

# $N_\infty$ -operads and associahedra

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## ABSTRACT

We provide a new combinatorial approach to studying the collection of  $N_\infty$ -operads in  $G$ -equivariant homotopy theory for  $G$  a finite cyclic group. In particular, we show that for  $G = C_{p^n}$  the natural order on the collection of  $N_\infty$ -operads stands in bijection with the poset structure of the  $(n + 1)$ -associahedron. We further provide a lower bound for the number of possible  $N_\infty$ -operads for any finite cyclic group  $G$ .

## 1. Introduction

The study of homotopy commutative objects has always been compelling to homotopy theorists. Usually, homotopy commutative ring objects are encoded in terms of  $E_\infty$ -operads. In the equivariant setting, constructing an appropriate version of  $E_\infty$ -operads has its difficulties. For example, the naive version of an equivariant  $E_\infty$ -algebra does not have any non-trivial norm maps, which leads to the phenomenon that weakly equivalent  $E_\infty$ -operads do not necessarily possess Quillen equivalent categories of algebras. Thus, the definition of  $N_\infty$ -operads has been developed, which governs the correct notions of homotopy commutativity for  $G$ -spectra.

Recent work by Blumberg and Hill [1] led to the conjecture, soon verified by [2, 4, 7], that for a group  $G$ , the data of an  $N_\infty$ -operad is equivalent to a certain “indexing system”. We show that this again is equivalent a set of norm maps  $X = \{N_H^K\}$  for some subgroups  $1 \leq H < K \leq G$  satisfying two specific rules. This implies that an  $N_\infty$ -operad can be depicted by a graph whose vertices are conjugacy classes of subgroups, and an edge between subgroups exists if  $N_H^K \in X$ . This opens the door to a more combinatorial approach to studying those operads for a fixed group  $G$ .

We start with the case of  $G$  being a cyclic group  $C_{p^n}$ . A constructive approach leads to our first result that there are  $\text{Cat}(n + 1)$  many  $N_\infty$ -operads for  $C_{p^n}$ , where  $\text{Cat}(n)$  denotes the  $n^{\text{th}}$  Catalan number. In particular, there are as many  $N_\infty$ -operads for  $C_{p^n}$  as there are binary trees with  $n + 2$  leaves.

The relation does not just stop there, though. Binary trees are one way of encoding associahedra (also known as *Tamari lattices* or *Stasheff polytopes*), where a binary tree corresponds to a vertex, and two vertices are related by a directed edge if one tree can be obtained from another by moving one branch to the right. On the other side, the set of all  $N_\infty$ -operads for  $C_{p^n}$  can be ordered by inclusion of the corresponding graphs. We prove that these two posets are in fact isomorphic as posets, i.e. the bijection between  $N_\infty$ -operads and binary trees is order-preserving.

When moving to a general cyclic group, unfortunately one will quickly find the combinatorics of the  $N_\infty$ -operads unmanageable. This is due to the fact that in the corresponding graph diagram of an  $N_\infty$ -operad for  $C_{p_1^{n_1} \dots p_k^{n_k}}$ , the edges not induced from the  $C_{p_i}$  become hard to describe. We explain this phenomenon by developing the terms of *pure* and *mixed*  $N_\infty$ -operads and give a non-trivial lower bound for the number of  $N_\infty$ -operads for an arbitrary finite cyclic group  $G$ .

This new approach of  $N_\infty$ -operads as graph diagrams therefore sheds some light on the theory of equivariant homotopy commutativity.

## 2. A brief tour of the theory of $N_\infty$ -operads

We shall assume that the reader is somewhat familiar with  $G$ -equivariant homotopy theory in the sense of May [6]. We shall always assume that  $G$  is a compact Lie group. Our objects of interest,  $N_\infty$ -operads, are a special class of  $G$ -operad, whence we begin our exposition.

DEFINITION 1. A  $G$ -operad  $\mathcal{O}$  is a symmetric operad in  $G$ -spaces. That is, we have a sequence of  $(G \times \Sigma_n)$ -spaces  $\mathcal{O}_n$ ,  $n \geq 0$ , such that

- (i) there is a  $G$ -fixed identity element  $1 \in \mathcal{O}_1$ ,
- (ii) there are  $G$ -equivariant composition maps

$$\mathcal{O}_k \times \mathcal{O}_{n_1} \times \cdots \times \mathcal{O}_{n_k} \rightarrow \mathcal{O}_{n_1 + \cdots + n_k}$$

which satisfy the usual compatibility conditions with each other and the symmetric group actions.

A certain subclass of  $G$ -operads, known as  $N_\infty$ -operads, are used to describe different levels of commutativity in genuine  $G$ -equivariant stable homotopy theory, see Blumberg and Hill [1]. That is, they are the correct analogue of  $E_\infty$ -operads in the equivariant setting. Recall that for a group  $G$  a family  $\mathcal{F}$  is a collection of subgroups which is closed under passage to subgroups and conjugacy. A universal space for a family  $\mathcal{F}$  is a  $G$ -space  $E\mathcal{F}$  such that for all subgroups  $H$  we have

$$(E\mathcal{F})^H \simeq \begin{cases} * & H \in \mathcal{F} \\ \emptyset & H \notin \mathcal{F} \end{cases}.$$

DEFINITION 2. An  $N_\infty$ -operad is a  $G$ -operad  $\mathcal{O}$  such that

- (i) the space  $\mathcal{O}$  is  $G$ -contractible,
- (ii) the action of  $\Sigma_n$  on  $\mathcal{O}_n$  is free,
- (iii)  $\mathcal{O}_n$  is a universal space for a family  $\mathcal{F}_n(\mathcal{O})$  of subgroups of  $G \times \Sigma_n$  which contains all subgroups of the form  $H \times \{1\}$  for  $H \leq G$ .

We will denote by  $N_\infty(G)$  the collection of all  $N_\infty$ -operads for a given group  $G$ .

Although Definition 2 is perfectly good for theoretical purposes, we shall choose to work with a more computationally exploitable definition of  $N_\infty$ -operads, which utilises the theory of norm maps. Denote by  $\mathbf{Sp}_G$  the  $\infty$ -category of genuine  $G$ -equivariant spectra. Then for  $H \leq G$ , the Hill-Hopkins-Ravanel norm is a monoidal functor

$$N_H^G: \mathbf{Sp}_H \rightarrow \mathbf{Sp}_G$$

satisfying many desirable properties as given by Hill, Hopkins and Ravanel [5]. To give an equivalent formulation of the structure of an  $N_\infty$ -operad, we first introduce an intermediary notion of indexing systems.

