# The Euler and Springer numbers as moment sequences

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#### Abstract

I study the sequences of Euler and Springer numbers from the point of view of the classical moment problem.

**Key Words:** Euler numbers, secant numbers, tangent numbers, Springer numbers, alternating permutations, snakes of type  $B_n$ , classical moment problem, Hamburger moment sequence, Stieltjes moment sequence, Hankel matrix, Hankel determinant, continued fraction.

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#### 1 Introduction

The representation of combinatorial sequences as moment sequences is a fascinating subject that lies at the interface between combinatorics and analysis. For instance, the Apéry numbers

$$A_n = \sum_{k=0}^n \binom{n}{k}^2 \binom{n+k}{k}^2 \tag{1.1}$$

play a key role in Apéry's celebrated proof [6] of the irrationality of  $\zeta(3)$  [31,47,73,80]; they also arise in Ramanujan-like series for  $1/\pi$  [20] and  $1/\pi^2$  [79]. As such, they have elicited much interest, both combinatorial [21,62,72] and number-theoretic [1,14,23,35,58]. A few years ago I conjectured [25], based on extensive numerical computations, that the Apéry numbers are a Stieltjes moment sequence, i.e.  $A_n = \int x^n d\mu(x)$  for some positive measure  $\mu$  on  $[0,\infty)$ . Very recently this conjecture has been proven by Edgar [26], in a tour de force of special-functions work; he gives an explicit formula, in terms of Heun functions, for the (unique) representing measure  $\mu$ . The more general conjecture [66] that the Apéry polynomials

$$A_n(x) = \sum_{k=0}^{n} {\binom{n+k}{k}}^2 {\binom{n}{k}}^2 x^k$$
 (1.2)

are a Stieltjes moment sequence for all  $x \geq 1$  remains open.

In this paper I propose to study the moment problem for two less recondite combinatorial sequences: the Euler numbers and the Springer numbers. Many of the results given here are well known; others are known but perhaps not as well known as they ought to be; a few seem to be new. This paper is intended as a leisurely survey that presents the relevant results in a unified fashion and employs methods that are as elementary as possible.

The Euler numbers  $E_n$  are defined by the exponential generating function

$$\sec t + \tan t = \sum_{n=0}^{\infty} E_n \frac{t^n}{n!} . \tag{1.3}$$

The  $E_{2n}$  are also called *secant numbers*, and the  $E_{2n+1}$  are called *tangent numbers*. The Euler numbers are positive integers that satisfy the recurrence

$$E_{n+1} = \frac{1}{2} \sum_{k=0}^{n} \binom{n}{k} E_{n-k} E_k \quad \text{for } n \ge 1$$
 (1.4)

with initial condition  $E_0 = E_1 = 1$ ; this recurrence follows easily from the differential equation  $\mathcal{E}'(t) = \frac{1}{2}[1 + \mathcal{E}(t)^2]$  for the generating function  $\mathcal{E}(t) = \sec t + \tan t$ . André [3,4] showed in 1879 that  $E_n$  enumerates the alternating (down-up) permutations of  $[n] \stackrel{\text{def}}{=} \{1,\ldots,n\}$ , i.e. the permutations  $\sigma \in \mathfrak{S}_n$  that satisfy  $\sigma_1 > \sigma_2 < \sigma_3 > \sigma_4 < \ldots$ .

<sup>&</sup>lt;sup>1</sup> As Josuat-Vergès *et al.* point out [50, p. 1613], André's work "is perhaps the first example of an inverse problem in the theory of generating functions: given a function whose Taylor series has nonnegative integer coefficients, find a family of combinatorial objects counted by those coefficients."

More recently, other combinatorial objects have been found to be enumerated by the Euler numbers: complete increasing plane binary trees, increasing 0-1-2 trees, André permutations, simsun permutations, and many others; see [33, 52, 68, 74] for surveys. The sequence of Euler numbers starts as

$$(E_n)_{n\geq 0} = 1, 1, 1, 2, 5, 16, 61, 272, 1385, 7936, 50521, \dots$$
 (1.5)

and can be found in the On-Line Encyclopedia of Integer Sequences [57] as sequence A000111. It follows from (1.3) that  $E_n$  has the asymptotic behavior

$$E_n = \frac{4}{\pi} \left(\frac{2}{\pi}\right)^n n! + O\left(\left(\frac{2}{3\pi}\right)^n n!\right)$$
 (1.6)

as  $n \to \infty$ .

The Springer numbers  $S_n$  are defined by the exponential generating function [40, 41,67]

$$\frac{1}{\cos t - \sin t} = \sum_{n=0}^{\infty} S_n \frac{t^n}{n!} \,. \tag{1.7}$$

Arnol'd [7] showed in 1992 that  $S_n$  enumerates a signed-permutation analogue of the alternating permutations. More precisely, recall that a signed permutation of [n] is a sequence  $\pi = (\pi_1, \ldots, \pi_n)$  of elements of  $[\pm n] \stackrel{\text{def}}{=} \{-n, \ldots, -1\} \cup \{1, \ldots, n\}$  such that  $|\pi| \stackrel{\text{def}}{=} (|\pi_1|, \ldots, |\pi_n|)$  is a permutation of [n]. In other words, a signed permutation  $\pi$  is simply a permutation  $|\pi|$  together with a sign sequence  $\text{sgn}(\pi)$ . We write  $\mathfrak{B}_n$  for the set of signed permutations of [n]; obviously  $|\mathfrak{B}_n| = 2^n n!$ . Then a snake of type  $B_n$  is a signed permutation  $\pi \in \mathfrak{B}_n$  that satisfies  $0 < \pi_1 > \pi_2 < \pi_3 > \pi_4 < \ldots$ . Arnol'd [7] showed that  $S_n$  enumerates the snakes of type  $B_n$ . Several other combinatorial objects are also enumerated by the Springer numbers: Weyl chambers in the principal Springer cone of the Coxeter group  $B_n$  [67], topological types of odd functions with 2n critical values [7], and certain classes of complete binary trees and plane rooted forests [49]. The sequence of Springer numbers starts as

$$(S_n)_{n\geq 0} = 1, 1, 3, 11, 57, 361, 2763, 24611, 250737, 2873041, 36581523, \dots$$
 (1.8)

and can be found in [57] as sequence A001586. It follows from (1.7) that  $S_n$  has the asymptotic behavior

$$S_n = \frac{2\sqrt{2}}{\pi} \left(\frac{4}{\pi}\right)^n n! + O\left(\left(\frac{4}{3\pi}\right)^n n!\right) \tag{1.9}$$

as  $n \to \infty$ .

$$E_{2n-1} = \frac{(-1)^{n-1}2^{2n}(2^{2n}-1)B_{2n}}{2n}$$
 for  $n \ge 1$ .

The definition given here is the one nowadays universally used by combinatorialists, since it makes  $E_n$  a positive integer that has a uniform combinatorial interpretation for n even and n odd.

<sup>&</sup>lt;sup>2</sup> Warning: The Euler numbers found in classical books of analysis are somewhat different from these:  $E_{2n-1}^{\text{classical}} = 0$  and  $E_{2n}^{\text{classical}} = (-1)^n E_{2n}$ . Moreover, our tangent numbers  $E_{2n+1}$  are classically written as a complicated expression in terms of Bernoulli numbers:

In this paper I propose to study the sequences of Euler and Springer numbers from the point of view of the classical moment problem [2,63-65,71]. Let us recall that a sequence  $\mathbf{a}=(a_n)_{n\geq 0}$  of real numbers is called a Hamburger (resp. Stieltjes)  $moment\ sequence$  if there exists a positive measure  $\mu$  on  $\mathbb{R}$  (resp. on  $[0,\infty)$ ) such that  $a_n=\int x^n\,d\mu(x)$  for all  $n\geq 0$ . A Hamburger (resp. Stieltjes) moment sequence is called H-determinate (resp. S-determinate) if there is a unique such measure  $\mu$ ; otherwise it is called H-indeterminate (resp. S-indeterminate). Please note that a Stieltjes moment sequence can be S-determinate but H-indeterminate  $[2, p.\ 240]$   $[65, p.\ 96]$ . The Hamburger and Stieltjes moment properties are also connected with the representation of the ordinary generating function  $A(t) = \sum_{n=0}^{\infty} a_n t^n$  as a Jacobitype or Stieltjes-type continued fraction; this connection will be reviewed in Section 2 below.

Many combinatorial sequences turn out to be Hamburger or Stieltjes moment sequences, and it is obviously of interest to find explicit expressions for the representing measure(s)  $\mu$  and/or the continued-fraction expansions of the ordinary generating function. In this paper we will address both aspects for the Euler and Springer numbers and some sequences related to them.

# 2 Preliminaries on the moment problem

In this section we review some basic facts about the moment problem [2,63–65, 71,76] that will be used repeatedly in the sequel.

