# COUNTING SUBRINGS OF $\mathbb{Z}^n$ OF NON-ZERO CO-RANK

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ABSTRACT. In this paper we study subrings of  $\mathbb{Z}^{n+k}$  of co-rank k.

## 1. INTRODUCTION

Let  $\mathbb{Z}^n$  be the set of *n*-tuples  $(x_1, \ldots, x_n)$  of integers. This set comes with a natural addition and multiplication given by

$$(x_1, \ldots, x_n) + (y_1, \ldots, y_n) = (x_1 + y_1, \ldots, x_n + y_n),$$

and

$$(x_1,\ldots,x_n)\cdot(y_1,\ldots,y_n)=(x_1\cdot y_1,\ldots,x_n\cdot y_n)$$

Under these operations  $\mathbb{Z}^n$  is a ring. As is well-known the ring  $\mathbb{Z}^n$  has a simple additive group structure, but when it comes to its multiplicative structure there are some very easy-to-state basic questions that we do not know how to answer. For example, let  $f_n(r)$  be the number of subrings R of  $\mathbb{Z}^n$  with an identity such that, as an additive subgroup, R has index r in  $\mathbb{Z}^n$ . Necessarily then, R is a free Z-module of rank n. Liu [4] investigated the function  $f_n(r)$  and proved a number of interesting theorems. He also found a formula for  $F_n(s) := \sum_{r=1}^{\infty} f_n(r) r^{-s}$  for  $n \leq 4$  expressing it as an Euler product of rational function of  $p^{-s}$  for various primes p. Using different methods Nakagawa [5] had previously studied the more general problem of understanding the distribution of orders in quartic algebras, a particular case of which was the computation of the generating series  $F_4(s)$ . For n > 4 the situation is considerably more complicated. Kaplan, Marcinek, and Takloo-Bighash [3], by using the methods of p-adic integration, obtained results for the location and order of the largest pole of  $F_5(s)$  without explicitly computing the series. They also obtained estimates for the location of the largest pole of  $F_n(s)$  for n > 5. One of the reasons to study the analytic properties of the generating series  $F_n(s)$  is to find asymptotic formulae for  $N_n(B) = \sum_{r \leq B} f_n(r)$ . The theory of *p*-adic integration [2] shows that  $N_n(B)$  grows like a non-zero constant  $C_n$  multiplied by  $B^{\alpha(n)}(\log B)^{b(n)-1}$  for  $\alpha(n) \in \mathbb{Q}$  and  $b(n) \in \mathbb{N}$ . The current state of knowledge about the behavior of  $N_n(B)$  is the following result:

**Theorem 1.** If  $n \leq 5$  there is a constant  $C_n$  such that

$$N_n(B) \sim C_n B(\log B)^{\binom{n}{2}-1}$$

as  $B \to \infty$ . If  $n \ge 6$ , for any  $\epsilon > 0$  we have

$$B(\log B)^{\binom{n}{2}-1} \ll N_n(B) \ll_{\epsilon} B^{\frac{n}{2}-\frac{7}{6}+\epsilon}.$$

As mentioned above  $f_n(r)$  counts full rank Z-submodules of  $\mathbb{Z}^n$  that are of a fixed index r. A natural question to ask is whether one can quantify the distribution of subrings of  $\mathbb{Z}^n$  which as Z-submodules are not of rank n. Let us make this precise. Let  $\phi_n(r)$  be the number of sublattices of  $\mathbb{Z}^n$  which are closed under the multiplication of  $\mathbb{Z}^n$ . It's a well-known fact (e.g., Proposition 2.3 of [4]) that for each  $n \geq 2, r \geq 1$ we have  $f_n(r) = \phi_{n-1}(r)$ . It turns out that for many purposes the function  $\phi_n(r)$  is a more convenient function to work with—and in fact the theory developed in [2] deals with the function  $\phi_n(r)$ .

We now define an analogue of the function  $\phi_n(r)$  for lattices of nonzero co-rank. For  $0 \leq k \leq n$ , define  $\phi_{n,k}(r)$  be the number of sublattices L of  $\mathbb{Z}^n$  which have the following properties:

- The lattice L is closed under multiplication;
- as a  $\mathbb{Z}$ -submodule, L is of co-rank k in  $\mathbb{Z}^n$ ;
- the size of the torsion subgroup of  $\mathbb{Z}^n/L$  is equal to r.

