

# Power Partitions and Semi- $m$ -Fibonacci Partitions

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## Abstract

George Andrews recently proved a new identity between the cardinalities of the set of Semi-Fibonacci partitions and the set of partitions into powers of two with all parts appearing an odd number of times. This paper extends the identity to the set of Semi- $m$ -Fibonacci partitions of  $n$  and the set of partitions of  $n$  into powers of  $m$  in which all parts appear with multiplicity not divisible by  $m$ . We also give a new characterization of Semi- $m$ -Fibonacci partitions and some congruences satisfied by the associated number sequence.

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## 1 Introduction

A partition  $\lambda$  of an integer  $n > 0$  is a finite nonincreasing integer sequence whose sum is  $n$ . The terms of the sequence are called *parts* of  $\lambda$ . Thus a partition with  $k$  parts will generally be expressed as

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k), \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k > 0, \quad (1)$$

or

$$\lambda = (\lambda_1^{v_1}, \lambda_2^{v_2}, \dots, \lambda_t^{v_t}), \lambda_1 > \lambda_2 > \dots > \lambda_t > 0, t \leq k, \quad (2)$$

where  $\lambda_i^{v_i}$  indicates that  $\lambda_i$  occurs with multiplicity  $v_i$ , for each  $i$ , and  $v_1 + \dots + v_t = k$  [2].

In a recent paper Andrews [1] describes the set  $SF(n)$  of semi-Fibonacci partitions as follows:  $SF(1) = \{(1)\}$ ,  $SF(2) = \{(2)\}$ . If  $n > 2$  and  $n$  is even then

$SF(n) = \{\lambda \mid \lambda \text{ is a partition of } \frac{n}{2} \text{ with each part doubled}\}$ . If  $n$  is odd, then a member of  $SF(n)$  is obtained by inserting 1 into each partition in  $SF(n-1)$  or by adding 2 to the single odd part in a partition in  $SF(n-2)$ .

The cardinality  $sf(n) = |SF(n)|$  satisfies the following recurrence relation for all  $n > 0$  (with  $sf(-1) = 0, sf(0) = 1$ );

$$sf(n) = \begin{cases} sf(n/2), & \text{if } n \text{ is even;} \\ sf(n-1) + sf(n-2), & \text{if } n \text{ is odd.} \end{cases} \quad (3)$$

The semi-Fibonacci sequence  $\{sf(n)\}_{n>0}$  occurs as sequence number A030067 in Sloane's database [5]. George Beck [3] has previously considered the properties of a set of polynomials related to the semi-Fibonacci partitions.

Andrews stated the following relation between the number of semi-Fibonacci partitions of  $n$  and the number  $ob(n)$  of binary partitions of  $n$  in which every part occurs an odd number of times:

**Theorem 1** ([1], Theorem 1). *For each  $n \geq 0$ ,*

$$sf(n) = ob(n), \tag{4}$$

Andrews gave a generating function proof and asked for a bijective proof.

The proof turns out to be remarkable simple. It goes as follows. Each part  $t$  of  $\lambda \in SF(n)$  can be expressed as  $t = 2^i \cdot h$ ,  $i \geq 0$ , where  $h$  is odd. Now transform  $t$  as

$$t = 2^i \cdot h \mapsto 2^i, 2^i, \dots, 2^i (h \text{ times}).$$

This gives a partition of  $n$  into powers of 2 in which every part has odd multiplicity. Conversely, consider  $\beta \in OB(n)$ . Since every part (a power of 2) has odd multiplicity we simply write  $\beta$  in the exponent notation  $\beta = (\beta_1^{u_1}, \dots, \beta_s^{u_s})$ ,  $\beta_1 > \dots > \beta_s$  with the  $u_i$  odd and positive. Since each  $\beta_i^{u_i}$  has the form  $(2^{j_i})^{u_i}$ ,  $j_i \geq 0$ , we apply the transformation:

$$\beta_i^{u_i} = (2^{j_i})^{u_i} \mapsto 2^{j_i} u_i.$$

This gives a unique partition in  $SF(n)$ . Indeed the image may contain at most one odd part which occurs precisely when  $j_i = 0$ .

$SF(9)$	$\longrightarrow$	$OB(9)$
(8,1)	$\mapsto$	(8,1)
(4,3,2)	$\mapsto$	(4,2,1,1,1)
(6,3)	$\mapsto$	(2,2,2,1,1,1)
(5,4)	$\mapsto$	(4,1,1,1,1,1)
(7,2)	$\mapsto$	(2,1,1,1,1,1,1,1)
(9)	$\mapsto$	(1,1,1,1,1,1,1,1,1)

Table 1: The map  $SF(n) \rightarrow OB(n)$  for  $n = 9$ .