In the Introduction we defined Hamburger and Stieltjes moment sequences. We begin by noting some elementary consequences of these definitions:

- 1) If  $\mathbf{a} = (a_n)_{n \geq 0}$  is a Stieltjes moment sequence, then every arithmetic-progression subsequence  $(a_{n_0+jN})_{N \geq 0}$  with  $n_0 \geq 0$  and  $j \geq 1$  is again a Stieltjes moment sequence.
- 2) If  $\mathbf{a} = (a_n)_{n\geq 0}$  is a Hamburger moment sequence, then every arithmetic-progression subsequence  $(a_{n_0+jN})_{N\geq 0}$  with  $n_0\geq 0$  even and  $j\geq 1$  is again a Hamburger moment sequence; and if also j is even, then it is a Stieltjes moment sequence.
  - 3) For a sequence  $\mathbf{a} = (a_n)_{n>0}$ , the following are equivalent:
    - (a)  $\boldsymbol{a}$  is a Stieltjes moment sequence.
    - (b) The "aerated" sequence  $\hat{a} = (a_0, 0, a_1, 0, a_2, 0, ...)$  is a Hamburger moment sequence.
    - (c) There exist numbers  $a_0', a_1', a_2', \ldots$  such that the "modified aerated" sequence  $\widehat{\boldsymbol{a}}' = (a_0, a_0', a_1, a_1', a_2, a_2', \ldots)$  is a Hamburger moment sequence.

Indeed, (b)  $\Longrightarrow$  (c) is trivial, and (c)  $\Longrightarrow$  (a) follows from property #2: concretely, if  $\hat{a}'$  is represented by a measure  $\hat{\mu}'$  on  $\mathbb{R}$ , then a is represented by the measure  $\mu$  on  $[0,\infty)$  that is the image of  $\hat{\mu}'$  under the map  $x\mapsto x^2$  [namely,  $\mu(A)=\hat{\mu}'(\{x\colon x^2\in A\})$ ]. And for (a)  $\Longrightarrow$  (b), if a is represented by a measure  $\mu$  supported on  $[0,\infty)$ , then  $\hat{a}$  is represented by the even measure  $\hat{\mu}=(\tau^++\tau^-)/2$  on  $\mathbb{R}$ , where  $\tau^\pm$  is the image of  $\mu$  under the map  $x\mapsto \pm\sqrt{x}$ .

4) For a sequence  $\mathbf{a} = (a_n)_{n \geq 0}$ , the following are equivalent:

- (a)  $\boldsymbol{a}$  is a Stieltjes moment sequence.
- (b) Both  $\boldsymbol{a}$  and the once-shifted sequence  $\tilde{\boldsymbol{a}} = (a_{n+1})_{n\geq 0}$  are Stieltjes moment sequences.
- (c) Both  $\boldsymbol{a}$  and  $\widetilde{\boldsymbol{a}}$  are Hamburger moment sequences.

Here (a)  $\iff$  (b)  $\implies$  (c) is easy (using property #1); unfortunately I do not know any completely elementary proof of (c)  $\implies$  (a), but it is anyway an immediate consequence of Theorems 2.1 and 2.2 below (see also [12, p. 187]).

- 5) If  $\mathbf{a} = (a_n)_{n\geq 0}$  and  $\mathbf{b} = (b_n)_{n\geq 0}$  are Hamburger (resp. Stieltjes) moment sequences, then any linear combination  $\alpha \mathbf{a} + \beta \mathbf{b}$  with  $\alpha, \beta \geq 0$  is also a Hamburger (resp. Stieltjes) moment sequence: if  $\mathbf{a}$  (resp.  $\mathbf{b}$ ) has representing measure  $\mu$  (resp.  $\nu$ ), then  $\alpha \mathbf{a} + \beta \mathbf{b}$  has representing measure  $\alpha \mu + \beta \nu$ .
- 6) If  $\mathbf{a} = (a_n)_{n\geq 0}$  and  $\mathbf{b} = (b_n)_{n\geq 0}$  are Hamburger (resp. Stieltjes) moment sequences, then their entrywise product  $\mathbf{a}\mathbf{b} \stackrel{\text{def}}{=} (a_nb_n)_{n\geq 0}$  is also a Hamburger (resp. Stieltjes) moment sequence: if  $\mathbf{a}$  (resp.  $\mathbf{b}$ ) has representing measure  $\mu$  (resp.  $\nu$ ), then  $\mathbf{a}\mathbf{b}$  has representing measure given by the product convolution  $\mu \diamond \nu$ :

$$(\mu \diamond \nu)(A) = (\mu \times \nu) (\{(x,y) \in \mathbb{R}^2 \colon xy \in A\}) \quad \text{for } A \subseteq \mathbb{R}$$
 (2.1)

[that is,  $\mu \diamond \nu$  is the image of  $\mu \times \nu$  under the map  $(x,y) \mapsto xy$ ]. We will often use this fact in the contrapositive: if  $\boldsymbol{b}$  is a Hamburger (resp. Stieltjes) moment sequence and  $\boldsymbol{ab}$  is not a Hamburger (resp. Stieltjes) moment sequence, then  $\boldsymbol{a}$  is not a Hamburger (resp. Stieltjes) moment sequence. Indeed, the non-Hamburger (resp. non-Stieltjes) property of  $\boldsymbol{ab}$  can be viewed as a strengthened form of the non-Hamburger (resp. non-Stieltjes) property of  $\boldsymbol{a}$ .

We now recall the well-known [2,34,63-65,71,76] necessary and sufficient conditions for a sequence  $\mathbf{a}=(a_n)_{n\geq 0}$  to be a Hamburger or Stieltjes moment sequence. To any infinite sequence  $\mathbf{a}=(a_n)_{n\geq 0}$  of real numbers, we associate for each  $m\geq 0$  the m-shifted infinite Hankel matrix

$$H_{\infty}^{(m)}(\boldsymbol{a}) = (a_{i+j+m})_{i,j\geq 0} = \begin{bmatrix} a_m & a_{m+1} & a_{m+2} & \cdots \\ a_{m+1} & a_{m+2} & a_{m+3} & \cdots \\ a_{m+2} & a_{m+3} & a_{m+4} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$
(2.2)

and the m-shifted  $n \times n$  Hankel matrix

$$H_n^{(m)}(\boldsymbol{a}) = (a_{i+j+m})_{0 \le i,j \le n-1} = \begin{bmatrix} a_m & a_{m+1} & \cdots & a_{m+n-1} \\ a_{m+1} & a_{m+2} & \cdots & a_{m+n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m+n-1} & a_{m+n} & \cdots & a_{m+2n-2} \end{bmatrix}.$$
(2.3)

We also define the Hankel determinants

$$\Delta_n^{(m)}(\boldsymbol{a}) = \det H_n^{(m)}(\boldsymbol{a}). \tag{2.4}$$

**Theorem 2.1** (Necessary and sufficient conditions for Hamburger moment sequence). For a sequence  $\mathbf{a} = (a_n)_{n \geq 0}$  of real numbers, the following are equivalent:

- (a) **a** is a Hamburger moment sequence.
- (b)  $H_{\infty}^{(0)}(\boldsymbol{a})$  is positive-semidefinite. [That is, all the principal minors of  $H_{\infty}^{(0)}(\boldsymbol{a})$  are nonnegative.]
- (c) There exist numbers  $\alpha_0 \geq 0$ ,  $\beta_1, \beta_2, \ldots \geq 0$  and  $\gamma_0, \gamma_1, \ldots \in \mathbb{R}$  such that

$$\sum_{n=0}^{\infty} a_n t^n = \frac{\alpha_0}{1 - \gamma_0 t - \frac{\beta_1 t^2}{1 - \gamma_1 t - \frac{\beta_2 t^2}{1 - \dots}}}$$
(2.5)

in the sense of formal power series. [That is, the ordinary generating function  $f(t) = \sum_{n=0}^{\infty} a_n t^n$  can be represented as a Jacobi-type continued fraction with nonnegative coefficients  $\boldsymbol{\beta}$  and  $\alpha_0$ .]

There is also a refinement that is often useful:  $\boldsymbol{a}$  is a Hamburger moment sequence with a representing measure  $\mu$  having infinite support  $\iff H_{\infty}^{(0)}(\boldsymbol{a})$  is positive-definite (i.e. all the principal minors are strictly positive)  $\iff$  all the leading principal minors  $\Delta_n^{(0)}$  are strictly positive  $\iff$  all the  $\beta_i$  are strictly positive.

**Theorem 2.2** (Necessary and sufficient conditions for Stieltjes moment sequence). For a sequence  $\mathbf{a} = (a_n)_{n \geq 0}$  of real numbers, the following are equivalent:

- (a) **a** is a Stieltjes moment sequence.
- (b) Both  $H_{\infty}^{(0)}(\boldsymbol{a})$  and  $H_{\infty}^{(1)}(\boldsymbol{a})$  are positive-semidefinite. [That is, all the principal minors of  $H_{\infty}^{(0)}(\boldsymbol{a})$  and  $H_{\infty}^{(1)}(\boldsymbol{a})$  are nonnegative.]
- (c)  $H_{\infty}^{(0)}(\boldsymbol{a})$  is totally positive. [That is, all the minors of  $H_{\infty}^{(0)}(\boldsymbol{a})$  are nonnegative.]
- (d) There exist numbers  $\alpha_0, \alpha_1, \ldots \geq 0$  such that

$$\sum_{n=0}^{\infty} a_n t^n = \frac{\alpha_0}{1 - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \cdots}}}$$

$$(2.6)$$

in the sense of formal power series. [That is, the ordinary generating function  $f(t) = \sum_{n=0}^{\infty} a_n t^n$  can be represented as a Stieltjes-type continued fraction with nonnegative coefficients.]