Clearly,  $\phi_{n,0}(r) = \phi_n(r)$ . It turns out that the function  $\phi_{n,k}(r)$  and  $\phi_n(r)$  have a simple relationship. The following theorem is our main result.

**Theorem 2.** For all n, k, r we have

$$\phi_{n+k,k}(r) = \left\{ \begin{array}{c} n+k+1\\ n+1 \end{array} \right\} \cdot \phi_n(r).$$

Here, for natural numbers  $u, v, {u \atop v}$  is the Stirling number of second kind.

This theorem is the combination of Theorem 21 and Theorem 22. The main step in the proof of this theorem is a rigidity result (Theorem 6) which determines exactly what types of lattices contribute to the counting function  $\phi_{n+k,k}(r)$ . The rest of the proof consists of a combinatorial argument counting these lattices. The Stirling numbers in the statement of the theorem appear in a fairly round-about way. It would be desirable to have an explanation for the appearance of these Stirling numbers.

The rigidity result mentioned above is the statement that matrices corresponding to multiplicative sublattices will be of very special shape. The upshot of this result is that multiplicative sublattices of non-zero co-rank in  $\mathbb{Z}^n$  are all obtained from full rank multiplicative sublattices in various  $\mathbb{Z}^m$ 's for m < n in very specific ways. Let us illustrate the results we are about to prove using co-rank two multiplicative sublattices in  $\mathbb{Z}^4$ .

Define four maps  $\mathbb{Z}^2 \to \mathbb{Z}^4$  by the following formulae:

$$f_1(x, y) = (x, y, 0, 0),$$
  

$$f_2(x, y) = (x, y, y, 0),$$
  

$$f_3(x, y) = (x, y, y, y),$$
  

$$f_4(x, y) = (x, x, y, y).$$

We can make more maps  $\mathbb{Z}^2 \to \mathbb{Z}^4$  by considering maps of the form  $\tau \circ f_j \circ \sigma$  for  $\sigma \in S_2, \tau \in S_4$ —we call these maps <u>acceptable</u>. For example, the map that sends (x, y) to (y, x, 0, x) is acceptable. A consequence of our rigidity result is that if L is a multiplicative sublattice of co-rank two in  $\mathbb{Z}^4$ , then there is a multiplicative sublattice L' of full rank in  $\mathbb{Z}^2$  such that L = f(L') for some acceptable map f. Furthermore, the size of the torsion subgroup of  $\mathbb{Z}^4/L$  is equal to the index of L' in  $\mathbb{Z}^2$ . We will see that the scenario described here is completely general.

Theorem 22 was discovered thanks to the Online Encyclopedia of Integer Sequences (OEIS). Originally we had only discovered Theorem 21. We computed a few values of the function  $\sigma(n, k)$  by hand and then a search through OEIS revealed the connection to the Stirling Numbers of the Second Kind. These numbers appear under sequence A008277 in the Encyclopedia [6].

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This paper is organized as follows. In  $\S2$  we review basic definitions and prove the rigidity theorem. We present the proof of the main theorem in  $\S3$ . Finally in the appendix we collect some basic results on Stirling numbers of the second kind that are used in  $\S3.3$ .

## 2. Rigidity Theorem

A <u>lattice</u> is a  $\mathbb{Z}$ -submodule of some  $\mathbb{Z}^n$ . When referring to a specific  $\mathbb{Z}^n$  we usually speak of a <u>sublattice</u>. We call a sublattice L of  $\mathbb{Z}^n$  a <u>multiplicative sublattice</u> if for every  $u, v \in L$  we have  $u \cdot v \in L$ . A <u>multiplicative sublattice</u> L is a subring if it contains the identity element  $(1, \ldots, 1)$ . We refer the reader to Liu [4] for basic properties of multiplicative lattices of full rank in  $\mathbb{Z}^n$ .

Let L be a lattice of rank m in  $\mathbb{Z}^n$ . We define the <u>co-rank</u> of L to be the integer n - m. The following lemma is an easy consequence of row operations. **Lemma 3.** Given a lattice L in  $\mathbb{Z}^n$  of co-rank k there is an  $(n-k) \times n$  integral matrix  $M = (x_{ij})$  such that  $x_{ij} = 0$  whenever j - i > k, and with the property that the rows of M generate L.