We also consider the following congruence which Andrews proved with generating functions.

**Theorem 2** ([1], Theorem 2). *For each  $n \geq 0$ ,  $sf(n)$  is even if  $3|n$  and odd otherwise.*

*Proof.* We give a combinatorial proof based on mathematical induction. The result holds for  $n = 1, 2, 3$  since  $sf(1) = 1 = sf(2)$  and  $sf(3) = |\{(1, 2), (3)\}| = 2$ . Now let  $n > 3$  and assume that the result holds for all integers less than  $n$ .

If  $n \equiv 1 \pmod{3}$ , then  $sf(n)$  is the sum of  $sf(n-1)$  and  $sf(n-2)$  which have opposite parities since, by the inductive hypothesis,  $sf(n-1)$  is even (since  $3|(n-1)$ ) and  $sf(n-2)$  is odd.

If  $n \equiv 2 \pmod{3}$ , then  $sf(n)$  is the sum of  $sf(n-1)$  which is odd (since  $3 \nmid (n-1)$ ) and  $sf(n-2)$  is even. Thus  $sf(n)$  is odd.

If  $3|n$  and  $n$  is even, then  $sf(n) = sf(n/2)$ . Since  $3|\frac{n}{2}$ , it follows that  $sf(n/2)$  is even by the inductive hypothesis. Lastly, if  $3|n$  and  $n$  is odd, then  $sf(n) = sf(n-1) + s(n-2)$  which is even since  $3 \nmid (n-1)$  and  $3 \nmid (n-2)$ .

This completes the proof. ■

The following result is easily deduced from the definition of sets counted by  $sf(n)$ .

**Corollary 1.** Given a nonnegative integer  $v$ ,

$$sf(2^v) = 1.$$

In Section 2 we define the semi- $m$ -Fibonacci partitions by extending the previous construction using a fixed integer modulus  $m > 1$ . A generalized identity is then stated between the set of semi- $m$ -Fibonacci partitions and the set of partitions into powers of  $m$  with multiplicities not divisible by  $m$  (Theorem 3). Then in Subsection 2.1 we give an independent characterization of the semi- $m$ -Fibonacci partitions. Lastly, in Section 3 we discuss some arithmetic properties satisfied by the semi- $m$ -Fibonacci sequence.

## 2 Generalization

We generalize the set of semi-Fibonacci Partitions to the set  $SF(n, m)$  of semi- $m$ -Fibonacci Partitions as follows:

$$SF(n, m) = \{(n)\}, \quad n = 1, 2, \dots, m$$

If  $n > m$  and  $n$  is a multiple of  $m$ , then

$$SF(n, m) = \{\lambda \mid \lambda \text{ is a partition of } \frac{n}{m} \text{ with each part multiplied by } m\}.$$

If  $n$  is not a multiple of  $m$ , that is,  $n \equiv r \pmod{m}$ ,  $1 \leq r \leq m - 1$ , then  $SF(n, m)$  arises from two sources: first, partitions obtained by inserting  $r$  into each partition in  $SF(n - r, m)$ , and second, partitions obtained by adding  $m$  to the single part of each partition  $\lambda \in SF(n - m, m)$  which is congruent to  $r \pmod{m}$  (since  $\lambda$  contains exactly one part which is congruent to  $r$  modulo  $m$ , see Lemma 1 below).

**Lemma 1.** Let  $\lambda \in SF(n, m)$ .

If  $m \mid n$ , then every part of  $\lambda$  is a multiple of  $m$ .

If  $n \equiv r \pmod{m}$ ,  $1 \leq r < m$ , then  $\lambda$  contains exactly one part  $\equiv r \pmod{m}$ .

*Proof.* If  $m \mid n$ , the parts of a partition in  $SF(n, m)$  are clearly divisible by  $m$  by construction.

