

# Sequences, $q$ -multinomial Identities, Generalized Galois Numbers, and Integer Partitions with Kinds

A. AVALOS AND M. BLY

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**Abstract** - Using sequences of finite length with positive integer entries and the inversion statistic on such sequences, a collection of binomial and multinomial identities are extended to their  $q$ -analog form via combinatorial proofs. Using the major index statistic on sequences, a connection between finite differences of the coefficients of generalized Galois numbers and integer partitions with kinds is established.

**Keywords** :  $q$ -analogs; inversion statistic; multinomial identities; generating functions; generalized Galois numbers; major index statistic; integer partitions with kinds.

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## 1 Introduction

This paper is the result of an investigation into a number of  $q$ -binomial and  $q$ -multinomial identities. To begin, we will establish essential definitions and ideas. In Section 2, we will concisely develop a robust collection of some classical and other less so classical binomial/multinomial identities in their  $q$ -analog form. In Section 3, we will demonstrate a connection between finite differences of the coefficients of generalized Galois numbers and integer partitions with kinds.

At the heart of this paper is a motivation to present proofs of results using combinatorial justification. Along the way, we will encounter a number of objects of regular study in discrete mathematics: the set  $[m]$ , namely the set  $\{1, 2, \dots, m\}$ ; the set  $S_n^m(k_1, \dots, k_m)$ , namely the set of sequences of length  $n$  whose elements include  $k_1$  1's,  $\dots$ ,  $k_m$   $m$ 's from the set  $[m]$ ; the inversion and major index statistics on sequences; and partitions of a positive integer  $n$ , namely sums of nonincreasing positive integers that add to  $n$ .

### 1.1 Inversion Statistic

To begin, we will introduce the inversion statistic, which can be found in [7].

**Definition 1.1** *Let  $n, m$  be nonnegative integers, and let  $\sigma = (\sigma_1, \dots, \sigma_n)$  be a sequence whose elements are from the set  $[m]$ . Then,*

$$\text{inv}(\sigma) := |\{(a, b) \mid a < b \text{ and } \sigma_a > \sigma_b\}|.$$

If a particular  $\sigma_a$  is fixed, ordered pairs of the form  $(a, b)$  that are accounted for by  $\text{inv}(\sigma)$  shall be referred to as the inversions induced by  $\sigma_a$  or simply  $i(\sigma_a)$ . Should a particular  $\sigma_b$  be fixed, ordered pairs of the form  $(a, b)$  that are accounted for by  $\text{inv}(\sigma)$  shall be referred to as the inversions received by  $\sigma_b$  or simply  $r(\sigma_b)$ .

Figure 1 contains some examples.

2211	2121	2112
$\text{inv}(\sigma) = 4$	$\text{inv}(\sigma) = 3$	$\text{inv}(\sigma) = 2$
1221	1212	1122
$\text{inv}(\sigma) = 2$	$\text{inv}(\sigma) = 1$	$\text{inv}(\sigma) = 0$

Figure 1: All sequences of length 4 with two 2s and two 1s.

**Proposition 1.2** *Let  $n, m$  be nonnegative integers, and let  $\sigma = (\sigma_1, \dots, \sigma_n)$  be a sequence whose elements are from the set  $[m]$ . Then,*

$$\text{inv}(\sigma) = \sum_{a \in [n]} i(\sigma_a) = \sum_{b \in [n]} r(\sigma_b).$$

**Proof.** Observe the unions expressed below are disjoint.

$$\{(a, b) \mid a < b\} = \bigcup_{a \in [n]} \{(a, b) \mid a < b\} = \bigcup_{b \in [n]} \{(a, b) \mid a < b\}.$$

The result follows from the above statement of equality, and the definitions of: inversions, induced inversions, and received inversions.  $\square$

**Corollary 1.3** *Let  $n, m$  be nonnegative integers, and let  $\sigma = (\sigma_1, \dots, \sigma_n)$  be a sequence whose elements are from the set  $[m]$ . Then,*

$$\text{inv}(\sigma) = \sum_{\sigma_a \geq 2} i(\sigma_a) = \sum_{\sigma_b \leq m-1} r(\sigma_b).$$

**Proof.** When  $\sigma_a$  is equal to 1 the value of  $i(\sigma_a)$  equals zero. Similarly, when  $\sigma_b$  is equal to  $m$  the value of  $r(\sigma_b)$  equals zero.  $\square$

## 1.2 q-binomial and q-multinomial Coefficients

The following definition, inspired by [4], is foundational.