(e) There exist numbers  $\alpha_0 \geq 0$ ,  $\beta_1, \beta_2, \ldots \geq 0$  and  $\gamma_0, \gamma_1, \ldots \geq 0$  such that the infinite tridiagonal matrix

$$A(\boldsymbol{\beta}, \boldsymbol{\gamma}) = \begin{bmatrix} \gamma_0 & 1 & & & \\ \beta_1 & \gamma_1 & 1 & & & \\ & \beta_2 & \gamma_2 & 1 & & \\ & & \ddots & \ddots & \ddots \end{bmatrix}$$
(2.7)

is totally positive and

$$\sum_{n=0}^{\infty} a_n t^n = \frac{\alpha_0}{1 - \gamma_0 t - \frac{\beta_1 t^2}{1 - \gamma_1 t - \frac{\beta_2 t^2}{1 - \dots}}}$$
(2.8)

in the sense of formal power series. [That is, the ordinary generating function  $f(t) = \sum_{n=0}^{\infty} a_n t^n$  can be represented as a Jacobi-type continued fraction with a totally positive production matrix.]

Once again, there is a refinement:  $\boldsymbol{a}$  is a Stieltjes moment sequence with a representing measure  $\mu$  having infinite support  $\iff H_{\infty}^{(0)}(\boldsymbol{a})$  and  $H_{\infty}^{(1)}(\boldsymbol{a})$  are positive-definite (i.e. all the principal minors are strictly positive)  $\iff$  all the leading principal minors  $\Delta_n^{(0)}$  and  $\Delta_n^{(1)}$  are strictly positive  $\iff H_{\infty}^{(0)}(\boldsymbol{a})$  is strictly totally positive (i.e. all the minors are strictly positive)  $\iff$  all the  $\alpha_i$  are strictly positive  $\iff$  all the  $\beta_i$  are strictly positive.

From the  $2 \times 2$  minors of  $H_{\infty}^{(0)}(\boldsymbol{a})$  and  $H_{\infty}^{(1)}(\boldsymbol{a})$ , we see that a Stieltjes moment sequence is log-convex:  $a_n a_{n+2} - a_{n+1}^2 \geq 0$ . (This is also easy to prove directly.) But it goes without saying that the Stieltjes moment property is *much* stronger than log-convexity.

For future reference, let us also recall the formula [76, p. 21] [75, p. V-31] for the contraction of an S-fraction to a J-fraction: (2.6) and (2.8) are equal if

$$\gamma_0 = \alpha_1 \tag{2.9a}$$

$$\gamma_n = \alpha_{2n} + \alpha_{2n+1} \quad \text{for } n \ge 1$$
 (2.9b)

$$\beta_n = \alpha_{2n-1}\alpha_{2n} \tag{2.9c}$$

Concerning H-determinacy and S-determinacy, we limit ourselves to quoting the following sufficient condition [64, Theorems 1.10 and 1.11] due to Carleman in 1922:

Theorem 2.3 (Sufficient condition for determinacy of moment problem).

- (a) A Hamburger moment sequence  $\mathbf{a} = (a_n)_{n \geq 0}$  satisfying  $\sum_{n=1}^{\infty} a_{2n}^{-1/2n} = \infty$  is H-determinate.
- (b) A Stieltjes moment sequence  $\mathbf{a}=(a_n)_{n\geq 0}$  satisfying  $\sum_{n=1}^{\infty}a_n^{-1/2n}=\infty$  is S-determinate.

In Corollary 2.9 below, we will prove, by elementary methods, a slightly weakened version of Theorem 2.3. It should be stressed that the conditions of Theorem 2.3 are sufficient for determinacy, but in no way necessary [45]; indeed, there are determinate Hamburger and Stieltjes moment sequences with arbitrarily rapid growth [65, pp. 89, 135]. In fact, given any H-indeterminate Hamburger (resp. Stieltjes) moment sequence  $\mathbf{a} = (a_n)_{n\geq 0}$ , there exists an H-determinate Hamburger (resp. Stieltjes) moment sequence  $\mathbf{a}' = (a'_n)_{n\geq 0}$  that differs from  $\mathbf{a}$  only in the zeroth entry:  $0 < a'_0 < a_0$  while  $a'_n = a_n$  for all  $n \geq 1$ .<sup>3</sup>

We will need one other fact about determinacy [13, p. 178]:

**Proposition 2.4** (S-determinacy with H-indeterminacy). Let  $\mathbf{a}$  be a Stieltjes moment sequence that is S-determinate but H-indeterminate. Then the unique measure on  $[0,\infty)$  representing  $\mathbf{a}$  is the Nevanlinna-extremal measure corresponding to the parameter value t=0, hence is a discrete measure concentrated on the zeros of the D-function from the Nevanlinna parametrization (and in particular has an atom at 0).

We refrain from explaining what is meant by "Nevanlinna-extremal measure" and "Nevanlinna parametrization" [2,18,65], but simply stress that in this situation the representing measure must be discrete.<sup>4</sup>

We will also make use of a generalization of the moment problem from positive measures to signed measures. So let  $\mu$  be a finite signed measure on  $\mathbb{R}$ ; it has a unique Jordan decomposition  $\mu = \mu_+ - \mu_-$  where  $\mu_+, \mu_-$  are nonnegative and mutually singular [43]. We write  $|\mu| = \mu_+ + \mu_-$ . We will always assume that  $|\mu|$  has finite moments of all orders, i.e.  $\int_{-\infty}^{\infty} |x|^n d|\mu|(x) < \infty$  for all  $n \geq 0$ . The moments  $a_n = 0$ 

$$\int_{-\infty}^{\infty} x^n d\mu(x)$$
 are then well-defined; we say that  $\mu$  represents  $\boldsymbol{a} = (a_n)_{n \geq 0}$ .

In sharp contrast to Theorems 2.1 and 2.2, the moment problem for signed measures has a trivial existence condition and an extraordinary nonuniqueness:

**Theorem 2.5** (Pólya [59, 60]). Let  $\mathbf{a} = (a_n)_{n \geq 0}$  be any sequence of real numbers, and let S be any closed unbounded subset of  $\mathbb{R}$ . Then there exists a signed measure

³ PROOF (for experts): If  $\boldsymbol{a}$  is an indeterminate Hamburger moment sequence, then the Nevanlinna-extremal measure corresponding to the parameter value t=0 (call it  $\mu_0$ ) is a discrete measure concentrated on the zeros of the Nevanlinna D-function (which are all real and simple, and one of which is 0). If, in addition,  $\boldsymbol{a}$  is a Stieltjes moment sequence, then the orthonormal polynomials  $P_n(x)$  have all their zeros in  $(0,\infty)$ , so  $P_n(0)P_n(x)>0$  for all  $x\leq 0$ ; it follows that  $D(x)=x\sum_{n=0}^{\infty}P_n(0)P_n(x)$  has all its zeros in  $[0,\infty)$ , so that  $\mu_0$  is supported on  $[0,\infty)$ . Now consider the measure  $\mu'=\mu_0-\mu_0(\{0\})\delta_0$ : it is H-determinate [2, p. 115] [11, p. 111] and its moment sequence  $\boldsymbol{a}'$  differs from  $\boldsymbol{a}$  only in the zeroth entry. I thank Christian Berg for drawing my attention to this result and its proof.

<sup>&</sup>lt;sup>4</sup> PROOF OF PROPOSITION 2.4 (for experts): Let  $\boldsymbol{a}$  be a Stieltjes moment sequence that is H-indeterminate. Then it was shown in footnote 3 that the N-extremal measure  $\mu_0$  is a discrete measure on  $[0,\infty)$  representing  $\boldsymbol{a}$ . If  $\boldsymbol{a}$  is also S-determinate, then  $\mu_0$  is the unique measure on  $[0,\infty)$  representing  $\boldsymbol{a}$ . I again thank Christian Berg for drawing my attention to this result and its proof.

 $\mu$  with support in S that represents  $\mathbf{a}$  [that is,  $\int_{-\infty}^{\infty} |x|^n d|\mu|(x) < \infty$  for all  $n \ge 0$  and  $a_n = \int_{-\infty}^{\infty} x^n d\mu(x)$  for all  $n \ge 0$ ].

So for any sequence  $\boldsymbol{a}$  (even the zero sequence!) there are continuum many distinct signed measures  $\mu$ , with disjoint supports, that represent  $\boldsymbol{a}$ . (For instance, we can take  $S = \mathbb{Z} + \lambda$  for any  $\lambda \in [0,1)$ .) See also Bloom [15] for a slight refinement; and see Boas [16] for a different proof of a weaker result.

The requirement here that S be unbounded is essential; among signed measures with bounded support, uniqueness holds. More generally, uniqueness holds among signed measures that have exponential decay. To show this, we begin with some elementary lemmas:

**Lemma 2.6** (Bounded support). Let  $\mathbf{a} = (a_n)_{n \geq 0}$  be a sequence of real numbers, let  $\mu$  be a signed measure on  $\mathbb{R}$  that represents  $\mathbf{a}$ , and let  $R \in [0, \infty)$ .

- (a) If  $\mu$  is supported in [-R, R], then  $|a_n| \leq ||\mu|| R^n$ , where  $||\mu|| = |\mu|(\mathbb{R})$ .
- (b) Conversely, if  $\mu$  is a positive measure and  $|a_n| \leq CR^n$  for some  $C < \infty$ , then  $\mu$  is supported in [-R, R].

