#### **On Quadratic Embedding Constants of Star Product Graphs**

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Abstract A connected graph *G* is of QE class if it admits a quadratic embedding in a Hilbert space, or equivalently if the distance matrix is conditionally negative definite, or equivalently if the quadratic embedding constant QEC(*G*) is non-positive. For a finite star product of (finite or infinite) graphs  $G = G_1 \star \cdots \star G_r$  an estimate of QEC(*G*) is obtained after a detailed analysis of the minimal solution of a certain algebraic equation. For the path graph  $P_n$  an implicit formula for QEC( $P_n$ ) is derived, and by limit argument QEC( $\mathbb{Z}$ ) = QEC( $\mathbb{Z}_+$ ) = -1/2 is shown. During the discussion a new integer sequence is found.

**Key words** conditionally negative definite matrix, distance matrix, quadratic embedding, QE constant star product graph

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# **1** Introduction

Let G = (V, E) be a (finite or infinite) connected graph. A map  $\varphi$  from V into a Hilbert space  $\mathcal{H}$  (of finite or infinite dimension) is called a *quadratic embedding* if it fulfills

$$\|\varphi(x) - \varphi(y)\|^2 = d(x, y), \qquad x, y \in V,$$
(1.1)

where  $\|\cdot\|$  stands for the norm of  $\mathcal{H}$ , and d(x, y) the graph distance between two vertices  $x, y \in V$ , i.e., the length of a shortest walk (or path) connecting x and y. A

graph *G* is said to be *of QE class* if it admits a quadratic embedding. Graphs of QE class have been studied along with graph theory [2], [3], [12], Euclidean distance geometry [8], [9], [10], [11], and so forth. They have appeared also in quantum probability and non-commutative harmonic analysis [4], [5], [6], [7], [13], [14].

It follows from the result of Schoenberg [17], [18] (also Young–Householder [19]). that G is of QE class if and only if the distance matrix D = [d(x, y)] is conditionally negative definite. It is then natural to consider, as a quantitative approach, the *QE constant* of a graph G defined by

$$QEC(G) = \sup\{\langle f, Df \rangle; f \in C_0(V), \langle f, f \rangle = 1, \langle 1, f \rangle = 0\},$$
(1.2)

where  $C_0(V)$  is the space of  $\mathbb{R}$ -valued functions on V with finite supports, and  $\langle \cdot, \cdot \rangle$  is the canonical inner product on  $C_0(V)$ . Moreover,  $\langle \mathbf{1}, f \rangle = \sum_{x \in V} f(x)$  by overuse of symbols, where  $\mathbf{1}(x) = 1$  for all  $x \in V$ . Obviously, G is of QE class if and only if QEC(G)  $\leq 0$ . The QE constant has been introduced in the recent paper [15], where graph operations preserving the property of QE class are discussed and the QE constants of graphs on  $n \leq 5$  vertices are listed. Moreover, for a particular class of graphs distance spectrum (for generalities see [1]) is useful for calculating the QE constants, but the relation is not clear in general.

In this paper, we focus on the star product as one of the most elementary graph operations. Given graphs  $G_j = (V_j, E_j)$  with distinguished vertices  $o_j \in V_j$ ,  $1 \le j \le r$ , the star product

$$G_1 \star \cdots \star G_r = (G_1, o_1) \star \cdots \star (G_r, o_r)$$

is by definition a graph obtained by glueing graphs  $G_j$  at the vertices  $o_j$ . It is known (see e.g., [15] for an explicit statement) that a star product of two graphs of QE class is again of QE class. An equivalent property appears in the study of length functions on Cayley graphs, of which the root traces back to Haagerup [6], see also Bożejko–Januszkiewicz–Spatzier [4] and Bożejko [5]. However, a concise formula for the QE constant of a star product is not known. Our goal of this paper is to derive an implicit description of QEC( $G_1 \star \cdots \star G_r$ ) and obtain a sufficiently good estimate of it in terms of  $Q_j = \text{QEC}(G_j)$ . The main results are stated in Theorems 4.4, 4.5 and their corollaries.

This paper is organized as follows. In Section 2 we derive some estimates of the minimal solution of an algebraic equation of the following type:

$$\sum_{j=1}^{r} \frac{d_j}{a_j d_j + a_j - \lambda} = \frac{1}{\lambda}.$$
(1.3)

In Section 3 we study the conditional minimum of a quadratic function of the

following type:

$$\phi(x_0, \boldsymbol{x}_1, \dots, \boldsymbol{x}_r) = \sum_{j=1}^r a_j \left( \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \langle \boldsymbol{1}_j, \boldsymbol{x}_j \rangle^2 \right)$$
(1.4)

subject to conditions:

$$x_0^2 + \sum_{j=1}^r \langle x_j, x_j \rangle = 1, \qquad x_0 + \sum_{j=1}^r \langle \mathbf{1}_j, x_j \rangle = 0.$$
 (1.5)

We show that the conditional minimum of (1.4) coincides with the minimal solution of (1.3). With these results we prove the main theorem in Section 4 and mention some relevant results and problems. In Section 5 we discuss infinite graphs, in particular, infinite path graphs  $\mathbb{Z}_+$  and  $\mathbb{Z}$ . The QE constant of a finite path  $P_n$  for a general n is not known explicitly. We derive an indirect formula for QEC( $P_n$ ) and by taking limit we obtain QEC( $\mathbb{Z}_+$ ) = QEC( $\mathbb{Z}$ ) = -1/2. Finally, in Section 6 we study some combinatorial identities used in the estimate of QEC( $P_n$ ) and find a new integer sequence which is interesting for itself.

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## 2 Preliminaries

Given a natural number  $r \ge 1$  and a pair of parameter vectors

$$a = (a_1, a_2, \dots, a_r), \qquad d = (d_1, d_2, \dots, d_r),$$

we consider an algebraic equation of the following type:

$$\sum_{j=1}^{r} \frac{d_j}{a_j d_j + a_j - \lambda} = \frac{1}{\lambda}.$$
(2.1)

The parameters *a* and *d* are always assumed to fulfill the following conditions:

- (i)  $a_1, \ldots, a_r$  are positive real numbers,
- (ii)  $d_1, \ldots, d_r$  are positive real numbers or  $\infty$ . If  $d_i = \infty$ , we understand that

$$\frac{d_j}{a_j d_j + a_j - \lambda} = \frac{\infty}{a_j \cdot \infty + a_j - \lambda} = \frac{1}{a_j}$$

#### 2.1 Separation of solutions

Given  $a = (a_1, a_2, ..., a_r)$  and  $d = (d_1, d_2, ..., d_r)$ , arranging  $a_j d_j + a_j$  in order, we write

$$\{a_1d_1 + a_1, \dots, a_rd_r + a_r\} = \{c_1 < \dots < c_s\}$$

and set  $c_0 = 0$ . It may happen that  $c_s = \infty$ .

**Proposition 2.1.** Every open interval  $(c_{i-1}, c_i)$ ,  $1 \le i \le s$ , contains exactly one solution  $\lambda_i$  of (2.1). Moreover, these  $\lambda_1, \ldots, \lambda_s$  are all the solutions of (2.1).

Proof. We set

$$f(\lambda) = \sum_{j=1}^{r} \frac{d_j}{a_j d_j + a_j - \lambda} - \frac{1}{\lambda},$$
(2.2)

which becomes

$$f(\lambda) = \sum_{i=1}^{s} \frac{d'_i}{c_i - \lambda} - \frac{1}{\lambda}$$
(2.3)

with some  $d'_i > 0$ . If  $c_s = \infty$ , then  $d'_s/(c_s - \lambda)$  becomes a positive constant. Hence, for any  $1 \le i \le s$ , the function  $f(\lambda)$  is strictly increasing on the interval  $(c_{i-1}, c_i)$  as a sum of increasing functions. Moreover, for any  $1 \le i \le s$  with  $c_i < \infty$  we have

$$\lim_{\lambda \to c_{i-1}+0} f(\lambda) = -\infty, \qquad \lim_{\lambda \to c_i-0} f(\lambda) = +\infty.$$

If  $c_s = \infty$ , we have  $\lim_{\lambda \to \infty} f(\lambda) > 0$ . While, if  $c_s < \infty$ , we have  $f(\lambda) < 0$  for all  $\lambda > c_s$ . Hence every interval  $(c_{i-1}, c_i)$ ,  $1 \le i \le s$ , contains exactly one solution  $\lambda_i$  of (2.1). Since the equation (2.1) is equivalent to an algebraic equation of degree s as is seen from (2.3),  $\{\lambda_1, \ldots, \lambda_s\}$  exhaust its solutions.

