text { as } p \rightarrow \infty
$$

This strong formulation contradicts Granville's heuristics cited above.

### 5.4 Generalizations of Firoozbakht's conjecture

Firoozbakht [16] conjectured that $\left(p_{k}^{1 / k}\right)_{k \in \mathbb{N}}$ is a decreasing sequence. Equivalently,

$$
\begin{equation*}
p_{k+1}<p_{k}^{1+1 / k} \quad \text { for all } k \geq 1 \tag{11}
\end{equation*}
$$

The conjecture has been verified for all $p_{k}<4 \cdot 10^{18}$ [10]. An independent verification was also performed by Wolf (unpublished); see [20]. Firoozbakht's conjecture implies

$$
\begin{equation*}
p_{k+1}-p_{k}<\log ^{2} p_{k}-\log p_{k}-1 \quad \text { for all } k>9 \quad \text { [11, Th. 1]. } \tag{12}
\end{equation*}
$$

### 5.4.1 The Sun-Firoozbakht conjecture for primes in residue classes

Z.-W. Sun [20, Conjecture 2.3] generalized Firoozbakht's conjecture (11) as follows:

Let $q>r \geq 1$ be positive integers with $r$ odd, $q$ even and $\operatorname{gcd}(r, q)=1$. Denote by $p_{n}(r, q)$ the $n$-th prime in the progression $r, r+q, r+2 q \ldots$. Then there exists $n_{0}(r, q)$ such that the sequence $\left(p_{n}(r, q)^{1 / n}\right)_{n \geq n_{0}(r, q)}$ is strictly decreasing. In particular, one can take $n_{0}(r, q)=2$ for $q \leq 45$.
Remark. If the latter conjecture is true, $n_{0}(r, q)$ may be quite large. For example, with $q=1168$ and $r=141$ we must have $n_{0}(r, q)>1893$. Indeed,

$$
17010893^{1 / 1893}<17163901^{1 / 1894}
$$

(17010893 and 17163901 are the 1893 rd and 1894 th primes $p \equiv 141 \bmod 1168$ ).
Assuming the GRH and reasoning along the lines of [11], we can prove that the SunFiroozbakht conjecture implies

$$
\begin{equation*}
\frac{p_{n+1}(r, q)-p_{n}(r, q)}{\varphi(q)}<\log ^{2} p_{n}(r, q)-\log p_{n}(r, q)-1 \quad \text { for all } n \text { large enough. } \tag{13}
\end{equation*}
$$

This in turn implies the generalized Cramér conjectures 5.2.1, 5.2.2, and 5.2.4, as well as a modified form of 5.2 .3 with $\lim \sup G_{q, r}(p) /\left(\varphi(q) \log ^{2} p\right) \leq 1$.

$$
p \rightarrow \infty
$$

### 5.4.2 "Almost all" form of the Sun-Firoozbakht conjecture

The Sun-Firoozbakht conjecture of sect. 5.4.1 might be excessively strong. A weaker, more plausible statement is the "almost all" formulation below.

For any coprime $q>r \geq 1$, the endpoints $p_{n}(r, q), p_{n+1}(r, q)$ of almost all record gaps $G_{q, r}(x)$ satisfy inequality (13).
Here, again, $p_{n}(r, q)$ denotes the $n$-th prime in the arithmetic progression $r, r+q, r+2 q \ldots$

## 6 Appendix: Details of computational experiments

Interested readers can reproduce and extend our results using the programs below.

### 6.1 PARI/GP program maxgap.gp

<br> Usage example: $q=1000 ;$ for $(r=1, q-1, i f(\operatorname{gcd}(q, r)==1, \operatorname{maxgap}(q, r, 1 e 12)))$

```
default(realprecision,11)
```

<br> li(x) computes the logarithmic integral of $x$ li(x) $=\operatorname{return}(r e a l(-\operatorname{eint1}(-\log (x))))$

```
\\ pmin(q,r) computes the least prime p = qn + r, for n=0,1,2,3,\ldots.
pmin(q,r) = forstep(p=r,1e11,q, if(isprime(p), return(p)))
\\ maxgap(q,r,end) computes maximal gaps g between primes p = qn + r
\\ as well as rescaled gap values (w and h).
\\ Results are written on screen and in the c:\xgap folder.
\\ Computation ends when primes exceed the end parameter.
maxgap(q,r,end) = {
    re=0;
    s=pmin(q,r);
    t=eulerphi(q);
    SqrtLogPhi=sqrt(log(t));
    while(s<end,
        m=s+re; p=m+q;
        while(!isprime(p), p+=q);
        while(!isprime(m), m-=q);
        g=p-m;
        if(g>re,
            re=g; Lip=li(p); a=t*p/Lip;
            h=g/a-log(Lip/t);
                w=g/a-log(Lip/t)+(0.57*SqrtLogPhi+1)*log(p/Lip)-2.7*SqrtLogPhi+1.2;
                f=ceil(log(p)/log(10));
                write("c:\\xgap\\1e"f"_"q".txt",
                    w" "h" "g" "m" "p" q="q" r="r);
                print(w" "h" "g" "m" "p" q="q" r="r);
                if(g/t>log(p)^2, write("c:\\xgap\\1e"f"_"q".txt","extra large"));
        );
        s=p;
    )
}
```