**Definition 1.4** Let  $n, k$  be nonnegative integers such that  $n \geq k$ , and let  $q$  be an indeterminate. Then

$$\binom{n}{k}_q := \sum_{\substack{E \subset [n] \\ |E|=k}} q^{\left(\sum_{i=1}^k (n-e_i)-(k-i)\right)}.$$

where  $E = \{e_1, \dots, e_k\}$  with  $e_i < e_{i+1}$  for every  $1 \leq i \leq k-1$ .

Noting that the number of subsets of  $[n]$  of cardinality  $k$  is exactly  $\binom{n}{k}$ , one can see that letting  $q = 1$  yields the corresponding standard binomial coefficient.

Figure 2 contains an example. Observe the parallelism between Figures 1 and 2.

$$\begin{array}{ccc} \{1, 2\} & \{1, 3\} & \{1, 4\} \\ q^4 & q^3 & q^2 \\ \\ \{2, 3\} & \{2, 4\} & \{3, 4\} \\ q^2 & q^1 & q^0 \end{array}$$

Figure 2: The sets associated with the terms of  $\binom{4}{2}_q = q^4 + q^3 + 2q^2 + q + 1$ .

**Proposition 1.5** If  $n, k$  are nonnegative integers such that  $n \geq k$  and  $q$  is an indeterminate, then

$$\binom{n}{k}_q = \sum_{\sigma \in S_n^2(k, n-k)} q^{\text{inv}(\sigma)}.$$

**Proof.** Let  $E \subset [n]$  be of cardinality  $k$ , and let  $\sigma = (\sigma_1, \dots, \sigma_n)$  be the sequence in  $S_n^2(k, n-k)$  such that  $\sigma_a$  is 2 precisely when  $a \in E$ . Fix some  $a \in E$  and consider  $\sigma_a$ . The ordered pairs  $(a, b)$  accounted for by  $\text{inv}(\sigma)$  correspond to indices  $b$  such that  $\sigma_b$  is 1. Notice that  $n - e_i$  equals  $n - a$  and counts the number of indices  $j$  such that  $j > a$ . Also notice that  $k - i$  counts the numbers of elements  $\sigma_j$  such that  $j > a$  and  $\sigma_j$  is 2. Hence,  $(n - e_i) - (k - i)$  counts all ordered pairs  $(a, b)$  of interest. The result follows from observing that every  $\sigma \in S_n^2(k, n-k)$  can be attained similarly by some  $E \subset [n]$ .  $\square$

In other words, the polynomial  $\binom{n}{k}_q$  is the generating function for the statistic of inversions on the set  $S_n^2(k, n-k)$ , a standard result which can be found in [7]. The following definition and proposition, also found in [7], provides a generalization.

**Definition 1.6** If  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m = n$ , then

$$\binom{n}{k_1, \dots, k_m}_q := \binom{n}{k_m}_q \binom{n-k_m}{k_{m-1}}_q \dots \binom{n-k_m-\dots-k_2}{k_1}_q.$$

**Proposition 1.7** *If  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m = n$ , then*

$$\binom{n}{k_1, \dots, k_m}_q = \sum_{\sigma \in S_n^m(k_1, \dots, k_m)} q^{\text{inv}(\sigma)}.$$

**Proof.** Fix a sequence  $\sigma = (\sigma_1, \dots, \sigma_n)$  in  $S_n^m(k_1, \dots, k_m)$ . Note that the inversions induced by all  $\sigma_a$  for which  $\sigma_a$  equals  $m$  correspond to ordered pairs  $(a, b)$  such that  $\sigma_b$  is less than  $m$ . By Proposition 1.5, it follows that  $\binom{n}{k_m}_q$  corresponds precisely to inversions induced by all  $\sigma_a$  equal to  $m$ .

