

# Rota–Baxter operators on a sum of fields

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## Abstract

We count the number of all Rota–Baxter operators on a finite direct sum  $A = F \oplus F \oplus \dots \oplus F$  of fields and count all of them up to conjugation with an automorphism. We also study Rota–Baxter operators on  $A$  corresponding to a decomposition of  $A$  into a direct vector space sum of two subalgebras. We show that every algebra structure induced on  $A$  by a Rota–Baxter of nonzero weight is isomorphic to  $A$ .

*Keywords:* Rota–Baxter operator, (un)labeled rooted tree, 2-coloring, subtree acyclic digraph, transitive digraph.

## 1 Introduction

Given an algebra  $A$  and a scalar  $\lambda \in F$ , where  $F$  is a ground field, a linear operator  $R: A \rightarrow A$  is called a Rota–Baxter operator (RB-operator, for short) on  $A$  of weight  $\lambda$  if the following identity

$$R(x)R(y) = R(R(x)y + xR(y) + \lambda xy) \quad (1)$$

holds for any  $x, y \in A$ . The algebra  $A$  is called Rota–Baxter algebra (RB-algebra).

G. Baxter in 1960 introduced the notion of Rota–Baxter operator [3] as natural generalization of by parts integration formula. In 1960–1970s such operators were studied by G.-C.Rota [19], P. Cartier [10], J. Miller [17], F. Atkinson [2] and others.

In 1980s, the deep connection between constant solutions of the classical Yang–Baxter equation from mathematical physics and RB-operators on a semisimple finite-dimensional Lie algebra was discovered by A. Belavin and V. Drinfel’d [4] and M. Semenov-Tyan-Shanskii [20].

About different connections of Rota–Baxter operators with symmetric polynomials, quantum field renormalization, Loday algebras, shuffle algebra see in the monograph [14] written by L. Guo in 2012.

In the paper, we study Rota–Baxter operators on a finite direct sum  $A = F \oplus F \oplus \dots \oplus F$  of  $n$  copies of a field  $F$ . We continue investigations fulfilled by S. de Bragança in 1975 [6] and by H. An and C. Bai in 2008 [1]. Since all RB-operators on  $A$  of weight zero are trivial [12], i.e., equal to 0, we study only RB-operators on  $A$  of nonzero weight  $\lambda$ .

In §2, we formulate some preliminaries about RB-operators, including splitting RB-operators which are projections on a subalgebra  $A_1$  parallel to another one  $A_2$  provided the direct vector space sum decomposition  $A = A_1 \dot{+} A_2$ .

In §3, we show that RB-operators on  $A$  of nonzero weight  $\lambda$  are in bijection with 2-colored transitive subtree acyclic digraphs (subtree acyclic digraphs were defined by F. Harary et al. in 1992 [15]) or equivalently with labeled rooted trees on  $n + 1$  vertices

with 2-colored non-root vertices. For the last, we apply the result of R. Castelo and A. Siebes [11]. Thus, the number of all RB-operators on  $A$  of nonzero weight  $\lambda$  equals  $2^n(n+1)^{n-1}$ . With the help of the bijection, we show that splitting RB-operators on  $A$  of nonzero weight  $\lambda$  are in one-to-one correspondence with labeled rooted trees on  $n+1$  vertices with properly 2-colored non-root vertices. We also study the number of all RB-operators and all splitting RB-operators on  $A$  up to conjugation with an automorphism of  $A$ .

In 2012, D. Burde et al. initiated to study so called post-Lie algebra structures [7]. One of the questions arisen in the area [7, 8, 9] is the following one: starting with a semisimple Lie algebra endowed RB-operator of weight 1 what kind of Lie algebras we will get under the new Lie bracket  $[R(x), y] + [x, R(y)] + [x, y]$ ? Such problems could be stated not only for Lie algebras but also for associative or commutative ones. In §4, we show that every algebra structure induced on a finite direct sum  $A$  of fields by a Rota–Baxter operator of nonzero weight is isomorphic to  $A$  itself.

## 2 Preliminaries

Trivial RB-operators of weight  $\lambda$  are zero operator and  $-\lambda\text{id}$ .