DEFINITION 3. A categorical coefficient system is a contravariant functor  $\underline{\mathcal{C}}: O_G^{op} \rightarrow \mathbf{Cat}$  from the orbit category of  $G$  to the category of small categories. Such a coefficient system is called symmetric monoidal if it takes values in symmetric monoidal categories and strong monoidal functors. We are particularly interested in the coefficient system  $Set$  with disjoint

union which sends a subgroup  $H$  to the category  $\mathbf{Set}^H$  of  $H$ -sets. A sub-symmetric coefficient  $\underline{\mathcal{C}}$  of  $\mathbf{Set}$  is said to be an *indexing system* if it is closed under direct products, subobjects and self-induction (i.e.,  $T \in \underline{\mathcal{C}}(K)$  and  $H/K \in \underline{\mathcal{C}}(H)$  implies that  $H \times_K T \in \underline{\mathcal{C}}(H)$ ).

The following result was first conjectured in Blumberg and Hill [1] and has subsequently been proven to hold in three independent articles by Bonventre and Pereira; Gutiérrez and White; and Rubin.

PROPOSITION 1 [2, 4, 7]. *The homotopy category of  $N_\infty$ -operads is equivalent to the poset category of indexing systems.*

We now compare the notion of indexing systems to something that we choose to refer to as *norm systems*.

LEMMA 1. *An indexing system determines, and is determined by, a set  $\mathcal{F}_H$  for each  $H \leq G$  consisting of subgroups  $K$  of  $H$ , written as  $H/K$ , satisfying*

(Identity)  $H/H \in \mathcal{F}_H$ .

(Conjugation)  $\mathcal{F}_H$  is closed under conjugation.

(Restriction)  $H/K \in \mathcal{F}_H$  implies  $M/(M \cap K) \in \mathcal{F}_M$  for all  $M \leq H$ .

(Composition)  $H/K \in \mathcal{F}_H$  and  $K/L \in \mathcal{F}_K$  implies  $H/L \in \mathcal{F}_H$ .

We call this data a norm system.

*Proof.* Given an indexing system  $\underline{\mathcal{C}}$  we construct a norm system  $\mathcal{F}$ . The category  $\underline{\mathcal{C}}(G/H)$  is a subcategory of  $H$ -sets closed under coproducts, products, sub-objects and must contain the one-point set. The categories  $\underline{\mathcal{C}}(G/H)$  for varying  $H$  must be related by restriction and self-induction as we will explain later.

Since the category  $\underline{\mathcal{C}}(G/H)$  is closed under subobjects and coproducts, it is determined by a collection of orbit  $H/K$  for certain subgroups  $K$ . This collection must be closed under conjugation and contain  $H$ . We let  $\mathcal{F}_H$  be this set of orbits.

An inclusion of subgroups  $i_M: M \leq H$  induces the restriction functor

$$i_M^*: \underline{\mathcal{C}}(G/H) \rightarrow \underline{\mathcal{C}}(G/M)$$

which sends  $H/K$  to  $i_M^*(H/K)$ . As an  $M$ -set this is a coproduct of  $M$ -sets conjugate to  $M/(M \cap K)$ .

Self-induction says that given  $T \in \underline{\mathcal{C}}(G/K)$  and  $H/K \in \underline{\mathcal{C}}(G/H)$  the  $H$ -set  $H \times_K T$  is in  $\underline{\mathcal{C}}(G/H)$ . When  $T = K/L$ , this corresponds to the last point of a norm system.

For the converse, we start with a norm system  $\mathcal{F}$  and construct an indexing system. Given  $\mathcal{F}$  we can construct the category  $\underline{\mathcal{C}}(G/H)$  of all  $H$ -sets isomorphic to those made from  $\mathcal{F}_H$  under coproducts and sub-objects.

We claim that  $\underline{\mathcal{C}}(G/H)$  is closed under products. The set  $H/K \times H/L$  is a coproduct of orbits of type  $H/(K \cap L)$  (up to suitable conjugations). Restricting  $H/L \in \mathcal{F}_H$  to  $\mathcal{F}_K$  gives the orbit  $K/(K \cap L)$ . The composition of  $H/K$  with  $K/(K \cap L)$  gives  $H/(K \cap L) \in \mathcal{F}_H$ .

The restriction property for norm systems implies that the restriction functor (forgetful functor) of  $H$ -sets to  $K$ -sets passes to

$$\underline{\mathcal{C}}(G/H) \rightarrow \underline{\mathcal{C}}(G/K).$$

Similarly, the self-induction property for  $\underline{\mathcal{C}}$  follows from composition for  $\mathcal{F}$ . □

**COROLLARY 1.** *Let  $G$  be a finite group. Up to homotopy, an  $N_\infty$ -operad for  $G$  is the data of a set of norm maps  $X = \{N_H^K\}_{1 \leq L < K \leq G}$  satisfying the following rules (and all conjugates thereof).*

*(Restriction) If  $N_K^H \in X$  and  $M < H$ , then  $N_{K \cap M}^M \in X$ .*

*(Composition) If  $N_L^K \in X$  and  $N_K^H \in X$ , then  $N_L^H \in X$ .*

*In particular,  $N_\infty$ -operads can be described as certain subgraphs of the lattice of (conjugacy classes of) subgroups of  $G$ .*

*Proof.* Recall the relation between norm systems and norm maps: if  $H/K \in \mathcal{F}_H$  then any corresponding  $N_\infty$ -operad will have a norm map  $N_K^H$ . Since the norm map  $N_H^H$  is the identity, the identity condition of a norm system has no effect.

Given an  $N_\infty$ -operad we have a norm system  $\mathcal{F}$ . We know that  $H/K \in \mathcal{F}_H$  implies

$$M/(M \cap K) \in \mathcal{F}_M.$$

In terms of norms this is precisely the statement of the second form of restriction. The second axiom of a norm system says that  $H/K \in \mathcal{F}_H$  and  $K/L \in \mathcal{F}_K$  implies  $H/L \in \mathcal{F}_H$ . In terms of norms this is precisely the composition rule.

