

# Some elementary observations on Narayana polynomials and related topics

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## Abstract.

We give an elementary account of generalized Fibonacci and Lucas polynomials whose moments are Narayana polynomials of type A and type B.

## Introduction

Consider the Fibonacci polynomials  $F_n(x) = \sum_{j=0}^{\lfloor \frac{n}{2} \rfloor} (-1)^j \binom{n-j}{j} x^{n-2j}$  and the corresponding Lucas

polynomials  $L_n(x) = \sum_{j=0}^{\lfloor \frac{n}{2} \rfloor} (-1)^j \frac{n}{n-j} \binom{n-j}{j} x^{n-2j}$  and let  $L$  be the linear functional defined by

$L(F_n(x)) = [n=0]$  and  $M$  be the linear functional defined by  $M(L_n(x)) = [n=0]$ . Then the

moments  $L(x^{2n}) = C_n$  are Catalan numbers and the moments  $M(x^{2n}) = M_n = \binom{2n}{n}$  are

central binomial coefficients. An analogous situation holds by replacing the Catalan numbers

$C_n$  by the Narayana polynomials  $C_n(t) = \sum_{k \geq 0} \binom{n-1}{k} \binom{n}{k} \frac{1}{k+1} t^k$  and the central binomial

coefficients  $M_n$  by the polynomials  $M_n(t) = \sum_{j=0}^n \binom{n}{j}^2 t^j$ , which are sometimes called

Narayana polynomials of type B.

In this survey article I give an elementary and self-contained account of the corresponding polynomials and the associated Catalan-Stieltjes matrices. I want to thank Dennis Stanton and Jiang Zeng for helpful remarks and references to the literature.

## 1. 1. Background material on Fibonacci polynomials and Catalan numbers

The basic facts about Fibonacci and Lucas polynomials are very old and well known (cf. e.g. [5]).

The Fibonacci polynomials  $f_n(x, s) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \binom{n-1-k}{k} x^{n-1-2k} s^k$  satisfy the recursion

$f_n(x, s) = xf_{n-1}(x, s) + sf_{n-2}(x, s)$  with initial values  $f_0(x, s) = 0$  and  $f_1(x, s) = 1$ .

We will consider the *special Fibonacci polynomials*  $F_n(x) = f_{n+1}(x, -1)$ . If  $U_n(x)$  denotes a *Chebyshev polynomial of the second kind* then we can equivalently write  $F_n(x) = U_n\left(\frac{x}{2}\right)$ .

The first terms of the sequence  $(F_n(x))_{n \geq 0}$  are

$$1, x, -1 + x^2, -2x + x^3, 1 - 3x^2 + x^4, 3x - 4x^3 + x^5, \dots$$

### Remark

Let me recall some well-known facts about orthogonal polynomials (cf. [4], [13],[17]). These are polynomials  $(p_n(x))_{n \geq -1}$  satisfying a recursion of the form

$p_n(x) = (x - s_{n-1})p_{n-1}(x) - t_{n-2}p_{n-2}(x)$  with initial values  $p_{-1}(x) = 0$  and  $p_0(x) = 1$ . The corresponding *Catalan-Stieltjes matrix*  $(a(n, k))$  (cf. [13]) consists of the uniquely

determined numbers  $a(n, k)$  which satisfy  $x^n = \sum_{k=0}^n a(n, k)p_k(x)$ .

It satisfies

$$a(n, k) = a(n-1, k-1) + s_k a(n-1, k) + t_k a(n-1, k+1) \quad (1.1)$$

with  $a(0, k) = [k=0]$  and  $a(n, -1) = 0$  because

$$\begin{aligned} \sum_{k=0}^n a(n, k)p_k(x) &= x \cdot x^{n-1} = \sum_{k=0}^n a(n-1, k)xp_k(x) = \sum_{k=0}^n a(n-1, k)(p_{k+1}(x) + s_k p_k(x) + t_{k-1}p_{k-1}(x)) \\ &= \sum a(n-1, k-1)p_k(x) + \sum s_k a(n-1, k)p_k(x) + \sum t_k a(n-1, k+1)p_k(x). \end{aligned}$$

The numbers  $s_k$  and  $t_k$  uniquely determine both the polynomials  $p_n(x)$  and the corresponding Catalan-Stieltjes matrix.

Let  $L$  be the linear functional defined by  $L(p_n) = [n=0]$ . Here we use Iverson's convention  $[P] = 1$  if property  $P$  is true and  $[P] = 0$  else. The polynomials satisfy moreover

$L(p_n p_m) = 0$  for  $m \neq n$ , i.e. they are orthogonal with respect to  $L$ . But we shall not use this property.

The numbers  $L(x^n)$  are called moments of the sequence  $(p_n(x))$ .

If all  $s_k = 0$  then  $P_n(x) = p_{2n}(\sqrt{x})$  satisfies

$$P_1(x) = x - t_0 \text{ and } P_n(x) = (x - t_{2n-1} - t_{2n})P_{n-1}(x) - t_{2n}t_{2n+1}P_{n-2}(x)$$

and  $Q_n(x) = \frac{p_{2n+1}(\sqrt{x})}{\sqrt{x}}$  satisfies  $Q_n(x) = (x - t_{2n} - t_{2n+1})Q_{n-1}(x) - t_{2n+1}t_{2n+2}Q_{n-2}(x)$ .

This splitting is equivalent with the odd-even trick in [6].

For the Fibonacci polynomials  $F_n(x)$  the numbers  $a(n, k)$  satisfy

$$a(n, k) = a(n-1, k-1) + a(n-1, k+1) \quad (1.2)$$

with  $a(0, k) = [k = 0]$ .

Thus  $a(n, k)$  can be interpreted as the number of elements of the set of  $n$ -letter words  $w_1 w_2 \cdots w_n$  in the alphabet  $\{-1, 1\}$  that add up to  $k$ , and all whose partial sums are non-negative because for  $w_n = 1$  the word  $w_1 w_2 \cdots w_{n-1}$  adds up to  $k-1$  and for  $w_n = -1$  to  $k+1$ .

These so-called *ballot numbers* are well known and satisfy

$$a(2n+k, k) = \binom{2n+k}{n} - \binom{2n+k}{n-1}. \quad (1.3)$$

or equivalently

$$x^n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \left( \binom{n}{k} - \binom{n}{k-1} \right) F_{n-2k}(x). \quad (1.4)$$

Let  $L$  be the linear functional defined by  $L(F_n) = [n = 0]$ . Here  $[P] = 1$  if property  $P$  is true and  $[P] = 0$  else. Then (1.4) implies

$$L(x^{2n}) = \binom{2n}{n} - \binom{2n}{n-1} = C_n = \binom{2n}{n} \frac{1}{n+1} \quad (1.5)$$

is a *Catalan number* and  $L(x^{2n+1}) = 0$ .

The first terms of the sequence  $(C_n)_{n \geq 0}$  are

**1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, ...**

Let us compute the generating functions  $f_k(z) = \sum_{n \geq 0} a(n, k) z^n$ . Then (1.2) translates into

$$f_k(z) = z(f_{k-1}(z) + f_{k+1}(z)) \quad (1.6)$$

and

$$f_0(z) = 1 + z f_1(z). \quad (1.7)$$

The uniquely determined solution of these equations is  $f_k(z) = z^k f(z)^{k+1}$  if we set  $f(z) = f_0(z)$ .

This can easily be verified by comparing coefficients.

By (1.7)  $f(z)$  satisfies  $f(z) = 1 + z^2 f(z)^2$  which implies the well-known result

$$f(z) = \sum_{n \geq 0} C_n z^{2n} = \frac{1 - \sqrt{1 - 4z^2}}{2z^2}. \quad (1.8)$$

Let us also consider the polynomials

$$P_n(x) = F_{2n}(\sqrt{x}) = \sum_{k=0}^n (-1)^{n-k} \binom{n+k}{2k} x^k \quad (1.9)$$

and

$$Q_n(x) = \frac{F_{2n+1}(\sqrt{x})}{\sqrt{x}} = \sum_{k=0}^n (-1)^{n-k} \binom{n+k+1}{2k+1} x^k. \quad (1.10)$$

By (1.4) we get

$$x^n = \sum_{k=0}^n \left( \binom{2n}{k} - \binom{2n}{k-1} \right) P_{n-k}(x). \quad (1.11)$$

Let  $L_0$  denote the linear functional defined by  $L_0(P_n) = [n=0]$ .

Then we get for the moments

$$L_0(x^n) = C_n. \quad (1.12)$$

Analogously we get

$$x^n = \sum_{k=0}^n \left( \binom{2n+1}{k} - \binom{2n+1}{k-1} \right) Q_{n-k}(x). \quad (1.13)$$

Let  $L_1$  denote the linear functional defined by  $L_1(Q_n) = [n=0]$ .

Then we get for the moments

$$L_1(x^n) = \binom{2n+1}{n} - \binom{2n+1}{n-1} = C_{n+1}. \quad (1.14)$$

## 1.2. Narayana polynomials as moments

The Catalan numbers are special cases for  $t=1$  of the *Narayana polynomials*

$$C_n(t) = \sum_{k \geq 0} \binom{n-1}{k} \binom{n}{k} \frac{1}{k+1} t^k \quad (1.15)$$

for  $n > 0$  and  $C_0(t) = 1$ . (cf. [14]).

