THE ASCENT-PLATEAU STATISTICS ON STIRLING PERMUTATIONS

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ABSTRACT. In this paper, several variants of the ascent-plateau statistic are introduced, including flag ascent-plateau, double ascent and descent-plateau. We first study the flag ascent-plateau statistic on Stirling permutations by using context-free grammars. We then present a unified refinement of the ascent polynomials and the ascent-plateau polynomials. In particular, by using Foata and Strehl's group action, we prove two bistatistics over the set of Stirling permutations of order n are equidistributed.

Keywords: Stirling permutations; Context-free grammars; Ascents; Plateaus; Ascent-plateaus

1. INTRODUCTION

A Stirling permutation of order n is a permutation of the multiset $\{1, 1, 2, 2, ..., n, n\}$ such that for each $i, 1 \leq i \leq n$, all entries between the two occurrences of i are larger than i. Denote by Q_n the set of Stirling permutations of order n. Let $\sigma = \sigma_1 \sigma_2 \cdots \sigma_{2n} \in Q_n$. For $1 \leq i \leq 2n$, we say that an index i is a descent of σ if $\sigma_i > \sigma_{i+1}$ or i = 2n, and we say that an index i is a descent of σ if $\sigma_i > \sigma_{i+1}$ or i = 1 is always an ascent and i = 2n is always a descent. Moreover, a plateau of σ is an index i such that $\sigma_i = \sigma_{i+1}$, where $1 \leq i \leq 2n - 1$. Let des (σ) , asc (σ) and plat (σ) be the numbers of descents, ascents and plateaus of σ , respectively.

Stirling permutations were defined by Gessel and Stanley [8], and they proved that

$$(1-x)^{2k+1}\sum_{n=0}^{\infty} {n+k \choose n} x^n = \sum_{\sigma \in \mathcal{Q}_k} x^{\operatorname{des}\sigma}$$

where $\binom{n}{k}$ is the *Stirling number of the second kind*, i.e., the number of ways to partition a set of *n* objects into *k* non-empty subsets. A classical result of Bóna [2] says that descents, ascents and plateaus have the same distribution over \mathcal{Q}_n , i.e.,

$$\sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{des} \sigma} = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{asc} \sigma} = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{plat} \sigma}.$$

This equidistributed result and associated multivariate polynomials have been extensively studied by Janson, Kuba, Panholzer, Haglund, Chen et al., see [5, 9, 10, 11] and references therein.

Recently, Ma and Toufik [15] introduced the definition of ascent-plateau statistic and presented a combinatorial interpretation of the 1/k-Eulerian polynomials. The purpose of this paper is to explore variants of the ascent-plateau statistic. In the following, we collect some definitions, notation and results that will be needed throughout this paper.

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Definition 1. An occurrence of an ascent-plateau of $\sigma \in Q_n$ is an index *i* such that $\sigma_{i-1} < \sigma_i = \sigma_{i+1}$, where $i \in \{2, 3, ..., 2n-1\}$. An occurrence of a left ascent-plateau is an index *i* such that $\sigma_{i-1} < \sigma_i = \sigma_{i+1}$, where $i \in \{1, 2, ..., 2n-1\}$ and $\sigma_0 = 0$.

Let ap (σ) and lap (σ) be the numbers of ascent-plateaus and left ascent-plateaus of σ , respectively. For example, ap (442332115665) = 2 and lap (442332115665) = 3.

Define

$$M_n(x) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{ap}(\sigma)}, \ N_n(x) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)}.$$

According to [15, Theorem 2, Theorem 3], we have

$$M(x,t) = \sum_{n \ge 0} M_n(x) \frac{t^n}{n!} = \sqrt{\frac{x-1}{x-e^{2t(x-1)}}},$$
(1)

$$N(x,t=)\sum_{n\geq 0}N_n(x)\frac{t^n}{n!} = \sqrt{\frac{1-x}{1-xe^{2t(1-x)}}}.$$
(2)

It should be noted that the polynomials $M_n(x)$ and $N_n(x)$ are also enumerative polynomials of perfect matchings. A *perfect matching* of [2n] is a partition of [2n] into n blocks of size 2. Let \mathcal{M}_{2n} be the set of perfect matchings of [2n]. Let el (M) (resp. ol (M)) be the number of blocks of $M \in \mathcal{M}_{2n}$ with even (resp. odd) larger entries. According to [17], we have

$$M_n(x) = \sum_{M \in \mathcal{M}_{2n}} x^{\mathrm{ol}\,(M)}, \ N_n(x) = \sum_{M \in \mathcal{M}_{2n}} x^{\mathrm{el}\,(M)}$$

Let #C denote the cardinality of a set C. Let \mathfrak{S}_n denote the symmetric group of all permutations $\pi = \pi(1)\pi(2)\ldots\pi(n)$ of [n], where $[n] = \{1, 2, \ldots, n\}$. A *descent* of π is an index $i \in [n-1]$ such that $\pi(i) > \pi(i+1)$. For $\pi \in \mathfrak{S}_n$, let des (π) be the number of descents of π . The classical Eulerian polynomials are defined by

$$A_n(x) = \sum_{\pi \in \mathfrak{S}_n} x^{\operatorname{des}(\pi)}.$$

The hyperoctahedral group B_n is the group of signed permutations of the set $\pm[n]$ such that $\pi(-i) = -\pi(i)$ for all i, where $\pm[n] = \{\pm 1, \pm 2, \ldots, \pm n\}$. Throughout this paper, we always identify a signed permutation $\pi = \pi(1) \cdots \pi(n)$ with the word $\pi(0)\pi(1) \cdots \pi(n)$, where $\pi(0) = 0$. For each $\pi \in B_n$, we define

des _A(
$$\pi$$
) = #{ $i \in [n-1] : \pi(i) > \pi(i+1)$ },
des _B(π) = #{ $i \in \{0, 1, 2..., n-1\} : \pi(i) > \pi(i+1)$ }