#### 2.2 Estimate of the minimal solution

Let  $\lambda_1(d, a)$  denote the minimal solution of (2.1), which verifies  $\lambda_1(d, a) > 0$  by Proposition 2.1. In fact, for r = 1 we have

$$\lambda_1(\boldsymbol{d}, \boldsymbol{a}) = a_1 \tag{2.4}$$

and for r = 2,

$$\lambda_{1}(d, a) = \frac{2a_{1}a_{2}}{a_{1} + a_{2} + \sqrt{(a_{1} + a_{2})^{2} - \frac{4(d_{1} + d_{2} + 1)}{(d_{1} + 1)(d_{2} + 1)}a_{1}a_{2}}}$$
$$= \frac{2a_{1}a_{2}}{a_{1} + a_{2} + \sqrt{(a_{1} - a_{2})^{2} + \frac{4d_{1}d_{2}}{(d_{1} + 1)(d_{2} + 1)}a_{1}a_{2}}}.$$
 (2.5)

It is difficult to obtain a concise description of  $\lambda_1(d, a)$  for  $r \ge 3$  in general. Instead, we will obtain good estimates for  $\lambda_1(d, a)$  useful in applications.

**Proposition 2.2.** Let  $r \ge 2$ . The minimal solution  $\lambda_1(d, a)$  of (2.1) satisfies

$$\left(\frac{1}{a_1} + \dots + \frac{1}{a_r}\right)^{-1} \le \lambda_1(\boldsymbol{d}, \boldsymbol{a}) < \min\{a_1, \dots, a_r\},$$
(2.6)

where the equality holds if and only if  $d_1 = \cdots = d_r = \infty$ .

*Proof.* We first show the right-half of (2.6). Let  $a_{j_0} = \min\{a_1, \ldots, a_r\}$ . Since  $a_{j_0} \le a_j < a_j d_j + a_j$  for all j, we have  $0 = c_0 < a_{j_0} < c_1$ . Moreover, letting  $f(\lambda)$  be as in (2.2), we have

$$f(a_{j_0}) = \frac{d_{j_0}}{a_{j_0}d_{j_0} + a_{j_0} - a_{j_0}} + \sum_{\substack{j=1, \ j \neq j_0}}^r \frac{d_j}{a_jd_j + a_j - a_{j_0}} - \frac{1}{a_{j_0}}$$
$$= \sum_{\substack{j=1, \ j \neq j_0}}^r \frac{d_j}{a_jd_j + a_j - a_{j_0}} > 0.$$

Since  $f(\lambda)$  is increasing on the interval  $(0, c_1)$ , we see that  $\lambda_1(d, a) < a_{j_0}$ .

Now we are going to prove the left-half of (2.6). For simplicity we set

$$\lambda_0 = \left(\frac{1}{a_1} + \dots + \frac{1}{a_r}\right)^{-1}.$$

Obviously, for  $1 \le j \le r$  we have  $0 < \lambda_0 < a_j$  and hence

$$\frac{d_j}{a_j d_j + a_j - \lambda_0} \le \frac{1}{a_j},$$

where the equality holds if and only if  $d_j = \infty$ . Taking the sum over  $1 \le j \le r$  we get

$$\sum_{j=1}^r \frac{d_j}{a_j d_j + a_j - \lambda_0} \leq \sum_{j=1}^r \frac{1}{a_j} = \frac{1}{\lambda_0},$$

from which we see that  $f(\lambda_0) \le 0$  and the equality holds if and only if  $d_1 = \cdots = d_r = \infty$ . Since  $0 < \lambda_0 < a_{j_0} < c_1$  and  $f(\lambda)$  is increasing on the interval  $(0, c_1)$ , we have  $\lambda_0 \le \lambda_1(d, a)$ , which shows the left-half of (2.6).

#### **2.3** Sharper estimates

We will sharpen the estimate (2.6). For  $a = (a_1, \ldots, a_r)$  and  $a' = (a'_1, \ldots, a'_r)$  we write  $a \le a'$  if  $a_j \le a'_j$  for all  $1 \le j \le r$ . Similarly, we define  $d \le d'$ . The following comparison is useful.

**Proposition 2.3.** If  $d \ge d'$  and  $a \le a'$ , then  $\lambda_1(d, a) \le \lambda_1(d', a')$ . Moreover, if  $d \ne d'$  or  $a \ne a'$  in addition, we have  $\lambda_1(d, a) < \lambda_1(d', a')$ .

*Proof.* Suppose that  $0 < d'_j \le d_j \le \infty$  and  $0 < a_j \le a'_j$ . Then, by elementary algebra we obtain

$$\frac{d_j}{a_j d_j + a_j - \lambda} \ge \frac{d'_j}{a'_j d'_j + a'_j - \lambda}, \qquad 0 < \lambda < a_j.$$
(2.7)

Moreover, the strict inequality holds if  $0 < d'_i < d_j \le \infty$  or  $0 < a_j < a'_j$ . Put

$$f(\lambda) = \sum_{j=1}^r \frac{d_j}{a_j d_j + a_j - \lambda} - \frac{1}{\lambda}, \qquad g(\lambda) = \sum_{j=1}^r \frac{d'_j}{a'_j d'_j + a'_j - \lambda} - \frac{1}{\lambda}.$$

Now suppose that  $d \ge d'$  and  $a \le a'$ . It then follows from (2.7) that  $f(\lambda) \ge g(\lambda)$  for  $0 < \lambda < \min\{a_1, \ldots, a_r\}$ , and hence for  $0 < \lambda \le \lambda_1(d, a)$ . Therefore,  $\lambda_1(d, a) \le \lambda_1(d', a')$ . If  $d \ne d'$  or  $a \ne a'$ , we have  $f(\lambda) > g(\lambda)$  for  $0 < \lambda \le \lambda_1(d, a)$ , which yields  $\lambda_1(d, a) < \lambda_1(d', a')$ .

As an immediate consequence of Proposition 2.3, we have

$$\left(\frac{1}{a_1} + \dots + \frac{1}{a_r}\right)^{-1} = \lambda_1(\infty, a) < \lambda_1(d, a)$$
(2.8)

for any  $d \neq \infty = (\infty, ..., \infty)$ . Note that (2.8) is reproduction of Proposition 2.2.

**Proposition 2.4.** We have

$$\lambda_1(\boldsymbol{d},\boldsymbol{a}) = \inf \left\{ \lambda_1(\boldsymbol{e},\boldsymbol{a}); \begin{array}{l} \boldsymbol{e} = (e_1,\ldots,e_r) \leq \boldsymbol{d}, \\ e_1 < \infty,\ldots,e_r < \infty \end{array} \right\},$$

or equivalently,

 $\lambda_1(d, a) = \lim_{n \to \infty} \lambda_1(d \wedge n, a),$ 

where  $d \wedge n = (d_1 \wedge n, \ldots, d_r \wedge n)$ .

*Proof.* Here  $a = (a_1, \ldots, a_r)$  is fixed. Substituting  $d_i \mapsto 1/u_i$  we define

$$F(u_1,\ldots,u_r,\lambda)=\sum_{j=1}^r\frac{1}{a_ju_j+a_j-\lambda u_j}-\frac{1}{\lambda}$$

Then the equation  $F(u_1, \ldots, u_r, \lambda) = 0$  gives rise to an implicit function  $\lambda = g(u_1, \ldots, u_r)$  with the initial condition  $g(0, \ldots, 0) = \lambda_0 = (1/a_1 + \cdots + 1/a_r)^{-1}$ . It suffices to show that g is well-defined and is continuous on  $[0, \infty)^r$ . We know that the minimal solution

$$\lambda = g(u_1, \ldots, u_r) = \lambda_1 \left( \frac{1}{u_1}, \ldots, \frac{1}{u_r}, a \right)$$

exists for all  $u \in [0, \infty)^r$ . On the other hand for such u and  $\lambda$  we have

$$\frac{\partial F}{\partial \lambda} = \sum_{j=1}^{r} \frac{u_j}{(a_j u_j + a_j - \lambda u_j)^2} + \frac{1}{\lambda^2} > 0,$$

which implies that *g* is continuous on  $[0, \infty)^r$ .

Hereafter in this subsection we assume that  $d \neq \infty$ , namely,  $d = (d_1, \ldots, d_r)$  with  $d_j < \infty$  for some *j*. As before, we put

$$c_1 = \min\{a_1d_1 + a_1, \dots, a_rd_r + a_r\}.$$

Proposition 2.5. We have

$$\left(\frac{1}{c_1} + \sum_{j=1}^r \frac{d_j}{d_j a_j + a_j}\right)^{-1} \le \lambda_1(d, a) < \left(\sum_{j=1}^r \frac{d_j}{d_j a_j + a_j}\right)^{-1}$$
(2.9)

and the equality holds if and only if  $d_1a_1 + a_1 = \cdots = d_ra_r + a_r$ .

*Proof.* Let  $\lambda'$  and  $\lambda''$  denote the left- and right-hand sides of (2.9), respectively. First we note that for  $0 < \lambda < c_1$  we have

$$\frac{d_j}{d_j a_j + a_j - \lambda} \le \frac{d_j c_1}{(c_1 - \lambda)(d_j + 1)a_j},$$

where the equality holds if and only if  $c_1 = d_j a_j + a_j$ . Therefore the solution of (2.1) in the interval (0,  $c_1$ ) is greater than the solution of

$$\sum_{j=1}^r \frac{d_j c_1}{(c_1 - \lambda)(d_j + 1)a_j} = \frac{1}{\lambda},$$

which is exactly  $\lambda'$ . For the second inequality in (2.9) we can assume that  $\lambda'' < c_1$  (for otherwise  $\lambda_1(d, a) < \min\{a_1, \ldots, a_r\} < c_1 \le \lambda''$ ). Then we have

$$\frac{d_j}{d_j a_j + a_j - \lambda^{\prime\prime}} \ge \frac{d_j}{d_j a_j + a_j},$$

with equality only when  $d_j = \infty$ . Taking the sum over j = 1, ..., r we get

$$\sum_{j=1}^r \frac{d_j}{d_j a_j + a_j - \lambda^{\prime\prime}} > \frac{1}{\lambda^{\prime\prime}},$$

which implies  $\lambda_1(d, a) < \lambda''$ 

Here is slightly more precise estimation from below.