Note that the matrix M as in the lemma is not unique. In fact, if A is any  $(n - k) \times (n - k)$  lower triangular integral matrix with determinant 1, then AM is another matrix that satisfies the conditions of the lemma.

Let M be the matrix corresponding to the lattice L of co-rank k as in Lemma 3. Then L is multiplicative if and only if for every two rows v, w of  $M, v \cdot w \in L$ .

**Proposition 4.** Let L be a multiplicative sublattice of  $\mathbb{Z}^n$  of co-rank 1. Then L has a basis which forms the rows of a  $(n-1) \times n$  matrix M such that  $M_{ij} = 0$  if i < j - 1 and M has a column of zeros or two columns of M are identical.

*Proof.* We prove this using induction on n. If n = 1 then there is no sublattice of co-rank 1 so the result is vacuously true. So we consider the case n = 2. Any multiplicative sublattice L of co-rank 1 has rank 1 and therefore is generated by a non-zero row vector of length 2,

$$M = \begin{vmatrix} x_{11} & x_{12} \end{vmatrix}$$
.

As L is multiplicative, M.M should be a scalar multiple of M. Hence we get the following equations:

$$x_{11}^2 = \lambda x_{11} \tag{1a}$$

$$x_{12}^2 = \lambda x_{12} \tag{1b}$$

Note that both  $x_{11}$  and  $x_{12}$  can't simultaneously be zero. If either of them are zero we get a zero column as desired and if both are non-zero we get that  $x_{11} = \lambda = x_{12}$  and in that case both columns are identical.

Now we assume that the result holds for n = k and show that it is true for n = k + 1 Let L be a multiplicative sublattice of  $\mathbb{Z}^{k+1}$  of corank 1. Then L has a basis which forms the rows of a matrix  $M = (x_{ij})$ such that  $x_{ij} = 0$  for i < j - 1. Now M can be written as

$$M = \begin{bmatrix} M' & 0\\ v & x_{k,k+1} \end{bmatrix}$$

If  $x_{k,k+1} = 0$  then we have a column of zeros and we have nothing to prove. So from here on we assume that  $x_{k,k+1} \neq 0$ . We claim that M'represents a multiplicative sublattice of  $\mathbb{Z}^k$ . Consider the dot product of the *i*th and *j*th rows  $R_i$  and  $R_j$  where i, j < k but are not necessarily distinct,

$$R_i \cdot R_j = \sum_{m=1}^{m=k} \lambda_m R_m.$$

Then the equation corresponding to the last columns is  $0 = \lambda_k x_{k,k+1}$ . Since  $x_{k,k+1} \neq 0$ ,  $\lambda_k = 0$ . So  $R_i \cdot R_j$  is a linear combination of the first k-1 rows and therefore M' represents a multiplicative lattice. Then by the induction hypothesis M' has a column of zeros or a pair of identical columns.

## **Case 1 :** M' has a column of zeros.

Suppose the *j*th column of M' is 0. If  $x_{k,j} = 0$  we are done. So we assume that  $x_{k,j} \neq 0$ . Now consider the dot product of the *k*th row  $R_k$  of M with itself. Then

$$R_k^2 = \sum_{m=1}^{m=k} \lambda_m R_m$$

So we have the following equations.

$$x_{k,k+1}^2 = \lambda_k x_{k,k+1} \tag{2a}$$

$$x_{k,j}^2 = \lambda_k x_{k,j} \tag{2b}$$

As  $x_{i,j} = 0$  for  $i \neq k$ . Since both  $x_{k,k+1}$  and  $x_{k,j}$  are non-zero we have  $x_{k,j} = \lambda_k = x_{k,k+1}$  which implies that the jth and (k+1)st columns are identical as all other entries are 0.

# **Case 2 :** M' has a pair of identical columns.

Let the ith and jth columns of M' be equal. We can assume that these are non-zero columns as the first case already deals with zero columns. Therefore there is l < k such that  $x_{l,i} = x_{l,j} \neq 0$ . Now

$$R_l \cdot R_k = \sum_{m=1}^{m=k} \gamma_m R_m$$

So we have

$$x_{k,i}x_{l,i} = \sum_{m=1}^{m=k} \gamma_m x_{m,i} \tag{3a}$$

$$x_{k,j}x_{l,j} = \sum_{m=1}^{m=k} \gamma_m x_{m,j} \tag{3b}$$

$$0 = \gamma_k x_{k,k+1} \tag{3c}$$

 $\gamma_k = 0$  as  $x_{k,k+1} \neq 0$ . This and the fact that  $x_{m,i} = x_{m,j}$  for m < k gives us that each term in the summations in (3a) and (3b) are equal which implies that the sums are equal. Therefore we have that in fact  $x_{k,i}x_{l,i} = x_{k,j}x_{l,j}$ . Since  $x_{l,i} = x_{l,j} \neq 0$  we have  $x_{k,i} = x_{k,j}$ . So that the *i*th and *j*th columns of M are identical.