It is clear that

$$\sum_{\pi \in B_n} x^{\operatorname{des}_A(\pi)} = 2^n A_n(x).$$

Following [1], the *flag descents* of $\pi \in B_n$ is defined by

$$\operatorname{fdes}(\pi) = \begin{cases} 2\operatorname{des}_A(\pi) + 1, & \text{if } \pi(1) < 0; \\ 2\operatorname{des}_A(\pi), & \text{otherwise.} \end{cases}$$

The Eulerian polynomial of type B and the flag descent polynomial are respectively defined by

$$B_n(x) = \sum_{\pi \in B_n} x^{\text{des}_B(\pi)}, \ F_n(x) = \sum_{\pi \in B_n} x^{\text{fdes}(\pi)}.$$

Very recently, we studied the following combinatorial expansions (see [18, Section 4]):

$$2^{n}xA_{n}(x) = \sum_{k=0}^{n} \binom{n}{k} N_{k}(x)N_{n-k}(x), \ B_{n}(x) = \sum_{k=0}^{n} \binom{n}{k} N_{k}(x)M_{n-k}(x).$$

It is now well known that $F_n(x) = (1+x)^n A_n(x)$ (see [1, Theorem 4.4]). This paper is motivated by exploring an expansion of $F_n(x)$ in terms of some enumerative polynomials of Stirling permutations.

This paper is organized as follows. In Section 2, we present a combinatorial expansion of $F_n(x)$. In Section 3, we study a multivariate enumerative polynomials of Stirling permutations. In particular, we consider Foata and Strehl's group action on Stirling permutations.

2. The flag descent polynomials and flag ascent-plateau polynomials

Context-free grammar is a powerful tool to study exponential structures (see [5, 18] for instance). In this section, we first present a grammatical description of the flag descent polynomials by using grammatical labeling introduced by Chen and Fu [5]. And then, we study the flag ascent-plateau statistics over Stirling permutations.

2.1. Context-free grammars.

For an alphabet A, let $\mathbb{Q}[[A]]$ be the rational commutative ring of formal power series in monomials formed from letters in A. Following [4], a context-free grammar over A is a function $G: A \to \mathbb{Q}[[A]]$ that replaces a letter in A by a formal function over A. The formal derivative D is a linear operator defined with respect to a context-free grammar G. More precisely, the derivative $D = D_G$: $\mathbb{Q}[[A]] \to \mathbb{Q}[[A]]$ is defined as follows: for $x \in A$, we have D(x) = G(x); for a monomial u in $\mathbb{Q}[[A]], D(u)$ is defined so that D is a derivation, and for a general element $q \in \mathbb{Q}[[A]], D(q)$ is defined by linearity.

Let us now recall a result on context-free grammars.

Proposition 2 ([13, Theorem 10]). Let $A = \{x, y, z\}$ and

$$G = \{x \to xyz, y \to yz^2, z \to y^2z\}.$$
(3)

For $n \geq 0$, we have

$$D^{n}(xy) = xy \sum_{\pi \in B_{n}} y^{\text{fdes}(\pi)} z^{2n - \text{fdes}(\pi)}.$$
(4)

Moreover,

$$\begin{split} D^{n}(y^{2}) &= y^{2} \sum_{\pi \in B_{n}} y^{2\text{des}_{A}(\pi)} z^{2n-2\text{des}_{A}(\pi)}, \\ D^{n}(yz) &= yz \sum_{\pi \in B_{n}} y^{2\text{des}_{B}(\pi)} z^{2n-2\text{des}_{B}(\pi)}, \\ D^{n}(y) &= y \sum_{\pi \in \mathcal{Q}_{n}} y^{2\text{ap}(\sigma)} z^{2n-2\text{ap}(\sigma)}, \\ D^{n}(z) &= z \sum_{\pi \in \mathcal{Q}_{n}} y^{2\text{lap}(\sigma)} z^{2n-2\text{lap}(\sigma)}. \end{split}$$

The grammatical labeling is illustrated in the following proof of (4). Let $\pi \in B_n$. As usual, denote by \overline{i} the negative element -i. We define an *ascent* (resp. a descent) of π to be a position $i \in \{0, 1, 2..., n-1\}$ such that $\pi(i) < \pi(i+1)$ (resp. $\pi(i) > \pi(i+1)$). Now we give a labeling of $\pi \in B_n$ as follows:

- (L₁) If $i \in [n-1]$ is an ascent, then put a superscript label z and a subscript label z right after $\pi(i)$;
- (L_2) If $i \in [n-1]$ is a descent, then put a superscript label y and a subscript label y right after $\pi(i)$;
- (L_3) If $\pi(1) > 0$, then put a superscript label z and a subscript label x right after $\pi(0)$;
- (L_4) If $\pi(1) < 0$, then put a superscript label x and a subscript label y right after $\pi(0)$;
- (L₅) Put a superscript label y and a subscript label z at the end of π .

Note that the weight of π is given by $w(\pi) = xy^{\text{fdes}(\pi)+1}z^{\text{fasc}(\pi)+1}$. Let

$$F_n(i,j) = \{\pi \in B_n : \text{fdes}(\pi) = i, \text{fasc}(\pi) = j\}$$

When n = 1, we have $F_1(0, 1) = \{0_x^z 1_z^y\}$ and $F_1(1, 0) = \{0_y^x \overline{1}_z^y\}$. Note that $D(xy) = xyz^2 + xy^2z$. Thus the sum of weights of the elements of B_1 is given by D(xy).