Further observe that inversions induced by all  $\sigma_a$  for which  $\sigma_a$  equals  $m - 1$  correspond to ordered pairs  $(a, b)$  such that  $\sigma_b$  is less than  $m - 1$ . In particular, no such  $(a, b)$  will correspond to a  $\sigma_b$  equal to  $m$ . As such, Proposition 1.5 applies to the subsequence of  $\sigma$  containing the  $n - k_m$  elements of  $\sigma$  that do not equal  $m$ , and it follows that  $\binom{n - k_m}{k_{m-1}}_q$  corresponds precisely to inversions induced by all  $\sigma_a$  equal to  $m - 1$ .

A similar argument holds for the remaining elements of  $\sigma$ . □

### 1.3 Fundamental Sequences

We will introduce an additional definition that will be especially helpful in establishing the results of Section 3.

**Definition 1.8** *If  $n, m$  are nonnegative integers, define  $S_n^m$  to be the set of all sequences of length  $n$  whose elements are in  $[m]$ . If  $\sigma$  is in  $S_n^m$ , define the fundamental sequence of  $\sigma$  to be*

$$F(\sigma) := (F_1, \dots, F_m),$$

where each  $F_j$  is the multiset  $\{i(\sigma_a) \mid a \in [n] \text{ and } \sigma_a = j\}$ . Subsequently define the fundamental set of  $S_n^m$  to be the set

$$F_n^m := \{F(\sigma) \mid \sigma \in S_n^m\}.$$

Figure 3 contains some examples.

2211 ( {0, 0}, {2, 2} )	2121 ( {0, 0}, {2, 1} )	2112 ( {0, 0}, {2, 0} )
1221 ( {0, 0}, {1, 1} )	1212 ( {0, 0}, {1, 0} )	1122 ( {0, 0}, {0, 0} )

Figure 3: The fundamental sequences of  $\sigma$  in  $S_4^2(2, 2)$ .

**Proposition 1.9** *If  $m, n$  are nonnegative integers, then*

$$|S_n^m| = |F_n^m|.$$

**Proof.** Define the function  $\varphi: S_n^m \rightarrow F_n^m$  by the assignment  $\sigma \mapsto F(\sigma)$ . By the definition of fundamental set,  $\varphi$  is surjective.

Assume  $\sigma^1, \sigma^2$  are sequences in  $S_n^m$  such that  $F(\sigma^1)$  and  $F(\sigma^2)$  are both equal to  $(F_1, \dots, F_m)$ . Observe that the elements of  $F_m$  forces the set  $\{a \in [n] \mid \sigma_a^i = m\}$  to be the equal for  $i = 1, 2$ . Subsequently observe that the elements of  $F_{m-1}$  forces the set  $\{a \in [n] \mid \sigma_a^i = m-1\}$  to be equal for  $i = 1, 2$ , and so on. Hence,  $\varphi$  is injective.  $\square$

## 2 Binomial and Multinomial Identities

In this section, we will acquaint ourselves with the act of generalizing binomial and multinomial identities into their corresponding  $q$ -analogs.

### 2.1 Symmetry

We will begin with the  $q$ -analog to symmetry from [7], namely that  $\binom{n}{k}$  equals  $\binom{n}{n-k}$ .