For induction note that  $SF(r, m) = \{(r)\}$ ,  $r = 1, \dots, m - 1$ , so the assertion holds trivially. Assume that the assertion holds for the partitions of all integers  $< n$  and consider  $\lambda \in SF(n, m)$  with  $1 \leq r < m$ . Then  $\lambda$  may be obtained by inserting  $r$  into a partition  $\alpha \in SF(n - r, m)$ . Since  $\alpha$  consists of multiples of  $m$  (as  $m \mid (n - r)$ ),  $\lambda$  contains exactly one part  $\equiv r \pmod{m}$ . Alternatively  $\lambda$  is obtained by adding  $m$  to the single part of a partition  $\beta \in SF(n - m, m)$  which is  $\equiv r \pmod{m}$ . Indeed  $\beta$  contains exactly one such part by the inductive hypothesis. Hence the assertion is proved.  $\blacksquare$

As an illustration we have the following sets for small  $n$  when  $m = 3$ :

$$\begin{aligned} SF(1, 3) &= \{(1)\}, \\ SF(2, 3) &= \{(2)\}, \\ SF(3, 3) &= \{(3)\}, \\ SF(4, 3) &= \{(4), (3, 1)\}, \\ SF(5, 3) &= \{(5), (3, 2)\}, \\ SF(6, 3) &= \{(6)\}, \\ SF(7, 3) &= \{(7), (4, 3), (6, 1)\}, \\ SF(8, 3) &= \{(8), (5, 3), (6, 2)\}, \\ SF(9, 3) &= \{(9)\}, \\ SF(10, 3) &= \{(10), (6, 4), (7, 3), (9, 1)\}. \end{aligned}$$

Thus if we define  $sf(n, m) = |SF(n, m)|$ , we obtain that

$$\begin{aligned} sf(1, 3) &= sf(2, 3) = sf(3, 3) = 1, \quad sf(4, 3) = 2, \quad sf(5, 3) = 2, \quad sf(6, 3) = 1, \\ sf(7, 3) &= 3, \quad sf(8, 3) = 3, \quad sf(9, 3) = 1, \quad sf(10, 3) = 4. \end{aligned}$$

Therefore, for  $m > 1$ , we see that  $sf(n, m) = 0$  if  $n < 0$  and  $sf(0, m) = 1$ , and for  $n > 0$ ,

$$sf(n, m) = \begin{cases} sf(n/m, m), & \text{if } n \equiv 0 \pmod{m}; \\ sf(n - r, m) + sf(n - m, m), & \text{if } n \equiv r \pmod{m}, 0 < r < m. \end{cases} \quad (5)$$

The case  $m = 2$  gives the function considered by Andrews:  $sf(n, 2) = sf(n)$ .

Power partitions are partitions into powers of a positive integer  $m$ , also known as  $m$ -power partitions [4]. Let  $nd(n, m)$  be the number of  $m$ -power partitions of  $n$  in which the multiplicity of each part is not divisible by  $m$ . Thus, for example,  $nd(10, 3) = 4$ , the enumerated partitions being  $(9, 1)$ ,  $(3, 3, 1, 1, 1, 1)$ ,  $(3, 1, 1, 1, 1, 1, 1, 1)$ ,  $(1, 1, 1, 1, 1, 1, 1, 1, 1)$ .

**Theorem 3.** For integers  $n \geq 0$ ,  $m > 1$ ,

$$sf(n, m) = nd(n, m), \quad (6)$$

*Proof.* We give two proofs, one analytic one combinatorial.

First Proof. Let  $|q| < 1$  and define

$$G_m(q) = \sum_{n \geq 0} sf(n, m)q^n. \quad (7)$$

Then we have

$$\begin{aligned} G_m(q) &= \sum_{n \geq 0} sf(mn, m)q^{mn} + \sum_{n \geq 0} sf(mn + 1, m)q^{mn+1} + \dots + \sum_{n \geq 0} sf(mn + m - 1, m)q^{mn+m-1} \\ &= \sum_{n \geq 0} sf(mn, m)q^{mn} + \sum_{r=1}^{m-1} \sum_{n \geq 0} sf(mn + r, m)q^{mn+r} \quad (8) \\ &= \sum_{n \geq 0} sf(n, m)q^{mn} + \sum_{r=1}^{m-1} \sum_{n \geq 0} (sf(mn, m) + sf(mn + r - m, m))q^{mn+r} \quad (\text{by (5)}) \\ &= \sum_{n \geq 0} sf(n, m)q^{mn} + \sum_{r=1}^{m-1} \sum_{n \geq 0} (sf(n, m)q^{mn+r} + \sum_{r=1}^{m-1} \sum_{n \geq 0} sf(mn + r - m, m)q^{mn+r}). \\ &= (1 + \sum_{r=1}^{m-1} q^r) \sum_{n \geq 0} sf(n, m)q^{mn} + \sum_{r=1}^{m-1} \sum_{n \geq 0} sf(m(n-1) + r, m)q^{mn+r} \\ &= G_m(q^m) \sum_{r=0}^{m-1} q^r + \sum_{r=1}^{m-1} \sum_{n \geq 0} sf(mn + r, m)q^{mn+m+r} \\ &= G_m(q^m) \sum_{r=0}^{m-1} q^r + q^m \sum_{r=1}^{m-1} \sum_{n \geq 0} sf(mn + r, m)q^{mn+r} \\ &= G_m(q^m) \sum_{r=0}^{m-1} q^r + q^m \left( \sum_{n \geq 0} sf(n, m)q^n - \sum_{n \geq 0} sf(mn, m)q^{mn} \right) \quad (\text{by (8)}) \\ &= G_m(q^m) \sum_{r=0}^{m-1} q^r + q^m(G_m(q) - G_m(q^m)) \\ &= \left( -q^m + \sum_{r=0}^{m-1} q^r \right) G_m(q^m) + q^m G_m(q). \quad (9) \end{aligned}$$

