# An algorithm to assign musical prime commas to every prime number and construct a universal and compact free Just Intonation musical notation

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# 1) Abstract

Musical frequencies in Just Intonation are comprised of rational numbers. The structure of rational numbers is determined by prime factorisations. Just Intonation frequencies can be split into two components. The larger component uses only integer powers of the first two primes, 2 and 3. The smaller component decomposes into a series of microtonal adjustments, one for each prime number 5 and above present in the original frequency. The larger 3–limit component can be notated using scientific pitch notation modified to use Pythagorean tuning. The microtonal adjustments can be notated using rational

commas which are built up from prime commas. This gives a notation system for the whole of free–JI, called Rational Comma Notation. RCN is compact since all microtonal adjustments can be represented by a single notational unit based on a rational number. RCN has different versions depending on the choice of algorithm to assign a prime comma to each prime number. Two existing algorithms SAG and KG are found in the literature. A novel algorithm DR is developed based on discussion of mathematical and musical criteria for algorithm design. Results for DR are presented for primes below 1400. Some observations are made about these results and their applications, including shorthand notation and pitch class lattices. Results for DR are compared with those for SAG and KG. Translation is possible between any two free–JI notations and any two versions of RCN since they all represent the same underlying set of rational numbers.

#### 2) Introduction to free-JI notation systems

Just Intonation (JI) is the study of musical harmony where the frequency ratios between musical notes take whole numbered values. Every note in a JI musical composition has a frequency which is a rational–numbered multiple of a suitable reference frequency. Relative frequencies (hereafter, just frequencies) in JI can thus be represented by rational numbers, such as 1/1, 2/1, 5/4, 4/7, 13/11, 80/81, 65537/2. Note that the normal form for rational numbers is to be 'reduced' which is to have no common factors on top and bottom, so 4/2 reduces to 2/1, 15/6 reduces to 5/2, etc.

In mathematics, the structure of rational numbers is well understood, and the fundamental theorem of arithmetic states that every whole number has a unique factorisation in terms of prime numbers raised to non–negative powers. Moreover, every rational number has a unique factorisation in terms of prime numbers raised to any integer powers. The sequence of prime numbers starts 2, 3, 5, 7, 11, 13, 17, 19, 23, 29... (OEIS 2016, sequence 'A000040').

JI can be restricted to only use frequencies with the smallest few primes as factors (up to 2, 3, 5, 7...); these systems are called 'p-limit JI' and use only the 'p-smooth' whole numbers:

- 2-limit JI contains only a set of octaves relative to the reference frequency (...1/4, 1/2, 1/1, 2/1, 4/1, 8/1...) which is of limited musical use due to the large octave-sized gaps between successive notes.
- 3-limit JI contains the 'Pythagorean' frequencies such as 1/1, 2/1, 3/2, 4/3, 9/8. There is a 3-limit number arbitrarily close to any rational number, so it is the smallest *p*-limit which is dense on the number line. This will make it useful for constructing commas for each prime number.
- 5-limit JI contains much of classical harmony, such as major/minor thirds (5/4, 6/5), major/minor sixths (5/3, 8/5) as well as useful semitones (16/15, 25/24) and commas (80/81).
- 7–limit JI contains much of extended classical and jazz harmony such as seventh chords: the dominant seventh interval 7/4 is very useful, as well as subminor third 7/6, also 8/7, 21/16, comma 63/64.
- Higher p-limits for p = 11, 13... have not been used extensively (to date) in musical composition.
- Free–JI is just the same as the whole of JI, but emphasising the lack of a prime limit.

One way of accessing free–JI is to compose directly in whole-numbered Hertz; some higher primes may appear as factors of the whole numbered frequencies, e.g. a short melody 200Hz, 250Hz, 257Hz contains a high prime factor 257. Another way is to use a suitably powerful notation comprising of traditional note names with suitable modifiers. This paper presents a notation (RCN) of that form, and also reviews how well several other notation systems in the literature cope with free–JI.

One obstacle a good free–JI notation must overcome is that there are an infinite number of primes, each of which can occur in any positive or negative power in any given frequency. Providing for all these infinite options makes it difficult to construct a JI notation system which is simultaneously precise, concise, and readable.

Fortunately, this construction is aided by JI frequencies being decomposed into two components:

- A Pythagorean component in 3-limit JI which is usually within a semitone of the original note
- A series of microtonal adjustments from this Pythagorean approximation to the desired rational frequency

Subsequently, a JI notation can be based on combining a suitable 3–limit notation with a suitable notation for the microtonal adjustments. This is the basis for Rational Comma Notation (RCN) which is defined below.

# 3) Overview of modified SPN as a 3-limit notation

The 3–limit notation requires two components to specify an exact frequency. Although these could be the numbers (a, b) in  $2^a 3^b$ , a more usable pair of components are (L, z) or  $L_z$  where z is an octave number and L is a pitch class label. This style of notation is used for Scientific Pitch Notation (SPN) which assigns notations to 12–EDO notes. Examples of SPN include: C<sub>4</sub> for middle C, C<sub>5</sub> for the next higher C, A<sub>4</sub> for concert A, E<sub>7</sub> is a high E, F#<sub>3</sub> is the F# below middle C, Bb<sub>-53</sub> is an astrophysically low note sung by a black hole. Note that the musical sharp and flat symbols will be typed as '#', 'b' respectively.

It is possible to reassign SPN pitch class labels to use Pythagorean (3–limit) pitch classes. Examples of assigning SPN notations to Pythagorean notes include: assign 1/1 to C<sub>4</sub>, this implies 2/1 is C<sub>5</sub>, assign 3/2 to G<sub>4</sub>. assign 27/16 to A<sub>4</sub>, assign 81/64 to E<sub>4</sub>, this implies 81/8 is E<sub>7</sub>, assign 16/9 to Bb<sub>4</sub>, assign 2187/2048 to C#<sub>4</sub>, etc. In this modified SPN, sharps and flats no longer cancel out and no enharmonic note labels exist – for example, C# will be a different pitch class to Db, and F### is not the same pitch class as G#.

Note that the assignment of 1/1 to C<sub>4</sub> is arbitrary, although it is the convention followed throughout this paper. When working in a key signature other than C it is common to assign 1/1 to the note label of that key signature, e.g. when working in D then 1/1 could be assigned to D<sub>4</sub> and then C<sub>4</sub> would become 8/9. Because of this, some authors speak instead in terms of 'Pythagorean offset' which is the 3–exponent of the 3–limit number. For example, 1/1, 3/1, 81/64, 16/9 have Pythagorean offsets 0, 1, 4, -2 respectively. In this paper the convention will be to speak of 1/1 as a 'C' note due to the simplicity and intuitiveness of this way of speaking. This means that ...F, C, G, A... are being used here as a code for Pythagorean offsets, and

thus apply to every key signature, not just C. Where ideas are already stated in terms of 3–exponent, that number is not dependent on C being 1/1 and so is relevant to every key.

Note	Fract.	Dec.	Cents	Note	Fract.	Dec.	Cents	Note	Fract.	Dec.	Cents
Fb <sub>4</sub>	8192 /6561	1.2486	384.36	$F_4$	4/3	1.3333	498.04	F#4	729/512	1.4238	611.73
Cb <sub>4</sub>	2048 /2187	0.9364	-113.69	C <sub>4</sub>	1/1	1.0000	0.00	C#4	2187 /2048	1.0679	113.69
Gb <sub>4</sub>	1024 /729	1.4047	588.27	G <sub>4</sub>	3/2	1.5000	701.96	G#4	6561 /4096	1.6018	815.64
Db <sub>4</sub>	256 /243	1.0535	90.22	$D_4$	9/8	1.1250	203.91	D#4	19683 /16384	1.2014	317.60
Ab <sub>4</sub>	128 /81	1.5802	792.18	$A_4$	27/16	1.6875	905.87	$A\#_4$	59049 /32768	1.8020	1019.55
Eb <sub>4</sub>	32/27	1.1852	294.13	$E_4$	81/64	1.2656	407.82	E#4	177147 /131072	1.3515	521.51
Bb <sub>4</sub>	16/9	1.7778	996.09	$B_4$	243 /128	1.8984	1109.78	$B\#_4$	531441 /262144	2.0273	1223.46

 Table 1: Pythagorean notes in octave 4 with frequencies in fractional, decimal and cents forms

With 1/1 assigned to  $C_4$ , a total of 21 notes from the resulting 3–limit notation scheme are described above in Table 1. The seven traditional note labels 'F, C, G, D, A, E, B' are in columns which are grouped by shading, with the flatted, natural and sharped variants in rows. Double flats, double sharps (etc.) would extend this table to the left and right. Note that  $Cb_4$  and  $C_4$  must be 113 cents apart, the same for  $B_4$  and  $B\#_4$ , so these stray slightly outside the expected range for octave 4 which is 0 to 1200 cents; this little–known and paradoxical feature was also part of the original SPN scheme for 12–EDO.

Note	$C_4$	$B\#_3$	$Db_4$	C#4	$D_4$	$Eb_4$	$D\#_4$	Fb <sub>4</sub>	$E_4$	$F_4$	E#4
Fraction	1/1	531441 /524288	256 /243	2187 /2048	9/8	32/27	19683 /16384	8192 /6561	81/64	4/3	177147 /131072
Cents	0.0	23.5	90.2	113.7	203.9	294.1	317.6	384.4	407.8	498.0	521.5
Note	$Gb_4$	$F\#_4$	$G_4$	Ab <sub>4</sub>	G#4	$A_4$	Bb <sub>4</sub>	A#4	Cb <sub>5</sub>	$B_4$	C <sub>5</sub>
Fraction	1024 /729	729 /512	3/2	128/81	6561 /4096	27/16	16/9	59049 /32768	4096 /2187	243 /128	2/1
Cents	588.3	611.7	702.0	792.2	815.6	905.9	996.1	1019.6	1086.3	1109.8	1200.0

Table 2: Pythagorean notes between 0 and 1200 cents with at most one sharp or flat

The same 21 pitch classes have been illustrated in Table 2 but shifted into the range 0 to 1200 cents. All notes are in octave 4, except  $B\#_3$ ,  $Cb_5$  and  $C_5$ . The notes have been reordered into ascending order of frequency. One observation is that with 21 pitch classes the pitch class labels become jumbled – for example, an ascending sequence of Eb, D#, Fb, E occurs. This jumbling happens whenever there are

more than 12 pitch classes in a Pythagorean scale, since after sorting by ascending frequency there will be sequences of note labels such as (Db, C#) where the note labels (ignoring sharps and flats) are in descending order.