**Corollary 5.** Any basis of a multiplicative lattice L of co-rank 1 will form the rows of an  $(n-1) \times n$  matrix M with n-1 distinct non-zero columns.

*Proof.* The property of having a column of zeros or two identical columns is invariant under elementary row operations. This means that any matrix whose rows are the basis of a multiplicative sublattice of co-rank 1 of  $\mathbb{Z}^n$  will have this property.  $\Box$ 

**Theorem 6** (Rigidity). Let L be a multiplicative sublattice of  $\mathbb{Z}^n$  of co-rank k, then every basis of L forms the rows of a  $(n-k) \times n$  matrix M with exactly n - k distinct non-zero columns.

*Proof.* We use induction on the ordered pair (n, k) where L is a multiplicative sublattice of co-rank k in  $\mathbb{Z}^n$ . Proposition 4 takes care of the k = 1 case for all n. There is nothing to prove in the case that n = 1. That establishes the base case. Suppose the result holds for all ordered pairs (k, l) such that k + l < n + m + 2. Consider a sublattice L of  $\mathbb{Z}^{n+1}$  of co-rank m + 1. Then L has a basis which forms the rows of a matrix M such that  $M_{ij} = 0$  when i < j - m - 1.

Case 1: The (n+1)st column  $C_{n+1} = 0$ .

In this case the first n columns of M represent a multiplicative lattice L' of co-rank m in  $\mathbb{Z}^n$ . By induction hypothesis there are n-mdistinct non-zero columns amongst the first n columns of M. So that M also has n-m distinct non-zero columns.

**Case 2 :**  $x_{n-m,n+1} \neq 0$ .

Now M can be written as

$$M = \begin{bmatrix} M' & 0\\ v & x_{n-m,n+1} \end{bmatrix}.$$

where M' corresponds to a multiplicative sublattice of  $\mathbb{Z}^n$ .

**Lemma 7.** If the jth column of M',  $C'_j = 0$  then either the corresponding column of M is 0 or equal to the (n + 1)st column of M.

**Lemma 8.** If two columns  $C'_i = C'_j$  of M' are equal then the corresponding columns of M are identical.

The proofs of Lemmas 7 and 8 are identical to the arguments in Cases 1 and 2 of Proposition 4.

Now M' corresponds to a multiplicative sublattice of co-rank m + 1 in  $\mathbb{Z}^n$ . By induction hypothesis M' has n - (m + 1) = n - m - 1 distinct non-zero columns. Lemma 8 implies that the n-m-1 distinct non-zero

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columns of M' correspond to n-m-1 distinct non-zero columns of M. Lemma 7 says that the (n+1)st column of M is the only other distinct non-zero column. Therefore M has n-m-1+1 = (n+1) - (m+1)distinct non-zero columns. The number of distinct non-zero columns is invariant under row operations and hence we get the result.  $\Box$ 

# 3. Sublattice correspondence

### 3.1. Acceptable maps. Here we look at maps

$$f:\mathbb{Z}^n\to\mathbb{Z}^{n+k}$$

such that if we write  $f(x_1, \ldots, x_n) = (y_1, y_2, \ldots, y_{n+k})$ , then each  $y_j$  is either some  $x_i$  or 0. We call a map like this <u>acceptable</u> if it is injective. We call an acceptable map <u>simple</u> if it is of the form

$$(x_1, x_2, \dots, x_n) \mapsto (\overbrace{x_1, \dots, x_1}^{\lambda_1}, \overbrace{x_2, \dots, x_2}^{\lambda_2}, \dots, \overbrace{x_n, \dots, x_n}^{\lambda_n}, \overbrace{0, \dots, 0}^{\lambda_{n+1}})$$