Hence,

$$G_m(q) = \frac{1 + q + q^2 + q^3 + \dots + q^{m-1} - q^m}{1 - q^m} G_m(q^m). \quad (10)$$

Equation (10) implies that

$$G_m(q) = \left( \frac{1 + q + q^2 + \dots + q^{m-1} - q^m}{1 - q^m} \right) \left( \frac{1 + q + q^{2m} + \dots + q^{(m-1)m} - q^{m^2}}{1 - q^{m^2}} \right) G_m(q^{m^2}),$$

and continuing the iteration, we get

$$G_m(q) = \prod_{n=0}^N \left( \frac{1 + q^{m^n} + q^{2m^n} + \dots + q^{(m-1)m^n} - q^{m^{n+1}}}{1 - q^{m^{n+1}}} \right) G_m(q^{m^{N+1}}).$$

Taking the limit as  $N \rightarrow \infty$ , we have  $G_m(q^{m^{N+1}}) \rightarrow G_m(0) = 1$  (since  $|q| < 1$ ) so that

$$\begin{aligned} G_m(q) &= \prod_{n=0}^{\infty} \left( \frac{1 + q^{m^n} + q^{2m^n} + \dots + q^{(m-1)m^n} - q^{m^{n+1}}}{1 - q^{m^{n+1}}} \right) \\ &= \prod_{n=0}^{\infty} \left( \frac{q^{m^n} + q^{2m^n} + \dots + q^{(m-1)m^n} + 1 - q^{m^{n+1}}}{1 - q^{m^{n+1}}} \right) \\ &= \prod_{n=0}^{\infty} \left( 1 + \frac{q^{m^n} + q^{2m^n} + \dots + q^{(m-1)m^n}}{1 - q^{m^{n+1}}} \right) \\ &= \prod_{n=0}^{\infty} \left( 1 + (q^{m^n} + q^{2m^n} + \dots + q^{(m-1)m^n}) \sum_{j=0}^{\infty} q^{j(m^{n+1})} \right). \end{aligned}$$

Thus,

$$\begin{aligned} G_m(q) &= \prod_{n=0}^{\infty} \left( 1 + \sum_{j=0}^{\infty} q^{m^n(jm+1)} + \sum_{j=0}^{\infty} q^{m^n(jm+2)} + \sum_{j=0}^{\infty} q^{m^n(jm+3)} + \dots + \sum_{j=0}^{\infty} q^{m^n(jm+m-1)} \right) \\ &= \sum_{n \geq 0} nd(n, m)q^n. \end{aligned} \quad (11)$$

The assertion follows by comparing coefficients in (7) and (11).

Second Proof. Each part  $t$  of  $\lambda \in SF(n, m)$  can be expressed as  $t = m^i \cdot h$ ,  $i \geq 0$ , where  $m$  does not divide  $h$ . Now transform  $t$  as

$$t = m^i \cdot h \mapsto m^i, m^i, \dots, m^i (h \text{ times}).$$

This gives a partition of  $n$  into powers of  $m$  in which every part has multiplicity not divisible by  $m$ . Conversely, consider  $\beta \in ND(n, m)$ . Since every part (a power of  $m$ ) has a non-multiple of  $m$  as multiplicity we simply write  $\beta$  in the exponent notation  $\beta = (\beta_1^{u_1}, \dots, \beta_s^{u_s})$ ,  $\beta_1 > \dots > \beta_s$  with the  $u_i \not\equiv 0 \pmod{m}$ . Since each  $\beta_i^{u_i}$  has the form  $(m^{j_i})^{u_i}$ , we apply the transformation:

$$\beta_i^{u_i} = (m^{j_i})^{u_i} \mapsto m^{j_i} u_i.$$

This gives a unique partition in  $SF(n, m)$ . If  $m \mid n$ , this image contains only multiples of  $m$ . If  $n \equiv r \pmod{m}$ ,  $1 \leq r < m$ , the image consists of multiples of  $m$  and exactly one part  $\equiv r \pmod{m}$  which occurs when  $j_i = 0$ .  $\blacksquare$