#### **Proposition 2.6.** We have

$$c_1 \left( 1 + \sum_{j=1}^r \frac{d_j (c_1 - \lambda_0)}{d_j a_j + a_j - \lambda_0} \right)^{-1} \le \lambda_1 (d, a),$$
(2.10)

where

$$\lambda_0 = \left(\frac{1}{a_1} + \dots + \frac{1}{a_r}\right)^{-1}$$

and the equality holds if and only if  $d_1a_1 + a_1 = \cdots = d_ra_r + a_r$ .

*Proof.* For  $1 \le j \le r$  and  $\lambda_0 < \lambda < c_1$  we have

$$\frac{d_j}{d_j a_j + a_j - \lambda} \leq \frac{d_j (c_1 - \lambda_0)}{(d_j a_j + a_j - \lambda_0)(c_1 - \lambda)},$$

where the equality holds if and only if  $c_1 = d_j a_j + a_j$ . Therefore the minimal solution of (2.1) is greater (or equal if  $d_1 a_1 + a_1 = \cdots = d_r a_r + a_r$ ) than the solution of

$$\sum_{j=1}^r \frac{d_j(c_1-\lambda_0)}{(d_ja_j+a_j-\lambda_0)(c_1-\lambda)} = \frac{1}{\lambda},$$

which is the left hand side of (2.10).

One can check that (2.10) gives a more precise estimate of  $\lambda_1(d, a)$  from below than (2.9), which is still better than (2.8), i.e.,

$$\left(\sum_{j=1}^{r} \frac{1}{a_j}\right)^{-1} < \left(\frac{1}{c_1} + \sum_{j=1}^{r} \frac{d_j}{d_j a_j + a_j}\right)^{-1}$$
$$\leq c_1 \left(1 + \sum_{j=1}^{r} \frac{d_j (c_1 - \lambda_0)}{d_j a_j + a_j - \lambda_0}\right)^{-1} \leq \lambda_1(d, a),$$

with equalities if and only if  $d_1a_1 + a_1 = \cdots = d_ra_r + a_r$ .

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# **3** Conditional Minimum of a Quadratic Function

Given a natural number  $r \ge 1$ , and a pair of parameter vectors

$$a = (a_1, a_2, \ldots, a_r), \qquad d = (d_1, d_2, \ldots, d_r),$$

satisfying conditions:

- (i)  $a_1, \ldots, a_r \ge 0$  are non-negative real numbers,
- (ii)  $d_1, \ldots, d_r \ge 1$  are natural numbers or  $\infty$ ,

we consider a quadratic function in  $1 + d_1 + \cdots + d_r$  variables of the following form:

$$\phi(x_0, \boldsymbol{x}) = \phi(x_0, \boldsymbol{x}_1, \dots, \boldsymbol{x}_r) = \sum_{j=1}^r a_j \left( \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \langle \boldsymbol{1}_j, \boldsymbol{x}_j \rangle^2 \right), \quad (3.1)$$

where  $x_0 \in \mathbb{R}$ ,  $x_j \in \mathbb{R}^{d_j}$ ,  $\mathbf{1}_j = [1 \dots 1]^T \in \mathbb{R}^{d_j}$ , and  $\langle \cdot, \cdot \rangle$  stands for the canonical inner product. In case of  $d_j = \infty$  we always assume that vectors  $x_j \in \mathbb{R}^{d_j}$  have finite supports, that is, the entries of  $x_j$  vanish except finitely many ones. For such vectors  $\langle x_j, x_j \rangle$  and  $\langle \mathbf{1}_j, x_j \rangle$  are defined as finite sums and  $\phi(x_0, x)$  is defined on the set of vectors with finite supports. Note also that the right of (3.1) is free from the variable  $x_0$ .

Let M(d, a) denote the conditional infimum:

$$M(\boldsymbol{d},\boldsymbol{a}) = \inf \phi(x_0,\boldsymbol{x}),$$

where the infimum is taken over the vectors  $(x_0, x)$  with finite supports, fulfilling the conditions:

$$x_0^2 + \sum_{j=1}^r \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle = 1, \qquad (3.2)$$

$$x_0 + \sum_{j=1}^r \langle \mathbf{1}_j, \boldsymbol{x}_j \rangle = 0.$$
(3.3)

If  $d_j < \infty$  for all  $1 \le j \le r$ , we prefer to call M(d, a) the conditional minimum rather than infimum.

Although M(d, a) itself is defined for any choice of real numbers  $a = (a_1, ..., a_r)$ , the condition (i) above is posed for our application.

#### **3.1** Elementary properties of M(d, a)

**Proposition 3.1.** *We have*  $0 \le M(d, a) \le \min\{a_1, ..., a_r\}$ .

*Proof.* It is obvious from definition that  $\phi(x_0, x) \ge 0$  for all  $x_0$  and x, so that  $M(d, a) \ge 0$ . Setting  $x_2 = \cdots = x_r = 0$  and taking  $x_0$  and  $x_1$  in such a way that

$$x_0^2 + \langle \boldsymbol{x}_1, \boldsymbol{x}_1 \rangle = 1, \qquad x_0 + \langle \boldsymbol{1}_1, \boldsymbol{x}_1 \rangle = 0,$$

we see that  $\phi(x_0, x)$  becomes

$$a_1\left(\langle \boldsymbol{x}_1, \boldsymbol{x}_1 \rangle + \langle \boldsymbol{1}_1, \boldsymbol{x}_1 \rangle^2\right) = a_1\left((1 - x_0^2) + (-x_0)^2\right) = a_1.$$

Hence the function  $\phi(x_0, x)$  attains the value  $a_1$  under conditions (3.2) and (3.3). Similarly, it attains the value  $a_j$  for  $1 \le j \le r$ . Therefore, the conditional infimum verifies  $M(d, a) \le \min\{a_1, \ldots, a_r\}$ .

**Proposition 3.2.** *If*  $a \le b$  *and*  $d \le e$ , we have

$$M(e, a) \le M(d, a) \le M(d, b).$$

Proof. Straightforward by definition.

**Proposition 3.3.** If  $a_j = 0$  for some  $1 \le j \le r$ , we have M(d, a) = 0.

*Proof.* Immediate from Proposition 3.1.

**Proposition 3.4.** For r = 1 we have  $M(d, a) = a_1$ .

*Proof.* In fact,  $\phi(x_0, x)$  is constant under (3.2) and (3.3) as

$$\phi(x_0, \boldsymbol{x}) = a_1 \left( \langle \boldsymbol{x}_1, \boldsymbol{x}_1 \rangle + \langle \boldsymbol{1}_1, \boldsymbol{x}_1 \rangle^2 \right) = a_1 \left( (1 - x_0^2) + (-x_0)^2 \right) = a_1.$$

Therefore,  $M(d, a) = a_1$ .

#### **3.2** A Characterization of M(d, a)

**Theorem 3.5.** Let  $r \ge 1$ . Assume that  $a_j > 0$  and  $1 \le d_j \le \infty$  for all  $1 \le j \le r$ . Then M(d, a) coincides with the minimal solution of

$$\sum_{j=1}^{r} \frac{d_j}{a_j d_j + a_j - \lambda} = \frac{1}{\lambda}.$$
(3.4)

In other words, with the notations introduced in Section 2, we have

$$M(d, a) = \lambda_1(d, a). \tag{3.5}$$

For r = 1 the assertion in Theorem 3.5 is immediate. In fact, the unique solution of

$$\frac{d_1}{a_1d_1 + a_1 - \lambda} = \frac{1}{\lambda}$$

is  $\lambda = a_1$ . On the other hand, we have  $M(d, a) = a_1$  by Proposition 3.4.

In the rest of this subsection, we will prove Theorem 3.5 under the condition that  $r \ge 2$ ,  $a_j > 0$  and  $1 \le d_j < \infty$  for all  $1 \le j \le r$ . The limit case will be treated in the next subsection.