**Proposition 2.1** *If  $n, k$  are nonnegative integers such that  $n \geq k$ , then*

$$\binom{n}{k}_q = \binom{n}{n-k}_q.$$

**Proof.** Let  $S_n^2(k, n-k)$  be the set of sequences of length  $n$  whose elements are in  $[2]$  with  $k$  2's, and refer to an arbitrary sequence in  $S_n^2(k, n-k)$  by  $\sigma = (\sigma_1, \dots, \sigma_n)$ . For every  $x \in [2]$ , say that  $\bar{x}$  equals 1 when  $x$  is 2 and  $\bar{x}$  equals 2 when  $x$  is 1. Define a map

$$\varphi: S_n^2(k, n-k) \rightarrow S_n^2(n-k, k) \text{ by } (\sigma_1, \dots, \sigma_n) \mapsto (\bar{\sigma}_n, \dots, \bar{\sigma}_1).$$

Fix some  $a \in [n]$  and consider  $\sigma_a$ . If  $\sigma_a$  is 2 and  $i(\sigma_a)$  is  $c$ , then the number of 1's that follow  $\sigma_a$  in  $\sigma$  must be  $c$ . By the definition of  $\varphi$ , notice the number of 2's preceding  $\bar{\sigma}_a$  in  $\varphi(\sigma)$  is also  $c$ . Hence, the numbers  $i(\sigma_a)$  and  $r(\bar{\sigma}_a)$  are equal. Should  $\sigma_a$  be 1, observe that  $i(\sigma_a)$  and  $r(\bar{\sigma}_a)$  are both zero. Further observing that  $\varphi$  is bijective, the desired result follows from Proposition 1.2.  $\square$

We will now derive the multinomial generalization, also found in [7].

**Proposition 2.2** *If  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m = n$  and  $\pi$  is a permutation of  $[m]$ , then*

$$\binom{n}{k_1, \dots, k_m}_q = \binom{n}{k_{\pi(1)}, \dots, k_{\pi(m)}}_q.$$

**Proof.** Refer to an arbitrary sequence in  $S_n^m(k_1, \dots, k_m)$  by  $\sigma = (\sigma_1, \dots, \sigma_n)$ , and define a map

$$\theta: S_n^m(k_1, \dots, k_i, k_{i+1}, \dots, k_m) \rightarrow S_n^m(k_1, \dots, k_{i+1}, k_i, \dots, k_m)$$

such that  $\theta(\sigma)_a$  equals  $\sigma_a$  when  $\sigma_a$  is neither  $i$  nor  $i + 1$ . It follows that

$$\sum_{\sigma_a > i+1} i(\sigma_a) = \sum_{\theta(\sigma)_a > i+1} i(\theta(\sigma)_a), \quad \sum_{\sigma_a < i} i(\sigma_a) = \sum_{\theta(\sigma)_a < i} i(\theta(\sigma)_a).$$

For the subsequence of  $\sigma$  for which  $\sigma_a$  is equal to  $i$  or  $i + 1$ , let  $\theta$  act on that subsequence analogously to  $\varphi$  in Proposition 2.1. It follows that

$$\sum_{\sigma_a \in \{i, i+1\}} i(\sigma_a) = \sum_{\theta(\sigma)_a \in \{i, i+1\}} i(\theta(\sigma)_a).$$

By Proposition 1.2, we have that  $\text{inv}(\sigma)$  equals  $\text{inv}(\theta(\sigma))$ .

Observe that this Proposition has been shown for  $\pi$  that are of the form of a simple transposition. Given that any permutation is a composition of simple transpositions, we have our desired result for any permutation  $\pi$ .  $\square$

## 2.2 Pascal's Identity

We will now consider Pascal's Identity, which can be found in [6],

$$\binom{n}{k_1, \dots, k_m} = \binom{n-1}{k_1-1, \dots, k_m} + \binom{n-1}{k_1, k_2-1, \dots, k_m} + \dots + \binom{n-1}{k_1, \dots, k_m-1}.$$

Interpreting  $\binom{n}{k_1, \dots, k_m}$  as the number of sequences in  $S_n^m(k_1, \dots, k_m)$ , then  $\binom{n-1}{k_1-1, \dots, k_m}$  counts such sequences that end in a 1,  $\binom{n-1}{k_1, k_2-1, \dots, k_m}$  counts such sequences that end in a 2, and so on.