$SF(11, 3)$	$\longrightarrow$	$ND(11, 3)$
(11)	$\mapsto$	(1,1,1,1,1,1,1,1,1,1,1)
(8,3)	$\mapsto$	(3,1,1,1,1,1,1,1,1)
(6,5)	$\mapsto$	(3,3,1,1,1,1,1)
(9,2)	$\mapsto$	(9,1,1)

Table 2: The map  $SF(n, m) \rightarrow ND(n, m)$  for  $n = 11$ ,  $m = 3$ .

## 2.1 A characterization of Semi- $m$ -Fibonacci Partitions

Define the *max  $m$ -power* of an integer  $N$  as the largest power of  $m$  that divides  $N$  (not just the exponent of the power). Thus using the notation  $x_m(N)$ , we find that  $N = u \cdot m^s$ ,  $s \geq 0$ , where  $m \nmid u$  and  $x_m(N) = m^s$ . So  $x_m(N) > 0$  for all  $N$ .

For example,  $x_2(50) = 2$ ,  $x_2(40) = 8$ ,  $x_3(216) = 27$  and  $x_5(216) = 1$ .

Note that if the parts of a partition  $\lambda$  have distinct max  $m$ -powers, then the parts are distinct. For if  $u \cdot m^s = \lambda_i = \lambda_j = v \cdot m^t \in \lambda$  with  $m \nmid u, v$ , and  $s > t$ , then  $u \cdot m^{s-t} = v \implies m|v$  a contradiction.

We define three (reversible) operations on a partition  $\lambda = (\lambda_1, \dots, \lambda_k)$  with an integer  $m > 1$ :

(i) If the last part of  $\lambda$  is less than  $m$ , delete it:  $\tau_1(\lambda) = (\lambda_1, \dots, \lambda_{k-1})$ ;

(ii) If  $m \nmid \lambda_t > m$ , then  $\tau_2(\lambda) = (\lambda_1, \dots, \lambda_{t-1}, \lambda_t - m, \lambda_{t+1}, \dots, \lambda_k)$ .

(iii) If  $\lambda$  consists of multiples of  $m$ , divide every part by  $m$ :  $\tau_3(\lambda) = (\lambda_1/m, \dots, \lambda_k/m)$ .

These operations are consistent with the recursive construction of the set  $SF(n, m)$ , where  $\tau_3^{-1}, \tau_1^{-1}$  and  $\tau_2^{-1}$  correspond, respectively, to the three quantities in the recurrence (5).

**Lemma 2.** Let  $B(n, m)$  denote the set of partitions of  $n$  in which the parts have distinct max  $m$ -powers and at most one non-multiple of  $m$ . Then if  $\lambda \in B(n, m)$  and  $\tau_i(\lambda) \neq \emptyset$ , then  $\tau_i(\lambda) \in B(N, m)$ ,  $i = 1, 2, 3$ , for some  $N$ .

*Proof.* Let  $\lambda = (\lambda_1, \dots, \lambda_k) \in B(n, m)$ . If  $\lambda$  contains one part less than  $m$ , the part is  $\lambda_k$ . So  $\tau_1(\lambda) \in B(n - \lambda_k, m)$  since the max  $m$ -powers remain distinct. It is obvious that the parity of  $\lambda$  is inherited by  $\tau_2(\lambda) = (\lambda_1, \dots, \lambda_{t-1}, \lambda_t - m, \lambda_{t+1}, \dots, \lambda_k) \in B(n - m, m)$ . Lastly, since the parts of  $\lambda$  have distinct max  $m$ -powers  $\tau_3(\lambda) = (\lambda_1/m, \dots, \lambda_k/m)$  may contain at most one non-multiple of  $m$  as a part. Hence  $\tau_3(\lambda) \in B(n/m, m)$ . ■

We state an independent characterization of the Semi- $m$ -Fibonacci Partitions.

**Theorem 4.** A partition of  $n$  is a semi- $m$ -Fibonacci partition if and only if the parts have distinct max  $m$ -powers and at most one non-multiple of  $m$ .