This 3–limit notation scheme suffers from a problem that the sequences B, B#, B##, B###, ... and C, Cb, Cbb, Cbbb... have octave numbers which travel outside of the octave. One possible remedy is to factor out Pythagorean commas. A Pythagorean comma is the note  $B\#_3 = 531441/524288$  (see Table 2) which is a small 'comma' interval of 23.46 cents. An inverse Pythagorean comma is Dbb<sub>4</sub> = 524288/531441, -23.46 cents. Just as sharps '#' and flats 'b' are shorthand for 2187/2048 and 2084/2187 respectively, Pythagorean commas B#<sub>3</sub> and inverse Pythagorean commas Dbb<sub>4</sub> can be given shorthands, say  $p = B\#_3$  and  $d = Dbb_4$ , leading to alternative names for some Pythagorean intervals:

Note name	$C_4$	$B\#_3$	Db <sub>4</sub>	C#4	$D_4$	$Eb_4$	$D\#_4$	Fb <sub>4</sub>	$E_4$	$F_4$	E#4
Alt. name	B#d <sub>3</sub>	Cp <sub>4</sub>	C#d <sub>4</sub>	Dbp <sub>4</sub>		D#d <sub>4</sub>	Ebp <sub>4</sub>	$Ed_4$	Fbp <sub>4</sub>	E#d <sub>4</sub>	Fp <sub>4</sub>
Cents	0.0	23.5	90.2	113.7	203.9	294.1	317.6	384.4	407.8	498.0	521.5
Note name	Gb <sub>4</sub>	$F\#_4$	G <sub>4</sub>	Ab <sub>4</sub>	G#4	$A_4$	$Bb_4$	$A\#_4$	$Cb_5$	$B_4$	C <sub>5</sub>
Alt. name	F#d <sub>4</sub>	Gbp <sub>4</sub>		G#d <sub>4</sub>	Abp <sub>4</sub>		A#d <sub>4</sub>	Bbp <sub>4</sub>	$Bd_4$	Cbp <sub>5</sub>	
Cents	588.3	611.7	702.0	792.2	815.6	905.9	996.1	1019.6	1086.3	1109.8	1200.0

Table 3: Alternative Pythagorean note names, using p and d for forward and inverse Pythagorean commas

In Table 3 above the shorthands 'p' and 'd' have been used to rewrite the notes in Table 2 in terms of only twelve consecutive note labels (in this case Db, Ab, Eb, Bb, F, C, G, D, A, E, B, F# in black text) with labels outside this range in grey text. Using 'p' and 'd', many of these notes form pairs separated by a Pythagorean comma. The notations in each pair transform into each other by adding 'p' or 'd' to the notation. Also the octave number problem is partially solved, since B#<sub>3</sub> is now Cp<sub>4</sub>, and Cb<sub>5</sub> is now Bd<sub>4</sub>, so both of these have a note name in octave 4. There will still be some notations which 'travel outside' of the octave such as Cd<sub>4</sub> and Bpppp<sub>4</sub>, however these are outside by much smaller distances than Cb<sub>4</sub> and B#<sub>4</sub> respectively from Table 2.

Using 'p' and 'd' does not shorten the more remote Pythagorean notations very much; for example, 12 copies of '#' have the same pitch class as 7 copies of 'p'; G#### is equivalent to Cppp, A######## is equivalent to Fppppp, Dbbbbb is equivalent to Addd. This is because '#' and 'p' give a 3–exponent of 7 and 12 respectively. In order to make the remote Pythagorean notations significantly shorter, a shorthand for a higher power of 3 could be used. Good commas to approximate might be  $3^{53}2^{-84}$  at +3.615 cents, or  $3^{665}2^{-1054}$  at +0.07558 cents. These 3–exponents 53 and 665 are continued fraction convergents of  $\log_2 3$  (see OEIS 2016, 'sequence A005664') which have particularly large jumps to the next convergent, meaning their powers of 3 provide excellent approximations to a whole number of octaves.

# 4) Overview of rational commas as a microtonal adjustment scheme

Now the microtonal adjustment scheme will be described. It needs a few simple properties to work well:

- For each prime number 5 and above, the prime *p* has a uniquely chosen frequency adjustment (a 'prime comma') of the form  $[p] = 2^a 3^b p$ . (In what follows, let 'higher prime' be synonymous with 'prime 5 and above'.)
  - $\circ$  Each prime comma [p] is a rational number, a fraction, a small shift of frequency up or down
  - Each prime comma [p] is microtonal, i.e. less than a semitone in size
  - Each prime comma [p] pairs a prime number p with a Pythagorean (rational, 3–limit) number  $2^{-a}3^{-b}$  of approximately the same size
  - $2^{-a}3^{-b}$  may be an integer (a, b ≤ 0), especially for large *p*, but for small *p* it is usually a fraction
  - The 3-exponent of the Pythagorean (-b) is the opposite sign to that of the comma (b)
- A prime comma adjustment can be reversed by taking the reciprocal: [1/p] = 1/[p]
- Prime commas can be multiplied or divided to define rational commas [pq] and [p/q]:
   [p][q] = [pq] and [p]/[q] = [p/q] which is the essence of the 'compact' property defined below
- Given any *x*, *y* with only prime factors 5 and above, i.e. the 5–rough numbers, [xy] and [x/y] can thus be derived iteratively from the prime factors of *x* and *y*
- The identity comma [1] = [1/1] corresponds to 'no adjustment', or 'multiply frequency by 1/1'
- In mathematical terms: rational commas form a group under multiplication

Here is a summary of how to find notations for free–JI frequencies:

- Start with a rational JI frequency of the form v/w
- Find the prime factorisation of v/w which looks like  $2^a 3^b 5^c 7^d \dots$  with a finite number of terms. Zero exponents can be ignored.
- Out of these terms, take only the terms for primes 5 and above, these give a set of terms {p<sup>k</sup>} where p ≥ 5 and k is a non-zero integer.
- The notation for v/w will include the rational commas in the set  $\{[p^k]\}$  which is the same as  $\{[p]^k\}$
- Multiply these  $[p^k]$  together to get a rational comma of the form [x/y]
- This [x/y] corresponds to a frequency shift which is itself a rational number.
- To find the 3-limit component: divide the original frequency v/w by the frequency shift [x/y]
- Use modified SPN to notate this 3–limit frequency.
- Combine the modified SPN notation with a rational comma [x/y] to get a final notation for v/w

To clarify and help explain this process above, examples will now be given of the forward and reverse processes.

<u>Turning a frequency fraction into a notation</u>: Derive a notation for 20/21. Two higher primes are present, 5 and 7, so the final notation will need to combine two prime commas, a [5] and a [7]. Their powers are 1 and –1 respectively, so the final notation will use the term  $[5]^1[7]^{-1}$  which combine to give the rational comma [5/7]. Make two assumptions: assume [5] = 80/81, and assume [7] = 63/64, so [5/7] is (80/81)/(63/64). Dividing the rational comma frequency out of the original frequency: 20/21 / ((80/81)/(63/64)) is with some simplification equal to 243/256. This is the B just below middle C  $(C_4 = 1/1)$ . Hence the Pythagorean 243/256 has notation B<sub>3</sub>, and notation for 20/21 is obtained from combining B<sub>3</sub> with [5/7] to give B[5/7]<sub>3</sub>.

<u>Turning a notation into a frequency fraction</u>: As an example of the reverse process, derive a frequency for D[35]<sub>4</sub>. Now Pythagorean D<sub>4</sub> is 9/8, and assume again that [5] = 80/81, and [7] = 63/64 which are the factors of the rational comma [35]. Then multiply these all together to get the answer: (9/8) × (80/81) × (63/64) is 45360/41472, however this simplifies to 35/32. Hence, D[35]<sub>4</sub> = 35/32. Also, since D<sub>4</sub> = 9/8 = 36/32, both the rational comma [35] and the notation C[35]<sub>4</sub> must have frequency 35/36. This is an example of how the notation C<sub>4</sub> = 1/1 is the identity element of modified SPN.

The rational commas [x/y] in this microtonal adjustment scheme have x, y with no prime factors of 2 or 3. This means that x, y are '5–rough'; they are numbers having only prime factors 5 and above. The 5–rough numbers start 1, 5, 7, 11, 13, 17, 19, 23, 25, 29, 31, 35, 37... (see OEIS 2016, sequence 'A007310) and correspond to the numbers which are  $\pm 1 \mod 6$ .

For 7–limit work (representing much of Western classical and jazz harmony) x and y are both 5–rough and 7–smooth. This is a limited set of numbers, starting 1, 5, 7, 25, 35, 49, 125... (see OEIS 2016, sequence 'A003595'). Hence for 7–limit work only a small set of commas are typically needed, such as [5], [25], [1/5], [7], [1/7], [5/7], [7/5], [35]. Only needing to use a limited set of commas makes the notation easier to use.

# 5) Rational Comma Notation (RCN) to notate the whole of free-JI

In the last two sections, two components of free-JI notation have been described:

- Modified SPN: Notates 3–limit Pythagorean harmony, using notations of the form  $L_z$  for L a note label and z an octave number
- Rational commas: Notate the set of microtonal adjustments coming from higher primes in a frequency, using notations of the form [*x*/*y*]. Each prime factor *p* of *x* represents a comma component [*p*] in [*x*/*y*], and each prime factor *q* of *y* represents a comma component 1/[*q*] in [*x*/*y*].

Combining these together gives Rational Comma Notation (RCN) which has notations of the form  $L[x/y]_z$  and which notates the whole of free–JI.

RCN requires a choice to be made for [5], [7], [11], [13], [17], [19], [23]..., i.e. [*p*] must be chosen for every  $p \ge 5$ . Since the list of primes is infinite, an algorithm must be specified to assign and manage all of these choices. Denoting the algorithm by X, let this version of RCN be called RCN<sub>X</sub>.

RCN is a notation style which does not depend on any particular algorithm being chosen. In the following sections, two algorithms are found in the literature (SAG, KG) and then a novel algorithm is described (DR) which has improved properties in some respects. When using RCN, the choice of algorithm for higher prime commas ought to be stated, e.g.  $RCN_{DR}$  or  $RCN_{KG}$ , unless a composition uses only prime commas which all known algorithms agree on. Currently [5] and [7] are defined the same in all three algorithms (SAG, KG, DR) so for 7–limit work it might not be necessary to specify an algorithm.

# 6) JI and free–JI notation systems in the literature

Regarding Just Intonation generally: many accounts have been written regarding the history of musical tuning and harmony; the reader is referred to Fauvel, Flood & Wilson (2006) for a history of tuning and temperament; to Partch (1974) for a history of tuning with Just Intonation in mind; see also Haluska (2004) and Sethares (2005). Other authors with historical overviews include Doty (2002), Downes (2008) and Sabat (2009).

Some existing examples of JI notation, microtonal commas and comma assignment algorithms:

- Helmholtz (1895) gave notation for the overtone scale from 1 to 16.
- Partch (1974) custom-built JI instruments. His notation tended to be customised for that instrument.
- Ben Johnston developed a notation system in the mid-twentieth century, described later by Snyder (2010).
- Sabat (2005) described a system of microtonal accidentals in "The Extended Helmholtz-Ellis JI Pitch Notation" and provided prime commas for primes up to 61.
- Monzo (2016) mentions prime exponent vectors which represent the exponent set of a JI interval as a vector, e.g.  $80/81 = 2^4 3^{-4} 5$  as [4, -4, 1 >
- Secor & Keenan (2012) describe a general system called Sagittal which uses arrows in various formats as accidentals to notate microtonal intervals.
- Kite Giedraitis (2016) uses a colour notation which pairs the names of colours to particular primes and their reciprocals.

Since there are already many JI notation systems, why invent a new one? The main reason is to overcome the limitations of existing systems. The various limitations are categorised below, with definitions as necessary:

- (Not) **Universal**: Let 'universal' be defined as 'having a notation for every prime, no matter how high'. Notation systems not being universal means not having a notation for primes above a certain limit, e.g. 31 or 61. Free–JI notation must be universal.
- (Not) Algorithmic: Let 'algorithmic' be defined as 'having an algorithm to assign a notation to every prime'. An algorithm is a finite description about how to assign a prime comma to any given prime. Without an algorithm the algorithm must also not be universal, since any (tractable) list of prime comma assignments is finite, which means some prime commas would be missing. Hence any free–JI notation system must have a clearly defined algorithm.
- **Unwieldy**: Notations which get longer and longer as the prime limit increases, typically by requiring a new entry to represent each new prime. Free–JI notation ought not to be unwieldy.
- (Not) **Plaintext**: Let 'plaintext' be defined as 'being able to write the notation using a computer keyboard', e.g. 'using ASCII characters with codes from 32 to 127'. Notations without a plaintext representation are difficult to enter into a computer sequencer without specialist software, or to discuss in typed format, e.g. email or online discussion forum. Ideally a free–JI notation system should have a plaintext variant available.