**Statement 1** [14]. Given an RB-operator  $R$  of weight  $\lambda$ ,

- a) the operator  $-R - \lambda\text{id}$  is an RB-operator of weight  $\lambda$ ,
- b) the operator  $\lambda^{-1}R$  is an RB-operator of weight 1, provided  $\lambda \neq 0$ .

Given an algebra  $A$ , let us define a map  $\phi$  on the set of all RB-operators on  $A$  as  $\phi(R) = -R - \lambda(R)\text{id}$ . It is clear that  $\phi^2$  coincides with the identity map.

**Statement 2** [5]. Given an algebra  $A$ , an RB-operator  $R$  on  $A$  of weight  $\lambda$ , and  $\psi \in \text{Aut}(A)$ , the operator  $R^{(\psi)} = \psi^{-1}R\psi$  is an RB-operator on  $A$  of weight  $\lambda$ .

**Statement 3** [14]. Let an algebra  $A$  to split as a vector space into the direct sum of two subalgebras  $A_1$  and  $A_2$ . An operator  $R$  defined as

$$R(a_1 + a_2) = -\lambda a_2, \quad a_1 \in A_1, a_2 \in A_2, \quad (2)$$

is RB-operator on  $A$  of weight  $\lambda$ .

Let us call an RB-operator from Statement 3 as *splitting* RB-operator with subalgebras  $A_1, A_2$ . Note that the set of all splitting RB-operators on an algebra  $A$  is in bijection with all decompositions  $A$  into a direct sum of two subalgebras  $A_1, A_2$ .

**Remark 1.** Given an algebra  $A$ , let  $R$  be a splitting RB-operator on  $A$  of weight  $\lambda$  with subalgebras  $A_1, A_2$ . Hence,  $\phi(R)$  is an RB-operator of weight  $\lambda$  and

$$\phi(R)(a_1 + a_2) = -\lambda a_1, \quad a_1 \in A_1, a_2 \in A_2.$$

So  $\phi(R)$  is splitting RB-operator with the same subalgebras  $A_1, A_2$ .

**Lemma 1** [5]. Let  $A$  be a unital algebra,  $R$  be an RB-operator on  $A$  of nonzero weight  $\lambda$ . If  $R(1) \in F$ , then  $R$  is splitting.

We call an RB-operator  $R$  satisfying the conditions of Lemma 1 as *inner-splitting* one.

**Lemma 2** [12]. Let  $A = A_1 \oplus A_2$  be an algebra,  $R$  be an RB-operator on  $A$  of weight  $\lambda$ . Then the induced linear map  $P: A_1 \rightarrow A_1$  defined by the formula  $P(x_1 + x_2) = \Pr_{A_1}(R(x_1))$ ,  $x_1 \in A_1$ ,  $x_2 \in A_2$ , is an RB-operator on  $A_1$  of weight  $\lambda$ .

### 3 RB-operators on a sum of fields

**Statement 4** [1, 6, 12]. Let  $A = Fe_1 \oplus Fe_2 \oplus \dots \oplus Fe_n$  be a direct sum of copies of a field  $F$ . A linear operator  $R(e_i) = \sum_{k=1}^n r_{ik}e_k$ ,  $r_{ik} \in F$ , is an RB-operator on  $A$  of weight 1 if and only if the following conditions are satisfied:

- (SF1)  $r_{ii} = 0$  and  $r_{ik} \in \{0, 1\}$  or  $r_{ii} = -1$  and  $r_{ik} \in \{0, -1\}$  for all  $k \neq i$ ;
- (SF2) if  $r_{ik} = r_{ki} = 0$  for  $i \neq k$ , then  $r_{il}r_{kl} = 0$  for all  $l \notin \{i, k\}$ ;
- (SF3) if  $r_{ik} \neq 0$  for  $i \neq k$ , then  $r_{ki} = 0$  and  $r_{kl} = 0$  or  $r_{il} = r_{ik}$  for all  $l \notin \{i, k\}$ .

**Example** [2, 17]. The following operator is an RB-operator on  $A$  of weight 1:

$$R(e_i) = \sum_{l=i+1}^s e_l, \quad 1 \leq i < s, \quad R(e_s) = 0, \quad R(e_i) = -\sum_{l=i}^n e_l, \quad s+1 \leq i \leq n.$$

**Remark 2.** It follows from (SF3) that  $r_{ik}r_{ki} = 0$  for all  $i \neq k$ . In [1], the statement of Statement 4 was formulated with this equality and (SF1) but without (SF2) and the general version of (SF3). That's why the formulation in [1] seems to be not complete.