Employing the method of Lagrange multipliers, we set

$$F(x_0, x, \lambda, \mu) = \phi(x_0, x) - \lambda(g(x_0, x) - 1) - \mu h(x_0, x),$$

where

$$g(x_0, \boldsymbol{x}) = x_0^2 + \sum_{j=1}^r \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle, \qquad (3.6)$$

$$h(x_0, \boldsymbol{x}) = x_0 + \sum_{j=1}^r \langle \boldsymbol{1}_j, \boldsymbol{x}_j \rangle.$$
(3.7)

Let S be the set of stationary points of  $F(x_0, x, \lambda, \mu)$ , namely, the set of solutions of the system of equations:

$$\frac{\partial F}{\partial x_0} = 0, \tag{3.8}$$

$$\frac{\partial F}{\partial x_j} = \mathbf{0}, \quad 1 \le j \le r, \tag{3.9}$$

$$\frac{\partial F}{\partial \lambda} = \frac{\partial F}{\partial \mu} = 0,$$
 (3.10)

where

$$\frac{\partial}{\partial \boldsymbol{x}_j} = \begin{bmatrix} \frac{\partial}{\partial x_{j1}} & \dots & \frac{\partial}{\partial x_{jd_j}} \end{bmatrix}^{\mathrm{T}}, \qquad \boldsymbol{x}_j = \begin{bmatrix} x_{j1} & \dots & x_{jd_j} \end{bmatrix}^{\mathrm{T}}.$$

Since conditions (3.2) and (3.3) determine a smooth compact manifold (in fact, a sphere of dimension  $d_1 + \cdots + d_r - 1 \ge 1$ ), the conditional minimum of  $\phi(x_0, x)$  is found from the stationary points of  $F(x_0, x, \lambda, \mu)$  in such a way that

$$M(d, a) = \min\{\phi(x_0, x); (x_0, x, \lambda, \mu) \in S\}.$$
 (3.11)

We will first obtain explicit forms of (3.8) and (3.9). Applying elementary calculus to (3.1), we come to

$$\frac{\partial}{\partial x_0}\phi(x_0, \boldsymbol{x}) = 0, \qquad \frac{\partial}{\partial \boldsymbol{x}_j}\phi(x_0, \boldsymbol{x}) = 2a_j\boldsymbol{x}_j + 2a_j\langle \mathbf{1}_j, \boldsymbol{x}_j\rangle \mathbf{1}_j.$$

Similarly, from (3.6) and (3.7) we obtain

$$\frac{\partial}{\partial x_0} g(x_0, \boldsymbol{x}) = 2x_0, \qquad \frac{\partial}{\partial \boldsymbol{x}_j} g(x_0, \boldsymbol{x}) = 2\boldsymbol{x}_j,$$
$$\frac{\partial}{\partial x_0} h(x_0, \boldsymbol{x}) = 1, \qquad \frac{\partial}{\partial \boldsymbol{x}_j} h(x_0, \boldsymbol{x}) = \mathbf{1}_j.$$

Thus, (3.8) and (3.9) are respectively equivalent to

$$2\lambda x_0 + \mu = 0, (3.12)$$

and

$$2a_j \boldsymbol{x}_j + 2a_j \langle \boldsymbol{1}_j, \boldsymbol{x}_j \rangle \boldsymbol{1}_j = 2\lambda \boldsymbol{x}_j + \mu \boldsymbol{1}_j, \qquad 1 \le j \le r.$$
(3.13)

We now employ matrix-notation for (3.13). The matrix whose entries are all one is denoted by J without explicitly mentioning its size. Similarly, the identity matrix is denoted by I. Using the obvious relation

$$\langle \mathbf{1}_j, \boldsymbol{x}_j \rangle \mathbf{1}_j = J \boldsymbol{x}_j,$$

(3.13) becomes

$$(2a_jJ+(2a_j-2\lambda)I)x_j=\mu\mathbf{1}_j,$$

or equivalently,

$$\left(J - \left(\frac{\lambda}{a_j} - 1\right)I\right) \mathbf{x}_j = \frac{\mu}{2a_j} \mathbf{1}_j, \qquad 1 \le j \le r.$$
(3.14)

On the other hand, (3.10) is equivalent to conditions (3.2) and (3.3). Consequently, we have

 $S = \{(x_0, x, \lambda, \mu) \text{ satisfying } (3.2), (3.3), (3.12) \text{ and } (3.14)\}.$ 

**Lemma 3.6.** If  $(x_0, x, \lambda, \mu) \in S$ , then  $\phi(x_0, x) = \lambda$ . In particular,

$$M(\boldsymbol{d}, \boldsymbol{a}) = \min\{\lambda \, ; \, (x_0, \boldsymbol{x}, \lambda, \mu) \in \mathcal{S}\}. \tag{3.15}$$

*Proof.* Taking the inner product of (3.13) with  $x_i$ , we get

$$2a_j\langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + 2a_j\langle \boldsymbol{1}_j, \boldsymbol{x}_j \rangle^2 = 2\lambda\langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \mu\langle \boldsymbol{1}_j, \boldsymbol{x}_j \rangle.$$

Taking the sum over j and applying conditions (3.2) and (3.3), we obtain

$$2\phi(x_0, \boldsymbol{x}) = 2\lambda(1 - x_0^2) + \mu(-x_0) = 2\lambda - 2\lambda x_0^2 - \mu x_0$$

and hence  $\phi(x_0, x) = \lambda$  by (3.12). Then (3.15) is immediate from (3.11).

Upon solving the linear equation (3.14) the following elementary result is useful.

**Lemma 3.7.** Let  $m \ge 1$ . Let J denote the  $m \times m$  matrix whose entries are all one, and I the  $m \times m$  identity matrix. For  $\alpha, \beta \in \mathbb{R}$  we consider the linear equation:

$$(J - \alpha I)\mathbf{x} = \beta \mathbf{1}.$$

(i) If  $\alpha = 0$ , then the solution is given by

$$x = \frac{\beta}{m} 1 + y, \qquad y \in \operatorname{Ker} J.$$

Moreover, dim Ker J = m - 1. In particular, the solution is unique when m = 1.

- (ii) If  $\alpha = m$  and  $\beta = 0$ , the solution is given by x = c1 with  $c \in \mathbb{R}$ . In this case, *m* is an eigenvalue of *J* and *x* is an associated eigenvector.
- (iii) If  $\alpha = m$  and  $\beta \neq 0$ , there is no solution.
- (iv) If  $\alpha \neq 0$  and  $\alpha \neq m$ , the solution is unique and given by

$$x=\frac{\beta}{m-\alpha}\,1.$$

Suppose that a real number  $\lambda$  appears in S, i.e.,  $(x_0, x, \lambda, \mu) \in S$  for some  $x_0, x, \mu$ , and that  $\lambda \notin \{a_j, a_jd_j + a_j; 1 \le j \le r\}$ . It then follows from Lemma 3.7 (iv) that (3.14) admits a unique solution

$$x_{j} = \frac{\mu}{2(a_{j}d_{j} + a_{j} - \lambda)} \mathbf{1}_{j}, \qquad 1 \le j \le r.$$
(3.16)

Since  $\lambda \neq 0$ , which is directly verified or by Proposition 3.1, (3.12) becomes

$$x_0 = -\frac{\mu}{2\lambda}.\tag{3.17}$$

Inserting (3.16) and (3.17) into condition (3.3), we have

$$\sum_{j=1}^{r} \frac{d_{j}\mu}{2(a_{j}d_{j}+a_{j}-\lambda)} - \frac{\mu}{2\lambda} = 0.$$
(3.18)

We see from (3.16) and (3.17) together with (3.2) that  $\mu \neq 0$ . Hence (3.18) is equivalent to

$$\sum_{j=1}^{r} \frac{d_j}{a_j d_j + a_j - \lambda} = \frac{1}{\lambda}.$$
(3.19)

Thus,  $\lambda$  is a solution of (3.19).

Conversely, with any solution  $\lambda$  of (3.19) we may associate  $\mu$  in such a way that (3.16) and (3.17) satisfy condition (3.2). In other words, every solution  $\lambda$  of (3.19) appears in S. Consequently,

$$\{\lambda_1, \dots, \lambda_s\} \subset \{\lambda; (x_0, \boldsymbol{x}, \lambda, \mu) \in \mathcal{S}\} \subset \{\lambda_1, \dots, \lambda_s\} \cup \{a_j, a_j d_j + a_j; 1 \le j \le r\},$$
(3.20)

where  $\lambda_1 < \cdots < \lambda_s$  are the solutions of (3.19), see Proposition 2.1.

We are now in a position to determine

$$M(\boldsymbol{d}, \boldsymbol{a}) = \min\{\lambda \, ; \, (x_0, \boldsymbol{x}, \lambda, \mu) \in \mathcal{S}\},\$$

see Lemma 3.6. Since

$$M(\boldsymbol{d}, \boldsymbol{a}) \leq \min\{a_1, \ldots, a_r\}$$

by Proposition 3.1, it follows from (3.20) that

$$\min\{\lambda \; (x_0, \boldsymbol{x}, \lambda, \mu) \in \mathcal{S}\} = \min\{\lambda_1, \dots, \lambda_s\} = \lambda_1 \; ,$$

where  $\lambda_1$  is the minimal solution of (3.19). Consequently,  $M(d, a) = \lambda_1$  as desired.