Proof. (a) is trivial.

(b) Suppose that  $\mu$  is a positive measure such that  $\mu((-\infty, -R-\epsilon] \cup [R+\epsilon, \infty)) = K > 0$  for some  $\epsilon > 0$ . Then  $a_{2n} \geq K(R+\epsilon)^{2n}$  for all  $n \geq 0$ , which contradicts the hypothesis  $|a_n| \leq CR^n$ .  $\square$ 

**Remark.** This proof shows that (b) holds under the weaker hypothesis  $\liminf_{n\to\infty} |a_{2n}|^{1/2n} \le R$ .

**Lemma 2.7** (Exponential decay). Let  $\mathbf{a} = (a_n)_{n \geq 0}$  be a sequence of real numbers, let  $\mu$  be a signed measure on  $\mathbb{R}$  that represents  $\mathbf{a}$ , and let  $\epsilon > 0$ .

(a) If 
$$\int_{-\infty}^{\infty} e^{\epsilon |x|} d|\mu|(x) = C < \infty$$
, then  $|a_n| \le C\epsilon^{-n} n!$ .

(b) Conversely, if  $\mu$  is a positive measure and  $|a_n| \leq C\epsilon^{-n}n!$  for some  $C < \infty$ , then  $\int_{-\infty}^{\infty} e^{\delta|x|} d\mu(x) < \infty$  for all  $\delta < \epsilon$ .

PROOF. (a) Since  $|x^n| \le \epsilon^{-n} n! e^{\epsilon|x|}$ , it follows that  $|a_n| \le C \epsilon^{-n} n!$ .

(b) Applying the monotone convergence theorem to  $\cosh \delta x = \sum_{n=0}^{\infty} (\delta x)^{2n}/(2n)!$ , we conclude that

$$\int_{-\infty}^{\infty} (\cosh \delta x) \, d\mu(x) = \sum_{n=0}^{\infty} \frac{\delta^{2n} \, a_{2n}}{(2n)!} \le \frac{C}{1 - \delta^2/\epsilon^2} < \infty \,. \tag{2.10}$$

**Proposition 2.8** (Uniqueness in the presence of exponential decay). Let  $\mathbf{a} = (a_n)_{n\geq 0}$  be a sequence of real numbers, and let  $\mu$  and  $\nu$  be signed measures on  $\mathbb{R}$  that represent  $\mathbf{a}$ . Suppose that  $\mu$  has exponential decay in the sense that  $\int_{-\infty}^{\infty} e^{\epsilon |x|} d|\mu|(x) < \infty$  for some  $\epsilon > 0$ ; and suppose that  $\nu$  is either a positive measure or else also has exponential decay. Then  $\mu = \nu$ .

PROOF. By Lemma 2.7(a), we conclude that  $|a_n| \leq C\epsilon^{-n}n!$  for some  $C < \infty$ . Then Lemma 2.7(b) implies that if  $\nu$  is a positive measure, it has exponential decay. So we can assume that  $\nu$  has exponential decay. It follows that  $F(t) = \int_{-\infty}^{\infty} e^{itx} d\mu(x)$  and  $G(t) = \int_{-\infty}^{\infty} e^{itx} d\nu(x)$  define analytic functions in the strip  $|\text{Im } t| < \epsilon$ . Moreover, by the dominated convergence theorem they coincide in the disc  $|t| < \epsilon$  with the absolutely convergent series  $\sum_{n=0}^{\infty} a_n(it)^n/n!$ . It follows that F = G; and by the uniqueness theorem for the Fourier transform of tempered distributions [46, Theorem 7.1.10] (or by other arguments [65, proof of Proposition 1.5]) we conclude that  $\mu = \nu$ .  $\square$ 

**Corollary 2.9.** Let  $\mathbf{a} = (a_n)_{n \geq 0}$  be a sequence of real numbers satisfying  $|a_n| \leq AB^n n!$  for some  $A, B < \infty$ . Then there is at most one positive measure representing  $\mathbf{a}$ .

PROOF. Apply Lemma 2.7(b) and then Proposition 2.8.  $\Box$ 

Corollary 2.10. Let  $\mathbf{a} = (a_n)_{n\geq 0}$  be a sequence of real numbers, and let  $\mu$  be a nonpositive signed measure on  $\mathbb{R}$  that represents  $\mathbf{a}$  and has exponential decay in the sense that  $\int_{-\infty}^{\infty} e^{\epsilon |x|} d\mu(x) < \infty$  for some  $\epsilon > 0$ . Then  $\mathbf{a}$  is not a Hamburger moment sequence.

# 3 Euler numbers, part 1

We begin by studying the sequence of Euler numbers divided by n!. Our starting point is the partial-fraction expansions of secant and tangent [5, p. 11]:

$$\sec t = \lim_{N \to \infty} \sum_{k=-N}^{N} \frac{(-1)^k}{(k+\frac{1}{2})\pi - t}$$
 (3.1)

$$\tan t = \lim_{N \to \infty} \sum_{k=-N}^{N} \frac{1}{(k+\frac{1}{2})\pi - t}$$
 (3.2)

Inserting these formulae into the exponential generating function (1.3) of the Euler numbers and extracting coefficients of powers of t on both sides, we obtain

$$\frac{E_{2n}}{(2n)!} = \sum_{k=-\infty}^{\infty} (-1)^k \left[ (k + \frac{1}{2})\pi \right]^{-(2n+1)}$$
(3.3)

(with the interpretation  $\lim_{N\to\infty}\sum_{k=-N}^N$  when n=0) and

$$\frac{E_{2n+1}}{(2n+1)!} = \sum_{k=-\infty}^{\infty} \left[ (k + \frac{1}{2})\pi \right]^{-(2n+2)}. \tag{3.4}$$

We can rewrite (3.4) as

$$\frac{E_{2n+1}}{(2n+1)!} = \sum_{k=0}^{\infty} \frac{2}{(k+\frac{1}{2})^2 \pi^2} \left( \frac{1}{(k+\frac{1}{2})^2 \pi^2} \right)^n, \tag{3.5}$$

which represents  $(E_{2n+1}/(2n+1)!)_{n\geq 0}$  as the moments of a positive measure supported on a countably infinite subset of  $[0,4/\pi^2]$ . It follows that  $(E_{2n+1}/(2n+1)!)_{n\geq 0}$  is a Stieltjes moment sequence, which is both S-determinate and H-determinate. Theorem 2.2 then implies that the ordinary generating function of  $(E_{2n+1}/(2n+1)!)_{n\geq 0}$  can be written as a Stieltjes-type continued fraction (2.6) with nonnegative coefficients  $\alpha_n$ ; in fact we have the beautiful explicit formula [76, p. 349]

$$\sum_{n=0}^{\infty} \frac{E_{2n+1}}{(2n+1)!} t^n = \frac{\tan\sqrt{t}}{\sqrt{t}} = \frac{1}{1 - \frac{\frac{1}{3}t}{1 - \frac{\frac{1}{15}t}{1 - \frac{\frac{1}{35}t}{1 - \cdots}}}}$$
(3.6)

with coefficients  $\alpha_n = 1/(4n^2 - 1) > 0$ . This continued-fraction expansion of the tangent function was found by Lambert [54] in 1761, and used by him to prove the irrationality of  $\pi$  [53, 77]. But in fact, as noted by Brezinski [17, p. 110], a formula equivalent to (3.6) appears already in Euler's first paper on continued fractions [30]: see top p. 321 in the English translation.<sup>5</sup> The expansion (3.6) is a  $_0F_1$  limiting case of Gauss' continued fraction for the ratio of two contiguous hypergeometric functions  $_2F_1$  [76, Chapter XVIII].

We will come back to (3.3) in a moment.

Combining (3.3) and (3.4) and taking advantage of the evenness/oddness of the summands, we get

$$\frac{E_n}{n!} = \sum_{k=-\infty}^{\infty} \left[ 1 + (-1)^k \right] \left[ (k + \frac{1}{2})\pi \right]^{-(n+1)}$$
 (3.7a)

$$= 2\sum_{k=-\infty}^{\infty} \left(\frac{2}{(4k+1)\pi}\right)^{n+1}$$
 (3.7b)

 $<sup>^5</sup>$  The paper [30], which is E71 in Eneström's [28] catalogue, was presented to the St. Petersburg Academy in 1737 and published in 1744.