with

$$1 \leq \lambda_1 \leq \lambda_2 \leq \cdots \leq \lambda_n$$

For a map defined this way, we call  $\underline{\lambda} = (\lambda_1, \dots, \lambda_{n+1})$  the signature of f and denote it by sign(f). For a signature  $\underline{\lambda}$ , we define  $\operatorname{Aut}(\underline{\lambda})$  to be the group of  $\tau \in S_n$  such that  $\tau(\lambda_1, \dots, \lambda_n) = (\lambda_1, \dots, \lambda_n)$ . In general, there are numbers  $1 \leq \eta_1 < \cdots < \eta_r$  and multiplicities  $n_1, \dots, n_r$  such that

$$(\lambda_1, \dots, \lambda_n) = (\overbrace{\eta_1, \dots, \eta_1}^{n_1}, \overbrace{\eta_2, \dots, \eta_2}^{n_2}, \dots, \overbrace{\eta_r, \dots, \eta_r}^{n_r}).$$
  
Then  $\operatorname{Aut}(\underline{\lambda}) = S_{n_1} \times S_{n_2} \times \dots \times S_{n_r}$ , and

$$#\operatorname{Aut}(\underline{\lambda}) = n_1! n_2! \dots n_r!.$$

Here is a simple lemma:

**Lemma 9.** Any acceptable map g can be written as a composite

 $g = \sigma \circ f \circ \tau$ 

with f simple,  $\tau \in S_n$ , and  $\sigma \in S_{n+k}$ .

We define  $\operatorname{sign}(g) = \operatorname{sign}(f)$ .

3.2. Images of sublattices under acceptable maps. In this subsection we study the behavior of general lattices in  $\mathbb{Z}^n$  under acceptable maps  $\mathbb{Z}^n \to \mathbb{Z}^{n+k}$ .

The group  $S_n$  acts on  $\mathbb{Z}^n$  by permuting the coordinates. For any sublattice L of  $\mathbb{Z}^n$ , let [L] denote the orbit of L under the action of  $S_n$  and let  $\operatorname{Aut}(L)$  denote the stabilizer, i.e.,  $\operatorname{Aut}(L) = \{\sigma \in S_n \mid \sigma(L) = L\}$ . Then clearly,

$$|[L]| = \frac{n!}{|\operatorname{Aut}(L)|}.$$
(4)

Now observe that if  $L \sim L'$  then

$$\bigcup_g g(L) = \bigcup_g g(L')$$

where the union is over all acceptable maps  $g : \mathbb{Z}^n \to \mathbb{Z}^{n+k}$ . This follows from the fact that if  $L' = \tau(L)$  for some  $\tau \in S_n$ , then  $\sigma(f(\alpha(L)) = \sigma f(\alpha(\tau^{-1}(L')))$ .

**Lemma 10.** Let f be a simple map of signature  $\underline{\lambda}$ . Then f induces an injective homomorphism  $\overline{f}: S_n \to Hom(f(\mathbb{Z}^n), f(\mathbb{Z}^n))$  where  $\alpha \to \alpha_f = f \circ \alpha \circ f^{-1}$ . Furthermore,  $\alpha_f \in S_{n+k}$  if and only if  $\alpha \in Aut(\underline{\lambda})$ .

**Lemma 11.** For lattices L, L', if  $\sigma(f(\tau(L))) = \sigma'(f'(\tau'(L')))$  with f, f' simple, then

- f = f';
- $\sigma'^{-1} \circ \sigma \in S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}} \times \overline{f}(\operatorname{Aut}(\underline{\lambda}));$
- $L \sim L'$ .