Suppose we get all labeled permutations in $F_n(i, j)$ for all i, j, k, where $n \ge 1$. Let $\pi' \in B_{n+1}$ be obtained from $\pi \in F_n(i, j)$ by inserting the entry n+1 or n+1. We distinguish the following five cases:

- (c₁) Let $i \in [n-1]$ be an ascent. If we insert n+1 (resp. $\overline{n+1}$) right after $\pi(i)$, then $\pi' \in F_{n+1}(i+2,j)$, and the insertion of n+1 (resp. $\overline{n+1}$) corresponds to applying the rule $z \to y^2 z$ to the superscript (resp. subscript) label z associated with $\pi(i)$.
- (c₂) Let $i \in [n-1]$ be a descent. If we insert n+1 (resp. $\overline{n+1}$) right after $\pi(i)$, then $\pi' \in F_{n+1}(i, j+2)$, and the insertion of n+1 (resp. $\overline{n+1}$) corresponds to applying the rule $y \to yz^2$ to the superscript (resp. subscript) label y associated with $\pi(i)$.
- (c₃) If we insert n + 1 (resp. $\overline{n+1}$) at the end of π , then $\pi' \in F_{n+1}(i, j+2)$ (resp. $\pi' \in F_{n+1}(i+2,j)$), and the insertion of n+1 (resp. $\overline{n+1}$) corresponds to applying the rule $y \to yz^2$ (resp. $z \to y^2 z$) to the label y (resp. z) at the end of π ;
- (c₄) If $\pi(1) > 0$ and we insert n + 1 (resp. $\overline{n+1}$) immediately before $\pi(1)$, then $\pi' \in F_{n+1}(i+2,j)$ (resp. $\pi' \in F_{n+1}(i+1,j+1)$), and the insertion of n+1 (resp. $\overline{n+1}$)

corresponds to applying the rule $z \to y^2 z$ (resp. $x \to xyz$) to the label z (resp. x) right after $\pi(0)$;

(c₅) If $\pi(1) < 0$ and we insert n + 1 (resp. $\overline{n+1}$) immediately before $\pi(1)$, then $\pi' \in F_{n+1}(i+1, j+1)$ (resp. $\pi' \in F_{n+1}(i, j+2)$), and the insertion of n+1 (resp. $\overline{n+1}$ corresponds to applying the rule $x \to xyz$ (resp. $y \to yz^2$) to the label x (resp. y) right after $\pi(0)$.

In general, the insertion of n + 1 (resp. $\overline{n+1}$) into π corresponds to the action of the formal derivative D on a superscript label (resp. subscript label). By induction, we get a grammatical proof of (4).

Example 3. For example, let $\pi = 04\overline{3}152$. Then π can be generated as follows:

$$\begin{array}{c} 0_x^z 1_x^y \mapsto 0_x^z 1_z^z 2_z^y; \\ 0_x^z 1_z^z 2_z^y \mapsto 0_y^x \overline{3}_z^z 1_z^z 2_z^z; \\ 0_y^x \overline{3}_z^z 1_z^z 2_z^y \mapsto 0_x^z 4_y^y \overline{3}_z^z 1_z^z 2_z^y; \\ 0_x^z 4_y^y \overline{3}_z^z 1_z^z 2_z^y \mapsto 0_x^z 4_y^y \overline{3}_z^z 1_z^z 5_y^y 2_z^y. \end{array}$$

2.2. The flag ascent-plateau statistic.

Definition 4. Let $\sigma = \sigma_1 \sigma_2 \cdots \sigma_{2n} \in Q_n$. The number of flag ascent-plateau of σ is defined by

fap (
$$\sigma$$
) =

$$\begin{cases}
2ap (\sigma) + 1, & if \sigma_1 = \sigma_2; \\
2ap (\sigma), & otherwise.
\end{cases}$$

We can now present the first main result of this paper.

Theorem 5. Let D be the formal derivative with respect to the grammar (3). For $n \ge 1$, we have

$$D^{n}(x) = x \sum_{\sigma \in \mathcal{Q}_{n}} y^{\operatorname{fap}(\sigma)} z^{2n - \operatorname{fap}(\sigma)}.$$
(5)

Therefore,

$$\sum_{\pi \in B_n} x^{\operatorname{fdes}(\pi)} = \sum_{k=0}^n \binom{n}{k} \sum_{\sigma \in \mathcal{Q}_k} x^{\operatorname{fap}(\sigma)} \sum_{\sigma \in \mathcal{Q}_{n-k}} x^{\operatorname{2ap}(\sigma)}.$$
 (6)

Proof. We first introduce a grammatical labeling of $\sigma \in Q_n$ as follows:

- (L₁) If $i \in \{2, 3, ..., 2n 1\}$ is an ascent-plateau, then put a superscript label y immediately before σ_i and a superscript label y right after σ_i ;
- (L₂) If $\sigma_1 = \sigma_2$, then put a superscript label y immediately before σ_1 and a superscript x right after σ_1 ;
- (L₃) If $\sigma_1 < \sigma_2$, then put a superscript label x immediately before σ_1 ;
- (L_4) The rest of positions in σ are labeled by a superscript label z.

Note that the weight of σ is given by

$$w(\sigma) = xy^{\operatorname{fap}(\sigma)} z^{2n - \operatorname{fap}(\sigma)}.$$

For example, The labeling of 1223314554 and 661223314554 are respectively given as follows:

 ${}^{x}1^{y}2^{y}2^{y}3^{y}3^{z}1^{z}4^{y}5^{y}5^{z}4^{z}, {}^{y}6^{x}6^{z}1^{y}2^{y}2^{y}3^{y}3^{z}1^{z}4^{y}5^{y}5^{z}4^{z}.$

We then prove (5) by induction. Let $S_n(i) = \{ \sigma \in \mathcal{Q}_n : \operatorname{fap}(\sigma) = i \}$. For n = 1, we have $S_1(1) = \{ {}^{y}1^{x}1^{z} \}$. For n = 2, the elements of \mathcal{Q}_2 are labeled as follows:

$$S_2(1) = \{{}^{y}2^{x}2^{z}1^{z}1^{z}\}, \ S_2(2) = \{{}^{x}1^{y}2^{y}2^{z}1^{z}\}, \ S_2(3) = \{{}^{y}1^{x}1^{y}2^{y}2^{z}\}.$$

Note that D(x) = xyz and $D^2(x) = xyz(y^2 + yz + z^2)$. Hence the result holds for n = 1, 2. Suppose we get all labeled Stirling permutations of $S_n(i)$ for all i, where $n \ge 2$. Let $\sigma' \in Q_{n+1}$ be obtained from $\sigma \in S_n(i)$ by inserting the pair (n + 1)(n + 1) into σ . We distinguish the following three cases:

(c₁) If $\sigma_1 = \sigma_2$ and the pair (n+1)(n+1) is inserted at the front of σ , then the change of labeling is illustrated as follows:

$${}^{y}\sigma_{1}^{x}\sigma_{2}\cdots \mapsto {}^{y}(n+1)^{x}(n+1)^{z}\sigma_{1}^{z}\sigma_{2}\cdots$$

In this case, the insertion corresponds to the rule $y \mapsto yz^2$ and $\sigma' \in S_{n+1}(i)$;

(c₂) If $\sigma_1 < \sigma_2$ and the pair (n+1)(n+1) is inserted at the front of σ , then the change of labeling is illustrated as follows:

$$^x\sigma_1\cdots\mapsto^y(n+1)^x(n+1)^z\sigma_1\cdots$$
.