The converse is similar. □

This results leads to the following corollary, which motivates the results in this paper, namely, that for a finite group  $G$ , it makes sense to attempt to enumerate the number of  $N_\infty$ -operad structures, and to understand the associated poset structure.

**COROLLARY 2.** *Let  $G$  be a finite group. Then the number of  $N_\infty$ -operad structures  $G$  is finite. Moreover, the set  $N_\infty(G)$  admits a canonical poset structure given by inclusions of sets of the corresponding norm systems.*

### 3. The case $G = C_{p^n}$

We will begin with the case of cyclic groups of the form  $C_{p^n}$ . We note that the choice of  $p$  here is arbitrary as the subgroup lattices of  $C_{p^n}$  and  $C_{q^n}$  are isomorphic for different primes  $p$  and  $q$ , indeed, they are isomorphic to the poset  $\underline{n} = \{0 < 1 < \dots < n\}$ . To ease the notion we shall denote by  $N_i^j$  the norm map  $N_{C_i}^{C_j}$  for  $i \leq j$ .

Before we continue to the theoretics, let us manually compute the first handful of values of  $|N_\infty(C_{p^n})|$ . The purpose of this is two-fold. Firstly it will give the reader an idea of how such computations are done, and second, for the avid integer sequence fan, these examples will suggest the general form for the sequence  $\{|N_\infty(C_{p^n})|\}_{n \in \mathbb{N}}$ . Note that we will not write the identity norm maps  $N_i^i$ , and shall only consider the non-trivial norm maps.

**EXAMPLE 1.** The case of  $G = C_{p^0}$  is trivial. That is, there are no choices of non-trivial norms to make, and therefore  $|N_\infty(C_{p^0})| = 1$ . This is exactly the fact that for non-equivariant stable homotopy theory, there is only a single notion of commutativity as one may expect. We will write the single norm structure as  $\{\emptyset\}$  to indicate that there are no non-trivial norm maps.

**EXAMPLE 2.** The situation for  $G = C_p$  is only marginally more involved than the trivial case. Here we have a subgroup lattice  $\{C_{p^0} < C_{p^1}\}$ . Therefore the only choice to make is if we wish to include the only possible non-trivial norm  $N_0^1$  or not. Therefore there are two norm structures, namely  $\{\emptyset\}$  and  $\{N_0^1\}$ .

EXAMPLE 3. We shall now look at  $G = C_{p^2}$ . This is the first case where we need to take care of the rules appearing in Corollary 1. As always, we have the trivial  $N_\infty$ -operad  $\{\emptyset\}$  which we shall write diagrammatically as

$$\left( \begin{array}{ccc} C_{p^0} & & C_{p^2} \\ & C_{p^1} & \\ & & \end{array} \right) = \{\emptyset\}.$$

At the other extreme, we could add in all of the norm maps. One can easily check the conditions to see that this will always be a valid  $N_\infty$ -operad. We shall draw this  $N_\infty$ -operad as

$$\left( \begin{array}{ccc} C_{p^0} & \xrightarrow{\quad} & C_{p^1} & \xrightarrow{\quad} & C_{p^2} \\ & \xrightarrow{\quad} & & & \end{array} \right) = \{N_0^1, N_1^2, N_0^2\}$$

where an arrow from  $C_{p^i}$  to  $C_{p^j}$  indicates the existence of the norm map  $N_i^j$  for  $i < j$ .

The technical part then, of course, is to identify what other  $N_\infty$ -operads can appear in-between these two extremes. There are  $2^3$  different possibilities to try (indeed, there are three different norm maps which we must choose whether to include or not). Instead of investigating all of the remaining cases, we shall just show the failure of the ones that do not have an  $N_\infty$ -operad structure. Figures 1, 2 and 3 give the invalid diagrams.

$$\times \quad \left( \begin{array}{ccc} C_{p^0} & \xrightarrow{\quad} & C_{p^1} & \xrightarrow{\quad} & C_{p^2} \\ & & & & \end{array} \right) = \{N_0^1, N_1^2\}$$

FIGURE 1. This diagram is not valid as it violates the composition rule of Corollary 1. If we were to “complete” this diagram to get a valid  $N_\infty$ -operad then we would need to add in the norm map  $N_0^2$ , and we get the operad above.

$$\times \quad \left( \begin{array}{ccc} C_{p^0} & \xrightarrow{\quad} & C_{p^2} \\ & C_{p^1} & \end{array} \right) = \{N_0^2\}$$

FIGURE 2. This diagram is not valid as it does not satisfy the restriction rules. To satisfy the rule we would need to also have the norm map  $N_0^1$ , and then all of the rules would be satisfied. The resulting operad would be different from the above two, and will appear in the list at the end of this example.

$$\times \quad \left( \begin{array}{ccc} C_{p^0} & \xrightarrow{\quad} & C_{p^2} \\ & C_{p^1} & \xrightarrow{\quad} \end{array} \right) = \{N_1^2, N_0^2\}$$

FIGURE 3. This is the final invalid diagram, which suffers from the same deficiency as the one above, that is, it does not satisfy the restriction rules.

Consequently, we can write down the elements of  $N_\infty(C_{p^2})$ . Note that in particular,  $|N_\infty(C_{p^2})| = 5$ . We implore the reader to check these for themselves to gain confidence with the rules of Corollary 1 in preparation for the further sections. The valid  $N_\infty$ -operad structures are as follows.

$$\begin{aligned}
 \checkmark \quad & \left( \begin{array}{ccc} C_{p^0} & & C_{p^2} \\ & C_{p^1} & \\ & & \end{array} \right) &= \{\emptyset\} \\
 \checkmark \quad & \left( \begin{array}{ccc} C_{p^0} & \longrightarrow & C_{p^1} \\ & & \searrow \\ & & C_{p^2} \end{array} \right) &= \{N_0^1\} \\
 \checkmark \quad & \left( \begin{array}{ccc} C_{p^0} & \xrightarrow{\quad} & C_{p^1} \\ & \searrow & \searrow \\ & & C_{p^2} \end{array} \right) &= \{N_0^1, N_0^2\} \\
 \checkmark \quad & \left( \begin{array}{ccc} C_{p^0} & & C_{p^2} \\ & C_{p^1} & \longrightarrow \\ & & \end{array} \right) &= \{N_1^2\} \\
 \checkmark \quad & \left( \begin{array}{ccc} C_{p^0} & \xrightarrow{\quad} & C_{p^1} \\ & \searrow & \searrow \\ & & C_{p^2} \end{array} \right) &= \{N_0^1, N_1^2, N_0^2\}
 \end{aligned}$$

From our first analysis, we have obtained the integer sequence 1, 2, 5 counting the number of  $N_\infty$ -operads for  $C_{p^0}$ ,  $C_{p^1}$  and  $C_{p^2}$  respectively. If one were to take the time to check the possibilities for  $C_{p^3}$ , they would see that there are 14 possibilities. Therefore the examples suggest a relation to the Catalan numbers. The next section will be devoted to recalling the necessary results regarding the Catalan numbers before we prove the first main result, Theorem 1 which says that  $|N_\infty(C_{p^n})|$  coincides with the  $(n + 1)$ -st Catalan number.