*Proof.* We show that  $SF(n, m) = B(n, m)$ . Let  $\lambda = (\lambda_1, \dots, \lambda_k) \in SF(n, m)$  such that  $\lambda \notin B(n, m)$ . Assume that there are  $\lambda_i > \lambda_j$  satisfying  $x_m(\lambda_i) = x_m(\lambda_j)$  and let  $\lambda_i = u_i m^s$ ,  $\lambda_j = u_j m^s$  with  $m \nmid u_i, u_j$ . Observe that  $\tau_1$  deletes a part less than  $m$  if it exists. So we can use repeated applications of  $\tau_2$  to reduce a non-multiple modulo  $m$ , followed by  $\tau_1$ . This is tantamount to simply deleting the non-multiple of  $m$ , say  $\lambda_t$ , to obtain a member of  $B(n - \lambda_t, m)$  from Lemma 2. By thus successively deleting non-multiples, and applying  $\tau_3^c$ ,  $c > 0$ , we obtain a partition  $\beta = (\beta_1, \beta_2, \dots)$  with  $\beta_i = v_i m^w > \beta_j = v_j m^w$ , where  $m \nmid v_i, v_j$  and  $w \leq s$ . Then apply  $\tau_3^w$  to obtain a partition  $\gamma$  with two non-multiples of  $m$ . Then by Lemma 1,  $\gamma \notin SF(n, m)$ . Therefore  $\lambda \in SF(n, m) \implies \lambda \in B(n, m)$ .

Conversely let  $\lambda = (\lambda_1, \dots, \lambda_k) \in B(n, m)$ . If  $\lambda = (t)$ ,  $1 \leq t \leq m$ , then  $\lambda \in SF(t, m)$ . If  $m|\lambda_i$  for all  $i$ , then  $\tau_3(\lambda) = (\lambda_1/m, \dots, \lambda_k/m) \in B(n/m, m)$  contains at most one part  $\not\equiv 0 \pmod{m}$ , so  $\lambda \in SF(n, m)$ . Lastly assume that  $n \equiv r \not\equiv 0 \pmod{m}$ . Then  $r \in \lambda$  or  $\lambda_t \equiv r \pmod{m}$  for exactly one index  $t$ . Thus  $\tau_1(\lambda) = (\lambda_1, \dots, \lambda_{k-1})$  consists of multiples of  $m$  while  $\tau_2(\lambda) = (\lambda_1, \dots, \lambda_{t-1}, \lambda_t - m, \lambda_{t+1}, \dots, \lambda_k)$  still contains one part  $\not\equiv 0 \pmod{m}$ . In either case  $\lambda \in SF(n, m)$ . Hence  $B(n, m) \subseteq SF(n, m)$ . The two sets are identical. ■

**Remark.** Notice that Theorem 4 certifies the second (bijective) proof of Theorem 3. If  $\lambda = (\lambda_1, \dots, \lambda_k) \in SF(n, m)$  but  $\lambda \notin B(n, m)$  on account of having two parts  $\lambda_i, \lambda_j$  such that  $\lambda_i = u_i m^s > \lambda_j = u_j m^s$  with  $m \nmid u_i, u_j$ , then it cannot have an inverse image. Assume that  $\lambda$  maps to  $\beta \in ND(n, m)$  which then includes the parts  $m^{u_i+u_j}$  ( $u_i + u_j$  copies of  $m$ ). Then  $u_i + u_j$  may be a multiple of  $m$  (for example, when  $u_i = 1, u_j = m - 1$ ) which implies that  $\beta \notin ND(n, m)$ , a contradiction. Alternatively the pre-image of  $\beta$  would include the part  $m(u_i + u_j)$  and so cannot be  $\lambda$ .

### 3 Arithmetic Properties

We prove several congruence properties of the numbers  $sf(n, m)$ .

**Theorem 5.** Let  $n, m$  be integers with  $n \geq 0, m > 1$ . Then

$$sf(nm + 1, m) = sf(nm + 2, m) = \cdots = sf(nm + m - 1, m) = \sum_{j=0}^n sf(j, m).$$