- **Translation** problem: Needing to learn new symbols or accidentals for each new prime. Ideally there would never be a translation problem, although any free–JI notation would require users to learn some new concepts. A good free–JI notation would minimise translation problems, although this may be a subjective matter since different individuals may variously prefer numbers, letter, colours, accidentals, arrows or other graphics. One way around this is to have more than one free–JI notation system, with software/online translation available to go between the different systems. This is briefly discussed in section (14) below.
- (Not) Mixed: Let 'mixed' be defined as 'notations which define some commas for primes 5 and above, which contain other primes 5 and above'. Conversely, 'not mixed' has every comma of the form 2<sup>a</sup>3<sup>b</sup>p; the definitions can be described by the 3–exponent b for each prime, which corresponds to a unique note label for the octave–reduced prime p/2<sup>k</sup>. An example of a mixed definition: defining the prime comma for 17 as 255/256, since then 17 is mixed with 5. In mixed systems it becomes much more convoluted to map between notations and frequencies. For free–JI, an unmixed system is strongly preferable since it makes mapping between notations and frequencies as easy as possible; this mapping was demonstrated earlier.
- (Not) **Compact**: Let 'compact' be defined as 'all higher prime information can be summarised by a single notational unit, of equivalent descriptive power as one rational number'. Lack of compactness means that: for each prime 5 and above in a musical interval, there may be no simpler representation of their effects than listing the prime commas separately. This list could theoretically extend indefinitely. However, in a compact notation all higher prime information can always be combined into a single unit which is of comparable length to a rational number. For free–JI, compact is preferable, but not essential.

Here then is an analysis of the existing notation systems listed above, with these properties in mind:

- Helmholtz's notation for overtones from 1 to 16 is not universal. It is not readily deducible how to notate an interval such as 17/16.
- Partch did not provide a general purpose notation at all.
- Johnston's notation system assigned E = 5/4, A = 5/3, B = 15/8; thus the note labels do not follow a series of perfect fifths and the effect of prime 5 is mixed into higher primes for any choice of prime commas. These make the notation system harder to use than an unmixed system.
- The Extended Helmholtz-Ellis notation has an attractive set of accidentals and blends well with traditional stave notation. However, it has several problems in the categories listed above: it is not universal, defining only accidentals up to 31 or 61 (depending on the version); it is not plaintext, hindering online discussion of its notations; it has a translation problem since two new accidental graphics (or two new combinations of accidental graphics) must be learned per prime; it has several mixed commas, two examples include comma 255/256 mixing 17 with 5, and comma 1023/1024 mixing 31 with 11.
- A prime–exponent vector notation (for example  $[-1,0,0,0,-3,5> = 2^{-1}11^{-3}13^5$  in 13–limit JI) is unwieldy. As prime limit increases, new vector components must be added. This notation style is likely to be useful only for smaller prime limits, depending on personal preference for writing out longer vectors; but not useful to notate high prime limits, hindering its usefulness for free–JI.

- Sagittal is a flexible and multipurpose notation system, capable of handling both JI and general microtonal work. It benefits from having a plaintext version available for the set of arrows/accidentals. A recent online discussion thread (Keenan & Taylor 2016) gave an algorithm 'SAG' to universally assign notations using prime comma 3–exponents from -6 to +6 (F# to Gb); algorithm SAG is outlined in section (0 below. The main drawback is the translation problem between higher prime content and a range of arrow head styles, making it hard to know which primes are in a notation without detailed recall of what all the (slightly unusual-looking!) arrow head accidentals mean. Compactness is partial, meaning that in some circumstances the separate accidentals representing higher primes might be combined, although again there is a translation issue of knowing when this might or might not be allowed or advisable, and recognising the components of a combined arrow head.
- Kite's colour notation is based on the Pythagorean series of fifths (white notes) and assigns ٠ colours to higher primes: 5 is 'yellow', 1/5 is 'green', 7 is 'blue', 1/7 is 'red'. These can be abbreviated y, g, b, r to use colour names as single-letter accidentals in a plaintext version, e.g. 'wC' for 'white C' which might be assigned to 1/1, 'yE' for 'yellow E' which is then 5/4. Primes 11, 13, 17, 19 and their inverses also receive colours and letters, giving twelve colours and letters to remember. To avoid having too many colours, primes from 23 onwards and their reciprocals are notated similarly to '23i-' '23q-' '29i-' etc. The notation is universal since an algorithm 'KG' exists to assign 3-exponents from -9 to +9 (D# to Bbb) to each prime number, based on the prime's position compared to both 12-EDO and 7-EDO scales; algorithm KG is also outlined in section (0 below. This does raise one question about the KG algorithm, which is whether the prime would be better compared to twelve consecutive Pythagoreans to classify it (as a retuned Pythagorean) instead of comparing it to the 12-EDO intervals? (The author of this paper had a previous algorithm which compared to 12-EDO, and has now switched to a comparison with twelve consecutive Pythagoreans in the current work, since this obtains 'better' results for some primes such as 11; what is meant by 'better' is discussed in the next section.) The colour notation is not compact, in that each higher prime alteration must be listed as a separate letter or item (e.g. 'yy' for a factor of 25, 'yyy' for a factor of 125), however non-compactness has a fringe benefit of allowing alternate commas and their notations to be defined for some primes, if so desired, benefiting any user who disagrees about the algorithm's default choice for particular primes.

Out of these seven notation systems described, only two had algorithms available (Sagittal, Kite's colour notation, yielding SAG and KG algorithms respectively), and so only these two systems will be able to notate free–JI. Moreover, neither SAG or KG systems are fully compact in their native notation systems. Their algorithms can however be used with Rational Comma Notation (RCN) to give two versions RCN<sub>SAG</sub> and RCN<sub>KG</sub> which are compact; any version of RCN is compact since two rational commas can always be combined into a single rational comma.

In the next section the criteria for algorithmic selection of prime commas are considered, which leads to a divergence from SAG and KG algorithms and to the development of a novel algorithm denoted 'DR'.

# 7) Criteria for selection of prime commas for novel algorithm denoted 'DR'

To recap: under a compact free–JI notation system different prime commas can be always combined into a single rational comma. Also, rational commas can be separated out into their prime components. Thus there must be a unique prime comma for each prime number. Otherwise, there is no way of mapping backwards and forwards between prime numbers and their microtonal adjustments in the JI notation. For example, the rational comma [25/7] requires that [5] and [7] are both defined and both represent a unique microtonal adjustment, otherwise [25/7] =  $[5]^2[7]^{-1}$  is ill–defined as a notation.

It follows that the choices for all prime commas must be sensible, and optimal in whichever ways are desirable. Two such ways are:

- [p] is microtonal and thus should be approximately equal to 1/1. The closer to 1/1, the better.
- $[p] = 2^a 3^b p$  is a rational number with a numerator and a denominator. The smaller these are, the better. Two reasons why:
  - Commas with small numbers are easier to work with, it is easier to translate between notations and frequencies
  - As shown below, it would cause primes near whole-numbered Pythagoreans to receive the same note label as that Pythagorean, e.g. 257 would have the same label as 256. This treats Pythagoreans amongst the whole numbers as the natural source of note labelling.

These two criteria generally work against each other; it is often possible to find a larger numerator and denominator which get [p] closer to 1/1. There turns out to be a trade–off between these two criteria, and the trade–off can be resolved satisfactorily by quantifying both into suitable metrics and then multiplying them together. Suitable metrics are:

- $AO = |\log_2(2^a 3^b p)|$  'Absolute Octaves' of how big (in octaves) the interval is
- $LCY = \log_2(2^{|a|}3^{|b|}p)$  'Log Complexity' or 'Tenney height' of how big the numbers are which also has units of octaves (see Tenney 1983, Ryan 2016)
- $CM = AO \times LCY$  'Comma Measure' which is **minimised** for an optimal prime comma (Can derive  $CM = |\log_2(n)^2 \log_2(m)^2|$  where  $2^a 3^b p$  in lowest terms is n/m)

Now the best choice of *a* can be calculated from specific values of *p* and *b*. Since [p] is near 1/1, then  $2^a 3^b$  must be near *p*. Rearranging,  $2^a$  must be near  $3^{-b}p$ . Hence, the best choice for *a* is round( $\log_2(3^{-b}p)$ ) since *a* is a whole number.

It follows that the unique prime comma [p] must be chosen from a one-dimensional list of candidate commas  $2^a 3^b p$  where the list is enumerated using integer values of *b* and then each value of *a* calculated from *p* and *b*. For each prime, its list of candidate commas will have different values for *CM*, and the candidate with the smallest *CM* can then be defined as the optimal prime comma for that prime number.

For the candidate commas, *b* could potentially take any integer value (*a* will take not all integers but a fraction  $(\log_2 3)^{-1} = 0.6309...$  of them). But should all integer values of *b* be used? No, since larger values of *a*, *b* are 'unmusical'. An example is:

- Suppose the comma [5] was assigned as 80/81, then  $E[5]_4 = 5/4$
- Suppose instead that [5] = 32805/32768, then  $Fb[5]_4 = 5/4$

• These follow from a Pythagorean E<sub>4</sub> being 81/64, and Pythagorean Fb<sub>4</sub> being 8192/6561, as set out in Table 1 above.

But all musicians know that a major third above C is labelled 'E' and not 'Fb'. Hence in Just Intonation if 1/1 is a type of C note, then 5/4 must be a type of E note, and cannot simultaneously be a type of Fb note, since E and Fb are distinct in modified SPN. So even though the candidate comma 80/81 has a larger (less optimal) value of *CM* than 32805/32768, even so 80/81 should be preferred due to musical considerations – prime commas are being chosen for musical use, not for mathematical interest.

Hence *b* will not range over the whole of the integers, but only be taken from a finite set of integers of musical relevance. Due to there being no apparent reason why this set should have any gaps, the simplest solution is for *b* to range over a set of consecutive integers. This range was fixed (-6 to +6, -9 to +9) in the two algorithms (SAG, KG) from the literature, however an argument will be made below for a dynamic range which varies according to the prime *p*.

The range can be constructed if two questions are answered: how many consecutive integers should be chosen for the range of *b*, and what is the midpoint of this range?

Suppose *b* is chosen from *N* consecutive integer values. The candidate commas form relative pitch classes equivalent to the set  $\{3^b: b = b_{\min}...b_{\max} = 0...N-I\}$ , which can be mapped to pitch classes in the octave [1/1, 2/1), reordered into ascending order, and giving a scale of *N* Pythagorean pitch classes in the octave. As an example,  $\{1, 3, 9, 27\}$  map into the octave as  $\{1/1, 3/2, 9/8, 27/16\}$ , and are reordered in ascending order as (1/1, 9/8, 3/2, 27/16, 2/1) where 2/1 has been added to complete the scale and give *N* gaps. So what properties does this type of Pythagorean scale generally have? The key property in this application is the gap structure between consecutive scale notes.

Each prime number also gives rise to a pitch class in this scale (if  $b_{\min} = 0$ ) or a transposed version of it (if  $b_{\min}$  varies) and a desirable property of the scale would be that each scale note approximates roughly the same number of primes, i.e. that the prime pitch classes fall evenly onto scale pitch classes. This only happens if the gaps in the scale are all approximately the same size. It turns out that for a special series of numbers the gap size variation is much lower than for other numbers. That special series is the list of convergents for the continued fraction series of  $\log_2 3 = 1.58496...$  which starts 1, 2, 5, 12, 41, 53, 306, 665, 15601, 31876... (see OEIS 2016, 'sequence A005664').

So – which value of scale length N to choose? The answer is, of course, to choose 12! But the reasoning is subtle, and not dependent on 12–EDO being the current cultural tuning of choice. Here is the reasoning point by point:

• Choosing N = 12 gives a very even Pythagorean scale with 5 gaps of size 113.69 cents and 7 gaps of size 90.22 cents. If the central values of *b* gave C and G then the whole scale would contain Db, Ab, Eb, Bb, F, C, G, D, A, E, B, F# Pythagorean pitch classes. For each prime, there would be a candidate comma of size less than 57 cents, which is within the microtonal range. So N = 12 is acceptable. (Note that this candidate comma is not necessarily optimal – however as seen later the (probable) largest optimal comma under the chosen algorithm is [13] = 26/27 at 65.34 cents.)