In this case, the insertion corresponds to the rule $x \mapsto xyz$ and $\sigma' \in S_{n+1}(i+1)$;

(c₃) If *i* is an ascent plateau of σ , and the pair (n + 1)(n + 1) is inserted immediately before or right after σ_i , then the change of labeling are illustrated as follows:

$$\cdots \sigma_{i-1}^y \sigma_i^y \sigma_{i+1} \cdots \mapsto \cdots \sigma_{i-1}^y (n+1)^y (n+1)^z \sigma_i^z \sigma_{i+1} \cdots ,$$

$$\cdots \sigma_{i-1}^y \sigma_i^y \sigma_{i+1} \cdots \mapsto \cdots \sigma_{i-1}^z \sigma_i^y (n+1)^y (n+1)^z \sigma_{i+1} \cdots .$$

In this case, the insertion corresponds to the rule $y \mapsto yz^2$ and $\sigma' \in S_{n+1}(i)$;

 (c_4) If the pair (n+1)(n+1) is inserted to a position with the label z, then the change of labeling are illustrated as follows:

$$\cdots \sigma_i^z \cdots \mapsto \cdots \sigma_i^y (n+1)^y (n+1)^z \cdots$$

In this case, the insertion corresponds to the rule $z \mapsto y^2 z$ and $\sigma' \in S_{n+1}(i+2)$.

It is routine to check that each element of \mathcal{Q}_{n+1} can be obtained exactly once. By induction, we present a constructive proof of (5). Using the Leibniz's formula, we have $D^n(xy) = \sum_{k=0}^{n} D^k(x) D^{n-1}(y)$. Combining (5) and Proposition 2, we get the desired formula (6).

Let

$$T_n(x) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{fap}(\sigma)} = \sum_{k \ge 1} T(n,k) x^k.$$

From the proof of (5), we see that the numbers T(n,k) satisfy the recurrence relation

$$T(n+1,k) = kT(n,k) + T(n,k-1) + (2n-k+2)T(n,k-2).$$

with the initial conditions T(0,0) = 1, $T_{(1,1)} = 1$ and T(1,k) = 0 for $k \neq 1$. It should be noted that T(n,k) is also the number of dual Stirling permutations of order n with k alternating runs (see [16]). Recall that (see [20, A008292]):

$$A(x,t) = \sum_{n \ge 0} A_n(x) \frac{t^n}{n!} = \frac{x-1}{x - e^{t(x-1)}}.$$

Hence

$$F(x,t) = \frac{x-1}{x - e^{t(x^2 - 1)}}.$$

Let $T(x,t) = \sum_{n\geq 0} T_n(x) \frac{t^n}{n!}$. Write the formula (6) as follows:

$$F_n(x) = \sum_{k=0}^n \binom{n}{k} T_k(x) M_{n-k}(x^2).$$

Thus, $F(x,t) = T(x,t)M(x^2,t)$. Combining (1), we get

$$T(x,t) = \frac{F(x,t)}{M(x^2,t)} = \frac{x-1}{x-e^{t(x^2-1)}} \sqrt{\frac{x^2 - e^{2t(x^2-1)}}{x^2-1}}.$$
(7)

Combining (2) and (7), we have

$$T(x,t)N(x^{2},t) = 1 - x + xA(x,t(1+x)).$$

Therefore, a dual formula of (6) is given as follows:

$$\sum_{\pi \in B_n} x^{\operatorname{fdes}(\pi)+1} = \sum_{k=0}^n \binom{n}{k} \sum_{\sigma \in \mathcal{Q}_k} x^{\operatorname{fap}(\sigma)} \sum_{\sigma \in \mathcal{Q}_{n-k}} x^{2\operatorname{lap}(\sigma)}.$$

for $n \geq 1$.

Let $\delta_{i,j}$ be the Kronecker delta, i.e., $\delta_{i,j} = 1$ if i = j and $\delta_{i,j} = 0$ if $i \neq j$. It is not hard to verify that T(x,t)T(-x,t) = 1. In other words,

$$\sum_{k=0}^{n} \binom{n}{k} T_k(x) T_{n-k}(-x) = \delta_{0,n}.$$

3. Multivariate polynomials over Stirling Polynomials

Let

$$C_n(x) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{asc}(\sigma)}.$$

The polynomials $C_n(x)$ and $N_n(x)$ respectively satisfy the following recurrence relation

$$C_{n+1}(x) = (2n+1)xC_n(x) + x(1-x)C'_n(x),$$
$$N_{n+1}(x) = (2n+1)xN_n(x) + 2x(1-x)N'_n(x)$$

with the initial conditions $C_0(x) = N_0(x) = 1$ (see [2, 8, 14] for instance). In this section, we shall present a unified refinement of the polynomials $C_n(x)$ and $N_n(x)$.

In the sequel, we always assume that Stirling permutations are prepended by 0. That is, we identify an *n*-Stirling permutation $\sigma_1 \sigma_2 \cdots \sigma_{2n}$ with the word $\sigma_0 \sigma_1 \sigma_2 \cdots \sigma_{2n}$, where $\sigma_0 = 0$.

3.1. A grammatical labeling of Stirling permutations.

Definition 6. Let $\sigma = \sigma_1 \sigma_2 \cdots \sigma_{2n} \in Q_n$. For $1 \le i \le 2n$, a double ascent of σ is an index i such that $\sigma_{i-1} < \sigma_i < \sigma_{i+1}$, a descent-plateau of σ is an index i such that $\sigma_{i-1} > \sigma_i = \sigma_{i+1}$.