#### **3.3** An infinite case

**Proposition 3.8.** Let  $r \ge 2$ . Assume that  $a_j > 0$  and  $1 \le d_j \le \infty$  for all  $1 \le j \le r$ . Then

$$M(\boldsymbol{d},\boldsymbol{a}) = \inf \left\{ M(\boldsymbol{e},\boldsymbol{a}); \begin{array}{l} \boldsymbol{e} = (e_1, \dots, e_r) \leq \boldsymbol{d}, \\ e_1 < \infty, \dots e_r < \infty \end{array} \right\}$$
(3.21)

Moreover,

$$M(d, a) = \lim_{n \to \infty} M(d \land n, a), \qquad (3.22)$$

where  $\boldsymbol{d} \wedge \boldsymbol{n} = (d_1 \wedge n, \dots, d_r \wedge n)$ .

*Proof.* Denote by  $\mu$  the right-hand side of (3.21). If  $e = (e_1, \ldots, e_r)$  satisfies  $e_j < \infty$  and  $e_j \le d_j$  for all  $1 \le j \le r$ , by definition we have  $M(d, a) \le M(e, a)$ . Therefore, the inequality  $M(d, a) \le \mu$  holds. On the other hand, for any  $\epsilon > 0$  there exists a vector  $(x_0, x)$  with finite supports such that  $\phi(x_0, x) \le M(d, a) + \epsilon$ . Choosing  $e = (e_1, \ldots, e_r)$  with  $e_j < \infty$  and  $e_j \le d_j$  for all  $1 \le j \le r$  such that  $x \in \mathbb{R}^{e_1} \times \cdots \times \mathbb{R}^{e_r}$ , we have  $M(e, a) \le \phi(x_0, x)$ . Hence  $\mu \le M(e, a) \le M(d, a) + \epsilon$  so that  $\mu \le M(d, a)$ . Consequently,  $\mu = M(d, a)$  and (3.21) is proved. Then (3.22) is now immediate.

We now complete the proof of Theorem 3.5. Let  $r \ge 2$  and suppose that  $a_j > 0$ and  $1 \le d_j \le \infty$  for all  $1 \le j \le r$ . It follows from the proved part of Theorem 3.5 that

$$M(\boldsymbol{d}\wedge\boldsymbol{n},\boldsymbol{a})=\lambda_1(\boldsymbol{d}\wedge\boldsymbol{n},\boldsymbol{a}).$$

Letting  $n \to \infty$  with the help of Propositions 2.4 and 3.8 we obtain

$$M(\boldsymbol{d},\boldsymbol{a}) = \lambda_1(\boldsymbol{d},\boldsymbol{a}),$$

as desired.

#### **3.4** Estimates of M(d, a)

Having established in Theorem 3.5 the relation  $M(d, a) = \lambda_1(d, a)$ , we may apply the results in Section 2 to obtain various estimates of M(d, a). Here we only mention the most basic result, which follows directly from Proposition 2.2.

**Theorem 3.9.** Let  $r \ge 2$ . Assume that  $a_j > 0$  and  $1 \le d_j \le \infty$  for all  $1 \le j \le r$ . Then we have

$$\left(\frac{1}{a_1} + \dots + \frac{1}{a_r}\right)^{-1} \le M(d, a) < \min\{a_1, \dots, a_r\},$$
 (3.23)

where the equality holds if and only if  $d_1 = \cdots = d_r = \infty$ .

# 4 Star product graphs

Let  $r \ge 1$  be a natural number. For each  $1 \le j \le r$  let  $G_j = (V_j, E_j)$  be a connected graph with distinguished vertex  $o_j \in V_j$ . The star product

$$(G_1, o_1) \star \dots \star (G_r, o_r) \tag{4.1}$$

is by definition a graph G = (V, E) obtained by glueing graphs  $G_j$  at the vertices  $o_j$ . Although the star product depends on the choice of the distinguished vertices, we write

$$G = G_1 \star \cdots \star G_n$$

whenever there is no danger of confusion. It is convenient to understand the set V of vertices of  $G = G_1 \star \cdots \star G_r$  as a disjoint union:

$$V = \{o\} \cup \bigcup_{j=1}^{r} V_j \setminus \{o_j\},\$$

where *o* is identified with the glued vertices  $o_j \in V_j$ . Let  $D_j = [d_j(x, y)]$  and D = [d(x, y)] be the distance matrices of  $G_j$  and G, respectively. Apparently,

$$d(x, y) = \begin{cases} d_j(x, y), & \text{if } x, y \in V_j, \\ d_i(x, o) + d_j(o, y), & \text{if } x \in V_i \text{ and } y \in V_j, i \neq j. \end{cases}$$
(4.2)

We are interested in a good estimate of  $QEC(G_1 \star \cdots \star G_r)$  in terms of  $Q_j = QEC(G_j)$ .

We need a general notion. Let G = (V, E) be a connected graph and H = (W, F) a connected subgraph. Let D and  $D_H$  be the distance matrices of G and H, respectively. We say that H is *isometrically embedded* in G if  $D_H(x, y) = D(x, y)$  for any  $x.y \in W$ . In that case, H is the induced subgraph of G spanned by W, but the converse assertion is not true.

**Proposition 4.1.** Let G be a connected graph and H a connected subgraph. If H is isometrically embedded in G, we have  $QEC(H) \leq QEC(G)$ .

*Proof.* Straightforward from definition, see also [15].

**Proposition 4.2.** Let  $r \ge 1$ . For  $1 \le j \le r$  let  $G_j = (V_j, E_j)$  be a (finite or infinite) connected graph. Then we have

$$\max\{Q_1,\ldots,Q_r\} \leq \operatorname{QEC}(G_1 \star \cdots \star G_r).$$

*Proof.* It is obvious by definition of star product each  $G_j$  is isometrically embedded in  $G = G_1 \star \cdots \star G_r$ , see also (4.2). Then by Proposition 4.1, we have  $Q_j \leq \text{QEC}(G)$  for all  $1 \leq j \leq r$  and hence  $\max\{Q_1, \ldots, Q_r\} \leq \text{QEC}(G)$ .

An estimate  $QEC(G_1 \star \cdots \star G_r)$  from above is much harder to obtain. We start with the case where all factors  $G_i$  are finite graphs.

**Proposition 4.3.** Let  $r \ge 1$ . For  $1 \le j \le r$  let  $G_j = (V_j, E_j)$  be a connected graph on  $n_j + 1 = |V_j| \ge 2$  vertices  $(n_j = \infty \text{ may happen})$  with QE constant  $Q_j = \text{QEC}(G_j)$ . Let  $M = M(n_1, \ldots, n_r; -Q_1, \ldots, -Q_r)$  be the conditional infimum of

$$\phi(x_0, \boldsymbol{x}) = \sum_{j=1}^r (-Q_j) \left\{ \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \langle \boldsymbol{1}, \boldsymbol{x}_j \rangle^2 \right\}, \qquad x_0 \in \mathbb{R}, \quad \boldsymbol{x}_j \in \mathbb{R}^{n_j}, \qquad (4.3)$$

subject to

$$x_0^2 + \sum_{j=1}^r \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle = 1, \qquad (4.4)$$

$$x_0 + \sum_{j=1}^r \langle \mathbf{1}_j, \boldsymbol{x}_j \rangle = 0.$$
(4.5)

Then we have

$$\operatorname{QEC}(G_1 \star \dots \star G_r) \le -M. \tag{4.6}$$

*Proof.* Set  $G = G_1 \star \cdots \star G_r$  and Q = QEC(G) for simplicity. We keep the notations introduced in the first paragraph of this section. Given  $f \in C_0(V)$  satisfying

$$\langle f, f \rangle = 1, \qquad \langle 1, f \rangle = 0, \tag{4.7}$$

we define  $f_j \in C_0(V)$  by

$$f_j(x) = \begin{cases} f(x), & x \in V_j \setminus \{o_j\}, \\ -\sum_{x \in V_j \setminus \{o_j\}} f(x), & x = o, \\ 0, & \text{otherwise.} \end{cases}$$
(4.8)

Using  $\langle \mathbf{1}, f \rangle = 0$  we obtain easily

$$f(x) = \sum_{j=1}^{r} f_j(x), \qquad x \in V.$$
 (4.9)

We show that

$$\langle f, Df \rangle = \sum_{j=1}^{r} \langle f_j, D_j f_j \rangle_{V_j}.$$
(4.10)

In fact, using (4.9) we have

$$\langle f, Df \rangle = \sum_{i,j=1}^{r} \langle f_i, Df_j \rangle = \sum_{j=1}^{r} \langle f_j, Df_j \rangle + \sum_{i \neq j} \langle f_i, Df_j \rangle$$
(4.11)

Since  $f_j$  vanishes outside  $V_j$ , we have

$$\langle f_j, Df_j \rangle = \sum_{x, y \in V_j} d(x, y) f_j(x) f_j(y)$$
  
= 
$$\sum_{x, y \in V_j} d_j(x, y) f_j(x) f_j(y) = \langle f_j, D_j f_j \rangle_{V_j}.$$
(4.12)

On the other hand, for  $i \neq j$  using (4.2) and (4.14) we obtain

$$\langle f_{i}, Df_{j} \rangle = \sum_{x,y \in V} d(x, y) f_{i}(x) f_{j}(y) = \sum_{x \in V_{i}} \sum_{y \in V_{j}} (d_{i}(x, o) + d_{j}(o, y)) f_{i}(x) f_{j}(y) = \sum_{x \in V_{i}} d_{i}(x, o) f_{i}(x) \sum_{y \in V_{j}} f_{j}(y) + \sum_{x \in V_{i}} f_{i}(x) \sum_{y \in V_{j}} d_{j}(o, y) f_{j}(y) = \langle \mathbf{1}_{j}, f_{j} \rangle_{V_{j}} \sum_{x \in V_{i}} d_{i}(x, o) f_{i}(x) + \langle \mathbf{1}_{i}, f_{i} \rangle_{V_{i}} \sum_{y \in V_{j}} d_{j}(o, y)) f_{j}(y) = 0.$$

$$(4.13)$$

Inserting (4.12) and (4.13) into (4.11), we obtain (4.10).