### 3.1. A recollection of the Catalan numbers

The Catalan numbers are a sequence of numbers which regularly appears in enumeration problems. The  $n^{\text{th}}$  Catalan number, which we denote  $\text{Cat}(n)$ , is given as

$$\text{Cat}(n) = \frac{(2n)!}{(n+1)!n!} .$$

The first few terms of the sequence are therefore  $\text{Cat}(0) = 1$ ,  $\text{Cat}(1) = 1$ ,  $\text{Cat}(2) = 2$ ,  $\text{Cat}(3) = 5$  and  $\text{Cat}(4) = 14$ . There are many surprising ways to define the Catalan numbers, let us recall a few:

- $\text{Cat}(n)$  is the number of valid expressions containing  $n$ -pairs of parentheses.

- $\text{Cat}(n)$  is the number of triangulations of a regular  $(n + 2)$ -gon.
- $\text{Cat}(n)$  is the number of rooted binary trees with  $n + 1$  leaves.

This is but a few of a multitude of descriptions given in Stanley [8]. The last interpretation involving binary trees will be our canonical representation. Figure 4 gives the corresponding binary trees in the case of  $n = 2$ .

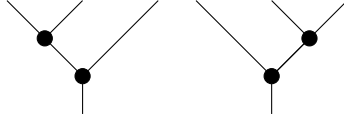


FIGURE 4. The two binary trees giving the  $2^{nd}$  Catalan number.

The following well-known recurrence relation will be fundamental to the proof of the main result in this section.

LEMMA 2. *The Catalan numbers satisfy, and are completely determined by, the recurrence relation*

$$\begin{aligned} \text{Cat}(0) &= 1, \\ \text{Cat}(n + 1) &= \sum_{i=0}^n \text{Cat}(i)\text{Cat}(n - i) \text{ for } n \geq 0. \end{aligned}$$

### 3.2. An operation on $N_\infty$ -operads

To facilitate the proof of Theorem 1, we first introduce a function

$$\odot : N_\infty(C_{p^i}) \times N_\infty(C_{p^j}) \rightarrow N_\infty(C_{p^{i+j+2}}).$$

To be able to define this function explicitly, we need some auxiliary notation. We consider an  $X \in N_\infty(C_{p^i})$  as being described by its finite set of norm maps. Secondly, we will undertake the assumption that  $N_\infty(C_{p^{-1}})$  is defined to be the empty set (*not the set containing the empty set!*).

For a norm map  $N_{k_1}^{k_2}$  in  $X$ , we define a shift operation

$$\Sigma^n N_{k_1}^{k_2} := N_{k_1+n}^{k_2+n}.$$

We write  $\Sigma^n X$  to denote  $X$  where  $\Sigma^n$  has been applied to each norm map.

For  $X \in N_\infty(C_{p^i})$  and  $Y \in N_\infty(C_{p^j})$ , we now define  $X \odot Y \in N_\infty(C_{p^{i+j+2}})$  to be the  $N_\infty$ -operad described by the set of norm maps

$$X \odot Y := X \coprod \Sigma^{i+2} Y \coprod \{N_{i+1}^k\}_{i+1 < k < i+j+2}.$$

Figures 5, 6 and 7 give a pictorial presentation of  $X \odot Y$ . We exclude the norm maps for  $X$  and  $Y$  from the diagrams for clarity.

Note, that in particular, we can see that this operation is not commutative. Let us give some explicit examples of this construction before we prove that the resulting set of norms does indeed give an  $N_\infty$ -operad as we have claimed.

EXAMPLE 4. Let

$$X = \left( \begin{array}{cc} C_{p^0} & C_{p^1} \end{array} \right) \in N_\infty(C_{p^1}),$$

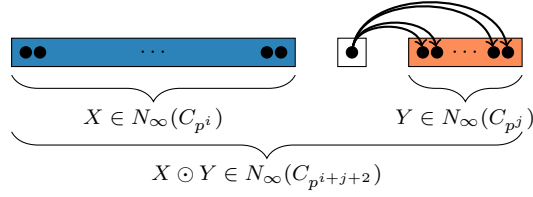


FIGURE 5. The general picture for the operation  $X \odot Y$ .

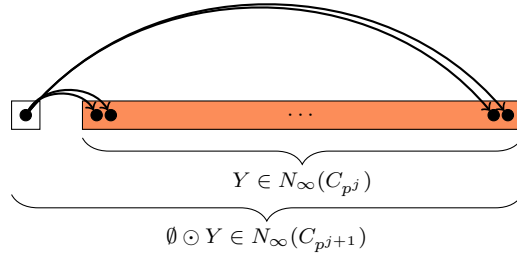


FIGURE 6. The general picture for the operation  $\emptyset \odot Y$ .