Let dasc (σ) and dp (σ) denote the numbers of double ascents and descent-plateaus of σ , respectively. For example, dasc (244332115665) = 2 and dp (244332115665) = 2. It is clear that

$$\operatorname{asc}\left(\sigma\right) = \operatorname{lap}\left(\sigma\right) + \operatorname{dasc}\left(\sigma\right), \operatorname{plat}\left(\sigma\right) = \operatorname{lap}\left(\sigma\right) + \operatorname{dp}\left(\sigma\right). \tag{8}$$

Define

$$P_n(x, y, z) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)} y^{\operatorname{dasc}(\sigma)} z^{\operatorname{dp}(\sigma)} = \sum_{i,j,k} P_n(i, j, k) x^i y^j z^k,$$

where $1 \le i \le n, 0 \le j \le n-1, 0 \le k \le n-1$. In particular,

$$P_n(x, x, 1) = P_n(x, 1, x) = C_n(x), \ P_n(x, 1, 1) = N_n(x).$$

The first few of the polynomials $P_n(x, y, z)$ are given as follows:

$$P_1(x, y, z) = x,$$

$$P_2(x, y, z) = xy + xz + x^2,$$

$$P_3(x, y, z) = x(y^2 + z^2) + 4x^2(y + z) + 2xyz + 2x^2 + x^3.$$

Now we present the second main result of this paper.

Theorem 7. Let $A = \{x, y, z, p, q\}$ and

$$G = \{x \to xzq, y \to yzp, z \to xyz, p \to xyz, q \to xyz\}.$$
(9)

Then

$$D^{n}(z) = z \sum_{i,j,k} P_{n}(i,j,k) (xy)^{i} q^{j} p^{k} z^{2n-2i-j-k},$$

where $1 \le i \le n, 0 \le j \le n-1, 0 \le k \le n-1$ and $2i + j + k \le 2n$. Set $P_n = P_n(x, y, z)$. Then the polynomials $P_n(x, y, z)$ satisfy the recurrence relation

$$P_{n+1} = (2n+1)xP_n + (xy+xz-2x^2)\frac{\partial}{\partial x}P_n + x(1-y)\frac{\partial}{\partial y}P_n + x(1-z)\frac{\partial}{\partial z}P_n, \quad (10)$$

with the initial condition $P_0(x, y, z) = 1$.

Proof. Now we give a labeling of $\sigma \in Q_n$ as follows:

- (L_1) If *i* is a left ascent-plateau, then put a superscript label *y* immediately before σ_i and a superscript label *x* right after σ_i ;
- (L₂) If i is a double ascent, then put a superscript label q immediately before σ_i ;
- (L₃) If i is a descent-plateau, then put a superscript label p right after σ_i ;
- (L_4) The rest positions in σ are labeled by a superscript label z.

The weight of σ is defined by

$$w(\sigma) = z(xy)^{\operatorname{lap}(\sigma)} q^{\operatorname{dasc}(\sigma)} p^{\operatorname{dp}(\sigma)} z^{2n-2\operatorname{lap}(\sigma)-\operatorname{dasc}(\sigma)-\operatorname{dp}(\sigma)}.$$

For example, the labeling of 552442998813316776 is as follows:

$${}^{y}5^{x}5^{z}2^{y}4^{x}4^{z}2^{y}9^{x}9^{z}8^{p}8^{z}1^{y}3^{x}3^{z}1^{q}6^{y}7^{x}7^{z}6^{z}.$$

We proceed by induction on n. Note that $Q_1 = \{y_1 x_1 z\}$ and

$$\mathcal{Q}_2 = \{{}^{y}1^{x}1^{y}2^{x}2^{z}, {}^{q}1^{y}2^{x}2^{z}1^{z}, {}^{y}2^{x}2^{z}1^{p}1^{z}\}.$$

Thus the weight of ${}^{y}1^{x}1^{z}$ is given by D(z) and the sum of weights of elements in Q_{2} is given by $D^{2}(z)$, since D(z) = xyz and $D^{2}(x) = z(xyqz + xypz + x^{2}y^{2})$.

Assume that the result holds for n = m - 1, where $m \ge 3$. Let σ be an element counted by $P_{m-1}(i, j, k)$, and let σ' be an element of Q_m obtained by inserting the pair mm into σ . We distinguish the following five cases:

(c_1) If the pair mm is inserted at a position with label x, then the change of labeling is illustrated as follows:

$$\cdots \sigma_{i-1}^y \sigma_i^x \sigma_{i+1} \cdots \mapsto \cdots \sigma_{i-1}^q \sigma_i^y m^x m^z \sigma_{i+1} \cdots .$$

In this case, the insertion corresponds to the rule $x \mapsto xzq$ and produces *i* permutations in \mathcal{Q}_m with *i* left ascent-plateaus, j + 1 double ascents and *k* descent-plateaus;

(c₂) If the pair mm is inserted at a position with label y, then the change of labeling is illustrated as follows:

$$\cdots \sigma_{i-1}^y \sigma_i^x \sigma_{i+1} \cdots \mapsto \cdots \sigma_{i-1}^y m^x m^z \sigma_i^p \sigma_{i+1} \cdots .$$

In this case, the insertion corresponds to the rule $y \mapsto yzp$ and produces *i* permutations in \mathcal{Q}_m with *i* left ascent-plateaus, *j* double ascents and k + 1 descent-plateaus;

 (c_3) If the pair mm is inserted at a position with label z, then the change of labeling is illustrated as follows:

$$\cdots \sigma_i^z \sigma_{i+1} \cdots \mapsto \cdots \sigma_i^y m^x m^z \sigma_{i+1} \cdots$$

In this case, the insertion corresponds to the rule $z \mapsto xyz$ and produces 2m-2-2i-j-kpermutations in \mathcal{Q}_m with i + 1 left ascent-plateaus, j double ascents and k descentplateaus;

(c_4) If the pair mm is inserted at a position with label q, then the change of labeling is illustrated as follows:

$$\cdots \sigma_i^q \sigma_{i+1} \cdots \mapsto \cdots \sigma_i^y m^x m^z \sigma_{i+1} \cdots$$

In this case, the insertion corresponds to the rule $q \mapsto xyz$ and produces j permutations in \mathcal{Q}_m with i + 1 left ascent-plateaus, j - 1 double ascents and k descent-plateaus;

 (c_5) If the pair mm is inserted at a position with label p, then the change of labeling is illustrated as follows:

$$\cdots \sigma_i^p \sigma_{i+1} \cdots \mapsto \cdots \sigma_i^y m^x m^z \sigma_{i+1} \cdots .$$

In this case, the insertion corresponds to the rule $p \mapsto xyz$ and produces k permutations in \mathcal{Q}_m with i + 1 left ascent-plateaus, j double ascents and k - 1 descent-plateaus.