**Remark 3.** The sum of fields in Statement 4 can be infinite.

In advance, we will identify an RB-operator on  $A$  with its matrix.

Let us calculate the number of different RB-operators of nonzero weight  $\lambda$  on  $A = Fe_1 \oplus Fe_2 \oplus \dots \oplus Fe_n$ . By Statement 1a, we may assume that  $\lambda = 1$ . For  $n = 1$ , we have only two RB-operators  $\{0, -\text{id}\}$ . For  $n = 2$  we have 12 cases [1]:

$$\begin{aligned} & \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ -1 & -1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix}, \\ & \begin{pmatrix} 0 & 0 \\ -1 & -1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ 1 & 0 \end{pmatrix}, \begin{pmatrix} -1 & -1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} -1 & -1 \\ 0 & -1 \end{pmatrix}. \end{aligned}$$

Here we identify an RB-operator with its matrix  $R \in M_2(F)$  by the rule  $R(e_i) = \sum_{k=1}^n r_{ik}e_k$ .

For  $n = 3$ , we have  $8 \cdot 16 = 128$  variants [1]:

$$\begin{aligned} & \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 2c+1 & 2c+1 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 2c+1 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 0 \\ 0 & b & 0 \\ 0 & 2c+1 & c \end{pmatrix}, \\ & \begin{pmatrix} a & 0 & 0 \\ 2b+1 & b & 0 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 0 \\ 2b+1 & b & 0 \\ 2c+1 & 2c+1 & c \end{pmatrix}, \begin{pmatrix} a & 2a+1 & 0 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 2a+1 & 0 \\ 0 & b & 0 \\ 2c+1 & 2c+1 & c \end{pmatrix}, \end{aligned}$$

$$\begin{pmatrix} a & 2a+1 & 2a+1 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 2a+1 & 2a+1 \\ 0 & b & 0 \\ 0 & 2c+1 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 0 \\ 2b+1 & b & 2b+1 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 0 \\ 0 & b & 2b+1 \\ 0 & 0 & c \end{pmatrix}, \\ \begin{pmatrix} a & 0 & 0 \\ 2b+1 & b & 2b+1 \\ 2c+1 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 2a+1 & 2a+1 \\ 0 & b & 2b+1 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 2a+1 \\ 0 & b & 0 \\ 0 & 0 & c \end{pmatrix}, \begin{pmatrix} a & 0 & 2a+1 \\ 2b+1 & b & 2b+1 \\ 0 & 0 & c \end{pmatrix}$$

for  $a = r_{11}, b = r_{22}, c = r_{33} \in \{0, -1\}$ .

For  $n = 4$ , computer can help to state that there are exactly 2000 RB-operators of weight 1 on  $A$ . Thus, we get the first four terms from the sequence A097629 [18].

**Theorem 1.** Let  $A = Fe_1 \oplus Fe_2 \oplus \dots \oplus Fe_n$  be a direct sum of copies of a field  $F$ . The number of different RB-operators on  $A$  of nonzero weight  $\lambda$  equals  $2^n(n+1)^{n-1}$ .

PROOF. Let  $R$  be an RB-operator on  $A$  of weight  $\lambda$ . We may assume that  $\lambda = 1$ . We follow the previous notations. We have  $2^n$  variants to choose the values of the elements  $r_{ii}, i = 1, \dots, n$ . The choice of any of them, say  $r_{ii}$ , influences only on the possible signs of all elements  $r_{ik}, k \neq i$ . So, we may put  $r_{ii} = 0$  for all  $i$  and fix the factor  $2^n$  for the answer.

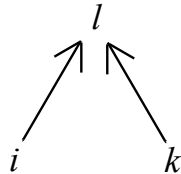
Now, we want to construct a directed graph  $G$  on  $n$  vertices by any matrix  $R = (r_{ij})_{i,j=1}^n$  with chosen  $r_{ii} = 0$ . We consider the matrix  $R$  as the adjacency matrix of a directed graph  $G$ . Let us interpretate conditions (SF2) and (SF3) in terms of digraphs. Firstly, we rewrite (SF3) as two conditions:

(SF3a) if  $r_{ik} \neq 0$  for  $i \neq k$ , then  $r_{ki} = 0$ ;

(SF3b) if  $r_{ik} \neq 0$  for  $i \neq k$ , then  $r_{kl} = 0$  or  $r_{il} = r_{ik}$  for all  $l \notin \{i, k\}$ .