**Proposition 2.3** *If  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m = n$ , then  $\binom{n}{k_1, \dots, k_m}_q$  is equal to*

$$q^{k_2 + \dots + k_m} \binom{n-1}{k_1-1, \dots, k_m}_q + q^{k_3 + \dots + k_m} \binom{n-1}{k_1, k_2-1, \dots, k_m}_q + \dots + \binom{n-1}{k_1, \dots, k_m-1}_q.$$

**Proof.** Interpret  $\binom{n}{k_1, \dots, k_m}_q$  as the generating function for inversions on  $S_n^m(k_1, \dots, k_m)$ . For such sequences that end in a 1, note that  $k_2 + \dots + k_m$  inversions will be received by that 1. Thus, the product of  $q^{k_2 + \dots + k_m}$  and  $\binom{n-1}{k_1-1, \dots, k_m}_q$  accounts precisely for the inversions of sequences that end in a 1. The argument is similar for the remaining terms of our desired sum.  $\square$

Note that applying Proposition 2.2 to Proposition 2.3 yields  $m!$  different articulations of the  $q$ -analog to Pascal's Identity. For the case  $m = 2$ , Figure 4 contains the resulting  $2!$  articulations.

$$q^{k_2} \binom{n-1}{k_1-1, k_2}_q + \binom{n-1}{k_1, k_2-1}_q = \binom{n-1}{k_1-1, k_2}_q + q^{k_1} \binom{n-1}{k_1, k_2-1}_q$$

Figure 4: The two articulations of  $\binom{n}{k_1, k_2}_q$  via the  $q$ -analog of Pascal's Identity.

### 2.3 Diagonal Sum Identity

We will now consider the Diagonal Sum Identity, which can be found in [6],

$$\binom{n}{k_1, \dots, k_m} = \sum_{i=0}^{k_1} \sum_{j=2}^m \binom{n-i-1}{k_1-i, k_2, \dots, k_j-1, \dots, k_m}.$$

Interpreting  $\binom{n}{k_1, \dots, k_m}$  as the number of sequences in  $S_n^m(k_1, \dots, k_m)$ , then the expression  $\binom{n-i-1}{k_1-i, k_2, \dots, k_j-1, \dots, k_m}$  counts such sequences that end in a  $j$  followed by  $i$  1's.

**Proposition 2.4** *If  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m = n$ , then*

$$\binom{n}{k_1, \dots, k_m}_q = \sum_{i=0}^{k_1} \sum_{j=2}^m q^{\binom{(n-k_1)i + \sum_{v=j+1}^m k_v}{}} \binom{n-i-1}{k_1-i, k_2, \dots, k_j-1, \dots, k_m}_q.$$

**Proof.** Interpret  $\binom{n}{k_1, \dots, k_m}_q$  as the generating function for inversions on  $S_n^m(k_1, \dots, k_m)$ . Observe that for any such sequence  $\sigma$ , ordered pairs  $(a, b)$  associated with  $\text{inv}(\sigma)$  are of exactly one of the following forms:  $a, b$  are both less than  $n-i$  in value;  $a$  is less than  $n-i$  in value and  $b$  is at least  $n-i$  in value;  $a, b$  are both at least  $n-i$  in value.

Note that:  $\binom{n-i-1}{k_1-i, \dots, k_m}_q$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $a, b$  are both less than  $n-i$  in value; there are  $(n-k_1-1)i + \sum k_v$  ordered pairs  $(a, b)$  associated with inversions such that  $a$  is less than  $n-i$  and  $b$  is at least  $n-i$ ; and there are  $i$  ordered pairs  $(a, b)$  associated with inversions such that  $a, b$  are both at least  $n-i$ .  $\square$

### 2.4 Vandermonde's Identity

We will now consider Vandermonde's Identity, which can be found in [6],

$$\binom{n_1 + n_2}{k_1, \dots, k_m} = \sum_{\substack{r_1 + \dots + r_m = n_1 \\ 0 \leq r_i \leq k_i}} \binom{n_1}{r_1, \dots, r_m} \binom{n_2}{k_1 - r_1, \dots, k_m - r_m}.$$

Interpreting  $\binom{n_1+n_2}{k_1, \dots, k_m}$  as the number of sequences in  $S_{n_1+n_2}^m(k_1, \dots, k_m)$ , then each term of the sum accounts for the sequences whose first  $n_1$  elements contains exactly  $r_1$  1's,  $\dots$ ,  $r_m$   $m$ 's.