(once again with the interpretation  $\lim_{N\to\infty}\sum_{k=-N}^{N}$  when n=0); see [27] for further discussion of this sum. For  $n\geq 1$  this sum is absolutely convergent, so we can write

$$\frac{E_{n+1}}{(n+1)!} = \sum_{k=-\infty}^{\infty} \frac{8}{(4k+1)^2 \pi^2} \left(\frac{2}{(4k+1)\pi}\right)^n, \tag{3.8}$$

which represents  $(E_{n+1}/(n+1)!)_{n\geq 0}$  as the moments of a positive measure supported on a countably infinite subset of  $[-2/3\pi, 2/\pi]$ . It follows that  $(E_{n+1}/(n+1)!)_{n\geq 0}$ is a Hamburger moment sequence, which is H-determinate. In fact, the ordinary generating function of  $(E_{n+1}/(n+1)!)_{n\geq 0}$  can be written explicitly as a Jacobi-type continued fraction [37]

$$\sum_{n=0}^{\infty} \frac{E_{n+1}}{(n+1)!} t^n = \frac{1}{1 - \frac{1}{2}t - \frac{\frac{1}{12}t^2}{1 - \frac{\frac{1}{140}t^2}{1 - \dots}}}$$
(3.9)

with coefficients  $\gamma_0 = 1/2$ ,  $\gamma_n = 0$  for  $n \ge 1$ , and  $\beta_n = 1/(16n^2 - 4)$ . This continued fraction can be obtained from Lambert's continued fraction (3.6) with t replaced by  $t^2/4$ , by using the identity

$$\sum_{n=0}^{\infty} \frac{E_{n+1}}{(n+1)!} t^n = \frac{\sec t + \tan t - 1}{t} = \frac{1}{\frac{t}{2} \cot \frac{t}{2} - \frac{t}{2}}.$$
 (3.10)

By using the contraction formula (2.9), we can also rewrite (3.9) as a Stieltjes-type continued fraction [39]

$$\sum_{n=0}^{\infty} \frac{E_{n+1}}{(n+1)!} t^n = \frac{1}{1 - \frac{\frac{1}{2}t}{1 - \frac{\frac{1}{6}t}{1 + \frac{\frac{1}{10}t}{1 - \frac{1}{10}t}}}}$$
(3.11)

with coefficients  $\alpha_{2k-1} = (-1)^{k-1}/(4k-2)$ ,  $\alpha_{2k} = (-1)^{k-1}/(4k+2)$ . Here the coefficients  $\alpha_i$  are not all nonnegative; it follows by Theorem 2.2 and the uniqueness of Stieltjes-continued-fraction representations that  $(E_{n+1}/(n+1)!)_{n\geq 0}$  is not a Stieltjes moment sequence — a fact that we already knew from (3.8) and the H-determinacy.

Let us now consider the even subsequence  $(E_{2n}/(2n)!)_{n\geq 0}$ . Is it a Hamburger moment sequence? The answer is no, in a very strong sense:

**Proposition 3.1.** Define  $\widetilde{E}_n = E_n/n!$ . Then  $(\widetilde{E}_{2n})_{n\geq 0}$  is not a Hamburger moment sequence. In fact, no arithmetic-progression subsequence  $(\widetilde{E}_{n_0+jN})_{N\geq 0}$  with  $n_0$  even and  $j\geq 1$  is a Hamburger moment sequence.

We give two proofs:

FIRST PROOF. For any even  $n_0 \geq 2$  and any  $j \geq 1$ , the equation (3.8) represents  $(\widetilde{E}_{n_0+jN})_{N\geq 0}$  as the moments of a nonpositive signed measure supported on  $[-2/3\pi,2/\pi]$ . Corollary 2.10 then implies that  $(\widetilde{E}_{n_0+jN})_{N\geq 0}$  is not a Hamburger moment sequence. The assertion for  $n_0=0$  then follows from the assertion for  $n_0=2j$ .

The second proof is based on the following fact, which is of some interest in its own right:

**Proposition 3.2.** Define  $\widetilde{E}_n = E_n/n!$ . Then  $(\widetilde{E}_{2n})_{n\geq 0}$  is a Pólya frequency sequence, i.e. every minor of the infinite Toeplitz matrix

$$(\widetilde{E}_{2j-2i})_{i,j\geq 0} = \begin{bmatrix} \widetilde{E}_{0} & \widetilde{E}_{2} & \widetilde{E}_{4} & \widetilde{E}_{6} & \cdots \\ 0 & \widetilde{E}_{0} & \widetilde{E}_{2} & \widetilde{E}_{4} & \cdots \\ 0 & 0 & \widetilde{E}_{0} & \widetilde{E}_{2} & \cdots \\ 0 & 0 & 0 & \widetilde{E}_{0} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{bmatrix}$$
(3.12)

is nonnegative. Moreover, a minor using rows  $i_1 < i_2 < \ldots < i_r$  and columns  $j_1 < j_2 < \ldots < j_r$  is strictly positive if  $i_k \leq j_k$  for  $1 \leq k \leq r$ . In particular, the sequence  $(\widetilde{E}_{2n})_{n>0}$  is strictly log-concave.

Proof. It follows from the well-known infinite product representation for  $\cos t$  that

$$\sum_{n=0}^{\infty} \widetilde{E}_{2n} t^n = \sec \sqrt{t} = \prod_{k=0}^{\infty} \left( 1 - \frac{t}{(k + \frac{1}{2})^2 \pi^2} \right)^{-1}.$$
 (3.13)

This implies [51, p. 395] that  $(\widetilde{E}_{2n})_{n\geq 0}$  is a Pólya frequency sequence; and it also implies [51, p. 427–430] the statement about strictly positive minors. The strict log-concavity is simply the strict positivity of the  $2 \times 2$  minors above the diagonal.  $\square$ 

SECOND PROOF OF PROPOSITION 3.1. No arithmetic-progression subsequence  $(\widetilde{E}_{n_0+jN})_{N\geq 0}$  with  $n_0$  even and  $j\geq 1$  can be a Hamburger moment sequence, since its even subsequence  $(\widetilde{E}_{n_0+2jN})_{N\geq 0}$  is strictly log-concave and hence cannot be log-convex.  $\square$ 

The even and odd subsequences thus have radically different behavior: the even subsequence  $(\widetilde{E}_{2n})_{n\geq 0}$  is strictly log-concave, while the odd subsequence  $(\widetilde{E}_{2n+1})_{n\geq 0}$  is strictly log-convex (since it is a Stieltjes moment sequence with a representing measure of infinite support). These two facts are special cases of the following more general inequality that appears to be true:

Conjecture 3.3. Define  $\widetilde{E}_n = E_n/n!$ . Then for all  $n \geq 0$  and  $j, k \geq 1$ , we have

$$(-1)^{n-1} \left[ \widetilde{E}_n \widetilde{E}_{n+i+k} - \widetilde{E}_{n+i} \widetilde{E}_{n+k} \right] > 0.$$
 (3.14)

I do not know how to prove (3.14), but I have verified it for  $n, j, k \leq 900$ .

Though  $(E_{2n}/(2n)!)_{n\geq 0}$  is not a Hamburger moment sequence, one could try multiplying it by a Hamburger (or Stieltjes) moment sequence  $(b_n)_{n\geq 0}$ ; the result  $(b_nE_{2n}/(2n)!)_{n\geq 0}$  might be a Hamburger (or even a Stieltjes) moment sequence. For instance, the central binomial coefficients  $\binom{2n}{n} = (2n)!/(n!)^2$  are a Stieltjes moment sequence, with representation

$$\binom{2n}{n} = \frac{1}{\pi} \int_{0}^{4} x^{n} x^{-1/2} (4-x)^{-1/2} dx.$$
 (3.15)

Might  $(E_{2n}/(n!)^2)_{n\geq 0}$  be a Hamburger moment sequence? The answer is no, because the  $7\times 7$  Hankel determinant  $\det(a_{i+j})_{0\leq i,j\leq 6}$  for  $a_n=E_{2n}/(n!)^2$  is negative. Unfortunately I do not know any simpler proof.

But if we multiply by another factor of n!, then the result  $(E_{2n}/n!)_{n\geq 0}$  is a Hamburger — and indeed a Stieltjes — moment sequence. To see this, start by rewriting (3.3) as

$$\frac{E_{2n}}{(2n)!} = 2\sum_{k=0}^{\infty} (-1)^k \left[ (k + \frac{1}{2})\pi \right]^{-(2n+1)}.$$
 (3.16)

Now multiply this by the Stieltjes integral representation

$$\frac{(2n)!}{n!} = 2^n (2n-1)!! = \frac{2^n}{\sqrt{2\pi}} \int_{-\infty}^{\infty} x^{2n} e^{-\frac{1}{2}x^2} dx$$
 (3.17)

to get

$$\frac{E_{2n}}{n!} = \frac{2^{n+1}}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dx \, e^{-\frac{1}{2}x^2} \sum_{k=0}^{\infty} \frac{(-1)^k}{(k+\frac{1}{2})\pi} \left(\frac{x}{(k+\frac{1}{2})\pi}\right)^{2n}. \tag{3.18}$$

Change variable to  $y=x/[(k+\frac{1}{2})\pi]$  and interchange integration and summation; this leads to

$$\frac{E_{2n}}{n!} = \frac{2^{n+1}}{\sqrt{2\pi}} \int_{-\infty}^{\infty} dy \, y^{2n} \, \sum_{k=0}^{\infty} (-1)^k \, \exp\left[-\frac{1}{2}(k+\frac{1}{2})^2 \pi^2 y^2\right] \,. \tag{3.19}$$

The density here is positive because each term with even k dominates the term k+1:

$$\exp\left[-\frac{1}{2}(k+\frac{1}{2})^2\pi^2y^2\right] \ge \exp\left[-\frac{1}{2}(k+1+\frac{1}{2})^2\pi^2y^2\right]. \tag{3.20}$$

It follows that  $(E_{2n}/n!)_{n\geq 0}$  is a Stieltjes moment sequence. Its ordinary generating function is therefore given by a Stieltjes-type continued fraction with coefficients  $\alpha_i > 0$ ; but no explicit formula for these coefficients seems to be known.