*Proof.* Let  $\sigma'^{-1} \circ \sigma = \gamma$ . Then we have that  $\gamma(f(\tau(L)) = f'(\tau'(L')))$ . If the signature of f' is  $\underline{\lambda'}$  then this is a partition of the coordinates of  $f'(\tau'(L'))$ , thus also a partition of the coordinates of  $\gamma(f(\tau(L)))$ . Since a permutation doesn't alter the partition, this implies that sign(f) =sign(f'), therefore f = f'. So we have that  $\gamma(f(\tau(L))) = f(\tau'(L'))$ . Let sign $(f) = \underline{\lambda}$ . Note that  $\gamma$  preserves f i.e the first  $\lambda_1$  coordinates of both sides are equal, the next  $\lambda_2$  coordinates are equal and so on. This forces  $\gamma$  to be either be a composition of permutations of groups of  $\lambda_i$  coordinates and permutations that swap two groups of coordinates  $\lambda_i, \lambda_j$  where  $\lambda_i = \lambda_j$ . That is  $\gamma$  is in the subgroup of  $S_{n+k}$  generated by  $S_{\lambda_1}, S_{\lambda_2}, \ldots, S_{\lambda_n}$  and  $f(\operatorname{Aut}(\underline{\lambda}))$ . Note that these subgroups are pairwise disjoint and that they commute with each other. So we have that  $\gamma \in S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}} \times \overline{f}(\operatorname{Aut}(\underline{\lambda}))$ . In fact we can write  $\gamma = \gamma_1 \circ \gamma_2$ where  $\gamma_1 \in \overline{f}(\operatorname{Aut}(\underline{\lambda}))$  and  $\gamma_2 \in S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}}$ . Observe that  $\gamma_2$ preserves  $f(\tau(L))$  so that  $\gamma(f(\tau(L))) = \gamma_1(f(\tau(L)))$ . But  $\gamma_1 = \alpha_f$  for some  $\alpha \in \operatorname{Aut}(\underline{\lambda})$  so that  $f(\alpha(L)) = \gamma(f(\tau(L))) = f(\tau'(L'))$ . The function f is injective so that  $\tau'^{-1}(\alpha(L)) = L'$ .

**Lemma 12.** For any sublattice of full rank L in  $\mathbb{Z}^n$ , and  $f : \mathbb{Z}^n \to \mathbb{Z}^{n+k}$ simple of signature  $\lambda$ , we have

 $\operatorname{Aut}(f(\tau(L)) = S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}} \times \overline{f}((\operatorname{Aut}(\tau(L)) \cap \operatorname{Aut}(\underline{\lambda}))).$ 

Proof. Suppose  $\sigma(f(\tau(L)) = f(\tau(L))$  then we have from Lemma 11 that  $\sigma \in S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}} \times \overline{f}(\operatorname{Aut}(\underline{\lambda}))$ . We can write  $\sigma = \sigma_1 \circ \sigma_2$  where  $\sigma_1 \in \operatorname{Aut}(\underline{\lambda})$  and  $\sigma_2 \in S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}}$ . Clearly  $\sigma_2 \in \operatorname{Aut}(f(\tau(L)))$  so that we have  $\sigma(f(\tau(L)) = \sigma_1(f(\tau(L)) = f(\tau(L)))$ . Now  $\sigma_1 = \alpha_f$  where  $\alpha \in \operatorname{Aut}(\underline{\lambda})$  so that  $\sigma_1(f(\tau(L)) = f(\alpha(\tau(L))) = f(\tau(L)))$ . I is injective so that  $\alpha(\tau(L)) = \tau(L)$  and therefore  $\alpha \in \operatorname{Aut}(L) \cap \operatorname{Aut}(\underline{\lambda})$ .

Corollary 13.  $|[f(\tau(L))]| = \frac{1}{|\operatorname{Aut}(\lambda) \cap \operatorname{Aut}(L)|} {n+k \choose \lambda_1, \lambda_2, \dots, \lambda_{n+1}}.$ 

*Proof.* This is immediate from Lemma 12 and Equation (4).

**Corollary 14.** Let g, g' be acceptable maps and L, L' be full rank sublattices of  $\mathbb{Z}^n$  then if [g(L)] = [g'(L')] then [L] = [L'].

Corollary 15.  $\bigcup_{g \ acceptable} g([L]) = \bigcup_{g \ acceptable} [g(L)].$ 

**Lemma 16.** Suppose  $\tau(L) \neq \tau'(L)$ , then  $[f(\tau(L))] = [f(\tau'(L))]$  if and only if  $\tau' \circ \tau^{-1} \in (\operatorname{Aut}(\underline{\lambda}))$ .