The condition (SF3a) says that if we have an edge between two vertices  $i \neq k$ , then the direction of such edge is well-defined, so, it is a correctness of getting a digraph by the matrix  $R$ . In graph theory, the condition (SF3b) is called *transitivity*, i.e., if have edges  $(i, k) \in E$  and  $(k, l) \in E$ , then we have an edge  $(i, l) \in E$ .

Secondly, we read the condition (SF2) in terms of digraphs in such way: there are no in  $G$  induced subgraphs isomorphic to  $H$  with  $V(H) = \{i, k, l\}$  and  $E(H) = \{(i, l), (k, l)\}$  (see Pict. 1). In [11] the subgraph  $H$  was called *immorality*, thus, a digraph without immoralities is called *moral* digraph [16].



PICTURE 1. The forbidden induced subgraph  $H$  on three vertices  $\{i, k, l\}$  due to (SF2)

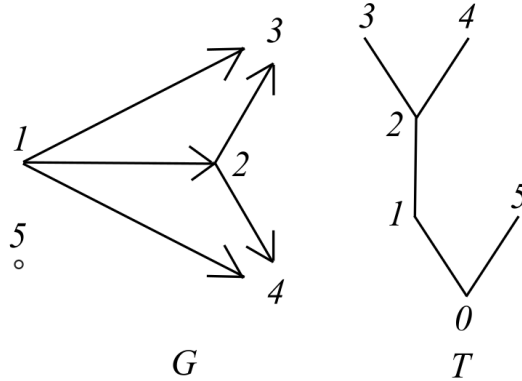
We may reformulate our problem of counting the number  $N$  of different RB-operators on  $A$  of nonzero weight  $\lambda$  in such way: What is the number of all transitive moral transitive digraphs on  $n$  vertices? In terms of [11], the last is the same as the number of

all moral TDAGs on  $n$  vertices, here TDAG is the abbreviation for Transitive Directed Acyclic Graph (we are interested on transitive digraphs which are surely acyclic). In the graph-theoretic context, moral DAGs are known as subtree acyclic digraphs [15]. Thus,

$$\begin{aligned} N/2^n &= \#\{\text{moral TDAGs on } n \text{ vertices}\} \\ &= \#\{\text{transitive subtree acyclic digraphs on } n \text{ vertices}\}. \end{aligned} \quad (3)$$

In [11], the authors constructed a bijection between the set of moral TDAGs on  $n$  vertices and the set of labeled rooted trees on  $n + 1$  vertices as follows (see Pict. 2). Define the function  $f(i)$  for a vertex  $i$  by induction. For a source  $i$  (i.e., such a vertex  $i$  that there are no edges  $(j, i)$  in a digraph), we put  $f(i) = 0$ . For a not-source vertex  $j$ , we may find the unique source  $i$  such that there exists a directed path  $p$  from  $i$  to  $j$ . So, we define  $f(j)$  as the length of  $p$ . Now, we construct a labeled rooted tree  $T = (U, F)$  by a moral TDAG  $G = G(V, E)$ :

$$U = V \cup \{0\}, \quad F = \{(0, i) \mid f(i) = 0\} \cup \{(i, j) \mid (i, j) \in E, f(i) = f(j) - 1\}.$$



PICTURE 2. The corresponding graph  $G$  and tree  $T$  to the RB-operator  $R(e_1) = e_2 + e_3 + e_4$ ,  $R(e_2) = -e_2 - e_3 - e_4$ ,  $R(e_3) = -e_3$ ,  $R(e_4) = 0$ ,  $R(e_5) = -e_5$ .

Applying the above constructed correspondence, the number of moral TDAGs on  $n$  vertices equals  $(n + 1)^{n-1}$  by the Cayley theorem, and so  $N = 2^n(n + 1)^{n-1}$ . Theorem is proved.

Below we will apply the easy fact that  $\text{Aut}(A) \cong S_n$ . It could be derived, e.g., from the Molin–Wedderburn–Artin theory, in particular from the uniqueness up to a rearrangement of summands of decomposition of a semisimple finite-dimensional associative algebra into a finite direct sum of simple ones.