The first terms of  $(C_n(t))_{n \geq 0}$  are

$$1, 1, 1+t, 1+3t+t^2, 1+6t+6t^2+t^3, 1+10t+20t^2+10t^3+t^4, \dots$$

For  $t=2$  they reduce to the *little Schroeder numbers*  $(C_n(2))_{n \geq 0} = (1, 1, 3, 11, 45, 197, \dots)$ , OEIS [12], A001003.

Let  $\tau_{2n}(t)=1$  and  $\tau_{2n+1}(t)=t$ . Define polynomials  $F_n(x,t)$  by the recursion

$$F_n(x,t) = xF_{n-1}(x,t) - \tau_{n-2}(t)F_{n-2}(x,t) \quad (1.16)$$

with initial values  $F_0(x,t)=1$  and  $F_1(x,t)=x$ .

The first terms of the sequence  $(F_n(x,t))_{n \geq 0}$  are

$$1, x, -1+x^2, -x-tx+x^3, 1-2x^2-tx^2+x^4, x+tx+tx^2-2x^3-2tx^3+x^5, \dots$$

Their generating function is

$$\sum_{n \geq 0} F_n(x,t)z^n = \frac{1+xz+tz^2}{1-(x^2-1-t)z^2+tz^4}. \quad (1.17)$$

Then we get

**Theorem 1** ([1],[3], [11], [13], [16],[17])

Let  $L$  be the linear functional defined by  $L(F_n(x,t))=[n=0]$ . Then the moments satisfy

$$\begin{aligned} L(x^{2n}) &= C_n(t), \\ L(x^{2n+1}) &= 0. \end{aligned} \quad (1.18)$$

**Remark**

By starting with  $C_n(t)$  it is easy to guess (1.16) in the same manner as I have done in [4].

In order to guess explicit formulae for  $F_n(x,t)$  it is convenient to consider the polynomials with odd and even degrees separately. To this end we consider the polynomials

$$P_n(x,t) = F_{2n}(\sqrt{x},t) \quad \text{and} \quad Q_n(x,t) = \frac{F_{2n+1}(\sqrt{x},t)}{\sqrt{x}}.$$

Then (1.32) and (1.21) can be summarized to give the formula

$$F_n(x,t) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} (-1)^k \sum_{j=0}^k \binom{\lfloor \frac{n}{2} \rfloor - j}{k-j} \binom{\lfloor \frac{n-1}{2} \rfloor - k + j}{j} t^j x^{n-2k}. \quad (1.19)$$

**1.2.1.** The polynomials  $Q_n(x, t)$ .

The polynomials  $Q_n(x, t)$  satisfy the recurrence

$$Q_n(x, t) = (x-1-t)Q_{n-1}(x, t) - tQ_{n-2}(x, t) \quad (1.20)$$

with initial values  $Q_0(x, t) = 1$  and  $Q_1(x, t) = x-1-t$ .

Thus  $Q_n(x, t) = f_{n+1}(x-1-t, -t)$ . Binet's formula gives  $Q_n(x, t) = \frac{\alpha^{n+1} - \beta^{n+1}}{\alpha - \beta}$

$$\text{with } \alpha = \alpha(x, t) = \frac{x-1-t + \sqrt{(x-1-t)^2 - 4t}}{2} \quad \text{and} \quad \beta = \beta(x, t) = \frac{x-1-t - \sqrt{(x-1-t)^2 - 4t}}{2}.$$

A more general class of polynomials has been considered in [1].

By induction we get  $Q_n(x, t) = \sum_{k=0}^n (-1)^{n-k} q_{n,k}(t) x^k$  with

$$q_{n,k}(t) = \sum_{j=0}^{n-k} \binom{n-j}{k} \binom{k+j}{j} t^j. \quad (1.21)$$

From (1.10) we see that  $q_{n,k}(1) = \binom{n+k+1}{2k+1}$ .

The first terms of  $q_{n,k}(t)$  are

1					
1 + t	1				
1 + t + t <sup>2</sup>	2 + 2 t	1			
1 + t + t <sup>2</sup> + t <sup>3</sup>	3 + 4 t + 3 t <sup>2</sup>	3 + 3 t	1		
1 + t + t <sup>2</sup> + t <sup>3</sup> + t <sup>4</sup>	4 + 6 t + 6 t <sup>2</sup> + 4 t <sup>3</sup>	6 + 9 t + 6 t <sup>2</sup>	4 + 4 t	1	
1 + t + t <sup>2</sup> + t <sup>3</sup> + t <sup>4</sup> + t <sup>5</sup>	5 + 8 t + 9 t <sup>2</sup> + 8 t <sup>3</sup> + 5 t <sup>4</sup>	10 + 18 t + 18 t <sup>2</sup> + 10 t <sup>3</sup>	10 + 16 t + 10 t <sup>2</sup>	5 + 5 t	1

Note that the polynomials  $q_{n,k}(t)$  are palindromic.

Let  $B_{n,k}(t)$  be the uniquely determined polynomials such that

$$x^n = \sum_{k=0}^n B_{n,k}(t) Q_k(x, t). \quad (1.22)$$

The recursion of  $Q_n(x, t)$  implies that

$$B_{n,k}(t) = B_{n-1,k-1}(t) + (1+t)B_{n-1,k}(t) + tB_{n-1,k+1}(t) \quad (1.23)$$

with  $B_{0,k}(t) = [k=0]$  and  $B_{n,-1}(t) = 0$ .

The first terms of the sequence  $(B_{n,0}(t), B_{n,1}(t), \dots, B_{n,n}(t))_{n \geq 0}$  are

$$\begin{array}{ccccccc}
1 & & & & & & \\
1+t & & & & & & \\
1+3t+t^2 & & & & & & \\
1+6t+6t^2+t^3 & & & & & & \\
1+10t+20t^2+10t^3+t^4 & & & & & & \\
\end{array}
\begin{array}{ccccccc}
1 & & & & & & \\
2+2t & & & & & & \\
3+8t+3t^2 & & & & & & \\
4+20t+20t^2+4t^3 & & & & & & \\
\end{array}
\begin{array}{ccccccc}
1 & & & & & & \\
3+3t & & & & & & \\
6+15t+6t^2 & & & & & & \\
\end{array}
\begin{array}{ccccccc}
1 & & & & & & \\
4+4t & & & & & & \\
\end{array}
1$$

By induction we can verify that

$$B_{n,k}(t) = \sum_{j=0}^n \binom{n+1}{k+1+j} \binom{n+1}{j} \frac{k+1}{n+1} t^j = \sum_j \left( \binom{n}{j} \binom{n+1}{k+j+1} - \binom{n+1}{j} \binom{n}{k+j+1} \right) t^j. \tag{1.24}$$

For  $k=0$  we get

$$B_{n,0}(t) = C_{n+1}(t). \tag{1.25}$$

From (1.13) we see that  $B_{n,k}(1) = \binom{2n+1}{n-k} - \binom{2n+1}{n-k-1} = \frac{2k+2}{n+k+2} \binom{2n+1}{n-k}$ .

This gives the Catalan triangle OEIS[12], A039598

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 & 0 \\ 5 & 4 & 1 & 0 & 0 \\ 14 & 14 & 6 & 1 & 0 \\ 42 & 48 & 27 & 8 & 1 \end{pmatrix}$$

For the little Schroeder numbers the corresponding triangle is OEIS [12], A110440,

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 3 & 1 & 0 & 0 & 0 \\ 11 & 6 & 1 & 0 & 0 \\ 45 & 31 & 9 & 1 & 0 \\ 197 & 156 & 60 & 12 & 1 \end{pmatrix}$$

There is a nice interpretation in terms of weighted NSEW-paths. A *NSEW-path* is a path consisting of North, South, East and West steps of length 1. (Cf. [9] and [10]). We consider only NSEW- paths which start at (0,0) and end on height  $k \geq 0$  and never cross the  $x$ -axis.

$B_{n,k}(t)$  is the weight of all those NSEW-paths with  $n$  steps which end on height  $k$ , if the weight is defined by  $w(N) = w(E) = 1$  and  $w(S) = w(W) = t$ . This follows immediately from (1.23) because there are 4 possibilities to reach a point of height  $k$ . For  $k=0$  this reduces to

$$B_{n,0}(t) = (1+t)B_{n-1,0}(t) + tB_{n-1,1}(t).$$

For example for  $n=2$  and  $k=0$  we get  $w(EE) = 1, w(NS + EW + WE) = 3t, w(WW) = t^2$ .

For  $k=1$  we get  $w(NE) + w(EN) = 2$  and  $w(NW) + w(WN) = 2t$ .

Let  $y \geq 0$  and let  $w_n(x, y)$  be the number of NSEW-paths from  $(0, 0)$  to  $(x, y)$  which do not cross the  $x$ -axis. It has been shown in [9] that

$$w_n(-n+k+2j, k) = \binom{n}{j} \binom{n}{k+j} - \binom{n}{j-1} \binom{n}{k+j+1} = \binom{n+1}{k+1+j} \binom{n+1}{j} \frac{k+1}{n+1}.$$

A purely combinatorial proof has been given in [10] and can be considered as another proof of (1.24).