By induction, we see that grammar (9) generates all of the permutations in \mathcal{Q}_m .

Combining the above five cases, we see that

$$P_{n+1}(i,j,k) = iP_n(i,j-1,k) + iP_n(i,j,k-1) + (j+1)P_n(i-1,j+1,k) + (k+1)P_n(i-1,j,k+1) + (2n+3-2i-j-k)P_n(i-1,j,k).$$

Multiplying both sides of the above recurrence relation by $x^i y^j z^k$ for all i, j, k, we get (10)

3.2. Equidistributed statistics.

Let $i \in [2n]$ and let $\sigma = \sigma_1 \sigma_2 \dots \sigma_{2n} \in \mathcal{Q}_n$. We define the action φ_i as follows:

- If *i* is a double ascent, then $\varphi_i(\sigma)$ is obtained by moving σ_i to the right of the second σ_i , which forms a new pleateau $\sigma_i \sigma_i$;
- If *i* is a descent-plateau, then $\varphi_i(\sigma)$ is obtained by moving σ_i to the right of σ_k , where $k = \max\{j \in \{0, 1, 2, \dots, i-1\} : \sigma_j < \sigma_i\}.$

For instance, if $\sigma = 2447887332115665$, then

$$\varphi_1(\sigma) = 4478873322115665, \ \varphi_4(\sigma) = 2448877332115665,$$

and $\varphi_9(\varphi_1(\sigma)) = \varphi_6(\varphi_4(\sigma)) = \sigma$. In recent years, the Foata and Strehl's group action has been extensively studied (see [3, 12] for instance). We define the Foata-Strehl action on Stirling permutations by

$$\varphi_i'(\sigma) = \begin{cases} \varphi_i(\sigma), & \text{if } i \text{ is a double ascent or descent-plateau;} \\ \sigma, & \text{otherwise.} \end{cases}$$

It is clear that the φ'_i 's are involutions and that they commute. Hence, for any subset $S \subseteq [2n]$, we may define the function $\varphi'_S : \mathcal{Q}_n \mapsto \mathcal{Q}_n$ by $\varphi'_S(\sigma) = \prod_{i \in S} \varphi'_i(\sigma)$. Hence the group \mathbb{Z}_2^{2n} acts on \mathcal{Q}_n via the function φ'_S , where $S \subseteq [2n]$.

The third main result of this paper is given as follows, which is implied by (10).

Theorem 8. For any $n \ge 1$, we have

$$P_n(x, y, z) = P_n(x, z, y).$$
 (11)

Furthermore,

$$\sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)} y^{\operatorname{asc}(\sigma)} = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)} y^{\operatorname{plat}(\sigma)}.$$
(12)

Proof. For any $\sigma \in \mathcal{Q}_n$, we define

Dasc
$$(\sigma) = \{i \in [2n] : \sigma_{i-1} < \sigma_i < \sigma_{i+1}\},$$

DP $(\sigma) = \{i \in [2n] : \sigma_{i-1} > \sigma_i = \sigma_{i+1}\},$
LAP $(\sigma) = \{i \in [2n] : \sigma_{i-1} < \sigma_i = \sigma_{i+1}\}.$

Let $S = S(\sigma) = \text{Dasc}(\sigma) \cup \text{DP}(\sigma)$. Note that

$$\operatorname{Dasc}(\varphi'_{S}(\sigma)) = \operatorname{DP}(\sigma), \ \operatorname{DP}(\varphi'_{S}(\sigma)) = \operatorname{Dasc}(\sigma) \ \text{and} \ \operatorname{LAP}(\varphi'_{S}(\sigma)) = \operatorname{LAP}(\sigma).$$

Therefore,

$$P_{n}(x, y, z) = \sum_{\sigma \in \mathcal{Q}_{n}} x^{\operatorname{lap}(\sigma)} y^{\operatorname{dasc}(\sigma)} z^{\operatorname{dp}(\sigma)}$$

$$= \sum_{\sigma' \in \mathcal{Q}_{n},} x^{\operatorname{lap}(\varphi'_{S(\sigma)}(\sigma))} y^{\operatorname{dp}(\varphi'_{S(\sigma)}(\sigma)))} z^{\operatorname{dasc}(\varphi'_{S(\sigma)}(\sigma)))}$$

$$= \sum_{\sigma \in \mathcal{Q}_{n}} x^{\operatorname{lap}(\sigma)} z^{\operatorname{dasc}(\sigma)} y^{\operatorname{dp}(\sigma)}$$

$$= P_{n}(x, z, y).$$

Combining (8) and (11), we see that $P_n(xy, y, 1) = P_n(xy, 1, y)$. This completes the proof. \Box

Theorem 9. For $n \ge 1$, we have

$$\sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)} y^{\operatorname{dasc}(\sigma)} z^{\operatorname{dp}(\sigma)} = \sum_{\substack{1 \le i \le n \\ 0 \le j \le n-1}} \gamma_{n,i,j} x^i (y+z)^j,$$

where

$$\gamma_{n,i,j} = \#\{\sigma \in \mathcal{Q}_n : \operatorname{lap}(\sigma) = i, \operatorname{dasc}(\sigma) = j, \operatorname{dp}(\sigma) = 0\}.$$

Proof. Define

NDP _{*n*,*i*,*j*} = {
$$\sigma \in \mathcal{Q}_n : \text{lap}(\sigma) = i, \text{dasc}(\sigma) = j, \text{dp}(\sigma) = 0$$
}.