#### 4 Euler numbers, part 2

Thus far we have considered the sequence of Euler numbers  $E_n$  divided by factorials. Now we consider the sequence of Euler numbers  $E_n$  tout court, along with its even and odd subsequences.

We have already seen that  $(E_{n+1}/(n+1)!)_{n\geq 0}$  is a Hamburger moment sequence. Since  $((n+1)!)_{n\geq 0}$  is also a Hamburger (in fact a Stieltjes) moment sequence, it follows that their product  $(E_{n+1})_{n\geq 0}$  is again a Hamburger moment sequence. Similarly, we have seen that  $(E_{2n+1}/(2n+1)!)_{n\geq 0}$  is a Stieltjes moment sequence; and since  $((2n+1)!)_{n\geq 0}$  is a Stieltjes moment sequence, it follows that their product  $(E_{2n+1})_{n\geq 0}$  is a Stieltjes moment sequence. And finally, we have seen that  $(E_{2n}/n!)_{n\geq 0}$  is a Stieltjes moment sequence, it follows that their product  $(E_{2n})_{n\geq 0}$  is a Stieltjes moment sequence. In this section we will obtain explicit expressions for these sequences' representing measures and for the continued-fraction expansions of their ordinary generating functions.

Start by rewriting (3.7b) as

$$\frac{E_n}{n!} = 2 \left[ \sum_{k=0}^{\infty} \left( \frac{2}{(4k+1)\pi} \right)^{n+1} - (-1)^n \sum_{k=0}^{\infty} \left( \frac{2}{(4k+3)\pi} \right)^{n+1} \right] . \tag{4.1}$$

Now multiply by the Stieltjes integral representation  $n! = \int_{0}^{\infty} x^{n} e^{-x} dx$  to get

$$E_n = 2 \left[ \int_0^\infty dx \, e^{-x} \sum_{k=0}^\infty \frac{2}{(4k+1)\pi} \left( \frac{2x}{(4k+1)\pi} \right)^n - (-1)^n \int_0^\infty dx \, e^{-x} \sum_{k=0}^\infty \frac{2}{(4k+3)\pi} \left( \frac{2x}{(4k+3)\pi} \right)^n \right] . \tag{4.2}$$

Change variable to  $y = 2x/[(4k+1)\pi]$  in the first term, and  $y = 2x/[(4k+3)\pi]$  in the second, and interchange integration and summation; this leads to

$$E_n = 2 \left[ \int_0^\infty \frac{e^{-(\pi/2)y}}{1 - e^{-2\pi y}} y^n dy - \int_0^\infty \frac{e^{-(3\pi/2)y}}{1 - e^{-2\pi y}} (-y)^n dy \right]$$
(4.3a)

$$= \int_{-\infty}^{\infty} y^n \frac{e^{(\pi/2)y}}{\sinh \pi y} dy . \tag{4.3b}$$

The integral (4.3b) is absolutely convergent for  $n \ge 1$ ; for n = 0 it is valid as a principal-value integral at y = 0. In particular we have

$$E_{n+1} = \int_{-\infty}^{\infty} y^n \, \frac{y \, e^{(\pi/2)y}}{\sinh \pi y} \, dy \,, \tag{4.4}$$

which represents  $E_{n+1}$  as the *n*th moment of a positive measure on  $\mathbb{R}$ . Hence  $(E_{n+1})_{n\geq 0}$  is a Hamburger moment sequence. It is H-determinate by virtue of (1.6) and Corollary 2.9.

Note also that multiplying (4.3b) by  $t^n/n!$  and summing  $\sum_{n=0}^{\infty}$ , we recover the two-sided Laplace transform

$$\sec t + \tan t = \int_{-\infty}^{\infty} \frac{e^{(t+\pi/2)y}}{\sinh \pi y} dy, \qquad (4.5)$$

which is valid for  $-3\pi/2 < \text{Re } t < \pi/2$  as a principal-value integral [29, 6.2(8)], or equivalently (by symmetrizing)

$$\sec t + \tan t = \int_{-\infty}^{\infty} \frac{\sinh(t + \pi/2)y}{\sinh \pi y} \, dy \,. \tag{4.6}$$

For n even we can combine the  $y \ge 0$  and  $y \le 0$  contributions in (4.3b) to obtain [42, 3.523.4] [56, 24.7.6]

$$E_{2n} = \int_{0}^{\infty} y^{2n} \operatorname{sech}\left(\frac{\pi}{2}y\right) dy , \qquad (4.7)$$

while for n odd a similar reformulation gives [42, 3.523.2]

$$E_{2n+1} = \int_{0}^{\infty} y^{2n} y \operatorname{csch}\left(\frac{\pi}{2}y\right) dy. \tag{4.8}$$

We have thus explicitly expressed  $(E_{2n})_{n\geq 0}$  and  $(E_{2n+1})_{n\geq 0}$  as Stieltjes moment sequences. By (1.6) and Theorem 2.3(b) they are S-determinate. And since the measures in (4.7)/(4.8) are continuous, Proposition 2.4 implies that these sequences are also H-determinate.

The moment representations (4.7)/(4.8) can also be expressed nicely in terms of the *Lerch transcendent* (or *Lerch zeta function*) [42, §9.55] [56, §25.14], which we take to be defined by the integral representation

$$\Phi(z, s, \alpha) = \frac{1}{\Gamma(s)} \int_{0}^{\infty} \frac{t^{s-1} e^{-\alpha t}}{1 - ze^{-t}} dt$$
 (4.9)

for Re s > 0, Re  $\alpha > 0$ , and  $z \in \mathbb{C} \setminus [1, \infty)$ . For |z| < 1 we can expand the integrand in a Taylor series in z and then interchange integration with summation: this yields

$$\Phi(z, s, \alpha) = \sum_{n=0}^{\infty} \frac{z^n}{(n+\alpha)^s}, \qquad (4.10)$$

valid for Re s > 0, Re  $\alpha > 0$ , and |z| < 1. Moreover, an application of Lebesgue's dominated convergence theorem to the same series expansion shows that (4.10) holds also for |z| = 1 with the exception of z = 1. And under the stronger hypothesis Re s > 1 we can take  $z \uparrow 1$  and conclude that (4.10) holds also for z = 1.

<sup>6</sup> PROOF. 
$$\left|\sum_{n=0}^{N} u^n\right| = \left|\frac{1-u^{N+1}}{1-u}\right| \le \frac{2}{|1-u|}$$
 whenever  $|u| \le 1$ . Applying this with  $u = ze^{-t}$ 

shows that the dominated convergence theorem applies to the Taylor expansion in z whenever  $|z| \le 1$  and  $z \ne 1$ .

Let us now use (4.10) for  $z = \pm 1$ : then (3.16) and (3.4) can be written as

$$\frac{E_{2n}}{(2n)!} = \frac{2}{\pi^{2n+1}} \Phi(-1, 2n+1, \frac{1}{2}) \tag{4.11}$$

$$\frac{E_{2n+1}}{(2n+1)!} = \frac{2}{\pi^{2n+2}} \Phi(1, 2n+2, \frac{1}{2})$$
(4.12)

Using (4.9) to express  $\Gamma(s) \Phi(z, s, \alpha)$  as an integral, we recover (4.7) and (4.8).

We can also obtain continued fractions for the ordinary generating functions of these three sequences. For  $(E_{n+1})_{n>0}$  we have the Jacobi-type continued fraction

$$\sum_{n=0}^{\infty} E_{n+1} t^n = \frac{1}{1 - t - \frac{t^2}{1 - 2t - \frac{3t^2}{1 - 3t - \frac{6t^2}{1 - 4t - \frac{10t^2}{1 - \cdots}}}}$$
(4.13)

with coefficients  $\gamma_n = n+1$  and  $\beta_n = n(n+1)/2$ . This continued fraction ought to be classical, but the first mention of which I am aware is a 2006 contribution to the OEIS by an amateur mathematician, Paul D. Hanna, who found it empirically [44]; it was proven a few years later by Josuat-Vergès [49] by a combinatorial method (which also yields a q-generalization).

**Remark.** The J-fraction (4.13) does not arise by contraction from any S-fraction. Indeed, if we use the contraction formula (2.9) and solve for  $\alpha$ , we find  $(\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5) = (1, 1, 1, 3, 0)$ , but then  $\alpha_5\alpha_6 = \beta_3 = 6$  has no solution.

For the even and odd subsequences, we have Stieltjes-type continued fractions:

$$\sum_{n=0}^{\infty} E_{2n} t^{n} = \frac{1}{1 - \frac{1^{2}t}{1 - \frac{2^{2}t}{1 - \frac{3^{2}t}{1 - \cdots}}}}$$

$$(4.14)$$

with coefficients  $\alpha_n = n^2$ , and

$$\sum_{n=0}^{\infty} E_{2n+1} t^n = \frac{1}{1 - \frac{1 \cdot 2t}{1 - \frac{2 \cdot 3t}{1 - \frac{3 \cdot 4t}{1 - \cdots}}}}$$
(4.15)

with coefficients  $\alpha_n = n(n+1)$ . These formulae were found by Stieltjes [69, p. H9] in 1889 and by Rogers [61, p. 77] in 1907. They were given beautiful combinatorial proofs by Flajolet [32] in 1980.