- Choosing N = 11 gives a scale with one gap of 204 cents. This means some prime numbers could be up to 102 cents from a candidate comma. Since [p] must be microtonal, potential scale gaps of over a semitone are unacceptable. so N = 11 should be rejected in favour of N = 12.
- Since for any N < 12 there will be a gap at least 204 cents, any N < 12 should be rejected in favour of N = 12.
- If *N* was restricted to only  $\log_2 3$  convergents to create an evenly spread scale, the next choice is N = 41. However, this means that the Pythagorean scale centred on C will run over 41 labels from Gbbb to F###. These labels use far more sharps and flats than N = 12, and such labels are unmusical, leading to effects such as 5/4 being labelled an Fb. It is also much less convenient for the musician to have to deal with 41 labels rather than 12. So mainly by considerations of musicality, but also of usability and convenience, reject N = 41 in favour of N = 12.
- Since any other higher  $\log_2 3$  convergents (53, 306, 665, 15601...) will also give an unmusical (and unusable/inconvenient) number of sharps and flats, reject all such values in favour of N = 12.
- Consider smaller non-convergents of  $\log_2 3$  in the range 13...40. Suppose N = 13. Then there would be a gap of 23 cents in the scale. For example, the 13-note scale from Gb to F# has Gb and F# at 23 cents apart. Moreover, Gb is lower than F#. Hence, the labels get out of sequence (see Table 2 above), so part of the scale would read 'F, Gb, F#, G'. This undesirable sequencing, combined with the uneven gap sizes of the scale, make N = 12 a better choice than N = 13.
- Similar reasoning will reject any other N = 13...40 in favour of N = 12.
- The same is true for any higher N > 41; reject it for N = 12.
- Hence by a process of elimination, if a fixed length of Pythagorean scale is being used to approximate pitch classes from primes, use the scale length N = 12.

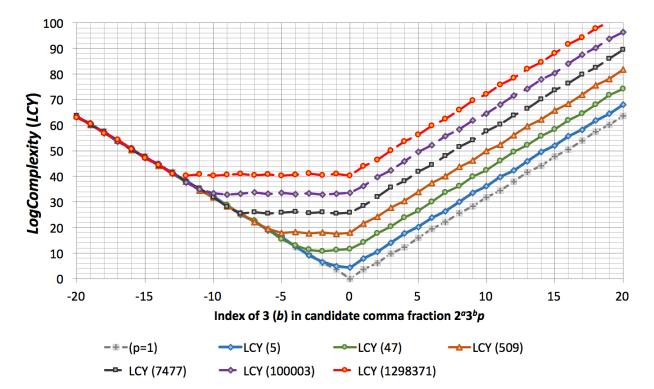
At this point the algorithm DR design choices have diverged from both SAG and KG, which have N = 13 and N = 19 respectively; for SAG this means that some note labels are out of sequence and the note labels are not used evenly; for KG the note labels would be out of sequence were it not for a reordering within the algorithm, which has the effect of having some ranges within the octave represented by a Pythagorean fraction outside of those ranges (e.g. having 550 to 600 cents represented by an F#, 729/512 at 611.73 cents).

So for the novel algorithm DR, N = 12, and *b* takes by default 12 values. What should the midpoint  $b_{\text{mid}}$  of these values be? Since  $[p] = 2^a 3^b p$  is approximately equal to 1, at the midpoint the contributions of *a* and *b* in [p] should be approximately the same size. This leads to  $2^a \approx 3^b \approx p^{-2}$ , and by taking logarithms base–3 of the right hand approximation, the midpoint is found to be  $b_{\text{mid}} = -\log_3(p)/2$ . Since this number is irrational, take the 12 integer values of *b* which are closest to  $b_{\text{mid}}$ , which are  $|b - b_{\text{mid}}| < 6$ .

Are 12 values of *b* enough to find suitable candidate commas for all prime numbers? For small prime numbers, yes. For larger prime numbers, no. Consider a large prime number *p* which was of the form  $2^{x}\pm k$ ,  $3^{y}\pm k$  or  $2^{x}3^{y}\pm k$  where *k* was very small in comparison to  $2^{x}$ ,  $3^{y}$  or  $2^{x}3^{y}$  respectively (and *x*, *y* are here positive integers). In those cases, the best candidate comma for the prime number ought to be of the form  $2^{-x}p$ ,  $3^{-y}p$  or  $2^{-x}3^{-y}p$  respectively. This leads to extending the 12 candidate commas slightly for large prime numbers so that any  $2^{a}3^{b}p$  where *p* is the numerator becomes a candidate comma. It turns out that

up to prime 375779 only the 12 candidate commas described previously are needed, but for primes from  $P_{\rm B} = 375787$  there are 13 or more candidate commas with *p* as the numerator. Two facts which can be shown are: one of these ranges is always a subset of the other, so the two ranges agree; and for large primes, the number of candidate commas grows at approximately  $\log_3(p)$ .

Some terminology for these: the 'primary range' (PR) being the candidate commas with p as the numerator, and the 'secondary range' (SR) being the 12 nearest candidates to the midpoint  $b_{mid}$ . The secondary range is only needed for smaller primes than  $P_{\rm B}$ , the primary range is used for all subsequent primes. The algorithm can thus be summarised as:



'Minimise CM over: SR for primes below P<sub>B</sub>, or over PR for primes from P<sub>B</sub> onwards'

Figure 1: Graph of *LogComplexity (LCY)* of candidate commas vs Index of 3 (*b*) for candidate commas of the form  $2^a 3^b p$ , for six primes between 5 and 1.3 million, also with a dummy data series with *p* set to 1

Figure 1 gives a useful graphical representation of the candidate commas  $2^a 3^b p$  for varying p and b. Six series have been plotted for six primes, roughly evenly spaced on a log-scale from 5 to 1.3 million. A seventh (dummy) series has been plotted for p = 1, which shows symmetry on left and right and is centered on (0, 0). On the horizontal axis, b has been varied between -20 and +20. For each (p, b) pair, a candidate comma has been calculated, and its *LogComplexity* (*LCY*) has been plotted on the vertical axis. These give the seven data series above.

Immediately the 'shape' of each series of candidate commas for each prime becomes apparent; each series splits into three regions. These can be denoted 'left', 'middle' and 'right' in relation to the graph above. They represent three distinct types of candidate comma:  $2^{|a|}p/3^{|b|}$ ,  $p/(2^{|a|}3^{|b|})$ ,  $3^{|b|}p/2^{|a|}$  respectively.

The middle region with candidates  $p/(2^{|a|}3^{|b|})$  has an approximately flat *LCY* curve with minimal values of *LCY* and lower *LCY* values than the left or right regions.

Here are some properties which hold in the graph's middle region for each prime *p*:

- It has all of the candidate commas with *p* in the numerator
- The 'middle region' is therefore the same range as PR
- Numerator and denominator are minimised in PR
- *LCY* is minimised in PR
- PR contains good candidate commas, since low *LCY* value was listed earlier as one of the two desirable features of a prime comma
- The centre of PR is very close (up to a small constant factor) to the centre of SR which is  $b_{mid}$
- There are approximately the same number of candidate commas in PR to the left and right of  $b_{mid}$

The conclusion is that all of the candidate commas in the middle region (PR) ought to be searched in the algorithm, as well as twelve candidates either side of  $b_{mid}$  (SR). Since PR varies according to p, a fixed search range (-6 to +6, -9 to +9) will not be optimal for all primes. Since existing algorithms SAG, KG only use fixed search ranges, this supports development of a novel algorithm DR with a dynamic search range.

Overall then, clear guidelines have been described for how candidate commas ought to be selected for each prime number, and how an optimal prime comma ought to be selected from these candidates. These guide the construction of algorithm DR, presented below.

# 8) Presentation of novel algorithm (DR) to determine prime commas

In this section an algorithm denoted 'DR' is given for first discovering the set of candidate commas for a prime number, and then for selecting the optimal prime comma from the candidates which minimises the *CM* value. Here is the one sentence summary of the algorithm, repeated from earlier:

#### 'Minimise CM over: SR for primes below $P_{\rm B}$ , or over PR for primes from $P_{\rm B}$ onwards'

In this sentence, *CM* is the *CommaMeasure* function, SR is the secondary range of 12 candidate commas closest to  $b_{\text{mid}}$ , PR is the primary range of candidate commas with *p* in the numerator,  $P_{\text{B}} = 375787$  is the boundary where PR overtakes SR. Here is the algorithm in full, which unpacks the definitions above:

Choose a prime number $p$ (its candidate comm	nas are all of the form $1/1 \approx 2^a 3^b p$ for integer b)
Calculate: $b_{\text{mid}} = -\log_3(p)/2$	(unlike values $b_x$ below, this $b_{mid}$ is not an integer)
Calculate: $b_{\min 1} = \text{round}(b_{\min 1} - (12-1)/2)$	
Calculate: $b_{\text{max1}} = \text{round}(b_{\text{mid}} + (12-1)/2)$	(these give the 12 'secondary range' notes for this $p$ )
Calculate: $b_{\min 2} = \operatorname{ceiling}(-\log_3(p) - 1/(2\log_2 3))$	
Calculate: $b_{\text{max2}} = 0$ (these give the 'prime	ary range' with <i>p</i> as numerator of candidate comma)
Calculate: $b_{\min} = \min(b_{\min 1}, b_{\min 2})$	

Calculate:  $b_{\text{max}} = \max(b_{\text{max1}}, b_{\text{max2}})$ 

(final range is union of the previous two ranges)

**Loop**  $b = b_{\min}$  to  $b_{\max}$ 

Calculate:  $a = round (-log_2(p) - b log_23)$  (value of a toCalculate:  $[p]_{cand} = 2^a 3^b p$  (microtonal fCalculate:  $AO = |log_2(2^a 3^b p)|$  (Absolute OcCalculate:  $LCY = log_2(2^{|a|}3^{|b|}p)$  (Log CompleCalculate:  $CM = AO \times LCY$  (Comma Mean

(value of *a* to make candidate closest to 1/1)
(microtonal frequency of candidate interval)
(Absolute Octave size of candidate interval)
(Log Complexity in octaves / Tenney Height)
(Comma Measure for optimisation)

Save *p*, *a*, *b*, [*p*]<sub>cand</sub>, *AO*, *LCY*, *CM* into a suitable table

#### End loop

A table has been obtained in which each candidate comma gives a new row

Sort the table by the column CM in increasing order

The candidate comma  $[p]_{cand}$  with minimal CM is now in the first row of the table

**Define** [p] to be this  $[p]_{cand}$  found on the first row – so the unique and optimal prime comma for p is the candidate comma found on the first row of this calculation table which has minimal *CM* across all the selected candidates.