Each  $f_j$  defined by (4.8) being regarded as a function in  $C_0(V_j)$ , we have

$$\langle \mathbf{1}_{j}, f_{j} \rangle_{V_{j}} = \sum_{x \in V_{j}} f_{j}(x) = 0.$$
 (4.14)

Then we have

$$\langle f_j, D_j f_j \rangle_{V_j} \leq Q_j \langle f_j, f_j \rangle_{V_j}$$

and by (4.10),

$$\langle f, Df \rangle \le \sum_{j=1}^{r} Q_j \langle f_j, f_j \rangle_{V_j}.$$
 (4.15)

Employing vector-notation, we associate  $(x_0, x_1, ..., x_r)$  with each  $f \in C_0(V)$  in such a way that

$$x_0 = f(o),$$
  $x_j = [f(x); x \in V_j \setminus \{o\}] \in \mathbb{R}^{n_j}.$ 

Then every  $x_j$  has a finite support, and we come to

$$\langle f_j, f_j \rangle_{V_j} = \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + f_j(o)^2$$
  
=  $\langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \left( -\sum_{x \in V_j \setminus \{o_j\}} f(x) \right)^2$   
=  $\langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \langle \mathbf{1}_j, \boldsymbol{x}_j \rangle^2.$ 

Then (4.15) becomes

$$\langle f, Df \rangle \leq \sum_{j=1}^{r} Q_j \{ \langle \boldsymbol{x}_j, \boldsymbol{x}_j \rangle + \langle \boldsymbol{1}, \boldsymbol{x}_j \rangle^2 \},$$
 (4.16)

or equivalently,

$$\langle f, Df \rangle \le -\phi(x_0, x), \tag{4.17}$$

for any  $f \in C_0(V)$  satisfying (4.7), which is equivalent to (4.4) and (4.5). By definition of the QE constant, for any  $\epsilon > 0$  there exists  $f \in C_0(V)$  satisfying (4.7) such that

$$Q - \epsilon \le \langle f, Df \rangle.$$

In view of (4.17) we obtain

$$Q - \epsilon \le -\phi(x_0, \boldsymbol{x}) \le -M,$$

where we used the obvious inequality  $\phi(x_0, x) \ge M$  for any  $(x_0, x)$  satisfying (4.4) and (4.5). Consequently,  $Q \le -M$  as desired.

We are now in a position to state the main results.

**Theorem 4.4.** Let  $r \ge 1$ . For  $1 \le j \le r$  let  $G_j = (V_j, E_j)$  be a connected graph on  $|V_j| \ge 2$  vertices ( $|V_j| = \infty$  may happen). Assume that every  $G_j$  is of QEclass with QE constant  $Q_j = QEC(G_j) \le 0$ . If  $Q_j = 0$  for some j, we have  $QEC(G_1 \star \cdots \star G_r) = 0$ .

*Proof.* We apply Proposition 4.3. By assumption the coefficients  $-Q_j$  in the righthand side of (4.3) are all non-negative, and at least one  $-Q_j$  vanishes. It then follows from Proposition 3.3 that the conditional infimum is zero, that is, M = 0. Hence by (4.6) we have  $QEC(G_1 \star \cdots \star G_r) \leq 0$ . On the other hand, it follows from Proposition 4.2 that

$$0 = \max\{Q_1, \ldots, Q_r\} \le \operatorname{QEC}(G_1 \star \cdots \star G_r).$$

Hence  $QEC(G_1 \star \cdots \star G_r) = 0$ .

**Theorem 4.5.** Let  $r \ge 1$ . For  $1 \le j \le r$  let  $G_j = (V_j, E_j)$  be a connected graph on  $n_j + 1 = |V_j| \ge 2$  vertices  $(n_j = \infty \text{ may happen})$ . Assume that every  $G_j$  is of QE class with QE constant  $Q_j = QEC(G_j) < 0$ . Then we have

$$\max\{Q_1,\ldots,Q_r\} \le \operatorname{QEC}(G_1 \star \cdots \star G_r) \le -\Lambda, \tag{4.18}$$

where  $\Lambda$  is the minimal solution of

$$\sum_{j=1}^{r} \frac{n_j}{-Q_j n_j - Q_j - \lambda} = \frac{1}{\lambda}.$$
 (4.19)

*Proof.* The left half of (4.18) is already shown in Proposition 4.2. We will show the right half. We first see from Proposition 4.3 that

$$\operatorname{QEC}(G_1 \star \cdots \star G_r) \leq -M,$$

where  $M = M(n_1, ..., n_r; -Q_1, ..., -Q_r)$  is the conditional infimum of (4.3) subject to (4.4) and (4.5). On the other hand, in case where  $Q_j < 0$  for all  $1 \le j \le r$ , M coincides with the minimal solution of (4.19) by Theorem 3.5. Thus, (4.18) follows.

**Corollary 4.6.** We keep the notations and assumptions as in Theorem 4.5. If  $r \ge 2$ , we have

$$\operatorname{QEC}(G_1 \star \dots \star G_r) \le \left(\frac{1}{Q_1} + \dots + \frac{1}{Q_r}\right)^{-1} < 0.$$
(4.20)

*Proof.* Immediate from Theorems 3.9 and 4.5.

**Corollary 4.7.** For j = 1, 2 let  $G_j = (V_j, E_j)$  be a (finite or infinite) connected graph on  $n_j + 1 = |V_j| \ge 2$  vertices. Assume that each  $G_j$  is of QE class with QE constant  $Q_j = QEC(G_j) < 0$ . Then we have

$$\max\{Q_1, Q_2\} \le \text{QEC}(G_1 \star G_2) \le Q_{12}, \tag{4.21}$$

where  $Q_{12}$  is defined by

$$Q_{12} = \frac{2Q_1Q_2}{Q_1 + Q_2 - \sqrt{(Q_1 + Q_2)^2 - \frac{4(n_1 + n_2 + 1)}{(n_1 + 1)(n_2 + 1)}Q_1Q_2}}.$$
 (4.22)

Moreover,

$$\max\{Q_1, Q_2\} < Q_{12} < 0. \tag{4.23}$$

*Proof.* (4.21) is a direct consequence of Theorem 4.5 and (4.23) is verified directly.  $\Box$ 

**Remark 4.8.** If  $n_1 < n_2 = \infty$ , the right-hand side of (4.22) is replaced with the limit as  $n_2 \rightarrow \infty$ . If  $n_1 = n_2 = \infty$ , (4.22) is understood as

$$Q_{12} = \frac{Q_1 Q_2}{Q_1 + Q_2} \,.$$

We give some examples in connection with inequality (4.21).

**Example 4.9.** Let  $K_3$  be the complete graph on three vertices. The star product  $K_3 \star K_3$  is illustrated in Figure 1. It is known that  $QEC(K_3) = -1$ . Inserting  $Q_1 = Q_2 = -1$  and  $n_1 + 1 = n_2 + 1 = 3$  into (4.22), we have

$$Q_{12} = -\frac{3}{5}$$
.

On the other hand, by a direct verification we have

$$\operatorname{QEC}(K_3 \star K_3) = -\frac{3}{5},$$

see also [15, Sect. 5.2, No. 11]. In this case we have

$$\max\{Q_1, Q_2\} < \text{QEC}(K_3 \star K_3) = Q_{12} < 0.$$

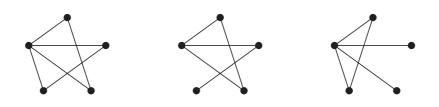


Figure 1:  $K_3 \star K_3$  (left),  $G_1$  (middle) and  $G_2$  (right)

**Example 4.10.** We consider  $K_3 \star P_3$ , where  $P_3$  is the path on three vertices. There are two non-isomorphic star products in this case, say,  $G_1$  and  $G_2$  as shown in Figure 1. It is known that  $QEC(K_3) = -1$  and  $QEC(P_3) = -2/3$ . Inserting  $Q_1 = -1$ ,  $Q_2 = -2/3$ ,  $n_1 + 1 = n_2 + 1 = 3$  into (4.22), we have

$$Q_{12} = \frac{-15 + \sqrt{105}}{10} = -\frac{12}{15 + \sqrt{105}} \approx -0.4753.$$

On the other hand, it follows by a direct calculation (see also [15, Sect. 5.2, No. 4 and No. 7]) that

QEC(G<sub>1</sub>) = 
$$-\frac{6}{6 + \sqrt{21}} \approx -0.5670$$
, QEC(G<sub>2</sub>) =  $-\frac{12}{15 + \sqrt{105}}$ .