Since  $(E_{n+1})_{n\geq 0}$  is a Hamburger moment sequence, it is natural to ask about the full sequence  $(E_n)_{n\geq 0}$ . Is it a Hamburger moment sequence? The answer is no,

because the 
$$3 \times 3$$
 Hankel matrix 
$$\begin{bmatrix} E_0 & E_1 & E_2 \\ E_1 & E_2 & E_3 \\ E_2 & E_3 & E_4 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 2 \\ 1 & 2 & 5 \end{bmatrix}$$
 has determinant  $-1$ .

But a much stronger result is true:

**Proposition 4.1.** No arithmetic-progression subsequence  $(E_{n_0+jN})_{N\geq 0}$  with  $n_0$  even and j odd is a Hamburger moment sequence.

PROOF. For  $n_0 \ge 1$ , (4.4) yields

$$E_{n_0+jN} = \int_{-\infty}^{\infty} y^{jN} \frac{y^{n_0} e^{(\pi/2)y}}{\sinh \pi y} dy.$$
 (4.16)

When  $n_0$  is even  $(\geq 2)$  and j is odd, this represents  $(E_{n_0+jN})_{N\geq 0}$  as the moments of a nonpositive signed measure on  $\mathbb{R}$  with exponential decay. Corollary 2.10 then implies that  $(E_{n_0+jN})_{N\geq 0}$  is not a Hamburger moment sequence. The assertion for  $n_0=0$  then follows from the assertion for  $n_0=2j$ .  $\square$ 

Since  $(E_{n+1})_{n\geq 0}$  is a Hamburger moment sequence with a representing measure of infinite support, it follows that all the Hankel determinants  $\Delta_n^{(m)} = \det(E_{i+j+m})_{0\leq i,j\leq n-1}$  for m odd are strictly positive. On the other hand, the j=1 case of Proposition 4.1 implies that for every even m there must exist at least one n such that  $\Delta_n^{(m)} < 0$ . But which one(s)? The question of the sign of  $\Delta_n^{(m)}$  for m even seems to be quite delicate, and I am unable to offer any plausible conjecture.

**Remarks.** 1. Although the sequence  $(E_n)_{n\geq 0}$  of Euler numbers is not a Stieltjes or even a Hamburger moment sequence, it is log-convex. This can be proven inductively from the recurrence (1.4) [55, Example 2.2]. Alternatively, it can be proven by observing that the tridiagonal matrix (2.7) associated to the continued fraction (4.13) is totally positive of order 2, i.e.  $\beta_n \geq 0$ ,  $\gamma_n \geq 0$  and  $\gamma_n \gamma_{n+1} - \beta_{n+1} \geq 0$  for all n. This implies [66, 78] that  $(E_{n+1})_{n\geq 0}$  is log-convex. And since  $E_0E_2 - E_1^2 = 0$ , it follows that also  $(E_n)_{n>0}$  is log-convex.

2. Dumont [24, Proposition 5] found a nice Jacobi-type continued fraction also for the sequence of Euler numbers with some sign changes:

$$\sum_{n=0}^{\infty} (-1)^{n(n-1)/2} E_{n+1} t^n = \frac{1}{1 - t + \frac{3t^2}{1 - t + \frac{14t^2}{1 - t - \cdots}}}$$

$$(4.17)$$

with coefficients  $\gamma_{2k} = 1$ ,  $\gamma_{2k+1} = 0$ ,  $\beta_{2k-1} = -k(4k-1)$  and  $\beta_{2k} = -k(4k+1)$ .

## 5 Springer numbers

We now turn to the sequence of Springer numbers. Since  $\cos t - \sin t = \sqrt{2}\cos(t + \pi/4)$ , the partial-fraction expansion (3.1) for secant yields

$$\frac{1}{\cos t - \sin t} = \frac{1}{\sqrt{2}} \lim_{N \to \infty} \sum_{k=-N}^{N} \frac{(-1)^k}{(k + \frac{1}{4})\pi - t}.$$
 (5.1)

Inserting this into the exponential generating function (1.7) of the Springer numbers and extracting coefficients of powers of t on both sides, we obtain

$$\frac{S_n}{n!} = \frac{1}{\sqrt{2}} \sum_{k=-\infty}^{\infty} (-1)^k \left[ (k + \frac{1}{4})\pi \right]^{-(n+1)}$$
 (5.2)

(with the interpretation  $\lim_{N\to\infty} \sum_{k=-N}^{N}$  when n=0). Since (5.2) represents every arithmetic-

progression subsequence  $(\widetilde{S}_{n_0+jN})_{N\geq 0}$  [where  $\widetilde{S}_n=S_n/n!$ ] as the moments of a non-positive signed measure supported on  $[-4/3\pi, (4/\pi)^j]$ , it follows by Corollary 2.10 that no such sequence is a Hamburger moment sequence.

We now consider the sequence of Springer numbers  $tout \ court$ . Start by rewriting (5.2) as

$$\frac{S_n}{n!} = \frac{1}{\sqrt{2}} \left[ \sum_{k=0}^{\infty} (-1)^k \left[ (k + \frac{1}{4})\pi \right]^{-(n+1)} + (-1)^n \sum_{k=0}^{\infty} (-1)^k \left[ (k + \frac{3}{4})\pi \right]^{-(n+1)} \right].$$
(5.3)

Now multiply by the Stieltjes integral representation  $n! = \int_{0}^{\infty} x^{n} e^{-x} dx$  to get

$$S_n = \frac{1}{\sqrt{2}} \left[ \int_0^\infty dx \, e^{-x} \sum_{k=0}^\infty \frac{(-1)^k}{(k+\frac{1}{4})\pi} \left( \frac{x}{(k+\frac{1}{4})\pi} \right)^n + (-1)^n \int_0^\infty dx \, e^{-x} \sum_{k=0}^\infty \frac{(-1)^k}{(k+\frac{3}{4})\pi} \left( \frac{x}{(k+\frac{3}{4})\pi} \right)^n \right] . \tag{5.4}$$

Change variable to  $y = x/[(k + \frac{1}{4})\pi]$  in the first term, and  $y = x/[(k + \frac{3}{4})\pi]$  in the second, and interchange integration and summation; this leads to

$$S_n = \frac{1}{\sqrt{2}} \left[ \int_0^\infty \frac{e^{-(\pi/4)y}}{1 + e^{-\pi y}} y^n dy + \int_0^\infty \frac{e^{-(3\pi/4)y}}{1 + e^{-\pi y}} (-y)^n dy \right]$$
 (5.5a)

$$= \frac{1}{2\sqrt{2}} \int_{-\infty}^{\infty} y^n \frac{e^{(\pi/4)y}}{\cosh(\pi y/2)} dy , \qquad (5.5b)$$

which is absolutely convergent for all  $n \geq 0$ . It follows that  $(S_n)_{n\geq 0}$  is a Hamburger moment sequence. It is H-determinate by virtue of (1.9) and Corollary 2.9. Since the

unique representing measure has support equal to all of  $\mathbb{R}$ , it follows that  $(S_n)_{n\geq 0}$  is not a Stieltjes moment sequence. This can alternatively be seen from the fact that the  $3\times 3$ 

once-shifted Hankel matrix 
$$\begin{bmatrix} S_1 & S_2 & S_3 \\ S_2 & S_3 & S_4 \\ S_3 & S_4 & S_5 \end{bmatrix} = \begin{bmatrix} 1 & 3 & 11 \\ 3 & 11 & 57 \\ 11 & 57 & 361 \end{bmatrix}$$
 has determinant  $-96$ .

Note also that multiplying (5.5b) by  $t^n/n!$  and summing  $\sum_{n=0}^{\infty}$ , we recover the two-sided Laplace transform

$$\frac{1}{\cos t - \sin t} = \frac{1}{2\sqrt{2}} \int_{-\infty}^{\infty} \frac{e^{(t+\pi/4)y}}{\cosh(\pi y/2)} dy, \qquad (5.6)$$

which is valid for  $-3\pi/4 < \text{Re } t < \pi/4$  [29, 6.2(11)].

For n even we can combine the  $y \ge 0$  and  $y \le 0$  contributions in (5.5b) to obtain

$$S_{2n} = \frac{1}{\sqrt{2}} \int_{0}^{\infty} y^{2n} \frac{\cosh(\pi y/4)}{\cosh(\pi y/2)} dy$$
, (5.7)

while for n odd a similar reformulation gives

$$S_{2n+1} = \frac{1}{\sqrt{2}} \int_{0}^{\infty} y^{2n} \frac{y \sinh(\pi y/4)}{\cosh(\pi y/2)} dy.$$
 (5.8)

We have thus explicitly expressed  $(S_{2n})_{n\geq 0}$  and  $(S_{2n+1})_{n\geq 0}$  as Stieltjes moment sequences. By (1.9) and Theorem 2.3(b) they are S-determinate. And since the measures in (5.7)/(5.8) are continuous, Proposition 2.4 implies that these sequences are also H-determinate.