Proof. If  $\alpha = \tau' \circ \tau^{-1} \in \operatorname{Aut}(\underline{\lambda})$  then  $\alpha_f \in S_{n+k}$  and  $\alpha_f(f(\tau(L))) = f(\tau'(L))$ . Conversely suppose  $\sigma(f(\tau(L))) = f(\tau'(L))$ . Now observe that  $(\tau' \circ \tau^{-1})_f(f(\tau(L))) = f(\tau'(L))$ . So that  $\sigma^{-1} \circ \tau' \circ \tau^{-1} \in \operatorname{Aut}(f(\tau(L)))$ . Since  $\operatorname{Aut}(f(\tau(L))) = S_{\lambda_1} \times \cdots \times S_{\lambda_{n+1}} \times \overline{f}((\operatorname{Aut}(\tau(L)) \cap \operatorname{Aut}(\underline{\lambda}))) \subset S_{n+k}$ , we have that  $(\tau' \circ \tau^{-1})_f \in S_{n+k}$  and by Lemma 10 we have that  $\tau' \circ \tau^{-1} \in \operatorname{Aut}(\underline{\lambda})$ .

**Corollary 17.** There are  $\frac{n!}{|\operatorname{Aut}(L)|} \cdot \frac{|\operatorname{Aut}(\lambda) \cap \operatorname{Aut}(L)|}{|\operatorname{Aut}(\underline{\lambda})|}$  orbits corresponding to a fixed simple map f.

**Proposition 18.** If L is a full rank sublattice of  $\mathbb{Z}^n$ , we have

$$|\bigcup_{g \text{ acceptable}} g([L])| = \sum_{\underline{\lambda} \text{ signature}} \frac{1}{\# \operatorname{Aut}(\underline{\lambda})} \binom{n+k}{\lambda_1, \lambda_2, \dots, \lambda_{n+1}}$$

*Proof.* This follows from Corollary 13 and Corollary 17.

3.3. Multiplicative sublattices. Everything we said in the last subsection applies to multiplicative sublattices. In fact, if L is a multiplicative sublattice in  $\mathbb{Z}^n$  and  $\tau \in S_n, \tau(L)$  is a multiplicative sublattice in  $\mathbb{Z}^n$  of the same rank as L. Also, if  $f : \mathbb{Z}^n \to \mathbb{Z}^{n+k}$  is an acceptable map, then f(L) is a multiplicative sublattice in  $\mathbb{Z}^{n+k}$  whenever L is a multiplicative sublattice in  $\mathbb{Z}^n$ .

Theorem 6 can be formulated as follows:

**Theorem 19.** Any multiplicative sublattice of co-rank k in  $\mathbb{Z}^{n+k}$  is of the form g(L) where  $g : \mathbb{Z}^n \to \mathbb{Z}^{n+k}$  is an acceptable map and L is a multiplicative sublattice of full rank in  $\mathbb{Z}^n$ .

The next observation is the following simple but absolutely important fact:

**Lemma 20.** For any acceptable map f and any sublattice L in  $\mathbb{Z}^n$  of rank n, we have

$$#(\mathbb{Z}^{n+k}/f(L))_{\mathrm{tor}} = [\mathbb{Z}^n : L].$$

So let's define three quantities:

 $\phi_{n+k,k}(r) = \#\{L \text{ mult. sub-lat. in } \mathbb{Z}^{n+k} \mid L \text{ co-rank } k, \#(\mathbb{Z}^{n+k}/L)_{\text{tor}} = r\};$ 

$$\phi_n(r) = \#\{L \text{ mult. sub-lat. in } \mathbb{Z}^n \mid [\mathbb{Z}^n : L] = r\};$$

$$\sigma(n,k) = \sum_{\underline{\lambda} \text{ signature}} \frac{1}{\# \operatorname{Aut}(\underline{\lambda})} \binom{n+k}{\lambda_1, \lambda_2, \dots, \lambda_{n+1}}.$$

What we have said above gives the following theorem:

**Theorem 21.** For all n, k, r we have

$$\phi_{n+k,k}(r) = \sigma(n,k) \cdot \phi_n(r).$$

*Proof.* This is a direct consequence of Lemma 20, Proposition 18, and Theorem 19.  $\hfill \Box$ 

We now express  $\sigma(n,k)$  in terms of Stirling numbers of the second kind.

# Theorem 22. We have

$$\sigma(n,k) = \left\{ \begin{array}{c} n+k+1\\ n+1 \end{array} \right\},\,$$

where the quantity on the right hand side is the Stirling number of the second kind.