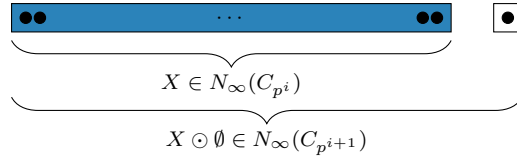


FIGURE 7. The general picture for the operation  $X \odot \emptyset$ .

$$Y = \left( C_{p^0} \longrightarrow C_{p^1} \right) \in N_\infty(C_{p^1}).$$

$$\text{Then } X \odot Y = \left( C_{p^0} \quad C_{p^1} \quad C_{p^2} \xrightarrow{\quad} C_{p^3} \xrightarrow{\quad} C_{p^4} \right) \in N_\infty(C_{p^4}),$$

$$\text{and } Y \odot X = \left( C_{p^0} \longrightarrow C_{p^1} \quad C_{p^2} \xrightarrow{\quad} C_{p^3} \xrightarrow{\quad} C_{p^4} \right) \in N_\infty(C_{p^4}).$$

EXAMPLE 5. Let

$$X = \left( C_{p^0} \longrightarrow C_{p^1} \quad C_{p^2} \longrightarrow C_{p^3} \right) \in N_\infty(C_{p^3})$$

$$Y = N_\infty(C_{p-1})$$



$$\text{Then } X \odot Y = \left( \begin{array}{c} C_{p^0} \longrightarrow C_{p^1} \qquad C_{p^2} \longrightarrow C_{p^3} \qquad C_{p^4} \\ \hline C_{p^0} \longrightarrow C_{p^1} \longrightarrow C_{p^2} \qquad C_{p^3} \longrightarrow C_{p^4} \end{array} \right) \in N_\infty(C_{p^4})$$

$$\text{and } Y \odot X = \left( \begin{array}{c} \text{---} \text{---} \text{---} \text{---} \text{---} \\ \hline C_{p^0} \longrightarrow C_{p^1} \longrightarrow C_{p^2} \qquad C_{p^3} \longrightarrow C_{p^4} \end{array} \right) \in N_\infty(C_{p^4}).$$

**PROPOSITION 2.** *For  $X \in N_\infty(C_{p^i})$  and  $Y \in N_\infty(C_{p^j})$ ,  $X \odot Y$  indeed does satisfy the rules of Corollary 1, and therefore is a valid object in  $N_\infty(C_{p^{i+j+2}})$  for  $-1 \leq i, j$ . Moreover, the converse is true, that is, if  $X \odot Y \in N_\infty(C_{p^{i+j+2}})$ , then it follows that  $X$  and  $Y$  are both valid  $N_\infty$ -operads for their respective groups.*

*Proof.* We must check that the collection of  $N_\infty$ -operads satisfies the restriction and composition conditions. The simplest way to do this is to appeal to Figure 5. First of all, note that the norms coming from  $X$  are disjoint from the rest of the structure, and as we have assumed that  $X$  is a valid  $N_\infty$ -operad for  $G = C_{p^i}$ , this part does not need further consideration.

The restriction rule for the remaining norm maps is clear. This rule is satisfied due to the addition of the norm maps  $\{N_{i+1}^k\}_{i+1 < k < i+j+2}$ . The composition rule will be satisfied because  $Y$  was chosen to be in  $N_\infty(C_{p^j})$ , and suspending it to its new position will not affect this.

To see the converse of the statement, take two lattices  $X$  and  $Y$  of size  $i$  and  $j$  respectively such that  $X \odot Y \in N_\infty(C_{p^{i+j+2}})$ . We first of all note that  $X$  must be an object of  $N_\infty(C_{p^i})$ . Clearly if  $Y$  was not an object in  $N_\infty(C_{p^j})$ , then neither would its shift. Therefore it only remains to show that the addition of the norm maps  $\{N_{i+1}^k\}_{i+1 < k < i+j+2}$  has no possibility of validating  $Y$ . As mentioned above, adding these maps only serves to ensure the restriction rule is satisfied for the additional point, hence they cannot turn  $Y$  into a valid diagram.  $\square$

### 3.3. Computing the cardinality of $N_\infty(C_{p^n})$

We now come to the first main result of this paper which gives the link between the set of  $N_\infty$ -operads for  $C_{p^n}$  and the Catalan numbers. We shall prove that the cardinalities of these sets satisfy the defining recurrence relation for the Catalan numbers, and then we show how to construct a bijection between these  $N_\infty$ -operads and binary trees.

**THEOREM 1.** *The cardinalities  $|N_\infty(C_{p^n})|$  satisfy the recurrence relation*

$$|N_\infty(C_{p^{-1}})| = 1,$$

$$|N_\infty(C_{p^n})| = \sum_{i=0}^{n-1} |N_\infty(C_{p^{i-1}})| |N_\infty(C_{p^{n-i-1}})| \text{ for } n \geq 0.$$

*In particular we have that  $|N_\infty(C_{p^n})| = \text{Cat}(n+1)$ .*

*Proof.* To prove this we shall show that every  $N_\infty$ -operad in  $Z \in N_\infty(C_{p^n})$  can be written in the form  $X \odot Y$  for  $X \in N_\infty(C_{p^{i-1}})$  and  $Y \in N_\infty(C_{p^{n-i-1}})$ . This fact, along with Proposition 2 completes the argument.

Suppose that  $Z \in N_\infty(C_{p^n})$ . We let  $k \in \mathbb{Z}$  be the minimum integer such that the norm map  $N_k^n$  is in  $Z$ . We have three cases to deal with here, either  $k = 0$ ,  $0 < k < n$  or  $k = n$  (i.e., there is no such norm map). We start with the two extreme cases before dealing with the intermediate one.

- When  $k = 0$ , we construct  $Z$  as  $X \odot Y$  for  $X = \emptyset \in N_\infty(C_{p-1})$ , and  $Y$  an  $N_\infty$ -operad for  $G = C_{p^{n-1}}$  as in Figure 6.
- When  $k = n$ , we construct  $Z$  as  $X \odot Y$  for  $Y = \emptyset \in N_\infty(C_{p-1})$ , and  $X$  an  $N_\infty$ -operad for  $G = C_{p^{n-1}}$  as in Figure 7.
- When  $0 < k < n$ , we observe that we have two disjoint parts to  $Z$ . Namely we are able to split off the subgroups  $C_{p^i}$  for  $0 \leq i < k$ . Let us denote this part as  $X$  (which lives in  $N_\infty(C_{p^{k-1}})$ , and the remaining part  $Z'$ . The crucial observation to make now is that  $Z'$  looks like  $\emptyset \odot Y$  for some  $Y \in N_\infty(C_{p^{n-k-1}})$ . We therefore conclude that  $Z = X \odot Y$  as required.

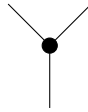
□

COROLLARY 3. Every  $N_\infty$ -operad  $Z$  for  $G = C_{p^n}$  can be decomposed as  $Z = X \odot Y$  for some  $N_\infty$ -operads  $X$  and  $Y$ .