Thus, we obtain an interesting contrast:

$$\max\{Q_1, Q_2\} < QEC(G_1) < Q_{12} < 0,$$
  
$$\max\{Q_1, Q_2\} < QEC(G_2) = Q_{12} < 0.$$



Figure 2:  $K_2 \star C_4$ 

**Example 4.11.** It is known that  $QEC(K_2) = -1$  and  $QEC(C_4) = 0$ , where  $C_4$  is the cycle on four vertices. It follows from Theorem 4.4 that  $QEC(K_2 \star C_4) = 0$ . On the other had, inserting  $Q_1 = -1$ ,  $Q_2 = 0$ ,  $n_1 + 1 = 2$  and  $n_2 + 1 = 4$  into (4.22), we have  $Q_{12} = 0$ . Thus we have

$$\max\{Q_1, Q_2\} = \operatorname{QEC}(K_2 \star C_4) = Q_{12} = 0.$$

Along with the above observation, a natural question arises to determine the extremal classes of star products  $G_1 \star G_2$  such that

$$\operatorname{QEC}(G_1 \star G_2) = Q_{12}$$

and

$$QEC(G_1 \star G_2) = \max\{Q_1, Q_2\}.$$

Remind that the star product depends also on the choice of distinguished vertices  $o_1$  and  $o_2$ , as is illustrated in Example 4.10.

# **5** Infinite graphs

#### 5.1 A limit formula

**Proposition 5.1.** Let G = (V, E) be a connected graph. Let  $H_n = (W_n, F_n)$  be a sequence of connected subgraphs of G such that  $W_1 \subset W_2 \subset \cdots$  and  $V = \bigcup_{n=1}^{\infty} W_n$ . If each  $H_n$  is isometrically embedded in G, we have

$$QEC(G) = \lim_{n \to \infty} QEC(H_n).$$
 (5.1)

*Proof.* Let *D* denote the distance matrix of *G*. By definition, for any  $\epsilon > 0$  there exists  $f \in C_0(V)$  such that  $\langle f, f \rangle = 1$ ,  $\langle 1, f \rangle = 0$  and  $\langle f, Df \rangle \ge QEC(G) - \epsilon$ . By assumption we may choose  $n_0$  such that f(x) = 0 outside of  $W_n$  for all  $n \ge n_0$ . Then  $QEC(H_n) \ge \langle f, Df \rangle$  for all  $n \ge n_0$  and we have

$$QEC(G) - \epsilon \leq QEC(H_n), \quad n \geq n_0.$$

On the other hand, it follows from Proposition 4.1 that

$$QEC(H_n) \leq QEC(G)$$

Consequently, (5.1) holds.

**Proposition 5.2.** Any (finite or infinite) tree is of QE class.

*Proof.* For any tree we may choose a sequence of finite subtrees of which the union covers the whole tree. Note that any subtree of a tree is isometrically embedded. Then, in view of Proposition 5.1 it is sufficient to show that every finite tree is of QE class. More precisely, for a finite tree G = (V, E) on  $|V| \ge 3$  vertices we have

$$QEC(G) < -\frac{1}{|V| - 1}$$
 (5.2)

In fact, a tree on *n* vertices is represented as  $G = G_1 \star \cdots \star G_{n-1}$ , where each  $G_j$  is isomorphic to  $K_2$ . Note that  $Q_j = QEC(G_j) = QEC(K_2) = -1$ . Then by Corollary 4.6 we obtain

$$QEC(G) = QEC(G_1 \star \cdots \star G_{n-1}) < \left(\frac{1}{Q_1} + \cdots + \frac{1}{Q_{n-1}}\right)^{-1} = -\frac{1}{n-1},$$

as desired.

The above result is a reproduction of Haagerup [6]. The estimate (5.2) is far from best possible. It is an interesting question to determine the QE constant of a tree.

**Proposition 5.3.** Let  $K_{\infty}$  be the infinite complete graph, that is, the graph on a countably infinite set such that any pair of distinct vertices are connected by an edge. Then  $QEC(K_{\infty}) = -1$ .

*Proof.* Every finite subgraph of  $K_{\infty}$  is of the form  $K_n$  and  $QEC(K_n) = -1$ . Now we apply Proposition 5.1.

### **5.2** The path graphs $P_n$

For  $n \ge 1$  let  $P_n$  be the path graph on the vertex set  $V = \{0, 1, 2, ..., n-1\}$  and edge set  $E = \{\{0, 1\}, \{1, 2\}, ..., \{n - 2, n - 1\}\}$ . Let D = [d(i, j)] be the distance matrix as usual. Note that d(i, j) = |i - j| for  $i, j \in V$ . We start with the following

**Proposition 5.4.** For  $n \ge 1$  let  $c_n$  be the maximal number c such that the  $n \times n$  matrix

$$\left[2\min\{i, j\} - c - c \cdot \delta_{ij}\right]_{i,j=1}^{n}$$
(5.3)

is positive definite. Then  $QEC(P_{n+1}) = -c_n$ .

*Proof.* Suppose  $f \in C(V)$  satisfies  $\langle 1, f \rangle = 0$ . Then we have

$$\langle f, Df \rangle = \sum_{i,j=0}^{n} |i - j| f(i) f(j)$$
  
=  $\sum_{i=1}^{n} i f(i) f(0) + \sum_{j=1}^{n} j f(0) f(j) + \sum_{i,j=1}^{n} |i - j| f(i) f(j).$  (5.4)

For  $1 \le i \le n$  we set  $x_i = f(i)$ . Since  $f(0) = -x_1 - \cdots - x_n$ , (5.4) becomes

$$\langle f, Df \rangle = \sum_{i,j=1}^{n} (-i - j + |i - j|) x_i x_j = -\sum_{i,j=1}^{n} 2 \min\{i, j\} x_i x_j$$
(5.5)

On the other hand, we have

$$\langle f, f \rangle = \sum_{i=0}^{n} f(i)^2 = \left(\sum_{i=1}^{n} x_i\right)^2 + \sum_{i=1}^{n} x_i^2 = \sum_{i,j=1}^{n} (1 + \delta_{ij}) x_i x_j.$$
 (5.6)

The QE constant is the minimal constant  $Q \in \mathbb{R}$  such that  $\langle f, Df \rangle \leq Q \langle f, f \rangle$  for all  $f \in C(V)$  with  $\langle 1, f \rangle = 0$ , or using (5.5) and (5.6),

$$-\sum_{i,j=1}^{n} 2\min\{i,j\}x_{i}x_{j} \le Q\sum_{i,j=1}^{n} (1+\delta_{ij})x_{i}x_{j}$$

holds for every choice of  $x_1, \ldots, x_n \in \mathbb{R}$ , In other words, Q coincides with -c, where  $c \in \mathbb{R}$  is the maximal constant such that

$$\sum_{i,j=1}^{n} (2\min\{i,j\} - c(1+\delta_{ij}))x_i x_j \ge 0$$

for every choice of  $x_1, \ldots, x_n \in \mathbb{R}$ . This completes the proof.

By direct application of Proposition 5.4 we obtain

$$-QEC(P_2) = 1,$$
  

$$-QEC(P_3) = 2/3,$$
  

$$-QEC(P_4) = 2 - \sqrt{2} = 0.585786...,$$
  

$$-QEC(P_5) = (5 - \sqrt{5})/5 = 0.552786...,$$
  

$$-QEC(P_6) = 4 - 2\sqrt{3} = 0.535898...,$$
  

$$-QEC(P_7) = 0.526048...,$$
  

$$-QEC(P_8) = 4 + 2\sqrt{2} - \sqrt{20 + 14\sqrt{2}} = 0.519783...,$$
  

$$-QEC(P_9) = 0.515546...,$$
  

$$-QEC(P_{10}) = 6 + 2\sqrt{5} - \sqrt{50 + 22\sqrt{5}} = 0.512543....$$

The numbers  $-QEC(P_7)$  and  $-QEC(P_9)$  are the smallest real roots of the cubic equations

$$7c^3 - 28c^2 + 28c - 8 = 0, \qquad 3c^3 - 18c^2 + 24c - 8 = 0,$$

respectively.

Now define a family of matrices:  $A_n = \left[4\min\{i, j\} - 1 - \delta_{ij}\right]_{i,j=1}^n$ , where  $1 \le n \le \infty$ . In particular

$$A_{\infty} = \begin{bmatrix} 2 & 3 & 3 & 3 & 3 & 3 & \cdots \\ 3 & 6 & 7 & 7 & 7 & \cdots \\ 3 & 7 & 10 & 11 & 11 & \cdots \\ 3 & 7 & 11 & 14 & 15 & \cdots \\ 3 & 7 & 11 & 15 & 18 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$

**Proposition 5.5.** *For*  $n \ge 1$ *,* 

$$\det A_n = n + 1.$$

Consequently,  $A_{\infty}$  is positive definite as well as  $A_n$  for all  $n \ge 1$ .