For any $\sigma \in \text{NDP}_{n,i,j}$, let

$$[\sigma] = \{\varphi'_S(\sigma) \mid S \subseteq \text{Dasc}(\sigma)\}.$$

For any $\sigma' \in [\sigma]$, suppose that $\sigma' = \varphi'_S(\sigma)$ for some $S \subseteq \text{Dasc}(\sigma)$. Then

$$lap(\sigma') = lap(\sigma), dasc(\sigma') = dasc(\sigma) - |S| \text{ and } dp(\sigma') = |S|.$$

Moreover, $\{[\sigma] \mid \sigma \in \text{NDP}_{n,i,j}\}$ form a partition of \mathcal{Q}_n . Hence,

$$\begin{split} &\sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)} y^{\operatorname{dasc}(\sigma)} z^{\operatorname{dp}(\sigma)} \\ &= \sum_{\sigma \in \operatorname{NDP}_n} \sum_{\sigma' \in [\sigma]} x^{\operatorname{lap}(\sigma')} y^{\operatorname{dasc}(\sigma')} z^{\operatorname{dp}(\sigma')} \\ &= \sum_{\sigma \in \operatorname{NDP}_n} \sum_{S \subseteq \operatorname{Dasc}(\sigma)} x^{\operatorname{lap}(\varphi'_S(\sigma))} y^{\operatorname{dasc}(\varphi'_S(\sigma))} z^{\operatorname{dp}(\varphi'_S(\sigma))} \\ &= \sum_{\sigma \in \operatorname{NDP}_n} \sum_{S \subseteq \operatorname{Dasc}(\sigma)} x^{\operatorname{lap}(\sigma)} y^{\operatorname{dasc}(\sigma) - |S|} z^{|S|} \\ &= \sum_{\sigma \in \operatorname{NDP}_n} x^{\operatorname{lap}(\sigma)} \sum_{S \subseteq \operatorname{Dasc}(\sigma)} y^{\operatorname{dasc}(\sigma) - |S|} z^{|S|} \\ &= \sum_{\sigma \in \operatorname{NDP}_n} x^{\operatorname{lap}(\sigma)} (y + z)^{\operatorname{dasc}(\sigma)} \\ &= \sum_{i,j} \gamma_{n,i,j} x^i (y + z)^j. \end{split}$$

Taking y = z = 1 in Theorem 9, we have

$$N_n(x) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{lap}(\sigma)} = \sum_{i=1}^n \left(\sum_{j=0}^{n-1} 2^j \gamma_{n,i,j} \right) x^i.$$

Let $N_n(x) = \sum_{k=1}^n N(n,k) x^k$. According to [14, Eq. (24)],

$$N_n(x) = \sum_{k=1}^n 2^{n-2k} \binom{2k}{k} k! \binom{n}{k} x^k (1-x)^{n-k}.$$

Thus, for $n \ge 1$, we have

$$\sum_{j=0}^{n-1} 2^j \gamma_{n,i,j} = \sum_{j=1}^{i} (-1)^{i-j} 2^{n-2j} \binom{2j}{j} \binom{n-j}{i-j} j! \binom{n}{j}.$$

Theorem 10. Let $A = \{u, v, w\}$ and $G = \{u \rightarrow uvw, v \rightarrow 2uw, w \rightarrow uw\}$. Then

$$D^{n}(w) = \sum_{\substack{1 \le i \le n \\ 0 \le j \le n-1}} \gamma_{n,i,j} u^{i} v^{j} w^{2n+1-2i-j}.$$
(13)

Furthermore, the numbers $\gamma_{n,i,j}$ satisfy the recurrence relation

$$\gamma_{n+1,i,j} = i\gamma_{n,i,j-1} + 2(j+1)\gamma_{n,i-1,j+1} + (2n+3-2i-j)\gamma_{n,i-1,j},$$
(14)

with the initial conditions $\gamma_{1,1,0} = 1$ and $\gamma_{1,i,j} = 0$ for i > 1 and $j \ge 0$.

Proof. From the grammar (9), we see that

$$D(xy) = xyz(p+q),$$
$$D(p+q) = 2xyz,$$
$$D(z) = xyz.$$

Set u = xy, v = p + q and w = z. Then D(u) = uvw, D(v) = 2uw and D(w) = uw. Combining Theorem 7 and Theorem 9, we get (13). Since $D^{n+1}(w) = D(D^n(w))$, we obtain that

$$D^{n+1}(w) = D\left(\sum_{i,j} \gamma_{n,i,j} u^i v^j w^{2n+1-2i-j}\right)$$

= $\sum_{i,j} i \gamma_{n,i,j} u^i v^{j+1} w^{2n+2-2i-j} + 2 \sum_{i,j} j \gamma_{n,i,j} u^{i+1} v^{j-1} w^{2n+2-2i-j} + \sum_{i,j} (2n+1-2i-j) \gamma_{n,i,j} u^{i+1} v^j w^{2n+1-2i-j}.$

Equating the coefficients of $u^i v^j w^{2n+1-2i-j}$ on both sides of the above equation, we obtain (14).

Let $G_n(x,y) = \sum_{i,j} \gamma_{n,i,j} x^i y^j$. Multiplying both sides of the recurrence relation (14) by $x^i y^j$ for all i, j, we get that

$$G_{n+1}(x,y) = (2n+1)xG_n(x,y) + (xy-2x^2)\frac{\partial}{\partial x}G_n(x,y) + (2x-xy)\frac{\partial}{\partial y}G_n(x,y).$$
(15)

The first few of the polynomials $G_n(x, y)$ are given as follows:

$$G_0(x,y) = 1, G_1(x,y) = x, G_2(x,y) = xy + x^2, G_3(x,y) = xy^2 + 4x^2y + 2x^2 + x^3.$$

3.3. Connection with Eulerian numbers.

Recall that the *Eulerian numbers* are defined by

$$\binom{n}{k} = \#\{\pi \in \mathfrak{S}_n : \operatorname{des}(\pi) = k\}.$$

The numbers $\langle {n \atop k} \rangle$ satisfy the recurrence relation

$$\binom{n+1}{k} = (k+1)\binom{n}{k} + (n+1-k)\binom{n}{k-1},$$

with the initial conditions $\langle {}^1_0 \rangle = 1$ and $\langle {}^1_k \rangle = 0$ for $k \ge 1$.