*Proof.* Let  $J_{r,m}(q) = \sum_{n \geq 0} sf(nm + r, m)q^n$  where  $r = 1, 2, 3, \dots, m - 1$ . Then

$$\begin{aligned} J_{r,m}(q) &= \sum_{n \geq 0} sf(nm, m)q^n + \sum_{n \geq 0} sf(mn + r - m, m)q^n \quad (\text{by (5)}) \\ &= \sum_{n \geq 0} sf(n, m)q^n + \sum_{n \geq 0} sf(mn + r, m)q^{n+1} \\ &= G_m(q) + q \sum_{n \geq 0} sf(mn + r, m)q^n \\ &= G_m(q) + qJ_{r,m}(q) \end{aligned}$$

so that

$$J_{r,m}(q) = \frac{G_m(q)}{1 - q}. \quad (12)$$

Since the right hand side of (12) is independent of  $r$ , we must have  $J_{1,m}(q) = J_{2,m}(q) = \dots = J_{m-1,m}(q)$  so that  $sf(nm + 1, m) = sf(nm + 2, m) = \cdots = sf(nm + m - 1, m)$ . Furthermore, from (12), we observe that

$$\begin{aligned} \sum_{n \geq 0} sf(mn + r, m)q^n &= \sum_{n \geq 0} q^n \sum_{n \geq 0} sf(n, m)q^n \\ &= \sum_{n \geq 0} \sum_{j=0}^n sf(j, m)q^n \end{aligned}$$

which implies that  $sf(mn + r, m) = \sum_{j=0}^n sf(j, m)$ . ■

**Corollary 2.** Given integers  $m \geq 2$ , then for any  $j \geq 0$  and a fixed  $v \in \{0, 1, \dots, m\}$ ,

$$sf(m^j(mv + r), m) = v + 1, \quad 1 \leq r \leq m - 1.$$

*Proof.* By applying (5) several times (the case when  $m \mid n$ ), it is clear that for any  $j \geq 0$ ,  $sf(m^j(mv + r), m) = sf(m^{j-1}(mv + r), m) = sf(m^{j-2}(mv + r), m) = \dots = sf(mv + r, m)$ . By the last equality in Theorem 5, we have

$$sf(mv + r, m) = \sum_{i=0}^v sf(i, m) = 1 + \sum_{i=1}^v sf(i, m), \quad v \geq 0, \quad 1 \leq r < m.$$

If  $1 \leq v < m$ , then  $\sum_{i=1}^v sf(i, m) = \sum_{i=1}^v (sf(i - i, m) + sf(i - m, m))$  (by (5)). Since  $0 < i \leq v < m$ ,

we have  $sf(mv + r, m) = 1 + \sum_{i=1}^v (1 + 0) = 1 + v$ .

If  $v = m$ , then  $\sum_{i=1}^v sf(i, m) = \sum_{i=1}^{m-1} sf(i, m) + sf(m, m) = m - 1 + sf(1, m) = m - 1 + 1 = m$ ; thus  $sf(mv + r) = v + 1$  is true in this case. Finally, if  $v = 0$ , it is not difficult to see that  $sf(r, m) = 1$ . ■

We note a few interesting special cases of Corollary 2 below.

**Corollary 3.** We have the following for any integer  $m \geq 2$ :

- (i)  $sf(m^i, m) = 1, i \geq 0$ .
- (ii)  $sf(m^i h, m) = 1, 1 \leq h \leq m - 1, i \geq 0$ .
- (iii) Given an integer  $n \geq 0$ , then for each  $n \in \{0, 1, \dots, m\}$ ,

$$sf(nm + 1, m) = sf(nm + 2, m) = \dots = sf((n + 1)m - 1, m) = v + 1.$$

*Proof.* Part (i) is the case  $h = 1$  of part (ii). Parts (ii) and (iii) are obtained by setting  $v = 0$  and  $j = 0$ , respectively, in Corollary 2.  $\blacksquare$

Note that part (i) of Corollary 3 implies Corollary 1. Also when  $m = 2$ , part (iii) gives just the three values  $sf(1) = 1, sf(3) = 2$  and  $sf(5) = 3$ , the parities of which are consistent with Theorem 2. Part (iii) is a stronger version of Theorem 5 since the restriction of  $n$  to the set  $\{0, 1, \dots, m\}$  specifies a common value.