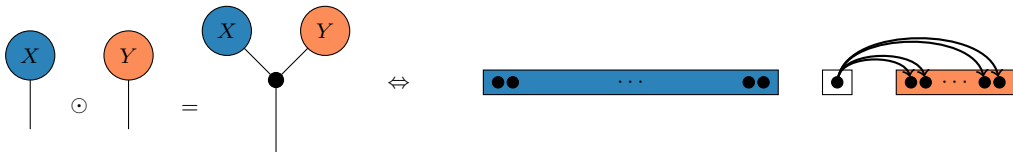
COROLLARY 4. There is a bijection of sets

$$\{N_\infty(C_{p^n})\} \Leftrightarrow \{\text{rooted binary trees with } (n+2) \text{ leaves}\}.$$

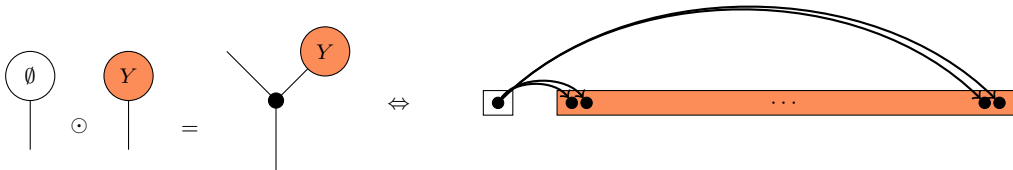
*Proof.* This follows immediately from Theorem 1 and the discussion in §3.1, however, it will be beneficial to the next section to spell out exactly how the correspondence works inductively. To the trivial for  $G = C_{p^0}$  we assign the binary tree



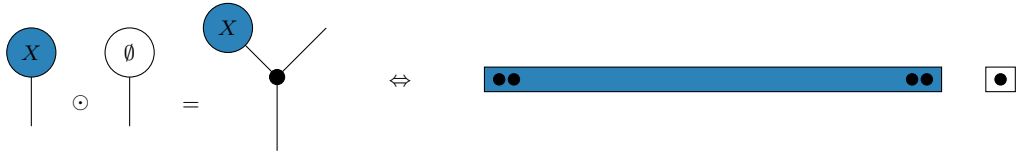
We will make the convention that  $\emptyset$  is the empty tree. Assume that  $n > 0$ , we know from the above theorem that any  $N_\infty$ -operad is of the form  $X \odot Y$ . We then have a binary tree associated to  $X$  and a binary tree associated to  $Y$ , and we can form the binary tree associated to  $X \odot Y$  in the following way:



Following the convention of the empty diagram, we see that

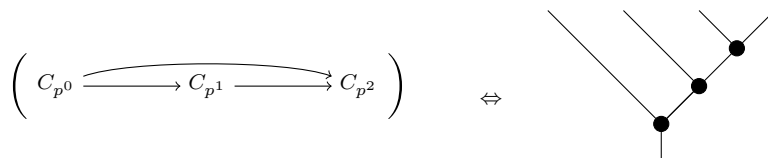
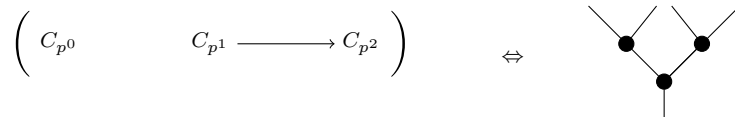
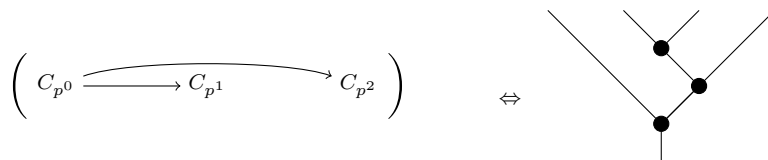
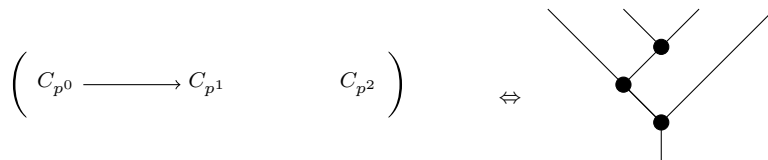
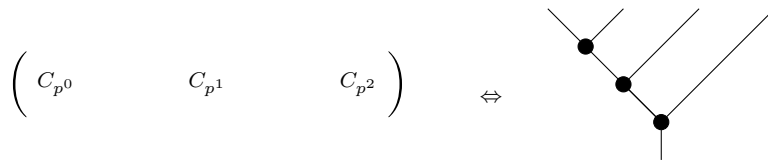


and



□

EXAMPLE 6. One may use the above algorithm to compute the binary trees associated to the objects of  $N_\infty(C_{p^2})$  as follows.



3.4. The relation to the associahedron

We shall now see that the relationship between  $N_\infty(C_{p^n})$  and the Catalan numbers runs deeper than just the result of Theorem 1. Recall that we can put an order on binary trees. Indeed, let  $X$  and  $Y$  be binary trees with  $n + 1$  edges. Then we say that  $X < Y$  if  $Y$  can be obtained from  $X$  by clockwise tree rotation operations, i.e., by moving a branch from left to right.

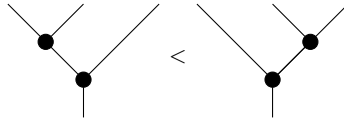


FIGURE 8. An example of an order relation between two binary trees.

The poset structure on the set of binary trees with  $n + 1$  edges is known as the  $n$ -associahedron, see Stasheff [9]. We shall denote this poset structure as  $\mathcal{A}_n$ .

We can also implement a poset structure on  $N_\infty(C_{p^n})$  by fixing that  $X < Y$  if  $Y$  can be obtained from  $X$  via the addition of norm maps, for example we have the following.

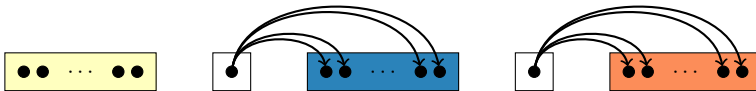
$$\left( C_{p^0} \quad C_{p^1} \right) < \left( C_{p^0} \longrightarrow C_{p^1} \right)$$

Therefore, in our depiction,  $X < Y$  for norm diagrams  $X$  and  $Y$  if  $Y$  can be obtained from  $X$  by adding edges.