**Proposition 2.5** *If  $m, n_1, n_2, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m$  equals  $n_1 + n_2$ , then*

$$\binom{n_1 + n_2}{k_1, \dots, k_m}_q = \sum_{\substack{r_1 + \dots + r_m = n_1 \\ 0 \leq r_i \leq k_i}} q^{\left(\sum_{j \in [m]} f(r_j)\right)} \binom{n_1}{r_1, \dots, r_m}_q \binom{n_2}{k_1 - r_1, \dots, k_m - r_m}_q$$

where  $f(r_j) = r_j \sum_{i \in [j-1]} (k_i - r_i)$  for every  $j \in [m]$ .

**Proof.** Interpret  $\binom{n_1 + n_2}{k_1, \dots, k_m}_q$  as the generating function for inversions on  $S_{n_1 + n_2}^m(k_1, \dots, k_m)$ . Observe that for any such sequence  $\sigma$ , ordered pairs  $(a, b)$  associated with  $\text{inv}(\sigma)$  are of exactly one of the following forms:  $a, b$  at most  $n_1$  in value;  $a, b$  greater than  $n_1$  in value;  $a$  at most  $n_1$  in value and  $b$  greater than  $n_1$  in value.

Note that:  $\binom{n_1}{r_1, \dots, r_m}_q$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $a, b$  are at most  $n_1$  in value;  $\binom{n_2}{k_1 - r_1, \dots, k_m - r_m}_q$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $a, b$  are greater than  $n_1$  in value;  $q^{\sum f(r_j)}$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $a$  is at most  $n_1$  in value and  $b$  is greater than  $n_1$  in value.  $\square$

We will now derive a generalization.

**Proposition 2.6** *If  $m, n_1, \dots, n_s, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m$  is equal to  $n_1 + \dots + n_s$ , then*

$$\binom{n_1 + \dots + n_s}{k_1, \dots, k_m}_q = \sum_{\substack{r_{i,1} + \dots + r_{i,m} = n_i \\ r_{1,j} + \dots + r_{s,j} = k_j \\ 0 \leq r_{i,j}}} q^{\left(\sum_{(i,j) \in [s] \times [m]} f(r_{i,j})\right)} \binom{n_1}{r_{1,1}, \dots, r_{1,m}}_q \dots \binom{n_s}{r_{s,1}, \dots, r_{s,m}}_q$$

where  $f(r_{i,j}) = r_{i,j} \sum_{v=1}^{j-1} \sum_{u=i+1}^s r_{u,v}$  for every  $(i, j) \in [s] \times [m]$ .

**Proof.** Consider  $S_{n_1 + \dots + n_s}^m(k_1, \dots, k_m)$ , and interpret  $\binom{n_1 + \dots + n_s}{k_1, \dots, k_m}_q$  as the generating function for inversions on this set of sequences. Let  $\sigma$  be such a sequence.

For every  $i$  in  $[s]$ , define  $X_i$  to be  $\{x \in \mathbb{Z} \mid n_1 + \dots + n_{i-1} + 1 \leq x \leq n_1 + \dots + n_i\}$ . Observe that ordered pairs  $(a, b)$  associated with  $\text{inv}(\sigma)$  are of exactly one of the following forms:  $a, b$  are both in  $X_i$  for some  $i \in [s]$ ;  $a, b$  are not both in  $X_i$  for some  $i \in [s]$ .