**Theorem 6.** For any  $j \geq 0$ ,

$$\sum_{r=0}^{2j+1} sf(r, 3) \equiv 0 \pmod{2}.$$

Consequently,

$$sf(3j + 4, 3) = sf(3j + 5, 3) \equiv 0 \pmod{2} \text{ where } j \equiv 0 \pmod{2}, \quad (13)$$

$$sf(3^r j + 4, 3) = sf(3^r j + 5, 3) \equiv 0 \pmod{2} \text{ for all } j \geq 0, r \geq 2. \quad (14)$$

*Proof.* Note the following identity

$$\frac{1}{1 - q} = \prod_{n=0}^{\infty} (1 + q^{3^n} + q^{2 \cdot 3^n}). \quad (15)$$

Recall that

$$\begin{aligned} \sum_{n \geq 0} sf(n, 3) q^n &= \prod_{n=0}^{\infty} \left( \frac{1 + q^{3^n} + q^{2 \cdot 3^n} - q^{3 \cdot 3^n}}{1 - q^{3 \cdot 3^n}} \right) \\ &\equiv \prod_{n=0}^{\infty} \left( \frac{1 + q^{3^n} + q^{2 \cdot 3^n} + q^{3 \cdot 3^n}}{1 + q^{3 \cdot 3^n}} \right) \pmod{2} \\ &= \prod_{n=0}^{\infty} \frac{(1 + q^{3^n})(1 + q^{2 \cdot 3^n})}{1 + q^{3 \cdot 3^n}} \\ &= \prod_{n=0}^{\infty} \left( \frac{1 + q^{2 \cdot 3^n}}{1 + q^{3^n} + q^{2 \cdot 3^n}} \right) \\ &= (1 - q) \prod_{n=0}^{\infty} (1 + q^{2 \cdot 3^n}) \text{ (by (15)).} \end{aligned}$$

Thus

$$\frac{1}{1 - q} \sum_{n \geq 0} sf(n, 3) q^n \equiv \prod_{n=0}^{\infty} (1 + q^{2 \cdot 3^n}) \pmod{2},$$

i.e.

$$\sum_{n \geq 0} \sum_{r=0}^n sf(r, 3) q^n \equiv \prod_{n=0}^{\infty} (1 + q^{2 \cdot 3^n}) \pmod{2}.$$

Since the series expansion of the right-hand side of the preceding equation has even exponents, the result follows.



To prove (13), we have

$$\begin{aligned}
sf(3j+4, 3) &= sf(3(j+1)+1, 3) \\
&= sf(3(j+1)+2, 3) \quad (\text{by Theorem 5}) \\
&= \sum_{r=0}^{j+1} sf(r, 3) \quad (\text{by Theorem 5}) \\
&\equiv 0 \pmod{2} \quad (\text{since } j+1 \text{ is odd}).
\end{aligned}$$

Furthermore, for (14), observe that

$$3^{r-1}j+1 \equiv \begin{cases} 0, & \text{if } j \equiv 1 \pmod{2}; \\ 1, & \text{otherwise.} \end{cases}$$

Now, if  $j$  is odd, then

$$\begin{aligned}
sf(3^r j+4, 3) &= sf(3(3^{r-1}j+1)+1, 3) \\
&= sf(3(3^{r-1}j+1)+2, 3) \\
&= \sum_{r=0}^{3^{r-1}j+1} sf(r, 3) \quad (\text{by Theorem 5}) \\
&= sf(3^{r-1}j+1, 3) + \sum_{r=0}^{3^{r-1}j} sf(r, 3) \\
&\equiv sf(3^{r-1}j+1, 3) \pmod{2} \quad (\text{since } 3^{r-1}j \text{ is odd}) \\
&= \sum_{r=0}^{3^{r-2}j} sf(r, 3) \\
&\equiv 0 \pmod{2} \quad (\text{since } 3^{r-2}j \text{ is odd}).
\end{aligned}$$

■

On the other hand, if  $j$  is even, use (13).

**Theorem 7.** *Let  $k \equiv m+r \pmod{2m}$  and  $k \leq m^2+r$  for  $1 \leq r \leq m-1$ . If  $n \geq 0$ ,  $m \geq 2$  and  $n = m^i k$  for  $i \geq 0$ , then  $sf(n, m)$  is even.*

*Proof.*  $k \equiv m+r \pmod{2m}$  and  $k \leq m^2+r$  for  $1 \leq r \leq m-1$  imply that  $k = m(2t+1)+r \leq m^2+r \Rightarrow 2t+1 \leq m$ , for some positive integer  $t$ . Then from Corollary 2, we have

$$\begin{aligned}
sf(m^i k, m) &= sf(m^i(m(2t+1)+r), m) \\
&= sf(m(2t+1)+r, m) \quad (\text{by (5)}) \\
&= 2t+1+1 \quad (\text{by Corollary 2 and since } 2t+1 \leq m) \\
&= 2t+2.
\end{aligned}$$

**Remark.** When  $m = 3$ , Theorem 7 reduces to Theorem 6 without the restriction  $k \leq m^2+r$ .

■

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