**Corollary 1** [6]. Let  $A = Fe_1 \oplus Fe_2 \oplus \dots \oplus Fe_n$  be a direct sum of copies of a field  $F$  and  $R$  be an RB-operator on  $A$  of nonzero weight 1. There exists an automorphism  $\psi$  of  $A$  such that the matrix of the operator  $R^{(\psi)}$  in the basis  $e_1, \dots, e_n$  is an upper-triangular matrix with entries  $r_{ij} \in \{0, \pm 1\}$  and  $r_{ii} \in \{0, -1\}$ .

PROOF. As we did in the proof of Theorem 1, we define by  $R$  a labeled rooted tree  $T$ . Define  $t = \max\{f(i) \mid i \in V(T)\}$  and  $k_j = \#\{i \mid f(i) = j\}$ . We may reorder indexes  $1, 2, \dots, n$  by action of a permutation from  $S_n \cong \text{Aut}(A)$  in a way such that

$$\begin{aligned} f(1) &= \dots = f(k_0) = 0, \\ f(k_0 + 1) &= \dots = f(k_0 + k_1) = 1, \\ &\dots \\ f(n - k_t + 1) &= \dots = f(n) = t. \end{aligned}$$

Due to the definition of  $T$ , we get the upper-triangular matrix. The restrictions on the values of elements immediately follow from Statement 4.

**Corollary 2.** There is a bijection between the set of RB-operators of nonzero weight  $\lambda$  on  $Fe_1 \oplus Fe_2 \oplus \dots \oplus Fe_n$  and

- a) the set of 2-colored subtree acyclic digraphs on  $n$  vertices;
- b) the set of labeled rooted trees on  $n + 1$  vertices with 2-colored non-root vertices.

Now, we want to compute the number  $r_n$  of RB-operators of nonzero weight  $\lambda$  on  $A = Fe_1 \oplus \dots \oplus Fe_n$  which lie in different orbits under the action of the automorphism group  $\text{Aut}(A) \cong S_n$ . The group  $\text{Aut}(A)$  acts on the set of RB-operators of weight  $\lambda$  in the way described in Statement 2,  $\psi: R \rightarrow R^{(\psi)} = \psi^{-1}R\psi$ .

In a light of Corollary 2b, we may interpretate the number  $r_n$  as the number of **unlabeled** rooted trees on  $n + 1$  vertices with 2-colored non-root vertices. It is exactly the sequence A000151 [18], the first eight values are 2, 7, 26, 107, 458, 2058, 9498, 44947 etc. Let us fix that in advance we will use two colors: white and black, white color corresponds to the case  $r_{ii} = 0$  and black color corresponds to  $r_{ii} = -\lambda$ . Considering the rooted tree  $T$  with  $n + 1$  vertices, we may assume that the root is colored in the third color, say grey.

Note that the map  $\phi$  acts on a labeled (or unlabeled) rooted tree  $T$  on  $n + 1$  vertices with 2-colored non-root vertices as follows. The  $\phi$  interchanges a color in every non-root vertex.

Let us describe splitting RB-operators of nonzero weight  $\lambda$  on  $A$ .

**Theorem 2.** An RB-operator  $R$  of nonzero weight  $\lambda$  on  $A = Fe_1 \oplus \dots \oplus Fe_n$  is splitting if and only if the corresponding (labeled) rooted tree  $T = T(R)$  on  $n + 1$  vertices is properly colored.

PROOF. Without loss of generality, we put  $\lambda = 1$ . For simplicity, let us consider the graph  $T' = T \setminus \{\text{root}\}$ , which is a forest in general case.

Let us prove the statement by induction on  $n$ . For  $n = 1$ , we have either  $R = 0$  (the only non-root vertex is white) or  $R = -\text{id}$  (the only non-root vertex is black), both RB-operators are splitting with subalgebras  $F$  and  $(0)$ .