*Proof.* We are going to prove a slightly more general statement. For  $n \ge 1$  and  $u \in \mathbb{R}$  we define an auxiliary matrix  $A_n(u) = [u_{ij}]_{i,j=1}^n$ , where

$$u_{ij} = \begin{cases} 4\min\{i, j\} - 1 - \delta_{ij}, & (i, j) \neq (n, n), \\ u, & (i, j) = (n, n). \end{cases}$$

Then  $A_n = A_n(4n - 2)$ . We will prove that

$$\det A_n(u) = nu - (n-1)(4n+1).$$
(5.7)

This is true for n = 1. Assume that (5.7) holds for n - 1. Let  $k_j$  denote the *j*th column of  $A_n(u)$ . Then

$$\det A_n(u) = \det[k_1, \ldots, k_n] = \det[k_1, \ldots, k_{n-1}, k_n - k_{n-1}].$$

Now we observe that

$$\boldsymbol{k}_n - \boldsymbol{k}_{n-1} = [0, \dots, 0, 1, u - 4n + 5]^{\mathrm{T}},$$

so expanding the determinant over the last column and applying the inductive assumption we get

$$\det A_n(u) = (u - 4n + 5) \det A_{n-1} - \det A_{n-1}(4n - 5)$$
  
=  $(u - 4n + 5)n - (n - 1)(4n - 5) + (n - 2)(4n - 3)$   
=  $nu - (n - 1)(4n + 1)$ ,

hence (5.7) holds for n.

**Theorem 5.6.** For  $n \ge 2$  we have

$$-\frac{2n^4 + 20n^2 - 7 + 15(-1)^n}{4n^4 - 4 + 15n + 15n(-1)^n} \le \text{QEC}(P_n) \le -\frac{1}{2}.$$
(5.8)

*Proof.* For the right half of (5.8) it suffices to note that for c = 1/2 the matrix  $A_n$  is a multiple by 2 of the matrix given by (5.3).

We will prove the left half of (5.8). Suppose that the matrix

$$\left[2\min\{i, j\} - c - c \cdot \delta_{ij}\right]_{i,j=1}^{n-1}$$

is positive definite. Then for  $a_i^{(n)} = i(n-i)(-1)^i$  we have

$$\sum_{i,j=1}^{n-1} \left( 2\min\{i,j\} - c - c \cdot \delta_{ij} \right) a_i^{(n)} a_j^{(n)} \ge 0.$$
(5.9)

The above sum is calculated with the help of Lemma 6.1 in the Appendix as follows:

$$\begin{split} &\sum_{i,j=1}^{n-1} \left( 2\min\{i,j\} - c - c \cdot \delta_{ij} \right) a_i^{(n)} a_j^{(n)} \\ &= 2 \sum_{i,j=1}^{n-1} \min\{i,j\} i(n-i) j(n-j) (-1)^{i+j} \\ &- c \sum_{i,j=1}^{n-1} i(n-i) j(n-j) (-1)^{i+j} - c \sum_{i=1}^{n-1} i^2 (n-i)^2 \\ &= \frac{n}{120} \left\{ 2n^4 + 20n^2 - 7 + 15(-1)^n \right\} - \frac{c}{8} \left\{ 1 + (-1)^n \right\} n^2 - c \frac{n^5 - n}{30}. \end{split}$$

Then, (5.9) yields

$$c \le \frac{2n^4 + 20n^2 - 7 + 15(-1)^n}{4n^4 - 4 + 15n + 15n(-1)^n}$$

which, in view of Proposition 5.4, proves (5.8).

Let  $\mathbb{Z}$  be the one-dimensional integer lattice, i.e., the two-sided infinite path on the integers, and  $\mathbb{Z}_+$  be the one-sided infinite path on  $\{0, 1, 2, ...\}$ .

**Theorem 5.7.** 
$$QEC(\mathbb{Z}_+) = QEC(\mathbb{Z}) = -\frac{1}{2}$$
.

*Proof.* Every finite connected subgraph of  $\mathbb{Z}_+$  and  $\mathbb{Z}$  is of the form  $P_n$  and n can be arbitrarily large. Therefore our statement is a consequence of Theorem 5.6 and Proposition 5.1.

# 6 Appendix

## 6.1 Some combinatorial identities

In this part we are going to prove three identities which were used in the proof of Theorem 5.6.

**Lemma 6.1.** For  $n \ge 1$  we have

$$\sum_{i,j=1}^{n} \min\{i,j\} i(n-j)j(n-j)(-1)^{i+j} = \frac{n}{240} \left\{ 2n^4 + 20n^2 - 7 + 15(-1)^n \right\}, \quad (6.1)$$

$$\sum_{i,j=1}^{n} i(n-i)j(n-j)(-1)^{i+j} = \frac{1}{8} \left(1 + (-1)^n\right)n^2,$$
(6.2)

$$\sum_{i=1}^{n} i^2 (n-i)^2 = \frac{n^5 - n}{30}.$$
(6.3)

*Proof.* For n = 0 the identities remain true understanding that the left-hand sides are zero. The above three identities are used in the proof of Theorem 5.6. For the proofs we will apply well-known formulas for the sums:

$$\sum_{i=1}^{n} i = \frac{1}{2}n(n+1), \qquad \sum_{i=1}^{n} i^2 = \frac{1}{6}n(n+1)(2n+1),$$
$$\sum_{i=1}^{n} i^3 = \frac{1}{4}n^2(n+1)^2, \qquad \sum_{i=1}^{n} i^4 = \frac{1}{30}(n+1)(2n+1)(3n^2+3n-1),$$

and also the following elementary identities:

$$\sum_{i=1}^{n} i(-1)^{i} = \frac{1}{4} \left( 2n(-1)^{n} + (-1)^{n} - 1 \right),$$
  
$$\sum_{i=1}^{n} i^{2}(-1)^{i} = \frac{1}{2}n(n+1)(-1)^{n},$$
  
$$\sum_{i=1}^{n} i^{3}(-1)^{i} = \frac{1}{8} \left\{ 4n^{3}(-1)^{n} + 6n^{2}(-1)^{n} - (-1)^{j} + 1 \right\}.$$

Now we prove (6.1). Put

$$A_{j} = \sum_{i=1}^{j} i^{2}(n-i)j(n-j)(-1)^{i+j},$$
  
$$B_{j} = \sum_{i=j+1}^{n} i(n-i)j^{2}(n-j)(-1)^{i+j}.$$

By elementary calculations we find that

$$A_{j} = \frac{j(n-j)}{8} \left\{ 4j^{2}n + 4jn - 4j^{3} - 6j^{2} + 1 - (-1)^{j} \right\},$$
  
$$B_{j} = \frac{j^{2}(n-j)}{4} \left\{ 2j^{2} + 2j - n - 2jn - (-1)^{j+n}n \right\}.$$

Then we have

$$\begin{aligned} A_j + B_j &= \sum_{i=1}^n \min\{i, j\} \, i(n-i) \, j(n-j) (-1)^{i+j} \\ &= \frac{1}{8} \, j(n-j) \left\{ 2 \, jn - 2 \, j^2 + 1 - 2 (-1)^{j+n} \, jn - (-1)^j \right\}. \end{aligned}$$

Summing up both sides over j = 1, 2, ..., n, we get (6.1).

Relation (6.2) follows from

$$\sum_{i,j=1}^{n} i(n-i)j(n-j)(-1)^{i+j} = \left(\sum_{i=1}^{n} i(n-i)(-1)^{i}\right)^{2}$$
$$= \left(\frac{-(1+(-1)^{n})n}{4}\right)^{2} = \frac{(1+(-1)^{n})n^{2}}{8}.$$

Relation (6.3) can be shown in a similar manner.

# 6.2 A new integer sequence

For  $n \ge 0$  let  $a_n$  be the number given by (6.1), i.e.,

$$a_n = \sum_{i,j=1}^n \min\{i, j\} i(n-j)j(n-j)(-1)^{i+j}$$
  
=  $\frac{n}{240} \left\{ 2n^4 + 20n^2 - 7 + 15(-1)^n \right\}.$  (6.4)

Then the sequence  $\{a_n\}_{n=0}^{\infty}$  begins with

0, 0, 1, 4, 14, 36, 83, 168, 316, 552, 917, 1452, 2218, 3276, 4711, 6608, ...

and is absent in OEIS [16]. Applying formula

$$\sum_{n=1}^{\infty} n^N z^n = \frac{z P_N(z)}{(1-z)^{N+1}},$$

where  $P_N(z)$  are the classical Eulerian polynomials, we can compute the generating function:

$$\sum_{n=0}^{\infty} a_n z^n = \frac{z^2 (1+z^2)^2}{(1+z)^2 (1-z)^6}.$$
(6.5)

Denote the ceiling of  $n^2/2$  by  $b_n = \lceil n^2/2 \rceil$ . This sequence appears in OEIS as A000982. Now we observe that  $a_n$  is the convolution of the sequence  $b_n$  with itself.

**Proposition 6.2.** For every  $n \ge 0$  we have  $a_n = \sum_{k=0}^n b_k b_{n-k}$ .

*Proof.* The generating function for  $a_n$  is the square of

$$\frac{z(1+z^2)}{(1+z)(1-z)^3},$$

which is the generating function for  $b_n$ , see entry A000982 in OEIS.

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