Repeat the algorithm above for different prime numbers, as required

#### 9) Results for novel algorithm (DR) – prime commas for primes below 1400

Algorithm DR from the section above was implemented and initially run for prime numbers up to 200. Values for *p*, *a*, *b*,  $[p]_{cand}$ , *AO*, *LCY* and *CM* were recorded for all candidate commas, and for each prime number these candidate commas were sorted by ascending *CM*. The candidate comma with minimal *CM* was retained for each prime number, and presented in Table 4 below. Note that all of these columns including the 3–exponent *b* are independent of the convention  $C_4 = 1/1$ , except for the final column 'Pitch Class label of *p*' showing how the pitch class of each prime is a Pythagorean pitch class with [p] added:

р	[p] fraction	[p] cents	[ <i>p</i> ] decimal	LCY	<i>A0</i>	СМ	a	b	Pitch Class label of <i>p</i>
5	80/81	-21.51	0.9877	12.662	0.018	0.227	4	-4	E[5]
7	63/64	-27.26	0.9844	11.977	0.023	0.272	-6	2	Bb[7]
11	33/32	53.27	1.0313	10.044	0.044	0.446	-5	1	F[11]
13	26/27	-65.34	0.9630	9.455	0.054	0.515	1	-3	A[13]
17	2176/2187	-8.73	0.9950	22.182	0.007	0.161	7	-7	C#[17]
19	513/512	3.38	1.0020	18.003	0.003	0.051	-9	3	Eb[19]

Table 4: Prime commas $[p] = 2^a 3^b p$ and supporting information, for	or DR algorithm and <i>p</i> below 200
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				1	1		1		
23	736/729	16.54	1.0096	19.033	0.014	0.262	5	-6	F#[23]
29	261/256	33.49	1.0195	16.028	0.028	0.447	-8	2	Bb[29]
31	31/32	-54.96	0.9688	9.954	0.046	0.456	-5	0	C[31]
37	37/36	47.43	1.0278	10.379	0.040	0.410	-2	-2	D[37]
41	82/81	21.24	1.0123	12.697	0.018	0.225	1	-4	E[41]
43	129/128	13.47	1.0078	14.011	0.011	0.157	-7	1	F[43]
47	47/48	-36.45	0.9792	11.140	0.030	0.338	-4	-1	G[47]
53	53/54	-32.36	0.9815	11.483	0.027	0.310	-1	-3	A[53]
59	236/243	-50.60	0.9712	15.807	0.042	0.667	2	-5	B[59]
61	244/243	7.11	1.0041	15.856	0.006	0.094	2	-5	B[61]
67	2144/2187	-34.38	0.9803	22.161	0.029	0.635	5	-7	C#[67]
71	71/72	-24.21	0.9861	12.320	0.020	0.249	-3	-2	D[71]
73	73/72	23.88	1.0139	12.360	0.020	0.246	-3	-2	D[73]
79	79/81	-43.28	0.9753	12.644	0.036	0.456	0	-4	E[79]
83	83/81	42.23	1.0247	12.715	0.035	0.447	0	-4	E[83]
89	712/729	-40.85	0.9767	18.986	0.034	0.646	3	-6	F#[89]
97	97/96	17.94	1.0104	13.185	0.015	0.197	-5	-1	G[97]
101	6464/6561	-25.79	0.9852	25.338	0.021	0.544	6	-8	G#[101]
103	6592/6561	8.16	1.0047	25.366	0.007	0.173	6	-8	G#[103]
107	107/108	-16.10	0.9907	13.496	0.013	0.181	-2	-3	A[107]
109	109/108	15.96	1.0093	13.523	0.013	0.180	-2	-3	A[109]
113	1017/1024	-11.88	0.9932	19.990	0.010	0.198	-10	2	Bb[113]
127	127/128	-13.58	0.9922	13.989	0.011	0.158	-7	0	C[127]
131	131/128	40.11	1.0234	14.033	0.033	0.469	-7	0	C[131]
137	2192/2187	3.95	1.0023	22.193	0.003	0.073	4	-7	C#[137]
139	2224/2187	29.04	1.0169	22.214	0.024	0.538	4	-7	C#[139]
149	4023/4096	-31.13	0.9822	23.974	0.026	0.622	-12	3	Eb[149]
151	4077/4096	-8.05	0.9954	23.993	0.007	0.161	-12	3	Eb[151]
157	157/162	-54.28	0.9691	14.634	0.045	0.662	-1	-4	E[157]
163	163/162	10.65	1.0062	14.689	0.009	0.130	-1	-4	E[163]
167	501/512	-37.60	0.9785	17.969	0.031	0.563	-9	1	F[167]
173	519/512	23.51	1.0137	18.020	0.020	0.353	-9	1	F[173]
179	716/729	-31.15	0.9822	18.994	0.026	0.493	2	-6	F#[179]
181	724/729	-11.91	0.9931	19.010	0.010	0.189	2	-6	F#[181]
191	191/192	-9.04	0.9948	15.162	0.008	0.114	-6	-1	G[191]
193	193/192	8.99	1.0052	15.177	0.007	0.114	-6	-1	G[193]
197	197/192	44.51	1.0260	15.207	0.037	0.564	-6	-1	G[197]
199	199/192	61.99	1.0365	15.222	0.052	0.786	-6	-1	G[199]

The same algorithm DR was then run for primes p up to 1400. The optimal values of b were noted and presented in Table 5 below, with separate pairs of (p, b) distinguished by column shading. This table functions as a quick lookup table; given a prime p, look up its value for b; then all these facts about the comma can be calculated: pitch class label, 2–exponent a, prime comma fraction, comma statistics. (A graphical plot of these b values is included in Figure 2 below.)

One feature of Table 5 is that consecutive primes usually have the same *b* value; this is because the same Pythagorean would usually be the optimal approximation for primes which are only a few cents apart, and as the primes get larger then consecutive primes become closer in terms of cents.

															1				
p	b	р	b	р	b	р	b	р	b	p	b	р	b	р	b	р	b	р	b
5	-4	97	-1	211	-3	347	1	467	2	617	3	761	-1	919	2	1063	-7	1229	-9
7	2	101	-8	223	2	349	1	479	-5	619	3	769	-1	929	2	1069	-7	1231	-9
11	1	103	-8	227	2	353	-6	487	-5	631	-4	773	-1	937	-5	1087	-7	1237	-9
13	-3	107	-3	229	2	359	-6	491	-5	641	-4	787	-1	941	-5	1091	-7	1249	-9
17	-7	109	-3	233	2	367	-6	499	0	643	-4	797	-1	947	-5	1093	-7	1259	-4
19	3	113	2	239	-5	373	-6	503	0	647	-4	809	-8	953	-5	1097	-7	1277	-4
23	-6	127	0	241	-5	379	-1	509	0	653	-4	811	-8	967	-5	1103	-7	1279	-4
29	2	131	0	251	0	383	-1	521	0	659	-4	821	-8	971	-5	1109	-7	1283	-4
31	0	137	-7	257	0	389	-1	523	0	661	-4	823	-8	977	-5	1117	-7	1289	-4
37	-2	139	-7	263	0	397	-1	541	-7	673	1	827	-8	983	-5	1123	-2	1291	-4
41	-4	149	3	269	-7	401	-8	547	-7	677	1	829	-8	991	-5	1129	-2	1297	-4
43	1	151	3	271	-7	409	-8	557	-7	683	1	839	-3	997	-5	1151	-2	1301	-4
47	-1	157	-4	277	-7	419	-3	563	-2	691	1	853	-3	1009	0	1153	-2	1303	-4
53	-3	163	-4	281	-2	421	-3	569	-2	701	1	857	-3	1013	0	1163	-2	1307	-4
59	-5	167	1	283	-2	431	-3	571	-2	709	-6	859	-3	1019	0	1171	-2	1319	-4
61	-5	173	1	293	-2	433	-3	577	-2	719	-6	863	-3	1021	0	1181	-2	1321	-4
67	-7	179	-6	307	3	439	-3	587	-2	727	-6	877	-3	1031	0	1187	-2	1327	-4
71	-2	181	-6	311	3	443	-3	593	-2	733	-6	881	-3	1033	0	1193	-2	1361	1
73	-2	191	-1	313	-4	449	2	599	3	739	-6	883	-3	1039	0	1201	-9	1367	1
79	-4	193	-1	317	-4	457	2	601	3	743	-6	887	-3	1049	0	1213	-9	1373	1
83	-4	197	-1	331	-4	461	2	607	3	751	-1	907	2	1051	0	1217	-9	1381	1
89	-6	199	-1	337	1	463	2	613	3	757	-1	911	2	1061	-7	1223	-9	1399	1

Table 5: Values of 3–exponent b for prime commas  $[p] = 2^a 3^b p$ ; for DR algorithm and p below 1400

#### **10)** Some observations on these optimal prime commas

For each prime number p, the main output is the 3–exponent b, representing the match between a prime number and a Pythagorean number. Everything else of interest, such as the comma fraction, the statistics, and the note label for the prime, can be calculated once b is known.

Any new implementation of an algorithm can be checked by outputting a table of (p, b) values (similar to Table 5 above) and checking that these values agree with previous sources, e.g. that for each p in both data sources the value of b is the same. The author has used this method to verify two independent algorithm DR implementations (in Excel and Matlab/Octave) for primes up to 16000, giving confidence that both have been implemented correctly and that the results given above are reliable.

b	+3	+2	+1	0	-1	-2	-3	-4	-5
Label	Eb	Bb	F	С	G	D	А	Е	В
<b>p</b> <sub>min</sub>	19	7	11	31 (or 1, 2)	47 (or 3)	37	13	5	59
<i>p</i> <sub>max</sub>	619	3739	45077	NA	NA	NA	NA	NA	NA
b	-6	-7	-8	-9	-10	-11	-12	-13	-14
Label	F#	C# Dbp	G# Abp	D# Ebp	A# Bbp	E# Fp	B# Cp	F## Gp	C## Dp
$p_{\min}$	23	17	101	1201	7177	85817	527869	1583591	4750679

Table 6: First prime number  $p_{\min}$  to receive each 3-exponent b and each note label;  $p_{\max}$  supplied if it exists.

In Table 6 the lowest prime numbers  $p_{\min}$  are given which receive each value of *b* and its note label. The highest possible value for  $b_{\max}$  is +5, at prime 5. This would correspond to a candidate comma with note label Db. However, due to the positions of the octave-reduced primes within the octave, the highest actual *b* value achieved for any prime comma is +3. This corresponds to an Eb, and occurs at primes 19, 149, 151, 307, 311, 599, 601, 607, 613, 617 and 619 only. For b = +3, +2, +1 the note labels are Eb, Bb, F respectively and these occur a finite number of times each. For b = 0, -1, -2, -3... the note labels are C, G, D, A... and these all occur an infinite number of times.

One explanation for this is otonality. The (otonal) harmonic series is 1/1, 2/1, 3/1, 4/1... which contains both integer Pythagoreans of positive offset (3/1, 9/1, 27/1...) and prime numbers (5/1, 7/1, 11/1...). Since primes are otonal, matching them with otonal Pythagoreans makes more sense in general, indeed algorithm DR does this through considering all of the candidate commas in the primary range PR. With the convention of 1/1 being a C, the set of otonal labels starts C, G, D, A, E... and these will be the labels generally used for primes. The finite set of exceptions where primes receive utonal labels (F, Bb, Eb) are due to SR extending from the otonal midpoint  $b_{mid}$  into 'utonal territory' due to requiring a minimum of 12 candidate commas for each prime.

In Table 6 from C# onwards some alternative note labels have been given using 'p', the shorthand for a Pythagorean comma 531441/524288, such as B# = Cp, C## = Dp, etc. The table gives the first E#, B#, F## and C##; it may however be desirable to use notations Fp, Cp, Gp, Dp instead for these which

eliminate double sharps and the unusual labels E# and B#. The table shows that double sharps (F## onwards) only occur for primes greater than 1.5 million, which means that for most primes likely to be used in musical composition the decision about how to represent double sharps need not be considered.

р	13	797	937	2389	199	7159	1877	1193	313
[ <i>p</i> ]	26/27	797/768	937/972	2389/2304	199/192	7159/6912	1877/1944	1193/1152	313/324
Size (cents)	-65.34	+64.17	-63.49	+62.72	+61.99	+60.79	-60.72	+60.54	-59.80

Table 7: Largest prime commas found for p < 100000</th>

Prime commas were searched for *p* below 100000 and sorted by comma size *AO*; the nine largest commas found are listed in Table 7. The largest prime comma found was [13] = 26/27, at -65.34 cents, or approximately 2/3 of a semitone. It is likely to be the largest comma of all; above  $P_{\rm B}$  the Pythagorean scales start getting larger than 12 notes, at that point the gaps get smaller. Moreover, for larger primes *p* is always in the numerator and *LCY* is approximately constant, so *CM* is determined mainly by *AO*, and the smallest comma (which is within 57 cents of the prime) would tend to be optimal. Hence it is not expected that a larger optimal comma will be found for any other prime.