All these polynomials are palindromic and *gamma-nonnegative*, i.e. they have a representation of the form  $\sum \gamma_{n,j} t^j (1+t)^{n-2j}$  where  $\gamma_{n,j}$  are non-negative integers. (Cf. [14] for this notion).

More precisely we have

$$B_{n,k}(t) = \sum_{i=0}^{\lfloor \frac{n-k}{2} \rfloor} \binom{k+2i}{i} \frac{k+1}{i+k+1} \binom{n}{2i+k} t^i (1+t)^{n-k-2i}, \quad (1.26)$$

which for  $k=0$  reduces to

$$C_{n+1}(t) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} C_i \binom{n}{2i} t^i (1+t)^{n-2i}. \quad (1.27)$$

In order to prove this we modify a method developed in [15]. Let  $f(N) = 1, f(S) = -1, f(E) = f(W) = 0$ .

To each non-negative NSEW-path  $u_1 \cdots u_n$  with  $u_i \in \{N, S, E, W\}$  whose endpoint is on height  $k$  we associate the  $n$ -letter word  $f(u_1)f(u_2)\cdots f(u_n)$  in the alphabet  $\{-1, 1, 0\}$  that adds up to  $k$ , and all whose partial sums are non-negative.

For each such sequence there are  $i$  terms  $f(u_j) = -1$  and  $i+k$  terms  $f(u_j) = 1$  for some  $i$ .

On the other hand we can choose  $2i+k$  places where  $u_j = N$  or  $u_j = S$ , i.e.  $f(u_j) = \pm 1$  in  $\binom{n}{2i+k}$  ways. By (1.3) we can order the signs in such a way that the corresponding path is

non-negative in  $\binom{k+2i}{i} - \binom{k+2i}{i-1} = \binom{k+2i}{i} \frac{k+1}{i+k+1}$  ways. In the remaining  $n-2i-k$

places we can arbitrarily put  $W$  or  $E$ . The weight of all such paths is therefore

$$\binom{n}{2i+k} \binom{k+2i}{i} \frac{k+1}{i+k+1} t^i (1+t)^{n-k-2i}.$$



If we define the linear functional  $L_1$  by  $L_1(Q_n(x, t)) = [n = 0]$  we get from (1.27) that

$$L_1(x^n) = C_{n+1}(t). \quad (1.28)$$

Let us compute the generating functions  $f_k(z, t) = \sum_{n \geq 0} B_{n,k}(t)z^n$ . As above we see that they satisfy

$$f_k(z, t) = z(f_{k-1}(z, t) + (1+t)f_k(z, t) + tf_{k+1}(z, t)) \text{ with } f_0(z, t) = 1 + (1+t)zf_0(z, t) + tzf_1(z, t).$$

The unique solution is

$$f_k(z, t) = z^k f(z, t)^{k+1} \text{ where } f(z, t) \text{ satisfies } 1 - (1 - (1+t)z)f(z, t) + tz^2 f(z, t)^2 = 0.$$

This implies

$$f(z, t) = \sum_{n \geq 0} C_{n+1}(t)z^n = \frac{1 - (1+t)z - \sqrt{(1 - (1+t)z)^2 - 4tz^2}}{2tz^2}. \quad (1.29)$$

Since  $1 - (1 - (1+t)z)f(z, t) + tz^2 f(z, t)^2 = 0$  we get

$$\begin{aligned} \sum_k B_{n,k}(t) \frac{t^{k+1} - 1}{t-1} z^n &= \frac{1}{t-1} \left( \sum_k z^k f(z, t)^{k+1} t^{k+1} - \sum_k z^k f(z, t)^{k+1} \right) = \frac{f(z, t)}{t-1} \left( \frac{t}{1 - tzf(z, t)} - \frac{1}{1 - zf(z, t)} \right) \\ &= \frac{f(z, t)}{t-1} \frac{(t-1)}{(1 - zf(z, t))(1 - tzf(z, t))} = \frac{f(z, t)}{1 - (1+t)zf(z, t) + tz^2 f(z, t)^2} = \frac{f(z, t)}{f(z, t) - 2(1+t)zf(z, t)} = \frac{1}{1 - 2(1+t)z}. \end{aligned}$$

This implies

$$\sum_{k=0}^n B_{n,k}(t) (1+t+\dots+t^k) = (2t+2)^n. \quad (1.30)$$

A combinatorial proof of (1.30) has been given in [2], proof of identity 1, in a somewhat different context which we will translate into our terminology.

The right-hand side of (1.30) is the weight of all NSWE-paths of length  $n$ .

Let  $\mathbf{B}_{n,k}$  be the set of all non-negative NSWE-paths of length  $n$  which end on height  $k$ .

For  $p \in \mathbf{B}_{n,k}$  we define  $k+1$  different paths  $\varphi_i(p)$ ,  $0 \leq i \leq k$ , of length  $n$  such that

$$w(\varphi_i(p)) = t^i w(p).$$

To this end define the last ascent to height  $i$  of  $p$  to be the last step  $N$  from height  $i-1$  to  $i$ .

Let  $\varphi_i(p)$  denote the path obtained by changing each of the last ascents to heights  $1, 2, \dots, i$  to downsteps  $S$ . For  $i=0$  let  $\varphi_0(p) = p$ . Then all  $\varphi_i(p)$  are different and for  $i > 0$  not non-negative. The height of  $\varphi_i(p)$  is  $k-2i$  and the weight is  $w(\varphi_i(p)) = t^i w(p)$ .

Let on the other hand  $q$  be a path with height  $j$ , which crosses the  $x$ -axis. Then it has a set of premier descents below the  $x$ -axis, i.e. the first (from left to right) down steps  $S$  from height  $m$  to  $m-1$  for  $m=0, -1, \dots$ . Suppose  $q$  has  $i$  premier descents below the  $x$ -axis. Then changing each of these  $S$  to upsteps  $N$  gives a new path  $p$  which is non-negative and ends on height  $j+2i$ . It is clear that  $\varphi_i(p) = q$  and  $w(\varphi_i(p)) = t^i w(p)$ .

For example

$$\mathbf{B}(2,0) = \{EE, EW, WE, NS, WW\},$$

$$\mathbf{B}(2,1) = \{NE, NW, EN, WN\}, \quad \varphi_1(\mathbf{B}(2,1)) = \{SE, SW, ES, WS\},$$

$$\mathbf{B}(2,2) = \{NN\}, \quad \varphi_1(\mathbf{B}(2,2)) = \{SN\}, \quad \varphi_2(\mathbf{B}(2,2)) = \{SS\}.$$

### 1.2.2. The polynomials $P_n(x, t)$ .

The polynomials  $P_n(x, t)$  satisfy the recurrence

$$P_n(x, t) = (x - \sigma_{n-1}(t))P_{n-1}(x, t) - tP_{n-2}(x, t)$$

with initial values  $P_0(x, t) = 1$  and  $P_1(x, t) = x - 1$ ,

where  $\sigma_0(t) = 1$  and  $\sigma_n(t) = 1 + t$  for  $n > 0$ .

We have for  $n > 0$

$$P_n(x, t) = Q_n(x, t) + tQ_{n-1}(x, t). \quad (1.31)$$

For (1.31) holds for  $n=1$  and  $n=2$  and for  $n \geq 3$  both sides satisfy the same recursion.

Let us set  $P_n(x, t) = \sum_{k=0}^n (-1)^{n-k} p_{n,k}(t) x^k$ .

Then we get

$$p_{n,k}(t) = \sum_{j=0}^{n-k} \binom{n-j}{k} \binom{k-1+j}{j} t^j. \quad (1.32)$$

The first terms of the sequence

$$(p_{n,0}(t) = q_{n,0}(t) - q_{n-1,0}(t), p_{n,1}(t) = q_{n,1}(t) - q_{n-1,1}(t), \dots, p_{n,n}(t) = q_{n,n}(t) - q_{n-1,n}(t))_{n \geq 0} \text{ are}$$

1						
1	1					
1	2 + t	1				
1	3 + 2 t + t <sup>2</sup>	3 + 2 t	1			
1	4 + 3 t + 2 t <sup>2</sup> + t <sup>3</sup>	6 + 6 t + 3 t <sup>2</sup>	4 + 3 t	1		
1	5 + 4 t + 3 t <sup>2</sup> + 2 t <sup>3</sup> + t <sup>4</sup>	10 + 12 t + 9 t <sup>2</sup> + 4 t <sup>3</sup>	10 + 12 t + 6 t <sup>2</sup>	5 + 4 t	1	

Let  $A_{n,k}(t)$  be the uniquely determined polynomials satisfying

$$x^n = \sum_{k=0}^n A_{n,k}(t) P_k(x, t). \quad (1.33)$$

Then

$$A_{n,k}(t) = A_{n-1,k-1}(t) + \sigma_k(t) A_{n-1,k}(t) + t A_{n-1,k+1}(t) \quad (1.34)$$

with  $A_{0,k}(t) = [k=0]$  and  $A_{n,-1}(t) = 0$ .

This means that  $A_{n,k}(t)$  can be interpreted as the weight of all NSEW - paths of length  $n$  which end on height  $k$  and which have no W-step on height 0.