Theorem 11. For $n \ge 1$ and $0 \le k \le n - 1$, we have

$$\gamma_{n,n-k,k} = \left\langle \begin{matrix} n \\ k \end{matrix} \right\rangle.$$

Proof. Set $a(n,k) = \gamma_{n,n-k,k}$. Then $a(n,k-1) = \gamma_{n,n-k+1,k-1}$. Using (14), it is easy to verify that

$$\gamma_{n,i,j} = 0 \qquad \text{for } i+j > n.$$

Hence $\gamma_{n,n-k,k+1} = 0$. Therefore, the numbers a(n,k) satisfy the recurrence relation

$$a(n+1,k) = (k+1)a(n,k) + (n+1-k)a(n,k-1).$$

Since the numbers a(n,k) and $\binom{n}{k}$ satisfy the same recurrence relation and initial conditions, so they agree. This completes the proof.

A bijective proof of Theorem 11:

Proof. Let $\sigma \in Q_n$. Note that every element of [n] appears exactly two times in σ . Let $\alpha(\sigma)$ be the permutation of \mathfrak{S}_n obtained from σ by deleting all of the first *i* from left to right, where $i \in [n]$. Then α is a map from Q_n to \mathfrak{S}_n . For example, $\alpha(\mathbf{344355661221}) = 435621$. Let

$$\mathcal{D}_{n} = \{ \sigma \in \mathcal{Q}_{n} : \operatorname{lap}(\sigma) = i, \operatorname{dasc}(\sigma) = n - i, \operatorname{dp}(\sigma) = 0 \}$$

Let x be a given element of [n]. For any $\sigma \in Q_n$, we define the action β_x on Q_n as follows:

- Read σ from left to right and let *i* be the first index such that $\sigma_i = x$;
- Move σ_i to the right of σ_k , where $k = \max\{j \in \{0, 1, 2, \dots, i-1\} : \sigma_j < \sigma_i\}$, where $\sigma_0 = 0$.

For example, if $\sigma = 3443578876652211$, then

$$\beta_1(\sigma) = 1344357887665221, \ \beta_2(\sigma) = 2344357887665211, \ \beta_6(\sigma) = 3443567887652211.$$

It is clear that $\beta_x(\beta_y(\sigma)) = \beta_y(\beta_x(\sigma))$ for any $x, y \in [n]$. For any $S \subseteq [n]$, let $\beta_S : \mathcal{Q}_n \mapsto \mathcal{Q}_n$ be a function defined by

$$\beta_S(\sigma) = \prod_{x \in S} \beta_x(\sigma).$$

It is easy to verify that

$$\beta_{[n]}(\sigma) \in \mathcal{D}_n, \ \alpha(\sigma) = \alpha(\beta_{[n]}(\sigma)), \beta_{[n]}(\sigma) = \sigma \text{ if } \sigma \in \mathcal{D}_n.$$

Let $\alpha|_{\mathcal{D}_n}$ denote the restriction of the map α on the set \mathcal{D}_n . Then $\alpha|_{\mathcal{D}_n}$ is a map from \mathcal{D}_n to \mathfrak{S}_n . Let $\pi = \pi(1)\pi(2)\cdots\pi(n) \in \mathfrak{S}_n$. The inverse $\alpha|_{\mathcal{D}_n}^{-1}$ is defined as follows:

- let $\sigma = \sigma_1 \sigma_2 \dots \sigma_{2n}$ be the Stirling permutation such that $\sigma_{2i-1} = \sigma_{2i} = \pi(i)$ for each $i = 1, 2, \dots, n$;
- let $S(\pi) = \{\pi_i : \pi_{i-1} > \pi_i, 2 \le i \le n\};$

• let
$$\alpha|_{\mathcal{D}_n}^{-1}(\pi) = \beta_{S(\pi)}(\sigma).$$

Note that

$$\log\left(\alpha|_{\mathcal{D}_n}^{-1}(\pi)\right) + \operatorname{dasc}\left(\alpha|_{\mathcal{D}_n}^{-1}(\pi)\right) = n \text{ and } \operatorname{dasc}\left(\alpha|_{\mathcal{D}_n}^{-1}(\pi)\right) = \operatorname{des}\left(\pi\right).$$

Then $\alpha|_{\mathcal{D}_n}$ is a bijection from \mathcal{D}_n to \mathfrak{S}_n . This completes the proof.

Example 12. The bijection between
$$\mathfrak{S}_3$$
 and \mathcal{D}_3 is demonstrated as follows:

 $123 \leftrightarrow 112233 \ (S = \emptyset) \leftrightarrow \beta_S(112233) = 112233;$ $132 \leftrightarrow 113322 \ (S = \{2\}) \leftrightarrow \beta_S(113322) = 112332;$ $213 \leftrightarrow 221133 \ (S = \{1\}) \leftrightarrow \beta_S(221133) = 122133;$ $231 \leftrightarrow 223311 \ (S = \{1\}) \leftrightarrow \beta_S(223311) = 122331;$ $312 \leftrightarrow 331122 \ (S = \{1\}) \leftrightarrow \beta_S(331122) = 133122;$ $321 \leftrightarrow 332211 \ (S = \{1,2\}) \leftrightarrow \beta_S(332211) = 123321.$

4. Concluding Remarks

In this paper, we introduce several variants of the ascent-plateau statistic on Stirling permutations. Recall that Park [19] studied the (p,q)-analogue of the descent polynomials of Stirling permutations:

$$C_n(x, p, q) = \sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{des}(\sigma)} p^{\operatorname{inv}(\sigma)} q^{\operatorname{maj}(\sigma)}.$$

It would be interesting to study the relationship between $C_n(x, p, q)$ and the following polynomials:

$$\sum_{\sigma \in \mathcal{Q}_n} x^{\operatorname{ap}(\sigma)} y^{\operatorname{lap}(\sigma)} p^{\operatorname{inv}(\sigma)} q^{\operatorname{maj}(\sigma)}.$$

In [6], Egge introduced the definition of Legendre-Stirling permutation, which shares similar properties with Stirling permutation. One may study the ascent-plateau statistic on Legendre-Stirling permutations.

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