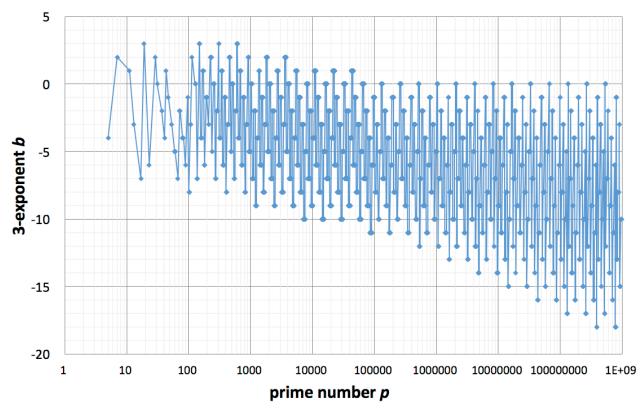


Figure 2: Graph of 3-exponent (b) of comma vs prime (p)

In Figure 2 a graph of 3–exponent *b* is given for a large range of *p* values below  $10^9$ . Minimum and maximum values of *b* can be read off for each range of *p*; assisted by Table 6 above, *p* = 45077 is the last prime with a positive value of *b*. For very small primes *b* appears random, but a regular order appears for

primes above approximately 500. Subsequently *b* cycles between 12 values as *p* increases, but above  $P_{\rm B} = 375787$  then *b* cycles between more than 12 (non-positive) values and takes a fixed maximum value 0. As *p* increases to 10<sup>9</sup>, *b* is seen to cycle between more and more values.

The 3-exponents of the Pythagorean and the prime comma are negatives of each other. This means that given *b* for the prime comma, the Pythagorean note name has 3-exponent -b. Some examples from Table 4:

- 191, 193, 197 all have b = -1 and are all near 192. Now 192/128 = 3/2 which is a (Pythagorean) G and which has 3-exponent of 1. So b = -1 implies 191, 193, 197 are all microtonal adjustments of a G.
- 127 and 131 (b = 0) are both microtonal adjustments of a C since they are near 128, a power of 2 which has 3-exponent of 0.
- 71 and 73 (b = -2) are both near 72; 72/64 = 9/8 which is a D with 3-exponent of 2. So 71 and 73 are both microtonal adjustments of a D.

Prime commas [p] are always much less than one semitone, however rational commas may be more than one semitone. For example, [13] is -65 cents, and [31] is -55 cents. Together,  $[13] \times [31] = [403]$  is -120 cents. However, this is unusual, since most prime commas being combined are either smaller than these examples, or their directions cancel out. So most rational commas will be less than a semitone, especially for the type of rational comma of greatest practical use, which have smaller numbers and only a few prime factors.

So all prime commas are microtonal, and most (but not all) useful rational commas are microtonal. The important thing is that the rational commas are (almost always) small in relation to the Pythagorean component, which is the case under this scheme for Pythagorean notes not too near  $C_4 = 1/1$ .

It is possible for x/y to equal [x/y]. Consider [73] = 73/72 and [71] = 71/72. Then [73/71] = (73/72) / (71/72) and hence [73/71] = 73/71. Also, [61/59] = 61/59 since [61] = 244/243 and [59] = 236/243. In fact, rational commas for which x/y equals [x/y] are closed under multiplication, hence form a subgroup of the whole set of rational commas. An interesting item of further work would be to ascertain exactly which commas these were, and to find which ones have the lowest prime limits. It is hypothesised that there are no such commas in 5–limit or 7–limit, but that one of the simplest in 11–limit is  $[12005/14641] = [5][7]^4[11]^{-4} = (80/81)(63/64)^4(33/32)^{-4} = 12005/14641$ .

# 11) Shorthand notation for commas

The notation scheme can be tweaked for increased usability. For example,  $L[x/y]_z$  is a specific note, and L[x/y] is a pitch class. Possible tweaks to make the pitch class quicker to write include:

- $L[x] = L \sim x$  (the ~ symbol is much quicker to write than two square brackets [])
- $L[1/y] = L_y$
- $L[x/y] = L \sim x_y$
- L[5] = L'
- L[1/5] = L.

(it is especially useful to have shorthand for 5-limit notation)

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- L[25] = L"
- L[1/25] = L.. (and accordingly for more powers of 5)
- L[5x/y] = L'[x/y] (showing how a factor of 5 can be factored out into shorthand)
- L' = L[5/5] = L (shorthand
- L[7] = L~7
- L[1/7] = L 7

(shorthands for 5 and 1/5 cancel out)

#### (shorthand makes writing undertones a lot quicker)

These types of usability tweak could no doubt be extended from pitch classes to notes, as long as the octave number was recorded unambiguously, e.g.  $(L \sim x_y)_z$  – however this form is not currently recommended since it requires more symbols than the original notation!

Shorthand notations such as L' and L. are similar in function to accidentals in other notations, such as the 5–limit accidentals in extended Helmholtz–Ellis (Sabat 2005). However, the translation problem increases as more accidentals are introduced. It seems optimal to have accidentals for [5] and [1/5], and possibly also for [7] and [1/7], but not for higher primes. For these it is unlikely to be worth the trade–off; increased ease of writing but decreased ease of translation, higher primes having a lower usage frequency.

Higher prime information can never be entirely replaced with accidentals, due to there being an infinite number of degrees of freedom to notate for, and a finite number of accidental definitions possible at any one time. Typically, each degree of freedom requires two accidentals to notate, one for the prime comma and one for its reciprocal. To access all higher primes there will be no alternative but to either list the higher primes (as in Kite's colour notation) or to encode them compactly into a notation similar to a rational number (as in versions of RCN presented here).

# 12) Application to pitch class lattices

One application for the shorthand notations is to write out pitch class lattices (Figure 3, Figure 4 below). These provide a geometric reference for common harmonies. For example, the C major triad (C E' G) becomes an upwards–pointing triangle in a pitch class lattice; the G minor triad (G Bb. D) becomes a downwards pointing triangle. Pitch class lattices provide a link between geometry and harmony. They are extremely useful for visualising melodic progress or harmonic spread in a composition.

Two useful pitch class lattices are the 5–limit (Figure 3) and 7–limit (Figure 4) variants. Horizontal movement corresponds to the pitch class changing by a power of 3; up-right and down-left diagonal movement to a power of 5; diagonally in or out of the page (Figure 4 only) a power of 7. Pythagorean series such as Bb, F, C, G, D, A, E, B, F# which vary by fifths/fourths are therefore oriented horizontally. These horizontal series reoccur at different positions in the lattice, each position corresponding to different 5–limit or 7–limit commas being applied to the series as a whole.

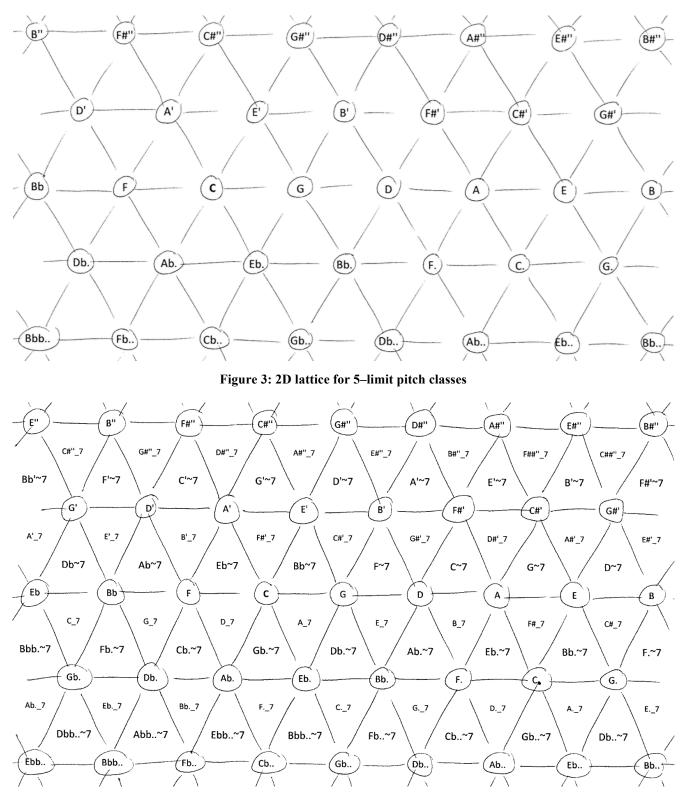


Figure 4: Three layers of 3D lattice for 7-limit pitch classes

It is recommended that composers in Just Intonation use these types of pitch class lattice to give geometric insight into how their compositions travel around in 5–limit or 7–limit space. Often this helps suggest new or alternative notes, which would not be apparent without the pitch class lattice to visualise. It does not matter if a few notes in a composition have a higher *p*–limit; what is important is to

understand the geometry of the 7–limit component of the music properly. The lattice is much more helpful with this than the prime factorisations or raw frequency information are. The lattice also provides a new way of looking at existing harmonies, for example, hearing an interesting song on the radio and tracking how its tune progresses through the different pitch classes, and whether comma pumps emerge, which are progressions such as C F D' G' C'.

# 13) Comparison of three algorithms (DR, SAG, KG) for assigning 3-exponent b

The two algorithms SAG, KG from the literature are now compared with the novel algorithm DR presented in this paper. SAG is the +6 to -6 scheme for Sagittal notation (Keenan & Taylor 2016) and KG is the +9 to -9 scheme for Kite Giedraitis's colour notation (Giedraitis 2016). Here is a synopsis of how SAG and KG choose the values of 3–exponent *b* and hence choose prime commas:

- The Sagittal (SAG) algorithm:
  - searches values of b in this order: 0, +/-1, +/-2, +/-3, +/-4, +/-5, +/-6
  - accepts a comma if its size is below 68.57 cents. This value is half of a Pythagorean comma B#<sub>3</sub> = 531441/524288 plus a C#<sub>4</sub> = 2187/2048, i.e. smaller than  $(3^{19}2^{-30})^{0.5}$
  - $\circ$  if both + and values of *b* give small enough candidates, choose *b* value of the smaller candidate comma
  - Table 8 below can be used as a lookup table, mapping p (in cents in the octave) to a range in the octave, and thus to b value
- The Kite (KG) algorithm for Kite Giedraitis's colour notation:
  - $\circ$  is guided by how 12–EDO and 7–EDO intersect in the octave
  - $\circ$  their boundaries can be calculated from 24–EDO and 14–EDO
  - $\circ$  when sorted, these boundaries divide the octave into 20 ranges
  - these ranges are given below in Table 9
  - $\circ$  each range is assigned a distinct value of b from -9 to +9, except 0 which occurs twice
  - $\circ$  map p into the octave and use Table 9 to lookup its range and thus its value for b

 Table 8: Ranges in the octave for 3-exponent b in SAG algorithm

Start of Range	End of Range	Width of Range	3-exp. <i>b</i>	Pythag. Fraction	Pythag. Cents	Note Label	Octave Number
0.00	68.57	68.57	0	1/1	0.00	С	4
68.57	135.34	66.76	5	256/243	90.22	Db	4
135.34	272.48	137.15	-2	9/8	203.91	D	4
272.48	362.71	90.22	3	32/27	294.13	Eb	4
362.71	429.47	66.76	-4	81/64	407.82	Е	4
429.47	566.62	137.15	1	4/3	498.04	F	4
566.62	600.00	33.38	6	1024/729	588.27	Gb	4
600.00	633.38	33.38	-6	729/512	611.73	F#	4
633.38	770.53	137.15	-1	3/2	701.96	G	4

770.53	837.29	66.76	4	128/81	792.18	Ab	4
837.29	927.52	90.22	-3	27/16	905.87	А	4
927.52	1064.66	137.15	2	16/9	996.09	Bb	4
1064.66	1131.43	66.76	-5	243/128	1109.78	В	4
1131.43	1200.00	68.57	0	2/1	1200.00	С	5

In Table 8 the SAG algorithm has a maximum range width of 137.15 cents which occurs for 3–exponents -2, -1, 0, +1, +2 (summing the widths of the two occurrences of 0). Other 3–exponents have lower widths, meaning that there is a preference for 3–exponents near zero, implemented by searching the smaller 3–exponents first and stopping if an adequate comma has been found. The note labels only get out of sequence once (F, Gb, F#, G) and this could be eliminated by combining 3–exponents 6 and -6 into one range of width 66.76 cents, perhaps using b = -6 and F# due to its less complex commas with  $p/2^n$ .