For example let  $n=3$ . For  $k=0$  we have  $w(EEE) = 1$ ,  $w(NSE + ENS + NES) = 3t$  and  $w(NWS) = t^2$ . For  $k=2$  we have  $w(NNE + ENN + NEN) = 3$  and  $w(NNW + NWN) = 2t$ .

The first terms of the sequence  $(A_{n,0}(t), A_{n,1}(t), \dots, A_{n,n}(t))_{n \geq 0}$  are

$$\begin{array}{ccccccc} 1 & & & & & & \\ 1 & & & & & & \\ 1+t & & & & & & \\ 1+3t+t^2 & & & & & & \\ 1+6t+6t^2+t^3 & & & & & & \\ & 1 & & & & & \\ & 2+t & & & & & \\ & 3+5t+t^2 & & & & & \\ & 4+14t+9t^2+t^3 & & & & & \\ & & 1 & & & & \\ & & 3+2t & & & & \\ & & 6+11t+3t^2 & & & & \\ & & & 1 & & & \\ & & & 4+3t & & & \\ & & & & 1 & & \end{array}$$

From (1.31) we get  $A_{n,k} + tA_{n,k+1} = B_{n,k}$ .

In general we get for  $n > 0$

$$\begin{aligned} A_{n,k}(t) &= \sum_{j=0}^{n-k} \binom{n-1}{j} \binom{n}{k+j} \frac{kn+n-j}{(n-j)(k+1+j)} t^j \\ &= \sum_{j=0}^{n-k} \left( \binom{n-1}{j} \binom{n+1}{k+j+1} - \binom{n}{j} \binom{n}{k+j+1} \right) t^j. \end{aligned} \quad (1.35)$$

For  $k=0$  this reduces to

$$A_{n,0}(t) = C_n(t). \quad (1.36)$$

For  $t=1$  we get the triangle OEIS [12], A039599,

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 2 & 3 & 1 & 0 & 0 \\ 5 & 9 & 5 & 1 & 0 \\ 14 & 28 & 20 & 7 & 1 \end{pmatrix}$$

For  $t=2$  we get OEIS [12], 172094,

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 3 & 4 & 1 & 0 & 0 \\ 11 & 17 & 7 & 1 & 0 \\ 45 & 76 & 40 & 10 & 1 \end{pmatrix}$$

From (1.33) we get

$$\sum_{k=0}^n A_{n,k}(t) F_{2k}(x,t) = x^{2n}. \quad (1.37)$$

Applying the linear functional  $L$  gives

$$L(x^{2n}) = A_{n,0}(t) = C_n(t). \quad (1.38)$$

By (1.22) we get  $x^{2n+1} = \sum_{k=0}^n B_{n,k}(t) F_{2k+1}(x,t)$  which implies  $L(x^{2n+1}) = 0$  and thus proves

Theorem 1.

If we define the linear functional  $L_0$  by  $L_0(P_n(x,t)) = [n=0]$  then we get

$$L_0(x^n) = C_n(t). \quad (1.39)$$

Let us also compute the generating functions  $f_k(z,t) = \sum_{n \geq 0} A_{n,k}(t) z^n$ . They satisfy

$$\begin{aligned} f_k(z,t) &= z(f_{k-1}(z,t) + (1+t)f_k(z,t) + tf_{k+1}(z,t)), \\ f_0(z,t) &= 1 + z(f_0(z,t) + tf_1(z,t)). \end{aligned} \quad (1.40)$$

Let  $f(z,t)$  satisfy  $f(z,t) = 1 + (1+t)zf(z,t) + tz^2f(z,t)^2$ . Then  $f_k(z,t) = z^k f_0(z,t) f(z,t)^k$  satisfies the first equation in (1.40). From the second equation and (1.29) we get the well-known formula (cf. e.g. [14])

$$f_0(z) = C(t,z) = \sum_{n \geq 0} C_n(t) z^n = \frac{1 + z(t-1) - \sqrt{1 - 2z(t+1) + z^2(t-1)^2}}{2tz}. \quad (1.41)$$

## Remarks

In terms of  $C(t, z)$  we get

$$\begin{aligned} \sum_{n \geq 0} A_{n,k}(t) z^n &= C(t, z) (C(t, z) - 1)^k, \\ \sum_{n \geq 0} B_{n,k}(t) z^n &= \frac{(C(t, z) - 1)^{k+1}}{z}. \end{aligned} \quad (1.42)$$

For  $t = 1$  it is well known that  $(F_n(1, 1)) = (1, 1, 0, -1, -1, 0, 1, 1, 0, -1, -1, 0, \dots)$  is periodic with period 6 because  $\alpha(1, 1) = \frac{-1 + \sqrt{-3}}{2}$  and  $\beta(1, 1) = \frac{-1 - \sqrt{-3}}{2}$  satisfy  $\alpha(1, 1)^3 = \beta(1, 1)^3 = 1$ .

For  $t = 2$  and  $t = 3$  an analogous situation obtains:  $\alpha(1, 2) = -1 + i$  and  $\beta(1, 2) = -1 - i$  satisfy  $\alpha(1, 2)^8 = \beta(1, 2)^8 = 2^4$  and  $\alpha(1, 3) = \frac{-3 + \sqrt{-3}}{2}$  and  $\beta(1, 3) = \frac{-3 - \sqrt{-3}}{2}$  satisfy

$\alpha(1, 3)^{12} = \beta(1, 3)^{12} = 3^6$ . This implies that the sequence  $\left( \frac{F_n(1, 2)}{4^{\lfloor \frac{n}{8} \rfloor}} \right)_{n \geq 0}$  is periodic with period

16 and the sequence  $\left( \frac{F_n(1, 3)}{27^{\lfloor \frac{n}{12} \rfloor}} \right)_{n \geq 0}$  is periodic with period 24.

We get  $\left( \frac{F_n(1, 2)}{4^{\lfloor \frac{n}{8} \rfloor}} \right)_{n \geq 0} = (1, 1, 0, -2, -2, 2, 4, 0, -1, -1, 0, 2, 2, -2, -4, 0, \dots)$

and

$\left( \frac{F_n(1, 3)}{27^{\lfloor \frac{n}{12} \rfloor}} \right)_{n \geq 0} = (1, 1, 0, -3, -3, 6, 9, -9, -18, 9, 27, 0, -1, -1, 0, 3, 3, -6, -9, 9, 18, -9, -27, 0, \dots)$ .

### 2.1. Background material on Lucas polynomials and central binomial coefficients

The Lucas polynomials  $l_n(x, s) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \frac{n}{n-k} \binom{n-k}{k} s^k x^{n-2k}$  satisfy the recurrence relation

$l_n(x, s) = x l_{n-1}(x) + s l_{n-2}(x)$  with initial values  $l_0(x, s) = 2$  and  $l_1(x, s) = x$ .

Let us consider the *special Lucas polynomials*  $L_n(x)$  defined by  $L_n(x) = l_n(x, -1)$  for  $n > 0$  and  $L_0(x) = 1$ .

Then  $L_n(x)$  satisfies the recursion

$$L_n(x) = xL_{n-1}(x) - \tau_{n-2}L_{n-2}(x) \quad (2.1)$$

with  $\tau_0 = 2$  and  $\tau_n = 1$  for  $n > 0$ .

The first terms of  $(L_n(x))_{n \geq 0}$  are

$$1, x, -2 + x^2, -3x + x^3, 2 - 4x^2 + x^4, 5x - 5x^3 + x^5, \dots$$

Note that  $L_n(x) = 2T_n\left(\frac{x}{2}\right)$  for  $n > 0$  if  $T_n(x)$  is a *Chebyshev polynomial of the first kind*.

Let  $(a(n, k))$  be the corresponding Catalan-Stieltjes matrix.

Then we get

$$a(n, k) = a(n-1, k-1) + a(n-1, k+1) \text{ for } k > 0 \text{ and } a(n, 0) = 2a(n-1, 1).$$

Thus  $a(n, k)$  is the weight of all non-negative NSEW-paths of length  $n$  whose endpoints are on height  $k$  where all weights  $w(E) = w(N) = w(W) = w(S) = 1$  except that  $w(S) = 2$  if the endpoint of  $S$  is on the  $x$ -axis.

The first terms are OEIS [12], A 108044,

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 3 & 0 & 1 & 0 & 0 & 0 \\ 6 & 0 & 4 & 0 & 1 & 0 & 0 \\ 0 & 10 & 0 & 5 & 0 & 1 & 0 \\ 20 & 0 & 15 & 0 & 6 & 0 & 1 \end{pmatrix}$$

This gives  $a(2n, 2k) = \binom{2n}{n-k}$  and  $a(2n+1, 2k+1) = \binom{2n+1}{n-k}$  and all other terms vanish.

With other words we get the identities

$$\begin{aligned} \sum_{k=0}^n \binom{2n}{n-k} L_{2k}(x) &= x^{2n}, \\ \sum_{k=0}^n \binom{2n+1}{n-k} L_{2k+1}(x) &= x^{2n+1}. \end{aligned} \quad (2.2)$$

Let  $M$  be the linear functional defined by  $M(L_n) = [n=0]$ . Then

$$M(x^{2n}) = \binom{2n}{n} \quad (2.3)$$

is a central binomial coefficient and  $M(x^{2n+1}) = 0$ .