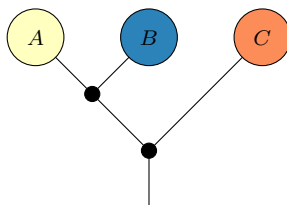
THEOREM 2. There is an order preserving bijection of posets

$$\{N_\infty(C_{p^n})\} \Leftrightarrow \{\text{rooted binary trees with } (n+2) \text{ leaves}\} \Leftrightarrow \mathcal{A}_{n+1}.$$

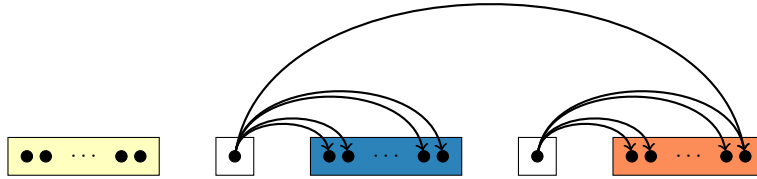
*Proof.* Let us begin by showing that a clockwise tree rotation corresponds to the addition of an edge in the corresponding  $N_\infty$ -diagram, or more specifically, the addition of a norm map. We shall do this by appealing to the diagrammatic representations, as it provides the cleanest proof. We consider the norm diagram



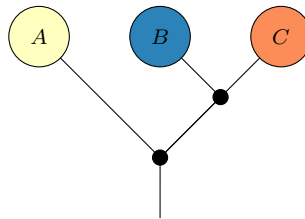
which corresponds to a tree of the following form.



We then compare this to the diagram below, where restrictions of the largest arrow are omitted for clarity.



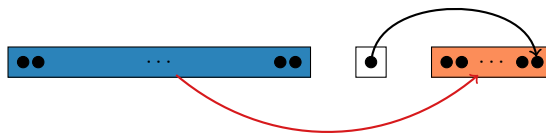
which arises from the following branch move.



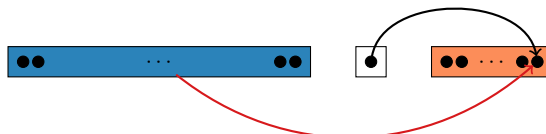
We now show that adding an edge in a norm diagram induces a clockwise tree rotation in the corresponding binary trees. We shall do this by induction on  $n$ . Note that the base case can be easily checked, see Example 6. Suppose that we begin with an arbitrary norm diagram



to which we add a non-trivial new edge as below.



Notice that from the composition and restriction rule, we can without loss of generality assume that the new edge has the following form,



that is, it goes up to the final vertex. We now have three different cases to consider based on where the new edge begins. We know that we can split up the left hand block into a diagram of the form  $X \odot Y$  for some smaller diagrams  $X$  and  $Y$ . These situations are summarised in Diagram 9. In particular, we could land in  $Y$ , giving Case 1, we could land in  $X$ , giving Case 3, or the final option is that the new edge begins at vertex arising from the  $\odot$  operation.

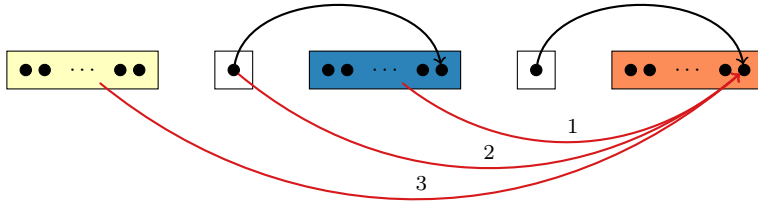
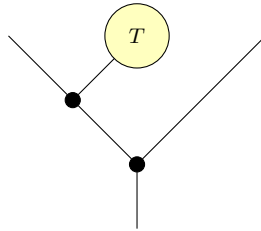
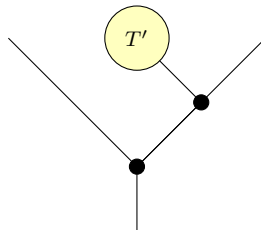


FIGURE 9. *The three cases for adding a non-trivial norm map*

Cases 1 and 2 can be verified using the induction hypothesis as we do not need to consider the leftmost block. Therefore the only non-trivial case is the third one. If the edge does not begin at the leftmost node, then we can repeat the process above and get the result once again by induction. Therefore we assume without loss of generality that we have added the norm map  $N_0^n$ . One could consider the original diagram corresponding to this tree.



The addition of the new edge then forces this to become



where  $T'$  is a tree which can be obtained from  $T$  via clockwise tree rotation moves (see Figure 8). This is therefore exactly a clockwise tree rotation.  $\square$

4. Generalising to other cyclic groups

We would like to have a closed formula for the cardinality of  $N_\infty(G)$  for all finite cyclic  $G$ . We shall explore the obstructions to obtaining such a result in this section. The main result is the construction of a lower bound of the number of such operads.

Trying to manually enumerate the norms for  $G = C_{p^n q^m}$ ,  $p \neq q$  or even just  $C_{p^3 q}$  shows that the situation is already intangibly complicated. Indeed, we have computationally verified that there are 544 such  $N_\infty$ -operads for  $C_{p^3 q}$ .

Let us highlight the style of norms that we must deal with in this circumstance. Figure 10 gives the 10 possible  $N_\infty$ -operads for  $G = C_{pq}$ .

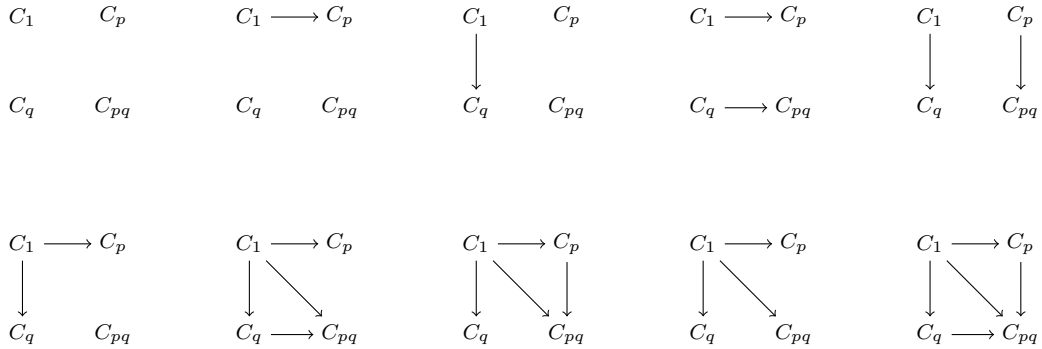
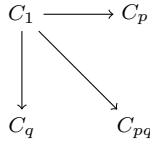


FIGURE 10. The 10 possible  $N_\infty$ -operad structures for  $G = C_{pq}$ .