Start of Range	End of Range	Width of range	12 EDO	7 EDO	b	Pythag. Fraction	Pythag. Cents	Interval Label	Note Label	Octave Number
0.00	50.00	50.00	0	0	0	1/1	0.00	P1	С	4
50.00	85.71	35.71	1	0	-7	2187/2048	113.69	A1	C#	4
85.71	150.00	64.29	1	1	5	256/243	90.22	m2	Db	4
150.00	250.00	100.00	2	1	-2	9/8	203.91	M2	D	4
250.00	257.14	7.14	3	1	-9	19683/16384	317.60	A2	D#	4
257.14	350.00	92.86	3	2	3	32/27	294.13	m3	Eb	4
350.00	428.57	78.57	4	2	-4	81/64	407.82	M3	Е	4
428.57	450.00	21.43	4	3	8	8192/6561	384.36	d4	Fb	4
450.00	550.00	100.00	5	3	1	4/3	498.04	P4	F	4
550.00	600.00	50.00	6	3	-6	729/512	611.73	A4	F#	4
600.00	650.00	50.00	6	4	6	1024/729	588.27	d5	Gb	4
650.00	750.00	100.00	7	4	-1	3/2	701.96	P5	G	4
750.00	771.43	21.43	8	4	-8	6561/4096	815.64	A5	G#	4
771.43	850.00	78.57	8	5	4	128/81	792.18	m6	Ab	4
850.00	942.86	92.86	9	5	-3	27/16	905.87	M6	Α	4
942.86	950.00	7.14	9	6	9	32768/19683	882.40	d7	Bbb	4
950.00	1050.00	100.00	10	6	2	16/9	996.09	m7	Bb	4
1050.00	1114.29	64.29	11	6	-5	243/128	1109.78	M7	В	4
1114.29	1150.00	35.71	11	7	7	4096/2187	1086.31	d8	Cb	5
1150.00	1200.00	50.00	12	7	0	2/1	1200.00	P8	С	5

Table 9: Ranges in the octave for 3-exponent b in KG algorithm

For KG algorithm, in Table 9 the interval label is constructed from the 7–EDO position (plus 1) with a descriptive letter: P for 'perfect, M for 'major', m for 'minor', d for 'diminished', A for 'augmented'. Most of the ranges have note labels in octave 4, however the two note labels in octave 5 (Cb, C at the bottom, shaded grey) still create microtonal commas since the prime maps into the octave close to 2/1. The further away *b* is from 0, the narrower its lookup range; *b* values of 0, +/-1, +/-2 have 100 cent ranges, and +/-9 has only 7.14 cent ranges. This gives a preference for values of *b* near 0.

This algorithm keeps the note labels in order (e.g. F, F#, Gb, G) but it does so at the expense of fractions sometimes being out of order and not being in the range they represent. For example, 729/512 (a Pythagorean F#) is 611.73 cents, but represents a range of 550 to 600 cents, and then 1024/729 (a Gb) is 588.27 cents and represents 600 to 650 cents. This aspect of the algorithm is counterintuitive.

Now that algorithms SAG and KG have been presented, results are compared between the three algorithms:

р	<b>b</b> <sub>DR</sub>	comma <sub>DR</sub>	label <sub>DR</sub>	<b>b</b> <sub>SAG</sub>	comma <sub>SAG</sub>	label <sub>SAG</sub>	<b>b</b> <sub>KG</sub>	comma <sub>kG</sub>	label <sub>KG</sub>
5	-4	80/81	Е	-4	80/81	Е	-4	80/81	Е
7	2	63/64	Bb	2	63/64	Bb	2	63/64	Bb
11	1	33/32	F	1	33/32	F	-6	704/729	F#
13	-3	26/27	А	-3	26/27	А	4	1053/1024	Ab
17	-7	2176/2187	C#	5	4131/4096	Db	5	4131/4096	Db
19	3	513/512	Eb	3	513/512	Eb	3	513/512	Eb
23	-6	736/729	F#	-6	736/729	F#	6	16767/16384	Gb
29	2	261/256	Bb	2	261/256	Bb	2	261/256	Bb
31	0	31/32	С	0	31/32	С	7	67797/65536	Cb
37	-2	37/36	D	-2	37/36	D	-9	18944/19683	D#
41	-4	82/81	Е	-4	82/81	Е	8	269001/262144	Fb
43	1	129/128	F	1	129/128	F	1	129/128	F
47	-1	47/48	G	-1	47/48	G	-1	47/48	G
53	-3	53/54	Α	-3	53/54	А	-3	53/54	А
59	-5	236/243	В	2	531/512	Bb	-5	236/243	В
61	-5	244/243	В	-5	244/243	В	7	133407/131072	Cb
67	-7	2144/2187	C#	5	16281/16384	Db	-7	2144/2187	C#
71	-2	71/72	D	-2	71/72	D	-2	71/72	D
73	-2	73/72	D	-2	73/72	D	-2	73/72	D
79	-4	79/81	Е	-4	79/81	Е	-4	79/81	Е
83	-4	83/81	Е	1	249/256	F	1	249/256	F
89	-6	712/729	F#	6	64881/65536	Gb	-6	712/729	F#
97	-1	97/96	G	-1	97/96	G	-1	97/96	G

Table 10: Values of *b*, prime commas and note labels for algorithms DR, SAG and KG

In Table 10 a comparison has been made between 3–exponents from DR, SAG and KG algorithms, for primes from 5 to 97. Columns have been shaded to separate the different algorithms. The DR and SAG algorithms agree on all these primes except for 17, 59, 67, 83 and 89. The KG algorithms gives different results for many primes, including some quite complex commas, e.g. 269001/262144 for 41, making it an Fb, rather than 82/81 which makes 41 an E.

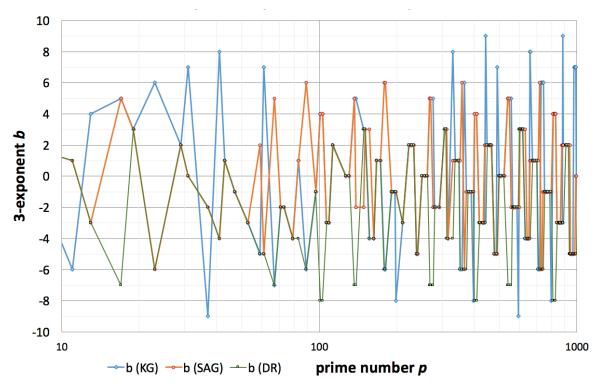


Figure 5: Graph of 3-exponent b vs p for algorithms KG, SAG, DR (primes from 10 to 1000)

In Figure 5 the 3–exponents (*b*) are plotted for all three algorithms, for primes from 10 and 1000. The series coincide wherever the algorithms agree. This happens for primes 5, 7, 19, 29, 43... Otherwise for a specific prime there may be two or even three different values of *b* for the different algorithms. The first prime to give a different result in all three algorithms is 139, with *b* values of -7 (DR), -2 (SAG) and +5 (KG).

In Figure 6 the previous analysis is repeated for a much wider range of primes up to 1 billion. When the primes are small, the series are seemingly random; however by the time the primes have passed 2000 a pattern has emerged in the data series for all three algorithms; as p passes through each octave the b values cycle through the set of allowed integer values in a regular pattern.

The data plotted in Figure 6 was complete for primes from 1 to  $10^5$ , but partial for  $10^5$  to  $10^9$ . The regular pattern for primes above 2000 was not interrupted by the partial data set; the regular cycle occurred once per octave; enough data points were included to produce every value of *b* in each cycle for each algorithm, which was possible since nearby primes share the value of *b*. So the regular pattern was seen to continue from  $10^4$  to  $10^9$  when using a logarithmic horizontal axis for the primes.

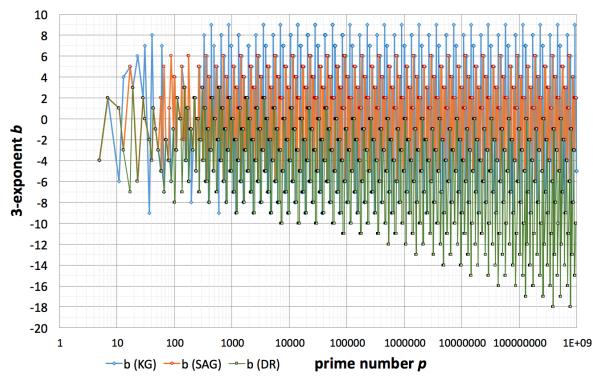


Figure 6: Graph of b vs p for algorithms KG, SAG, DR (primes from 5 to 10<sup>9</sup>; data is partial above 10<sup>5</sup>)

KG and SAG both used fixed ranges of *b*; KG (blue) has a wider range than SAG (orange), hence it is more spread out on the graph. DR (green) used a dynamic range for *b* which gradually became more negative in roughly linear relationship with  $log_3(p)$ . Thus the three data series separate out for higher primes and no longer would be expected to coincide very much. Nonetheless, since *b* = 0 is allowed in algorithm DR for arbitrarily high *p*, the set of *p* for which all three algorithms agree is expected to be unbounded.

An empirical frequency distribution comparison (Figure 7) was made for the three algorithms. For SAG, KG algorithms range tables have been given earlier (Table 8, Table 9) for assigning 3–exponents to primes. These were based on the position of each prime in the octave. The range widths were uneven for both algorithms. This should make some 3–exponents more common than others. To test this hypothesis, the 3–exponents were counted under all three algorithms (DR, KG, SAG) for primes from 50000 to 100000. Since the prime numbers are not evenly distributed, a weighting of  $\ln(p)/p$  was used in the counting process. This is based on the Prime Number Theorem which states the approximate count of primes (from 1 to p) is  $p/\ln(p)$ , so this weighting eliminated the natural tendency for higher cents ranges of an octave to contain more primes.

The result was a measure of relative frequency for each 3–exponent (*b*) for each algorithm, giving the three data series in Figure 7. These data agreed well with the range widths, e.g. SAG algorithm had the five central data points for -2, -1, 0, +1, +2 at roughly equal heights, agreeing with their equal range sizes in Table 8. Empirical frequency values for -5, -4, +4, +5 are also very similar. For KG algorithm a smooth curve was obtained which agreed in shape with the range widths in Table 9. For DR algorithm each 3–exponent was approximately equally likely, and there was a tendency towards negative *b* values. Overall the empirical frequencies agreed with the expected values from the range widths.

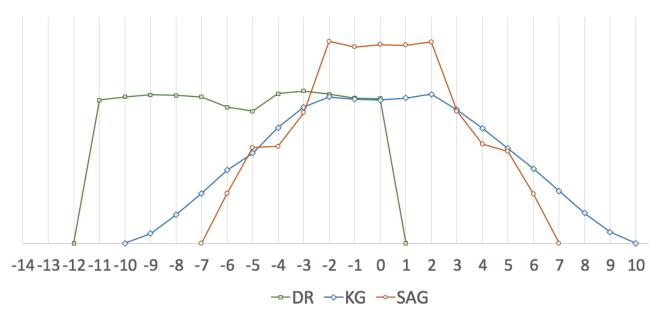


Figure 7: Graph of relative frequency of each 3-exponent for primes from 50000 to 100000, weighted by ln(p)/p, for algorithms DR, KG, SAG. These are empirical probability distributions so the vertical axis has been omitted.