Let now  $f_k(z) = \sum_{n \geq 0} a(n, k) z^n$ . Then we have  $f_k(z) = f_{k-1}(z) + f_{k+1}(z)$  for  $k > 0$  and

$$f_0(z) = 1 + 2zf_1(z). \quad \text{Then we get } f_k(z) = z^k f_0(z) f(z)^k \quad \text{with } f(z) = \sum_{n \geq 0} C_n z^n = \frac{1 - \sqrt{1-4z}}{2z}$$

by (1.8). This gives  $f_0(z) = 1 + 2zf_0(z)f(z)$  or

$$f_0(z) = M(z) = \sum_{n \geq 0} \binom{2n}{n} z^n = \frac{1}{\sqrt{1-4z}}. \quad (2.4)$$

Let us also consider the polynomials

$$R_n(x) = L_{2n}(\sqrt{x}) = \sum_{k=0}^n (-1)^{n-k} \frac{2n}{n+k} \binom{n+k}{2k} x^k \quad (2.5)$$

and

$$S_n(x) = \frac{L_{2n+1}(\sqrt{x})}{\sqrt{x}} = \sum_{k=0}^n (-1)^{n-k} \frac{2n+1}{n+k+1} \binom{n+k+1}{2k+1} x^k. \quad (2.6)$$

Let  $M_0$  be the linear functional defined by  $M_0(R_n) = [n=0]$ . Then (2.2) gives

$$M_0(x^n) = \binom{2n}{n} = M_n. \quad (2.7)$$

If  $M_1$  is the linear functional defined by  $M_1(S_n) = [n=0]$  then we get

$$M_1(x^n) = \binom{2n+1}{n} = \frac{1}{2} \binom{2n+2}{n+1} = \frac{M_{n+1}}{2}. \quad (2.8)$$

## 2.2. The Narayana polynomials of type B as moments

The *central binomial coefficients* are the special case for  $t = 1$  of the *Narayana polynomials*

$$M_n(t) = \sum_{k=0}^n \binom{n}{k}^2 t^k \text{ of type B.}$$

For  $t = 2$  we get the *central Delannoy numbers*  $(M_n(2))_{n \geq 0} = (1, 3, 13, 63, 321, 1683, \dots)$ . Here

$$M_n(2) = d_n = \sum_{k=0}^n \binom{2k}{k} \binom{n+k}{2k} = \sum_{k=0}^n \binom{n}{k} \binom{n+k}{k}.$$

Let

$$\begin{aligned} \tau_0(t) &= 1+t, \\ \tau_{2n}(t) &= \frac{1+t^{n+1}}{1+t^n} \text{ for } n > 0, \\ \tau_{2n+1}(t) &= \frac{t(1+t^n)}{1+t^{n+1}}. \end{aligned} \tag{2.9}$$

Thus the sequence  $\tau_n(t)$  satisfies  $\tau_{2n}(t) = 1+t - \tau_{2n-1}(t)$  and  $\tau_{2n+1}(t) = \frac{t}{\tau_{2n}(t)}$  with initial values  $\tau_0(t) = 1+t$  and  $\tau_1(t) = \frac{2t}{1+t}$ .

Define polynomials  $L_n(x, t)$  by the recurrence

$$L_n(x, t) = xL_{n-1}(x, t) - \tau_{n-2}(t)L_{n-2}(x, t) \tag{2.10}$$

with initial values  $L_0(x, t) = 1$  and  $L_1(x, t) = x$ .

The first terms of the sequence  $(L_n(x, t))_{n \geq 0}$  are

$$1, x, -1 - t + x^2, -\frac{x(1 + 4t + t^2 - x^2 - tx^2)}{1+t}, 1 + t^2 - 2x^2 - 2tx^2 + x^4, \dots$$

It is clear that  $L_n(x, 1) = L_n(x)$ .

Let now

$$R_n(x, t) = L_{2n}(\sqrt{x}, t). \tag{2.11}$$

These polynomials satisfy

$$R_n(x, t) = (x-1-t)R_{n-1}(x, t) - T_{n-2}(t)R_{n-2}(x, t) \tag{2.12}$$

with



$$\begin{aligned} T_n(t) &= t \text{ for } n > 0, \\ T_0(t) &= 2t. \end{aligned} \quad (2.13)$$

Then we get

$$R_n(x, t) = Q_n(x, t) - tQ_{n-2}(x, t) \quad (2.14)$$

for  $n \geq 2$  and  $R_0(x, t) = 1$  and  $R_1(x, t) = x - 1 - t$ .

For  $n > 0$  we get

$$R_n(x, t) = (-1)^n (1+t)^n + \sum_{\ell=1}^n (-1)^{n-\ell} \binom{n}{\ell} x^\ell \sum_{j=0}^{n-\ell} \binom{n-\ell}{j} \frac{\binom{\ell+j-1}{j}}{\binom{n-1}{j}} t^j. \quad (2.15)$$

We also have  $R_n(x, t) = \alpha^n + \beta^n$  for  $n > 0$ . This means that  $R_n(x, t)$  are the Lucas polynomials corresponding to  $Q_n(x, t)$ .

If we set  $R_0(x, t) = 2$  then the sequence  $(R_n(1, 1))_{n \geq 0} = (2, -1, -1, \dots)$  is periodic with period 3,

the sequence  $\left( \frac{R_n(1, 2)}{(2^4)^{\lfloor \frac{n}{8} \rfloor}} \right)_{n \geq 0} = (2, -2, 0, 4, -8, 8, 0, -16, \dots)$  is periodic with period 8, and the

sequence  $\left( \frac{R_n(1, 3)}{(3^6)^{\lfloor \frac{n}{12} \rfloor}} \right)_{n \geq 0} = (2, -3, 3, 0, -9, 27, -54, 81, -81, 0, 243, -729, \dots)$  is periodic with period 12.

Let  $D_{n,k}(t)$  be the uniquely determined polynomials such that

$$x^n = \sum_{k=0}^n D_{n,k}(t) R_k(x, t). \quad (2.16)$$

They satisfy

$$D_{n,k}(t) = D_{n-1,k-1}(t) + (1+t)D_{n-1,k}(t) + T_k(t)D_{n-1,k+1}(t) \quad (2.17)$$

with  $D_{0,k}(t) = [k=0]$  and  $D_{n,-1}(t) = 0$ .

This implies that

$$D_{n,k}(t) = [x^{n-k}] \left( 1 + (1+t)x + tx^2 \right)^n. \quad (2.18)$$

Let  $a(n, k) = [x^{n-k}] (1 + (1+t)x + tx^2)^n$ . Since  $\left(1 + \frac{1+t}{\sqrt{t}}x + x^2\right)^n$  is palindromic we have

$$[x^{2n-j}] (1 + (1+t)x + tx^2)^n = t^{n-j} [x^j] (1 + (1+t)x + tx^2)^n \quad \text{and thus}$$

$$[x^n] (1 + (1+t)x + tx^2)^{n-1} = t [x^{n-2}] (1 + (1+t)x + tx^2)^{n-1}.$$

For  $k \geq 1$  we have

$$\begin{aligned} a(n, k) &= [x^{n-k}] (1 + (1+t)x + tx^2)^n = [x^{n-k}] (1 + (1+t)x + tx^2) (1 + (1+t)x + tx^2)^{n-1} \\ &= [x^{n-1-(k-1)}] (1 + (1+t)x + tx^2)^{n-1} + (1+t) [x^{n-1-k}] (1 + (1+t)x + tx^2)^{n-1} + t [x^{n-1-(k+1)}] (1 + (1+t)x + tx^2)^{n-1} \\ &= a(n-1, k-1) + (1+t)a(n-1, k) + ta(n-1, k+1). \end{aligned}$$

For  $k = 0$  we get

$$\begin{aligned} a(n, 0) &= [x^n] (1 + (1+t)x + tx^2)^n \\ &= [x^n] (1 + (1+t)x + tx^2)^{n-1} + (1+t) [x^{n-1-0}] (1 + (1+t)x + tx^2)^{n-1} + 2t [x^{n-1-(1)}] (1 + (1+t)x + tx^2)^{n-1} \\ &= ta(n-1, k-1) + (1+t)a(n-1, 0) + 2ta(n-1, 1) = (1+t)a(n-1, 0) + 2ta(n-1, 1). \end{aligned}$$

Another formula for  $n > 0$  is

$$D_{n,k}(t) = \sum_{j=0}^n \binom{n}{j} \binom{n}{k+j} t^j. \quad (2.19)$$

This follows from  $(1 + x + tx(1+x))^n = \sum_{j=0}^n \binom{n}{j} t^j x^j (1+x)^{n-j}$  by considering the coefficient of  $x^{n-k}$ .

By (2.17) the polynomials  $D_{n,k}(t)$  can also be interpreted as the weight of all NSEW-paths of length  $n$  and whose endpoint is on height  $k$  with weights  $w(E) = w(N) = 1$ ,  $w(W) = t$ ,  $w(S) = 2t$  if the endpoint of  $S$  is on the  $x$ -axis and  $w(S) = t$  else.