Suppose that we have proved Theorem 2 for all natural numbers less than  $n$ . Let a graph  $T'$  with  $n$  vertices be disconnected, denote by  $T_1, \dots, T_k$  the connected components of  $T'$ . So,  $A = A_1 \oplus \dots \oplus A_k$  for  $A_s = \text{Span}\{e_j \mid j \in V(T_s)\}$ . Define  $R_s$  as the induced RB-operator  $R|_{A_s}$  (see Lemma 2). By the definition,  $R$  is splitting if and only if  $A =$

$\ker(R) \dot{+} \ker(R + \text{id})$  or equivalently  $A_s = \ker(R_s) \dot{+} \ker(R_s + \text{id})$ ,  $s = 1, \dots, k$ . By the induction hypothesis, we have such decomposition for every  $s$  if and only if the coloring of  $T_s$  is proper.

Now consider the case when  $T'$  is connected. We may assume that  $e_1$  corresponds to the vertex 1, the only source in  $G$ , and  $\{2, \dots, k\}$  is the set of all vertices of  $G$  with the value of  $f(x)$  equal to 1. We also define  $T_s$  for  $s = 2, \dots, k$  as the connected component of  $T' \setminus \{1\}$  which contains the vertex  $s$ . Note that  $R$  induces the RB-operator of weight  $\lambda$  on the subalgebra  $A_s = \text{Span}\{e_j \mid j \in V(T_s)\}$  for all  $s$  by Lemma 2.

The condition of  $R$  to be splitting is equivalent to the condition

$$\text{rank}(R) + \text{rank}(R + \text{id}) = n. \quad (4)$$

Analysing the  $e_1$ -coordinate, we have

$$n = \text{rank}(R) + \text{rank}(R + \text{id}) \geq 1 + \text{rank}(R') + \text{rank}(R' + \text{id})$$

for  $R'$ , the induced RB-operator on the subalgebra  $\text{Span}\{e_j \mid j \geq 2\}$ . Thus,  $\text{rank}(R') + \text{rank}(R' + \text{id}) = n - 1$ , i.e.  $R'$  is splitting or equivalently  $R|_{A_s}$  is splitting for every  $s = 2, \dots, k$ . By the induction hypothesis, the graph  $T' \setminus \{1\}$  is properly 2-colored. It remains to prove that the vertices  $2, \dots, k$  are colored in the same color and the vertex 1 is colored in another one.

Up to the action of  $\phi$ , which preserves the splitting structure of an RB-operator (see Remark 1), we may assume that the vertex 1 is colored in white. Since we know that  $\text{rank}(R + \text{id}) = \text{rank}(R' + \text{id}) + 1$ , we have to state the equality  $\text{rank}(R) = \text{rank}(R')$ . So, the condition (4) is fulfilled if and only if the first row  $(0, 1, 1, \dots, 1)$  of the matrix  $R$  is linearly expressed via other rows. By the definition of the matrix  $R$ , the vertices  $2, \dots, k$  have to be colored in black. Theorem is proved.

**Corollary 3.** An RB-operator  $R$  of nonzero weight  $\lambda$  on  $A = Fe_1 \oplus \dots \oplus Fe_n$  is inner-splitting if and only if in  $T = T(R)$  all vertices with even value of  $f$  are colored in one color and all vertices with odd value of  $f$  are colored in another color.

PROOF. Up to  $\phi$ , we may assume that  $R(1) = 0$ . Thus, any vertex with the value of  $f(x)$  equal to 0 has to be colored in white. By Theorem 2,  $T' = T \setminus \{\text{root}\}$  is properly 2-colored, so, all vertices with the value of  $f(x)$  equal to 1 are colored in black, all vertices with the value of  $f(x)$  equal to 2 are colored in white and so on.

Now, we collect all our knowledges about all RB-operators (in Table 1) and all nonisomorphic RB-operators (in Table 2) of nonzero weight on a sum of fields  $A = Fe_1 \oplus Fe_2 \oplus \dots \oplus Fe_n$ .

We have noticed that the first values of number of splitting RB-operators coincides with the sequence A007830 [18] (in labeled case) and coincides with the sequence A000106 [18] (in unlabeled case). Actually it should be proven for all  $n$ .

**Remark 4.** Counting rooted trees on  $n + 1$  vertices with properly 2-colored non-root vertices is not the same as counting properly 2-colored forests on  $n$  vertices.