When choosing which of these three algorithms to employ for assigning musical prime commas [p], there is a subjective aspect depending on personal preference. KG makes each prime fit in with the surrounding 12–EDO and 7–EDO notes. SAG tries to get the 3–exponent as low as possible. DR tries to name primes based on existing names of nearby Pythagoreans, which is equivalent to minimising both comma size and comma complexity. The debate continues as to which approach is best.

It is the author's opinion that the latter process of naming primes by nearby Pythagoreans is the right approach. Take the prime  $p = 59051 = 3^{10}+2$ . Under DR, KG, SAG algorithms this is an A#, Bb, Bb respectively. Now  $59049 = 3^{10}$  is a Pythagorean A#, and 59091/59049 is 0.06 cents. Hence it is logical for primes near 59049 to be notated as small modifications of A#. On the other hand, Bb is 23 cents away and has no special relationship with 59051, like 59049 does. So surely 59051 must be an A#. (With the shorthand 'p' for Pythagorean comma, A# = Bbp, meaning perhaps A# and Bb are not so different!) Here are a series of these types of examples:

- 59051 (prime) =  $3^{10}+2$  ought to be an A# (like 59049 =  $3^{10}$ ), not a Bb
- 19681 (prime) =  $3^9$ -2 ought to be a D# not an Eb
- 6563 (prime) =  $3^8$ +2 ought to be a G# not an Ab
- $2179 \text{ (prime)} = 3^7 7 \text{ ought to be a C# not a Db}$
- 727 (prime) =  $3^6$ -2 ought to be an F# not a Gb (KG only)
- $241 \text{ (prime)} = 3^5 2 \text{ ought to be a B. All three algorithms assign this correctly.}$

For the first four examples, both KG and SAG assign the flatted note label instead of the sharped label. However, the Pythagorean series  $3^n$  has note labels C, G, D, A, E, B, F#, C# (when starting at C = 1/1) and uses only sharped labels, not flatted labels. So it makes little sense to assign a prime near  $3^n$  a flatted note label. The same reasoning holds for a prime near  $2^m 3^n$ . This helps justify a prime naming convention based on nearby whole-numbered Pythagoreans, which implies prioritizing commas  $2^a 3^b p$  with p in the numerator, implying the DR algorithm above. It also implies simpler commas, which are easier to work with, especially when translating between notation and frequency. Conversely, a criticism of KG and SAG algorithms is that they give too many flatted labels (which do not fit the primes), as can be seen in Figure 7 for the range b > 0, resulting in some unnecessarily complex commas in Table 10.

# 14) Translation between different free–JI algorithms and notations

Rational Comma Notation (RCN) can be used to notate the whole of free–JI; a specific version RCN<sub>X</sub> requires an algorithm X to be specified which assigns microtonal frequency adjustments [*p*] to each higher prime *p*. Three algorithms (DR, SAG, KG) are available to make these assignments. Some frequencies will have different RCN notations under different choices of algorithm, e.g. 11/8 may be an  $F[11]_4$  or an  $F#[11]_4$  depending on the choice of algorithm.

It is always possible to translate between any two versions of RCN. This is because each  $RCN_X$  notation represents a rational number, and every rational number has an  $RCN_X$  notation under any algorithm X. So to translate between  $RCN_X$  and  $RCN_Y$ , first map notations in  $RCN_X$  to numeric frequencies, and then map frequencies to notations in  $RCN_Y$ . So by using frequencies as an intermediate stage, all versions of RCN can have translations between them.

In addition, SAG and KG algorithms have their own native plaintext formats for notation; Sagittal (SAG) in terms of (ASCII) arrows, and Kite's colour notation (KG) in terms of colours and their acronyms. There will be correspondences between KG plaintext and  $RCN_{KG}$  via numeric frequencies, and between SAG plaintext and  $RCN_{SAG}$  via numeric frequencies. So all the free–JI notation systems are of equal power, and translation is in theory possible between any two of them.

Since it is expected that different musicians will prefer different free–JI algorithms and/or notation schemes, it will be useful to have software which can automatically translate between these. Rather than try to specify this software in detail here, a few examples are given of translations; primarily in terms of versions of RCN, with optional translation into SAG or KG native plaintext:

#### Example 1

- Translate  $E[5]_4$  and  $Bb[7]_4$  from  $RCN_{DR}$  into both  $RCN_{SAG}$  and  $RCN_{KG}$
- Answer: The commas [5] and [7] are the same in all three algorithms, so E[5]<sub>4</sub> and Bb[7]<sub>4</sub> remain unchanged. In Kite's colour notation (KG) native plaintext, E[5] is 'yellow-3' or 'y3', Bb[7] is 'blue-3' or 'b7'

#### Example 2

- Translate  $F[11]_4$  from  $RCN_{DR}$  into  $RCN_{KG}$
- Answer: F[11]<sub>4</sub> is 11/8 with DR commas. 11/8 is F#[11]<sub>4</sub> with KG commas In KG native plaintext 11/8 is 'j4' for 'jade-4'

#### Example 3

• Translate  $Db[17]_5$  from  $RCN_{SAG}$  into  $RCN_{DR}$ 

• Answer:  $Db[17]_5$  is 17/8 with SAG commas. 17/8 is  $C\#[17]_5$  with DR commas.

These are simple examples, but demonstrate the principle that music written in any particular free–JI notation could be translated into any other free–JI notation.

# 15) Conclusions

Rational Comma Notation (RCN) can notate the whole of free–JI, once an algorithm X has been chosen to give a specific version RCN<sub>X</sub> and make the assignments of prime commas [p] to all primes  $p \ge 5$ . RCN<sub>X</sub> gives every rational frequency in Just Intonation a notation of the form  $L[x/y]_z$  where L is a Pythagorean (3–limit) pitch class and note label (e.g. C, E, Bb, G#, Dbbb, F#######...), *z* is an octave number, and [x/y] is a rational comma for a small microtonal frequency adjustment using 5–rough numbers *x* and *y*, built up from prime commas [p]. The notation subset of the form L<sub>z</sub> is modified from Scientific Pitch Notation and notates all the 3–limit Pythagorean JI frequencies. Labels can include optional shorthand notations 'p' and 'd' to simplify some note labelling and octave numbering using Pythagorean commas.

The novel algorithm DR set out above defines and calculates the microtonal fractions [p] for  $p \ge 5$ . It was compared to two other algorithms from the literature (SAG, KG). with some advantages for each algorithm stated. There is a subjective aspect to the design choices which affect the final choice of algorithm. For example, a design choice of what is most desirable: lower 3–exponents, note labels to be in a certain order, or primes to be named similarly to nearby integer Pythagoreans. In any case, the three algorithms (DR, SAG, KG) agree on 7–limit commas, and two algorithms (DR, SAG) agree on commas to 53–limit, excluding 17. Translation is possible between any two free–JI notation schemes, so notation could potentially be automatically adapted to a composer or musician's preferred language.

Whichever algorithm X is used to define prime commas, once one has been settled on, it defines prime commas for all prime numbers, and these definitions allow all rational commas [x/y] to be constructed by multiplication of prime commas. Small notational tweaks (L~p L' L. etc) allow useful shorthand for some subsets of RNC, in particular for pitch classes. Lattices provide a useful visualisation of pitch classes and are useful as a JI compositional aid or analytical device.

All the higher prime information is encoded into a rational comma of the form [x/y]. This uses a rational number as a shorthand for a frequency shift, itself a (usually different) rational number. Hence musicians would need a level of mathematical awareness in order to make full use of these [x/y] commas and access the higher primes, away from any potential 5–limit or 7–limit shorthand. No doubt there exist many musicians who are unwilling to cross over into mathematical territories, just as there are some mathematicians who cannot pick up a violin. However, the musical field is based on sound, vibrations, frequency and amplitude; these are all mathematically describable phenomena, so it is appropriate for musicians to have at least some basic level of mathematical understanding. It is likely that 12–EDO hides the mathematical dimension of frequency from the musician. JI reveals this dimension again, and in the author's opinion we are better off for it.

By combining the disciplines of mathematics and music, there are rich pickings for the willing cross–disciplinarian. Such a musician may be truly able to navigate, understand, notate and compose in

the rich infinite harmonic landscapes of Just Intonation. There are benefits to computer aided scoring and sequencing systems as well, in terms of presenting JI notes to the composer or musician which are more richly described than just a bare frequency in Hertz or relative fraction. Starting with a major triad set of pitch classes (C E[5] G) or a minor triad (A C[1/5] E), a dominant seventh (G B' D F[7]) or a diminished chord (E. G Bb~7 C#~17) these commas can be used to produce the exact harmonic chords specified, to the benefit of all ears listening.

#### 16) Nomenclature & Abbreviations

а	The power of 2 in a prime comma
AO	'Absolute Octave' – absolute size of interval in octaves
b	The power of 3 in a prime comma
$b_{ m mid}$	The midpoint for the range of <i>b</i>
$b_{\min, \max}$	The minimum and maximum values of the range of <i>b</i>
JI	Just Intonation
LCY	'Log Complexity' - for 2 frequencies, same as Tenney Height
cents	1 cent is an interval 1/100 of a semitone, or 1/1200 of an octave
СМ	'Comma Measure' – product of AO and LCY
DR	Prime comma algorithm presented in this paper
EDO	Equal Division of the Octave, e.g. 7-EDO divides the octave into 7 equal intervals
12–EDO	Equal Division of the Octave into 12 semitones. Currently widely used as 'the' tuning.
KG	Prime comma algorithm originating from Kite Giedraitis (2016)
lattice	A geometric arrangement of notes or pitch classes with constant ratios in straight lines
octave	Frequency change of a factor of 2
р	A prime number
[ <i>p</i> ]	Prime comma corresponding to the prime <i>p</i>
pitch class	Frequencies which are a whole number of octaves apart
<i>p</i> –limit	Just Intonation restricted to rational frequencies which are <i>p</i> -smooth numbers
<i>p</i> –smooth	Rational numbers with all prime factors p or smaller
<i>p</i> –rough	Rational numbers with all prime factors $p$ or larger (e.g. 5–rough numbers)
$P_{\mathrm{B}}$	Value of 375787, the boundary where SR is a proper subset of PR
PR	Primary range of candidate commas with p in the numerator
Pythagorean	3–limit JI; frequencies of the form $2^a 3^b$

RCN <sub>X</sub>	Rational Comma Notation for free–JI; combining modified SPN with rational commas; X (if specified) is the algorithm used to assign prime commas $[p]$ for all higher primes $p$
semitone	An interval 1/12 of an octave
SAG	Prime comma algorithm originating from a Sagittal forum (Keenan & Taylor 2016)
SPN	Scientific Pitch Notation; middle C is C <sub>4</sub> , concert A is A <sub>4</sub> , C above middle C is C <sub>5</sub>
SR	Secondary range of 12 candidate commas with $b$ value closest to $b_{mid}$
Х	Represents a choice of algorithm (e.g. DR, SAG, KG)

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# 18) Acknowledgements

Dave Keenan who offered constructive criticism on a previous algorithm which chose some suboptimal commas, in particular for primes 11 and 13. Kite Giedraitis for discussions of his colour notation and comparisons with this comma notation. Also, other members of the Xenharmonic Facebook groups who read my earlier drafts and made helpful comments, including: Juhani Nuorvala, Graham Breed, Andrew Meronek, George Secor, Cam Taylor, William Lynch, Carl Lumma. The Xenharmonic groups generally for being willing to discuss many of the ideas in this paper through many discussion threads.

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