A key observation to make is that there is an “odd one out” among these diagrams. In particular, consider the following.



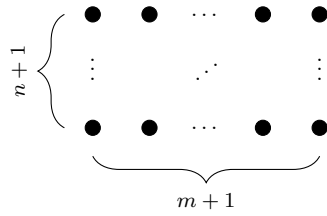
This norm is different from the other nine because it is the only one where the diagonal is not forced by the composition and restriction rules of Corollary 1. That is, if we were to remove the norm  $N_1^{pq}$ , then the resulting diagram is still a valid  $N_\infty$ -operad. It follows that this  $N_\infty$ -operad cannot be formed by just combining those for  $G = C_p$  and  $G = C_q$ . We will call such an operad mixed. If it can be obtained from the component groups, then we will call it pure.

The main result of this section will be to give a closed expression for the number of pure  $N_\infty$ -operads for  $G = C_{p_1^{n_1} p_2^{n_2} \dots p_k^{n_k}}$ , which provides a non-trivial lower bound for the total number of  $N_\infty$ -operads for  $G$ .

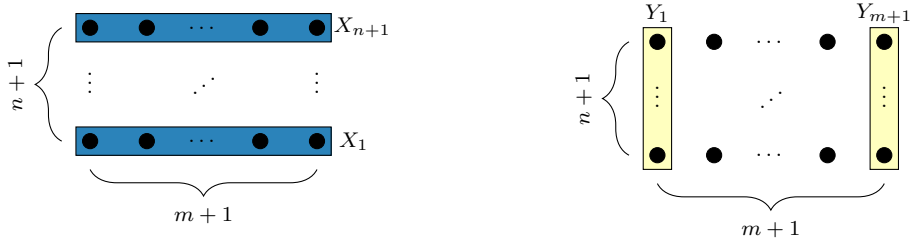
4.1. Enumerating pure operads

We begin with a more formal definition of “pure” and “mixed”.

Let  $Z$  be an  $N_\infty$ -operad for  $G = C_{p^n q^m}$ . That it,  $Z$  is an  $N_\infty$ -diagram on the lattice

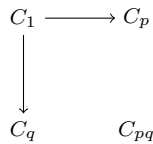


Then we can consider the rows and columns of these diagrams, to obtain a family of diagrams for  $G = C_p^m$ , namely  $\{X_i\}_{1 \leq i \leq n+1}$  and a family of diagrams for  $G = C_p^n$ , namely  $\{Y_i\}_{1 \leq i \leq m+1}$ . Note that these are indeed valid diagrams as can be seen from observing the restriction and composition rules.

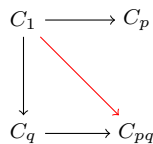


We shall say that an  $N_\infty$ -operad is pure if it is completely determined by the systems  $\{X_i\}$  and  $\{Y_j\}$ . If an operad is not pure, then we will say that it is mixed. Note that an operad is mixed if after removing all norms of the form  $N_{p^i}^{p^j q}$ ,  $j \neq i$ , and completing the set of norms according to the rules of Corollary 1, one does not recover the original operad one started with.

EXAMPLE 7. The following operad is pure as it has no diagonals, that is, no norms of the form  $N_{p^i}^{p^j q}$ . Therefore there is no condition to check



The following is also pure, as when we remove the diagonal (highlighted in red) then the composition rule of Corollary 1 is violated. Completing the set of norms according to the rules forces the diagonal, and we recover the original operad that we started with.





By using the restriction rules, we see that there is a natural ordering on the systems  $\{X_i\}$  and  $\{Y_j\}$ . Indeed,  $X_1 \leq X_2 \leq \dots \leq X_{n+1}$  and  $Y_1 \leq Y_2 \leq \dots \leq Y_{m+1}$ .

DEFINITION 4. We will denote by  $\mathcal{P}(n, r)$  the number of length  $r$  paths in the  $n$ -Tamari lattice  $\mathcal{A}_n$ . For example,  $\mathcal{P}(n, 2)$  gives the sequence 1, 1, 3, 13, 68, 399, 2530, 16965, ... (starting at  $n = 0$ ). In Châtel and Pons [3] this is given the closed form

$$\frac{2(4n + 1)!}{(n + 1)!(3n + 2)!}$$

THEOREM 3. The number of pure  $N_\infty$ -operads for  $G = C_{p^n} C_{q^m}$  is given as

$$\mathcal{P}(n + 1, m)\mathcal{P}(m + 1, n)$$

In general, for  $G = C_{p_1^{n_1}} \dots C_{p_k^{n_k}}$  the number of pure operads is

$$\prod_{j,i=1}^k \mathcal{P}(n_i + 1, n_j).$$

*Proof.* This is an exercise in counting using the orderings  $X_1 \leq X_2 \leq \dots \leq X_{n+1}$  and  $Y_1 \leq Y_2 \leq \dots \leq Y_{m+1}$ . Once we have picked  $X_1$ , we must take a (possibly stationary) path of length  $n$  through the Tamari lattice  $\mathcal{A}_{m+1}$  to pick the other entries. Therefore, there are  $\mathcal{P}(m + 1, n)$  such options for the  $X_i$ . We then have the choices for the  $Y_j$  giving us total of  $\mathcal{P}(n + 1, m)$  options via a similar argument. Combining these, we get the required total of  $\mathcal{P}(n + 1, m)\mathcal{P}(m + 1, n)$ .

The proof for the general case follows similarly. □

EXAMPLE 8. One can compute the first few values for the sequence appearing in Theorem 3 (starting at  $n = 0$  for  $m = 1$ ) to be 1, 9, 52, 340, 2394, 17710, ... This sequence does not appear on the OEIS at the time of writing.

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