TABLE 1. Number of RB-operators of nonzero weight on a sum of  $n$  fields

Class of RB-operators	Description	formula and OEIS [18]	first 5 values
all	labeled rooted trees on $n + 1$ vertices with 2-colored non-root vertices	$2^n(n + 1)^{n-1}$ A097629	2, 12, 128, 2000, 41472
splitting	labeled rooted trees on $n + 1$ vertices with properly 2-colored non-root vertices	$2(n + 2)^{n-1} ?!$ A007830 ?!	2, 8, 50, 432, 4802
inner-splitting	labeled rooted trees on $n + 1$ vertices (twice)	$2(n + 1)^{n-1}$ 2·A000272	2, 6, 32, 250, 2592
non-splitting	labeled rooted trees on $n + 1$ vertices with improperly 2-colored non-root vertices	—	0, 4, 78, 1568, 36670

TABLE 2. Number of RB-operators of nonzero weight on a sum of  $n$  fields (up to conjugation with an automorphism)

Class of RB-operators	Description	OEIS [18]	first 5 values
all	rooted trees on $n + 1$ vertices with 2-colored non-root vertices	A000151	2, 7, 26, 107, 458
splitting	rooted trees on $n + 1$ vertices with properly 2-colored non-root vertices	A000106 ?!	2, 5, 12, 30, 74
inner-splitting	rooted trees on $n + 1$ vertices (twice)	2·A000081	2, 4, 8, 18, 40
non-splitting	rooted trees on $n + 1$ vertices with improperly 2-colored non-root vertices	—	0, 2, 14, 77, 384

Let us write down all non-splitting pairwise nonisomorphic RB-operators for  $n = 2, 3$ .

**Statement 5.** Up to  $\phi$ , we have the following non-splitting pairwise nonisomorphic RB-operators

a) for  $n = 2$ :  $R(e_1) = e_2, R(e_2) = 0$ ;

b) for  $n = 3$ :

(RB1)  $R(e_1) = e_2 + e_3, R(e_2) = e_3, R(e_3) = 0$ ,

(RB2)  $R(e_1) = e_2 + e_3, R(e_2) = e_3, R(e_3) = -e_3$ ,

(RB3)  $R(e_1) = e_2 + e_3, R(e_2) = -e_2 - e_3, R(e_3) = -e_3$ ,

(RB4)  $R(e_1) = e_2 + e_3, R(e_2) = R(e_3) = 0$ ,

(RB5)  $R(e_1) = e_2 + e_3, R(e_2) = -e_2, R(e_3) = 0$ ,

(RB6)  $R(e_1) = e_2, R(e_2) = R(e_3) = 0$ ,

(RB7)  $R(e_1) = e_2, R(e_2) = 0, R(e_3) = -e_3$ .

PROOF. a) Non-splitting case appears only when the graph  $T'$  is non-empty and improperly 2-colored. Up to  $\phi$ , we may assume that two vertices are colored in white.



b) Cases (RB1)–(RB3) correspond to improperly 2-colorings of the graph  $T'$  with  $V(T') = \{1, 2, 3\}$  and  $E(T') = \{(1, 2), (2, 3)\}$ . Cases (RB4), (RB5) correspond to improperly 2-colorings of the graph  $T'$  with  $E(T') = \{(1, 2), (1, 3)\}$ . Finally, cases (RB6), (RB7) correspond to improperly 2-colorings of the graph  $T'$  with  $E(T') = \{(1, 2)\}$ .

**Statement 6.** Up to  $\phi$ , we have the following splitting but not inner-splitting pairwise nonisomorphic RB-operators:

- a) for  $n = 2$ :  $R(e_1) = -e_1, R(e_2) = 0$ ;
- b) for  $n = 3$ :
  - (RB1')  $R(e_1) = e_2, R(e_2) = 0, R(e_3) = -e_3$ ,
  - (RB2')  $R(e_1) = -e_1, R(e_2) = R(e_3) = 0$ .

## 4 RB-induced algebra structures on a sum of fields

Let  $C$  be an associative algebra and  $R$  be an RB-operator on  $C$  of weight  $\lambda$ . Then the space  $C$  under the product

$$x \circ_R y = R(x)y + xR(y) + \lambda xy \quad (5)$$

is an associative algebra [14, 13]. Let us denote the obtained algebra as  $C^R$ . It is easy to see that  $C^{\phi(R)} \cong C^R$ .

Let us denote by  $\text{Ab}_n$  the  $n$ -dimensional algebra with zero (trivial) product.