Let for example  $n = 2$  and  $k = 0$ . Then we have  $w(EE) = 1$ ,  $w(WW) = t^2$ ,  $w(NS) = 2t$ ,  $w(EW) = w(WE) = t$ . For  $n = 2$  and  $k = 1$  we get  $w(NE) = w(EN) = 1$  and  $w(WN) = w(NW) = t$ .

The first terms of the sequence  $(D_{n,0}(t), D_{n,1}(t), \dots, D_{n,n}(t))_{n \geq 0}$  are

1				
1 + t	1			
1 + 4t + t <sup>2</sup>	2 + 2t	1		
1 + 9t + 9t <sup>2</sup> + t <sup>3</sup>	3 + 9t + 3t <sup>2</sup>	3 + 3t	1	
1 + 16t + 36t <sup>2</sup> + 16t <sup>3</sup> + t <sup>4</sup>	4 + 24t + 24t <sup>2</sup> + 4t <sup>3</sup>	6 + 16t + 6t <sup>2</sup>	4 + 4t	1

For  $t=1$   $D_{n,k}(t)$  reduces to  $D_{n,k}(1) = \binom{2n}{n-k}$  and we get the triangle OEIS [12], A094527,

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 2 & 1 & 0 & 0 & 0 \\ 6 & 4 & 1 & 0 & 0 \\ 20 & 15 & 6 & 1 & 0 \\ 70 & 56 & 28 & 8 & 1 \end{pmatrix}$$

For  $t=2$  we get OEIS [12], A118384,

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 3 & 1 & 0 & 0 & 0 \\ 13 & 6 & 1 & 0 & 0 \\ 63 & 33 & 9 & 1 & 0 \\ 321 & 180 & 62 & 12 & 1 \end{pmatrix}$$

The polynomials  $D_{n,k}(t)$  are gamma -nonnegative. More precisely we have

$$D_{n,k}(t) = \sum_{j=0}^{\lfloor \frac{n-k}{2} \rfloor} \binom{2j+k}{j} \binom{n}{2j+k} t^j (1+t)^{n-k-2j}. \quad (2.20)$$

The proof is analogous to the corresponding proof of (1.26).

For each non-negative NSEW- path  $u_1 \cdots u_n$  with  $u_i \in \{N, S, E, W\}$  whose endpoint is on height  $k$  there are  $i$  terms  $f(u_j)$  negative and  $i+k$  terms  $f(u_j) = 1$  for some  $i$ . We can choose  $2i+k$  places where  $u_j = N$  or  $u_j = S$  in  $\binom{n}{2i+k}$  ways. By (2.2) for  $t=1$  the weight of all non-negative paths is  $\binom{k+2i}{i}$ . The remaining  $n-2i-k$  places can arbitrarily be filled with  $W$  or  $E$ . Therefore for arbitrary  $t$  the weight of all such paths is  $\binom{n}{2i+k} \binom{k+2i}{i} t^i (1+t)^{n-k-2i}$ .

Let  $M_0$  be the linear functional defined by  $M_0(R_n(x,t)) = [n=0]$ . Then (2.16) and (2.19) imply

$$M_0(x^n) = M_n(t). \quad (2.21)$$

This result can be found in [1] and [13] and is implicitly contained in [17].

Formula (2.16) implies  $x^{2n} = \sum_{k=0}^n D_{n,k}(t)L_{2k}(x,t)$  and therefore

$$M(x^{2n}) = D_{n,0}(t) = M_n(t). \quad (2.22)$$

In the same way there are rational functions  $E_{n,k}(t)$  such that  $x^{2n+1} = \sum_{k=0}^n E_{n,k}(t)L_{2k+1}(x,t)$  which implies  $M(x^{2n+1}) = 0$ . This gives

**Theorem 2 ([1], [13], [17])**

Let  $M$  be the linear functional defined by  $M(L_n(x,t)) = [n=0]$ . Then the moments satisfy

$$\begin{aligned} M(x^{2n}) &= M_n(t), \\ M(x^{2n+1}) &= 0. \end{aligned} \quad (2.23)$$

Let us now compute the generating functions  $f_k(z,t) = \sum_{n \geq 0} D_{n,k}(t)z^n$ .

We get  $f_k(z,t) = z(f_{k-1}(z,t) + (1+t)f_k(z,t) + tf_{k+1}(z,t))$  for  $k > 0$  and  $f_0(z,t) = 1 + (1+t)zf_0(z,t) + 2tzf_1(z,t)$ .

This gives  $f_k(z,t) = z^k f_0(z,t) f(z,t)^k$  with

$$\begin{aligned} f(z,t) &= \frac{1 - (1+t)z - \sqrt{(1 - (1+t)z)^2 - 4tz^2}}{2tz^2} = \frac{C(t,z) - 1}{z} \quad \text{by (1.29)}. \quad \text{Thus} \\ f_0(z,t) &= \frac{1}{1 - (1+t)z - 2tz^2 f(z,t)} = \frac{1}{\sqrt{(1 - (1+t)z)^2 - 4tz^2}}. \end{aligned}$$

This gives

$$M(t,z) = \sum_{n \geq 0} M_n(t)z^n = \frac{1}{\sqrt{(1 - (1+t)z)^2 - 4tz^2}} \quad (2.24)$$

and

$$\sum_{n \geq 0} D_{n,k}(t)z^n = M(t,z)(C(t,z) - 1)^k. \quad (2.25)$$

## Corollary

Let

$$c_n(m, t) = \sum_{k=0}^{n-1} \binom{n-1}{k} \binom{n+m}{k+m} \frac{m}{n+m} t^k$$

with  $c_0(m, t) = 1$  be the  $m$ -fold convolution of  $C_n(t)$  with itself (cf. (3.2)).

Then for  $m \geq 1$

$$\frac{1}{\prod_{j=0}^{m-1} (n-j)} \sum_{k=0}^n \left( \frac{\partial^m}{\partial t^m} D_{n,k}(t) \right) R_k(x, t) = \sum_{j=0}^{n-m} c_{n-m-j}(m, t) x^j. \quad (2.26)$$

## Proof

By (3.4) we have

$$\frac{\partial^m}{\partial t^m} \sum_{n \geq 0} \frac{D_{n+m,k}(t)}{(n+m) \cdots (n+1)} z^n = C(t, z)^m \sum_{n \geq 0} D_{n,k}(t) z^n.$$

Therefore the left-hand side of (2.26) is the coefficient of  $z^{n-m}$  of the power series

$$C(t, z)^m \sum_{n \geq 0} \sum_{k=0}^n D_{n,k}(t) R_k(x, t) z^n = C(t, z)^m \sum_{n \geq 0} x^n z^n = \sum_{i \geq 0} c_i(m, t) z^i \sum_{\ell \geq 0} x^\ell z^\ell$$

and the coefficient of  $z^{n-m}$  is  $\sum_{j=0}^{n-m} c_{n-m-j}(m, t) x^j$ .

$$\text{Since } \frac{\partial^m}{\partial t^m} D_{n,k}(t) \Big|_{t=1} = \sum_{k=0}^n \binom{n}{j} \binom{n}{k+j} \binom{j}{m} = \binom{n}{m} \sum_{k=0}^n \binom{n}{k+j} \binom{n-m}{n-j} = \binom{n}{m} \binom{2n-m}{k+n}$$

(2.26) for  $t=1$  implies

$$\sum_{k=0}^{n-m} \binom{2n-m}{n+k} L_{2k}(x) = \sum_{j=0}^{n-m} c_j(m, 1) x^{2(n-m-j)} = \sum_{j=0}^{n-m} \frac{m}{m+2j} \binom{m+2j}{j} x^{2(n-m-j)}.$$

For  $m=1$  this reduces to

$$\sum_{k=0}^{n-1} \binom{2n-1}{n+k} L_{2k}(x) = \sum_{j=0}^{n-1} \frac{1}{1+2j} \binom{1+2j}{j} x^{2(n-1-j)} = \sum_{j=0}^{n-1} C_j x^{2(n-1-j)}.$$

It seems that there are also similar extensions of (1.22) and (1.33).

**Conjecture 1**

$$\sum_{k=0}^n \left( \frac{\partial^m}{\partial t^m} A_{n,k}(t) \right) P_k(x,t) = \prod_{j=1}^{m-1} (n-j) \sum_{j=0}^{n-m-1} (j+1)x^{j+1} c_{n-m-j-1}(m,t), \quad (2.27)$$

$$\sum_{k=0}^n \left( \frac{\partial^m}{\partial t^m} B_{n,k}(t) \right) Q_k(x,t) = \prod_{j=1}^{m-1} (n+1-j) \sum_{j=0}^{n-m} (j+1)x^j c_{n-m-j}(m,t). \quad (2.28)$$

Let me only mention one special case for  $m = 1$ .

Since  $\frac{\partial B_n(k,t)}{\partial t} \Big|_{t=1} = (k+1) \binom{2n+1}{n-k-1}$  we get

$$\sum_{k=0}^n (k+1) \binom{2n+1}{n-k-1} F_{2k+1}(x) = \sum_{j=0}^{n-1} (j+1) C_{n-1-j} x^{2j+1}.$$

**2.3. The polynomials**  $S_n(x,t) = \frac{L_{2n+1}(\sqrt{x},t)}{\sqrt{x}}$ .

Let  $\sigma_0(t) = \frac{1+4t+t^2}{1+t}$  and  $\sigma_n(t) = \frac{1+t^{n+1}}{1+t^n} + t \frac{1+t^n}{1+t^{n+1}}$ .