### 6.2 PARI/GP program randomgap.gp

```
\\ Usage example: q=1000;for(r=1,q-1,if(gcd(q,r)==1,randomgap(q,r,1e10)))
default(realprecision,11)
\\ exprv(m) returns an exponential random variable with mean m
exprv(m) = return(-m*log(random(1.0)))
\\ randomgap(q,r,end) writes to c:\ygap\ a set of files with record gaps
\\ in a growing sequence of integers p separated by "random" gaps which
\\ are exponentially distributed with mean m = phi(q)*log(p).
\\ The parameter r is included to mimic maxgap(q,r,end).
randomgap(q,r,end) = {
    re=0; p=max(2,r); t=eulerphi(q);
    while(p<end,
        g=ceil(exprv(t*log(p)));
        s=p;
        p+=g;
        if(g>re,
            re=g;
            Lip=real(-eint1(-log(p))); \\li(p)
            a=t*p/Lip;
            h=g/a-log(Lip/t);
            f=ceil(log(p)/log(10));
            write("c:\\ygap\\rand"f"_"q".txt",
                h" "g" "s" "p" q="q" r="r);
            print(h" "g" "s" "p" q="q" r="r);
        )
    )
}
```


### 6.3 Notes on distribution fitting

To study the distributions of standardized maximal gaps $G_{q, r}(p)$ we used the distribution fitting software EasyFit [12]. Data files created with maxgap.gp or randomgap.gp are easily imported into EasyFit: from the File menu, choose Open, select the data file, then specify Field Delimiter $=$ space, click Update, then OK.
Caution: PARI/GP writes real numbers near zero in a mantissa-exponent format with a space preceding the exponent (e.g. 1.7874829515 E-5), whereas EasyFit expects such numbers without a space (e.g. 1.7874829515E-5). Therefore, before importing into EasyFit, search the data files for " $\mathrm{E}-$ " and replace all occurrences with "E-".

## 7 Acknowledgments

I am grateful to all contributors and editors of the websites OEIS.org and PrimePuzzles.net. Thanks also to Marek Wolf and Zhi-Wei Sun for putting forward many interesting conjectures [20, 21, 22]. I am especially grateful to Prof. Wolf for his very useful comments via email.

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2010 Mathematics Subject Classification: 11N05.
Keywords: Cramér conjecture, prime gap, residue class, Shanks conjecture.
(Concerned with sequences A005250, A084162, A235402, A268799, A268925, A268928, A268984, A269234, A269238, A269261, A269420, A269424, A269513, A269519.)


[^0]:    ${ }^{1}$ It quickly became apparent that a bounded correction term $|b| \leq 1 \operatorname{did}$ not work in $G_{q, r}(x)$ trend (11). But if instead of $G_{q, r}(x)$ we look at the trend of record gaps in an increasing sequence of numbers $n$ at random intervals $g=\lceil\xi\rceil$, where $\xi$ is a random variable with $\operatorname{cdf} \operatorname{Exp}(x ; \varphi(q) \log n)$, then $|b|<1$ in the trend equation does work. (We have verified this in computational experiments using the program randomgap.gp; see Appendix.)
    ${ }^{2}$ We leave it up to interested readers to ponder the possible expressions of $c_{0}$ and $c_{1}$ in terms of $e, \gamma$ or combinations thereof. (For instance, could eq. (5) take a more precise form $c_{1}=\gamma \sqrt{\log \varphi(q)}+1$ - or possibly $c_{1}=e^{-\gamma} \sqrt{\log \varphi(q)}+1$ ?) However, the numerical values of $c_{0}$ and $c_{1}$ are perhaps not as interesting as the unbounded growth of the correction term $b=O(\sqrt{\log q} \log \log x)$ in (11). The situation here resembles Legendre's approximation to the prime counting function, $\pi(x) \sim \frac{x}{\log x-1.08366}$ [19, A228211], where the numerical value of the constant 1.08366 is of historical interest only. Nevertheless, for the purpose of distribution fitting in Section 3.2 we do need some realistic formula for the $G_{q, r}(x)$ trend, and eqs. (11)-(5) do this job well, at least for $20 \leq \varphi(q) \leq 15000,10^{6}<x<10^{12}$.