We can also obtain continued fractions for the ordinary generating functions of these three sequences. For  $(S_n)_{n\geq 0}$  we have the Jacobi-type continued fraction

$$\sum_{n=0}^{\infty} S_n t^n = \frac{1}{1 - t - \frac{2 \cdot 1^2 t^2}{1 - 3t - \frac{2 \cdot 2^2 t^2}{1 - 5t - \frac{2 \cdot 3^2 t^2}{1 - 7t - \frac{2 \cdot 4^2 t^2}{1 - \cdots}}}}$$
(5.9)

with coefficients  $\gamma_n = 2n + 1$  and  $\beta_n = 2n^2$ . This formula was proven a few years ago by Josuat-Vergès [49], by a combinatorial method that also yields a q-generalization; it was independently found (empirically) by an amateur mathematician, Sergei N. Gladkovskii [38]. The fact that  $\beta_n > 0$  for all n tells us again that  $(S_n)_{n>0}$  is a Hamburger moment sequence.

For the even Springer numbers we have the Stieltjes-type continued fraction [24,

Corollary 3.3]

$$\sum_{n=0}^{\infty} S_{2n} t^{n} = \frac{1}{1 - \frac{1 \cdot 3t}{1 - \frac{4 \cdot 4t}{1 - \frac{5 \cdot 7t}{1 - \frac{8 \cdot 8t}{1 - \cdots}}}}$$
(5.10)

with coefficients  $\alpha_{2k-1} = (4k-3)(4k-1)$  and  $\alpha_{2k} = (4k)^2$ . For the odd Springer numbers we have the Jacobi-type continued fraction [36]

$$\sum_{n=0}^{\infty} S_{2n+1}t^n = \frac{1}{1 - 11t - \frac{16 \cdot 1 \cdot 3 \cdot 5t^2}{1 - 75t - \frac{16 \cdot 4 \cdot 7 \cdot 9t^2}{1 - 203t - \frac{16 \cdot 9 \cdot 11 \cdot 13t}{1 - \dots}}}$$
(5.11)

with coefficients  $\gamma_n = 32n^2 + 32n + 11$  and  $\beta_n = (4n-1)(4n)^2(4n+1)$ . This formula can be obtained as a specialization of a result of Stieltjes [70] (see [8]); it can alternatively be obtained from [24, Propositions 7 and 8] by the transformation formula for Jacobitype continued fractions under the binomial transform [9, Proposition 4] [66]. Since the odd Springer numbers are a Stieltjes moment sequence, their ordinary generating function is also given by a Stieltjes-type continued fraction with coefficients  $\alpha_i > 0$ ; these coefficients can in principle be obtained from (5.11) by solving (2.9), but no explicit formula for them seems to be known (and maybe no simple formula exists).

**Remarks.** 1. Although the sequence  $(S_n)_{n\geq 0}$  of Springer numbers is not a Stieltjes moment sequence, it is log-convex. This follows [66, 78] from the fact that the tridiagonal matrix (2.7) associated to the continued fraction (5.9) is totally positive of order 2.

2. Dumont [24, Corollary 3.2] also found a nice Jacobi-type continued fraction for the sequence of Springer numbers with some sign changes:

$$\sum_{n=0}^{\infty} (-1)^{n(n-1)/2} S_n t^n = \frac{1}{1 - t + \frac{4t^2}{1 - t + \frac{36t^2}{1 - \cdots}}}$$
(5.12)

with coefficients  $\gamma_n = 1$  and  $\beta_n = -4n^2$ . This formula follows from (4.14) with t replaced by  $4t^2$ , combined with the identity

$$(-1)^{n(n-1)/2} S_n = \sum_{k=0}^{\lfloor n/2 \rfloor} {n \choose 2k} (-4)^k E_{2k}$$
 (5.13)

(which follows from the exponential generating functions [24, p. 275]) and a general result about how Jacobi-type continued fractions behave under the binomial transform [9, Proposition 4] [66]. ■

#### 6 What next?

Enumerative combinatorialists are not content with merely counting sets; we want to refine the counting by measuring one or more statistics. To take a trivial example, an n-element set has  $2^n$  subsets, but we can classify these subsets according to their cardinality, and say that there are  $\binom{n}{k}$  subsets of cardinality k. We then collect these refined counts in a generating polynomial

$$P_n(x) \stackrel{\text{def}}{=} \sum_{A \subseteq [n]} x^{|A|} = \sum_{k=0}^n \binom{n}{k} x^k , \qquad (6.1)$$

which in this case of course equals  $(1+x)^n$ . To take a less trivial example, the number of ways of partitioning an n-element set into nonempty blocks is given by the Bell number  $B_n$ ; but we can refine this classification by saying that the number of ways of partitioning an n-element set into k nonempty blocks is given by the Stirling number  $\binom{n}{k}$ , and then form the Bell polynomial

$$B_n(x) = \sum_{k=0}^{n} {n \brace k} x^k. {(6.2)}$$

We can then study generating functions, continued-fraction expansions, moment representations and so forth for  $B_n(x)$ , generalizing the corresponding results for  $B_n = B_n(1)$ .

In a similar way, the Euler and Springer numbers can be refined into polynomials that count alternating permutations or snakes of type  $B_n$  according to one or more statistics. For instance, consider the polynomials  $E_{2n}(x)$  defined by

$$(\sec t)^x = \sum_{n=0}^{\infty} E_{2n}(x) \frac{t^{2n}}{(2n)!}$$
(6.3)

where x is an indeterminate. They satisfy the recurrence [48, p. 123]

$$E_{2n+2}(x) = x \sum_{k=0}^{n} {2n+1 \choose 2k} E_{2n-2k-1} E_{2k}(x)$$
 (6.4)

with initial condition  $E_0(x) = 1$ . It follows that  $E_{2n}(x)$  is a polynomial of degree n with nonnegative integer coefficients, which we call the secant power polynomial. The first few secant power polynomials are [57, A088874/A085734/A098906]

$$E_0(x) = 1 (6.5a)$$

$$E_2(x) = x (6.5b)$$

$$E_4(x) = 2x + 3x^2 (6.5c)$$

$$E_6(x) = 16x + 30x^2 + 15x^3 (6.5d)$$

$$E_8(x) = 272x + 588x^2 + 420x^3 + 105x^4$$
 (6.5e)

Since  $E_{2n}(1) = E_{2n}$ , these are a polynomial refinement of the secant numbers. Moreover, since  $\tan' = \sec^2$  and  $(\log \sec)' = \tan$ , we have  $E_{2n}(2) = E_{2n+1}$  and  $E'_{2n}(0) =$ 

 $E_{2n-1}$ , so these are also a polynomial refinement of the tangent numbers. Carlitz and Scoville [19] proved that  $E_{2n}(x)$  enumerates the alternating (down-up) permutations of [2n] or [2n+1] according to the number of records:

$$\sum_{\sigma \in \text{Alt}_{2n}} x^{\text{rec}(\sigma)} = E_{2n}(x) \tag{6.6}$$

and

$$\sum_{\sigma \in Alt_{2n+1}} x^{rec(\sigma)} = x E_{2n}(1+x) . \tag{6.7}$$

Here a record (or left-to-right maximum) of a permutation  $\sigma \in \mathfrak{S}_n$  is an index i such that  $\sigma_j < \sigma_i$  for all j < i. (In particular, when  $n \ge 1$ , the index 1 is always a record. This explains why the  $E_{2n}(x)$  for n > 0 start at order x.)

It turns out that the ordinary generating function of the secant power polynomials is given by a beautiful Stieltjes-type continued fraction, which was found more than a century ago by Stieltjes [69, p. H9] and Rogers [61, p. 82] (see also [10, 49]):

$$\sum_{n=0}^{\infty} E_{2n}(x) t^{n} = \frac{1}{1 - \frac{xt}{1 - \frac{2(x+1)t}{1 - \dots}}}$$

$$(6.8)$$

with coefficients  $\alpha_n = n(x+n-1)$ . When x=1 this reduces to the expansion (4.14) for the secant numbers; when x=2 it becomes the expansion (4.15) for the tangent numbers.

The nonnegativity of the coefficients  $\alpha_n$  in (6.8) for  $x \geq 0$  implies, by Theorem 2.2, that for every  $x \geq 0$ , the sequence  $(E_{2n}(x))_{n\geq 0}$  is a Stieltjes moment sequence. In fact,  $(E_{2n}(x))_{n\geq 0}$  has the explicit Stieltjes moment representation [22, pp. 179–181]<sup>7</sup>

$$E_{2n}(x) = \frac{2^{x-1}}{\pi \Gamma(x)} \int_{0}^{\infty} s^{2n} \left| \Gamma\left(\frac{x+is}{2}\right) \right|^{2} ds , \qquad (6.9)$$

which reduces to (4.7) when x = 1, and to (4.8) when x = 2.

The continued fraction (6.8) also implies, by Theorem 2.2, that for every  $x \geq 0$ , every minor of the Hankel matrix  $(E_{2i+2j}(x))_{i,j\geq 0}$  is a nonnegative real number. But a vastly stronger result turns out to be true [66]: namely, every minor of the Hankel matrix  $(E_{2i+2j}(x))_{i,j\geq 0}$  is a polynomial in x with nonnegative integer coefficients! This coefficientwise Hankel-total positivity arises in a wide variety of sequences of combinatorial polynomials (sometimes in many variables) — in some cases provably, in other cases conjecturally. But that is a story for another day.

<sup>&</sup>lt;sup>7</sup> See [56, eq. 5.13.2] for the normalization.

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