Proof. Write

$$\binom{n+k}{\lambda_1,\lambda_2,\ldots,\lambda_{n+1}} = \binom{n+k}{\lambda_{n+1}} \cdot \binom{n+k-\lambda_{n+1}}{\lambda_1,\lambda_2,\ldots,\lambda_n}.$$

This means

$$\sigma(n,k) = \sum_{\lambda_{n+1}=0}^{k} \binom{n+k}{\lambda_{n+1}} \sum_{\substack{1 \le \lambda_1 \le \dots \le \lambda_n \\ \sum_i \lambda_i = n+k - \lambda_{n+1}}} \frac{1}{\# \operatorname{Aut}(\underline{\lambda})} \binom{n+k-\lambda_{n+1}}{\lambda_1, \lambda_2, \dots, \lambda_n}$$
$$= \sum_{j=n}^{n+k} \binom{n+k}{j} \sum_{\substack{1 \le \lambda_1 \le \dots \le \lambda_n \\ \sum_i \lambda_i = j}} \frac{1}{\# \operatorname{Aut}(\underline{\lambda})} \binom{j}{\lambda_1, \lambda_2, \dots, \lambda_n}.$$

By Lemma 23,

$$\binom{n+k+1}{n+1} = \sum_{j=n}^{n+k} \binom{n+k}{j} \binom{j}{n}.$$

Consequently, in order to prove our theorem it suffices to prove that for  $j \geq n$ 

$$\sum_{\substack{1 \le \lambda_1 \le \dots \le \lambda_n \\ \sum_i \lambda_i = j}} \frac{1}{\# \operatorname{Aut}(\underline{\lambda})} \binom{j}{\lambda_1, \lambda_2, \dots, \lambda_n} = \begin{Bmatrix} j \\ n \end{Bmatrix}.$$

We now proceed to prove this identity. Suppose there are  $a_1$  1's,  $a_2$  2's, ..., and  $a_m$  m's among the  $\lambda_i$ 's. Then  $\# \operatorname{Aut}(\underline{\lambda}) = a_1!a_2!\ldots a_m!$ . This means

$$\frac{1}{\#\operatorname{Aut}(\underline{\lambda})}\binom{j}{\lambda_1,\lambda_2,\ldots,\lambda_n} = \frac{j!}{(1!)^{a_1}(2!)^{a_2}\cdots(m!)^{a_m}a_1!a_2!\ldots a_m!}.$$

The numbers  $a_1, \ldots, a_m$  will have to satisfy

$$\begin{cases} a_1 + 2a_2 + \dots + ma_m = j \\ a_1 + a_2 + \dots + a_m = n. \end{cases}$$

The result now follows from Corollary 25 in the Appendix.

## 4. Appendix: Stirling Numbers of the Second Kind

In this appendix we collect some facts about Stirling numbers of the second kind which are used in  $\S3.3$ . Recall that for natural numbers n, k, the Stirling number of the second kind  ${n \atop k}$  is defined to be the number of equivalence relations on a set with n elements with k equivalence classes. Alternatively,  ${n \atop k}$  is equal to the number partitions of a set with n elements to k un-ordered non-empty subsets. Bogart [1], especially Ch. 3, has a lot of good information on Stirling numbers.

**Lemma 23.** For any n, k we have

$$\binom{n+1}{k+1} = \sum_{j=k}^{n} \binom{n}{j} \binom{j}{k}.$$

Proof. Theorem 3.2, Ch. 2 of Bogart [1].

**Lemma 24.** The number of partitions of a set with j elements into  $a_1$ classes of size 1,  $a_2$  classes of size 2, up to  $a_m$  classes of size m is equal to21

$$\frac{j!}{(1!)^{a_1}(2!)^{a_2}\cdots(m!)^{a_m}a_1!a_2!\ldots a_m!}$$

provided that  $\sum_i ia_i = j$ .

*Proof.* Theorem 1.6, Ch. 2 of Bogart [1].

For  $j \geq n$  let S(j,n) be the set of sequences  $(a_1,\ldots,a_m)$  of nonnegative numbers of some length m such that

$$\begin{cases} a_1 + 2a_2 + \dots + ma_m = j \\ a_1 + a_2 + \dots + a_m = n. \end{cases}$$

Corollary 25. For  $j \ge n$  we have

$$\sum_{(a_1,\dots,a_m)\in S(j,n)}\frac{j!}{(1!)^{a_1}(2!)^{a_2}\cdots(m!)^{a_m}a_1!a_2!\dots a_m!} = \left\{ \begin{array}{c} j\\n \end{array} \right\}.$$

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