The polynomials

$$S_n(x,t) = \frac{L_{2n+1}(\sqrt{x},t)}{\sqrt{x}} \quad (2.29)$$

satisfy the recursion

$$S_n(x,t) = (x - \sigma(n-1,t)) S_{n-1}(x,t) - \frac{t(1+t^{n-2})(1+t^n)}{(1+t^{n-1})^2} S_{n-2}(x,t)$$

with initial values  $S_0(x,t) = 1$  and  $S_1(x,t) = x - \frac{1+4t+t^2}{1+t}$ .

**Theorem 3**

The polynomials  $S_n(x,t)$  are explicitly given by

$$S_n(x,t) = \frac{1}{1+t^n} \sum_{k=0}^n (-1)^{n-k} G_{n,k}(t) x^k \quad (2.30)$$

with

$$G_{n,k}(t) = \sum_{j=0}^{n-k} \frac{\binom{j+k}{k} \binom{n-j-1}{k-1} (n(k+1)-j)}{k(k+1)} (t^j + t^{2n-k-j}). \quad (2.31)$$

for  $k > 0$  and

$$G_{n,0}(t) = (2n+1)t^n + \sum_{j=0}^{2n} t^j. \quad (2.32)$$

The first terms of the sequence  $(G_{n,0}(t), G_{n,1}(t), \dots, G_{n,n}(t))_{n \geq 0}$  are

$$\begin{array}{ccccccc} 2 & & & & & & \\ 1 + 4t + t^2 & & 1 + t & & & & \\ 1 + t + 6t^2 + t^3 + t^4 & & 2 + 3t + 3t^2 + 2t^3 & & 1 + t^2 & & \\ 1 + t + t^2 + 8t^3 + t^4 + t^5 + t^6 & & 3 + 5t + 6t^2 + 6t^3 + 5t^4 + 3t^5 & & 3 + 4t + 4t^3 + 3t^4 & & 1 + t^3 \end{array}$$

To prove this observe that by (2.10) we get

$$xS_n(x, t) = R_{n+1}(x, t) + \tau(2n, t)R_n(x, t).$$

This is equivalent with

$$[x^{k+1}] \left( (1+t^n)R_{n+1}(x, t) + (1+t^{n+1})R_n(x, t) \right) = (-1)^{n-k} G_{n,k}(t).$$

Let us first consider the coefficient of  $t^j$  with  $j < n$ .

Comparing coefficients gives the easily verified identity

$$\begin{aligned} & -\binom{n}{k+1} \binom{n-k-1}{j} \frac{\binom{k+j}{j}}{\binom{n-1}{j}} + \binom{n+1}{k+1} \binom{n-k}{j} \frac{\binom{k+j}{j}}{\binom{n}{j}} = \\ & \frac{\binom{n-j-1}{k-1} \binom{k+j}{j} ((k+1)n-j)}{k(k+1)}. \end{aligned}$$

Now let us consider the coefficient of  $t^{2n-k-j}$ . Here we have to show that

$$(-1)^{n-k} [t^{n-k-j} x^{k+1}] (R_{n+1}(x, t) + tR_n(x, t)) = \frac{\binom{j+k}{k} \binom{n-j-1}{k-1} (n(k+1)-j)}{k(k+1)}.$$

The left-hand side is

$$\binom{n+1}{k+1} \binom{n-k}{j} \binom{n-j}{k} \frac{1}{\binom{n}{k+j}} - \binom{n}{k+1} \binom{n-k-1}{j-1} \binom{n-j}{k} \frac{1}{\binom{n-1}{k+j-1}}$$

which can be simplified to give the right-hand side.

The coefficients of  $G_{n,k}(t)$  are related to the numbers  $g(n, j, k)$  in OEIS [12] A051340, A141419, A185874, A185875, A185876.

#### Theorem 4

The functions  $E_{n,k}(t)$  which satisfy

$$\sum_{k=0}^n E_{n,k}(t) S_k(x, t) = x^n \quad (2.33)$$

are

$$E_{n,k}(t) = \frac{\sum_{j=0}^{n-k} \binom{n}{k+j} \binom{n+1}{j} (t^j + t^{n+1-j})}{1+t^{k+1}} \quad (2.34)$$

for  $n \geq k$  and  $E_{n,k}(t) = 0$  else.

As special case note that

$$E_{n,0}(t) = \frac{\sum_{j=0}^n \binom{n}{j} \binom{n+1}{j} (t^j + t^{n+1-j})}{1+t} = \frac{\sum_{j=0}^{n+1} \binom{n+1}{j}^2 t^j}{1+t} = \frac{M_{n+1}(t)}{1+t}. \quad (2.35)$$

#### Proof

By (1.1) this follows from

$$\begin{aligned} E_{n,k}(t) &= D_{n,k}(t) + \tau(2k+1)D_{n,k+1}(t) = \sum_{j=0}^{n-k} \binom{n}{j} \binom{n}{k+j} t^j + \frac{t(1+t^k)}{1+t^{k+1}} \sum_{j=0}^n \binom{n}{j} \binom{n}{k+j+1} t^j \\ &= \frac{1}{1+t^{k+1}} \left( \sum_{j=0}^{n-k} \binom{n+1}{j} \binom{n}{k+j} t^j + \sum_{j=0}^{n-k} \binom{n}{j} \binom{n+1}{k+j+1} t^{j+k+1} \right) \\ &= \frac{1}{1+t^{k+1}} \left( \sum_{j=0}^{n-k} \binom{n}{j} \binom{n}{k+j} t^j + \sum_{j=0}^{n-k} \binom{n}{k+j} \binom{n+1}{j} t^{n-j+1} \right). \end{aligned}$$



Thus the linear functional  $M_1$  defined by  $M_1(S_n(x,t)) = [n=0]$  has the moments

$$M_1(x^n) = \frac{M_{n+1}(t)}{1+t}. \quad (2.36)$$

The first terms of the triangle  $\left((1+t)E_{n,0}(t), (1+t^2)E_{n,1}(t), \dots, (1+t^{n+1})E_{n,n}(t)\right)_{n \geq 0}$  are

$$\begin{array}{ccccccc} 1+t & & & & & & \\ 1+4t+t^2 & & 1+t^2 & & & & \\ 1+9t+9t^2+t^3 & & 2+3t+3t^2+2t^3 & & 1+t^3 & & \\ 1+16t+36t^2+16t^3+t^4 & & 3+12t+12t^2+12t^3+3t^4 & & 3+4t+4t^3+3t^4 & & 1+t^4 \end{array}$$

The first terms of the triangle  $\left(E_{n,0}(2), E_{n,1}(2), \dots, E_{n,n}(2)\right)_{n \geq 0}$  are

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \frac{13}{3} & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 21 & \frac{36}{5} & 1 & 0 & 0 & 0 & 0 & 0 \\ 107 & \frac{219}{5} & \frac{91}{9} & 1 & 0 & 0 & 0 & 0 \\ 561 & \frac{1272}{5} & \frac{226}{3} & \frac{222}{17} & 1 & 0 & 0 & 0 \\ \frac{8989}{3} & 1453 & \frac{4510}{9} & \frac{1970}{17} & \frac{529}{33} & 1 & 0 & 0 \\ 16213 & 8244 & 3155 & \frac{14886}{17} & \frac{1821}{11} & \frac{1236}{65} & 1 & 0 \\ \frac{265729}{3} & \frac{233303}{5} & \frac{57799}{3} & \frac{103299}{17} & \frac{46403}{33} & \frac{14581}{65} & \frac{2839}{129} & 1 \end{pmatrix}$$

Note that the first column contains the numbers  $E_{n,0}(2) = \frac{M_{n+1}(2)}{3}$ . By [7], Theorem 5.8, the Delannoy numbers  $M_n(2)$  are multiples of 3, i.e.  $E_{n-1,0}(2) \in \mathbb{N}$ , if and only if the base 3 representation of  $n$  contains at least one 1. This is sequence OEIS [12], A081606,  $(1, 3, 4, 5, 7, 9, \dots)$ .

### 3. Convolutions of Narayana polynomials.

Finally we want to derive some convolution formulae. By (1.41) we have

$$C(t, z) = \sum_{n \geq 0} C_n(t) z^n = \frac{1 + z(t-1) - \sqrt{1 - 2z(t+1) + z^2(t-1)^2}}{2tz}$$

or equivalently

$$tzC(t, z)^2 = C(t, z) - 1 - zC(t, z) + tzC(t, z). \quad (3.1)$$

We will show that

$$C(t, z)^m = \sum_{n \geq 0} c_n(m, t) z^n \quad (3.2)$$

with

$$c_n(m, t) = \sum_{k=0}^{n-1} \binom{n-1}{k} \binom{n+m}{k+m} \frac{m}{n+m} t^k \quad (3.3)$$

and  $c_0(m, t) = 1$ .

$$\text{Note that } c_n(1, t) = \sum_{k=0}^{n-1} \binom{n-1}{k} \binom{n+1}{k+1} \frac{1}{n+1} t^k = \sum_{k=0}^{n-1} \binom{n-1}{k} \binom{n}{k} \frac{1}{k+1} t^k = C_n(t).$$

It suffices to show that

$$tzC(t, z)^m = C(t, z)^{m-1} (1 + z(t-1)) - C(t, z)^{m-2}$$

holds if we replace  $C(t, z)^m$  by  $\sum_{n \geq 0} c_n(m, t) z^n$ .