**Theorem 3.** Given an algebra  $A = Fe_1 \oplus \dots \oplus Fe_n$  and an RB-operator  $R$  of weight  $\lambda$  on  $A$ , we have  $A^R \cong \begin{cases} \text{Ab}_n, & \lambda = 0, \\ A, & \lambda \neq 0. \end{cases}$

PROOF. If  $\lambda = 0$ , then  $R = 0$  [12] and  $x \circ_R y = 0$ . For  $\lambda \neq 0$ , we may assume that  $\lambda = 1$ , since rescaling of the product does not exchange the algebraic structure.

Let us prove the statement by induction on  $n$ . For  $n = 1$ , we have either  $R = 0$  or  $R = -\text{id}$ . Due to (5) we get either  $x \circ y = xy$  or  $x \circ y = -xy$ , in both cases  $A^R \cong A$ .

Suppose that we have proved Theorem 3 for all numbers less  $n$ . Let a graph  $T' = T'(R)$  with  $n$  vertices be disconnected, denote by  $T_1, \dots, T_k$  the connected components of  $T'$ . As earlier, we define  $A = A_1 \oplus \dots \oplus A_k$  for  $A_s = \text{Span}\{e_j \mid j \in V(T_s)\}$  and define  $R_s$  as the induced RB-operator  $R|_{A_s}$ . By the induction hypothesis,  $A_s^R \cong A_s$  for every  $s$  and so  $A = A_1 \oplus \dots \oplus A_k \cong A_1^R \oplus \dots \oplus A_k^R = A^R$ .

Now consider the case when  $T'$  is connected. We may assume that  $e_1$  corresponds to the vertex 1, the only source in  $G$ . Note the space  $I_1 = \text{Span}\{e_j \mid j \geq 2\}$  is an ideal in  $A^R$  which is isomorphic to  $Fe_2 \oplus \dots \oplus Fe_n$  by the induction hypothesis. Up to  $\phi$ , we may assume that the vertex 1 in  $T'$  is colored in white and  $2, \dots, t$  is a list of all neighbours of 1 in  $T'$ . Let us consider the one-dimensional space  $I_2$  in  $A^R$  generated by the vector  $a = e_1 - c(2)e_2 - \dots - c(t)e_t$ , where

$$c(i) = \begin{cases} 1, & i \text{ is colored in white,} \\ -1, & i \text{ is colored in black.} \end{cases}$$

In terms of the matrix entries,  $c(i) = 1 + 2r_{ii}$ . We may assume that  $c(2) = c(3) = \dots = c(s) = 1$  and  $c(s+1) = \dots = c(t) = -1$  for some  $s \in \{2, \dots, t\}$ .

By (5) we compute the product of  $a$  with  $e_k$  for  $k > t$ :

$$\begin{aligned} a \circ e_k &= (e_1 + e_2 + \dots + e_s - e_{s+1} - \dots - e_t) \circ e_k \\ &= R(e_1 + e_2 + \dots + e_s - e_{s+1} - \dots - e_t)e_k. \end{aligned}$$

Since  $k$  is connected with only one vertex from  $2, \dots, t$  (due to (SF2)), say  $j$ , we have

$$a \circ e_k = R(e_1 - c(j)e_j)e_k = e_k - c(j)(1 + 2r_{jj})e_k = (1 - (c(j))^2)e_k = 0.$$

Analogously we can check that  $a \circ e_k = 0$  for all  $k > 1$ . Thus,  $I_2$  is an ideal in  $A^R$ .

Now, we calculate

$$\begin{aligned} a \circ a &= e_1 \circ (e_1 + e_2 + \dots + e_s - e_{s+1} - \dots - e_t) \\ &= R(e_1)(e_1 + e_2 + \dots + e_s - e_{s+1} - \dots - e_t) + e_1 \\ &= (e_2 + \dots + e_s + e_{s+1} + \dots + e_t)(e_1 + e_2 + \dots + e_s - e_{s+1} - \dots - e_t) + e_1 \\ &= e_1 + e_2 + \dots + e_s - e_{s+1} - \dots - e_t = a \end{aligned}$$

and so  $I_2$  is isomorphic to  $F$ .

Summarising, we have  $A^R = I_1 \oplus I_2 \cong (Fe_2 \oplus \dots \oplus Fe_n) \oplus F \cong A$ . Theorem is proved.

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