The coefficient of  $z^{n+1}$  is

$$tc_n(m, t) = c_{n+1}(m-1, t) + (t-1)c_n(m-1, t) - c_{n+1}(m-2, t).$$

The coefficient of  $t^{k+1}$  is

$$\begin{aligned} \binom{n-1}{k} \binom{n+m}{k+m} \frac{m}{n+m} &= \binom{n}{k+1} \binom{n+m}{k+m} \frac{m-1}{n+m} + \binom{n-1}{k} \binom{n+m-1}{k+m-1} \frac{m-1}{n+m-1} \\ &- \binom{n-1}{k+1} \binom{n+m-1}{k+m} \frac{m-1}{n+m-1} - \binom{n}{k+1} \binom{n+m-1}{k+m-1} \frac{m-2}{n+m-1} \end{aligned}$$

Dividing by  $\binom{n-1}{k} \binom{n+m-1}{k+m-1}$  this gives

$$\frac{m}{k+m} = \frac{n}{k+1} \frac{m-1}{k+m} + \frac{m-1}{n+m-1} - \frac{n-k-1}{k+1} \frac{n-k}{k+m} \frac{m-1}{n+m-1} - \frac{n}{k+1} \frac{m-2}{n+m-1}$$

which is easily verified.

More generally we want to show that

$$\frac{\partial^m}{\partial t^m} \sum_{n \geq 0} \frac{D_{n+m, k}(t)}{(n+m) \cdots (n+1)} z^n = C(t, z)^m \sum_{n \geq 0} D_{n, k}(t) z^n. \quad (3.4)$$

The coefficient of  $z^n$  of the left-hand side is

$$v(n, m, k) = \sum_{j=0}^n \frac{\binom{n+m}{j} \binom{n+m}{j+k} \binom{j}{m}}{\binom{n+m}{m}} t^{j-m}$$

As above it suffices to verify that

$$tzC(t, z)^m \sum_{n \geq 0} D_{n,k}(t) z^n = C(t, z)^{m-1} \sum_{n \geq 0} D_{n,k}(t) z^n (1 + z(t-1)) - C(t, z)^{m-2} \sum_{n \geq 0} D_{n,k}(t) z^n$$

or

$$tv(n, m, k) = v(n+1, m-1, k) + (t-1)v(n, m-1, k) - v(n+1, m-2, k).$$

This can easily be verified.

For  $t=1$  formula (3.2) reduces to the well-known formula

$$C(1, z)^m = \left( \frac{1 - \sqrt{1-4z}}{2z} \right)^m = \sum_{n \geq 0} \frac{m}{2n+m} \binom{2n+m}{n} z^n. \quad (3.5)$$

A well-known convolution formula for the central binomial coefficients is

$$\sum_{k=0}^n \binom{2k}{k} \binom{2(n-k)}{n-k} = 4^n. \quad (3.6)$$

A computational proof follows immediately by squaring the generating function (2.4).

For the  $m$ -fold convolution we get

$$u_m(n) = \sum_{i_1 + \dots + i_m = n} \binom{2i_1}{i_1} \binom{2i_2}{i_2} \dots \binom{2i_m}{i_m} = 4^n \binom{\frac{m}{2} + n - 1}{n} \quad (3.7)$$

since

$$\left( \sum_{n \geq 0} \binom{2n}{n} x^n \right)^m = (1-4x)^{-\frac{m}{2}} = \sum_k \binom{-\frac{m}{2}}{k} (-4)^k x^k = \sum_k \binom{\frac{m}{2} + k - 1}{k} 4^k x^k.$$

A combinatorial proof has been given in [8].

I want now to compute the corresponding convolutions of the polynomials  $M_n(t)$ .

Their generating function is

$$\sum_{n \geq 0} M_n(t) x^n = \frac{1}{\sqrt{(1+(1-t)x)^2 - 4x}}. \quad (3.8)$$

Let

$$\left( \frac{1}{\sqrt{(1+(1-t)x)^2 - 4x}} \right)^m = \sum_{n \geq 0} u_m(n, t) x^n. \quad (3.9)$$

Then we get

**Theorem 5**

$$u_m(n, t) = \sum_{k \geq 0} \binom{n+m-1}{m-1} \binom{n}{k} \frac{\prod_{j=0}^{k-1} (2n+m-1-2j)}{\prod_{j=0}^{k-1} (2k+m-1-2j)} t^k. \quad (3.10)$$

To prove these identities by induction observe that

$$u_{m-2}(n, t) = u_m(n, t) - (1+t)u_m(n-1, t) + (1-t)^2 u_m(n-2, t)$$

holds for all  $n$ .

The first 5 terms of  $u_1(n, t), u_2(n, t), \dots, u_5(n, t)$  are

1	$1+t$	$1+4t+t^2$	$1+9t+9t^2+t^3$	$1+16t+36t^2+16t^3+t^4$
1	$2+2t$	$3+10t+3t^2$	$4+28t+28t^2+4t^3$	$5+60t+126t^2+60t^3+5t^4$
1	$3+3t$	$6+18t+6t^2$	$10+60t+60t^2+10t^3$	$15+150t+300t^2+150t^3+15t^4$
1	$4+4t$	$10+28t+10t^2$	$20+108t+108t^2+20t^3$	$35+308t+594t^2+308t^3+35t^4$
1	$5+5t$	$15+40t+15t^2$	$35+175t+175t^2+35t^3$	$70+560t+1050t^2+560t^3+70t^4$

All these polynomials are palindromic and gamma-nonnegative:

$$u_m(n, t) = \sum_{k=0}^n \binom{n+m-1}{m-1} \binom{2k}{k} \binom{n}{2k} \frac{(2k)!!}{\prod_{i=0}^{k-1} (m+2i+1)} t^k (1+t)^{n-2k}. \quad (3.11)$$

For the proof we make use of Gauss's theorem for hypergeometric polynomials

$${}_2F_1 \left( \begin{matrix} a, b \\ c \end{matrix} ; 1 \right) = \frac{\Gamma(c)\Gamma(c-a-b)}{\Gamma(c-a)\Gamma(c-b)}. \quad (3.12)$$

By comparing coefficients of  $t^k$  in (3.10) and (3.11) it suffices to show that

$$\sum_{j=0}^k \frac{\binom{2j}{j} \binom{n}{2j} (2j)!! \binom{n-2j}{k-j}}{\binom{n}{k} \prod_{i=0}^{j-1} (m+2i+1)} = \frac{\prod_{j=0}^{k-1} (2n+m-1-2j)}{\prod_{j=0}^{k-1} (2k+m-1-2j)}.$$

The left-hand side can be written as  ${}_2F_1\left(\begin{matrix} -k, k-n \\ \frac{m+1}{2} \end{matrix}, 1\right)$  which by Gauss's Theorem equals

$$\frac{\Gamma\left(\frac{m+1}{2}\right)\Gamma\left(\frac{m+1}{2}+n\right)}{\Gamma\left(\frac{m+1}{2}+k\right)\Gamma\left(\frac{m+1}{2}+n-k\right)} = \frac{\prod_{j=0}^{k-1}(2n+m-1-2j)}{\prod_{j=0}^{k-1}(2k+m-1-2j)}.$$

Let us finally consider two special cases in detail.

For  $m=2$  we get

$$u_2(n,t) = \sum_{k=0}^n M_k(t)M_{n-k}(t) = \frac{1}{2} \sum_{k=0}^n \binom{2n+2}{2k+1} t^k = \sum_k \binom{n+1}{2k} t^k \sum_k \binom{n+1}{2k+1} t^k. \quad (3.13)$$

For the generating function of  $u_2(n,t^2)$  is

$$\sum_{n \geq 0} u_2(n,t^2)x^n = \frac{1}{(1+(1-t^2)x)^2 - 4x} = \frac{1}{4t} \left( \frac{(1+t)^2}{1-(1+t)^2x} - \frac{(1-t)^2}{1-(1-t)^2x} \right).$$

This implies

$$u_2(n,t^2) = \frac{(1+t)^{2n+2} - (1-t)^{2n+2}}{4t} = \frac{1}{2} \sum_{k=0}^n \binom{2n+2}{2k+1} t^{2k}.$$

The right-hand side follows from  $(1+t)^{2n} - (1-t)^{2n} = ((1+t)^n + (1-t)^n)((1+t)^n - (1-t)^n)$ .

For  $m=3$  we get

$$u_3(n,t) = \sum_k \binom{n+2}{2} \binom{n}{k} \frac{\binom{n+1}{k}}{\binom{k+1}{1}} t^k = \binom{n+2}{2} \sum_k \binom{n}{k} \binom{n+1}{k} \frac{1}{k+1} t^k = \binom{n+2}{2} C_{n+1}(t).$$

It would be interesting to find combinatorial interpretations of these results.

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