Note that  $\binom{n_i}{r_{i,1}, \dots, r_{i,m}}_q$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $(a, b)$  are both in  $X_i$ . Also note that  $q^{\sum f(r_{i,j})}$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $a, b$  are not both in  $X_i$  for some  $i \in [s]$ .  $\square$



## 2.5 Chu Shih-Chieh (Zhu Shijie)'s Identity

We will now consider Chu Shih-Chieh's Identity, which can be found in [6],

$$\binom{n}{k_1, \dots, k_m} = \sum_{r=0}^{n-k_1} \sum_{\substack{r_2+\dots+r_m=r \\ 0 \leq r_j \leq k_j}} \binom{r}{0, r_2, \dots, r_m} \binom{n-r-1}{k_1-1, k_2-r_2, \dots, k_m-r_m}.$$

Interpreting  $\binom{n}{k_1, \dots, k_m}$  as the number of sequences in  $S_n^m(k_1, \dots, k_m)$ , then each term of the sum accounts for the sequences  $(\sigma_1, \dots, \sigma_n)$  such that  $\sigma_{r+1}$  equals 1 and  $(\sigma_1, \dots, \sigma_r)$  is a sequence with  $r_2$  2's,  $\dots$ ,  $r_m$  m's.

This can generalize as follows.

**Proposition 2.7** *If  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m$  is equal to  $n$ , then  $\binom{n}{k_1, \dots, k_m}_q$  is equal to*

$$\sum_{\substack{E \subset [n] \\ |E|=k_1}} \sum_{\substack{r_{i,2}+\dots+r_{i,m}=n_i \\ r_{1,j}+\dots+r_{s,j}=k_j \\ 0 \leq r_{i,j}}} q^{\binom{\sum_{(i,j) \in [s] \times [m]} f(r_{i,j})}{n_1}} \binom{n_1}{0, r_{1,2}, \dots, r_{1,m}}_q \dots \binom{n_s}{0, r_{s,2}, \dots, r_{s,m}}_q$$

where  $E = \{e_1, \dots, e_{k_1}\}$  with  $e_i < e_{i+1}$  for every  $1 \leq i \leq k_1 - 1$ ;  $s$  is equal to  $k_1 + 1$ ;  $n_1$  equals  $e_1 - 1$ ;  $n_i$  equals  $e_i - e_{i-1} - 1$  for every  $2 \leq i \leq k_1$ ;  $n_s$  equals  $n - e_{k_1}$ ; and  $f(r_{i,j}) = r_{i,j} \left( k_1 - i + 1 + \sum_{v=2}^{j-1} \sum_{u=i+1}^s r_{u,v} \right)$  for every  $(i, j) \in [s] \times [m]$ .

**Proof.** Interpret  $\binom{n}{k_1, \dots, k_m}_q$  as the generating function for inversions on  $S_n^m(k_1, \dots, k_m)$ . Given any such sequence  $\sigma = (\sigma_1, \dots, \sigma_n)$ , let  $E$  be the set  $\{i \in [n] \mid \sigma_i = 1\}$ .

The remainder of the proof is similar to that of Proposition 2.6, with two exceptions. For every  $i$  in  $[s]$ , define  $X_i$  to be  $\{x \in \mathbb{Z} \mid n_1 + \dots + n_{i-1} + i \leq x \leq n_1 + \dots + n_i + i - 1\}$ . Second, observe that the term  $k_1 - i + 1$  in the expression of  $f(r_{i,j})$  is to account for ordered pairs  $(a, b)$  such that  $a$  is in  $X_i$  and  $\sigma_b$  is equal to 1.  $\square$

## 2.6 "Apartment Complex" Identity

The following identity was adapted from an identity contained in [8]. Consider a hypothetical scenario with an apartment complex whose buildings will contain exactly one unit per floor. Assume there are to be  $n_1$  buildings, with  $n_2$  of them receiving a second floor. Exactly  $k$  of the units will be rented.

$$\binom{n_1}{n_2} \binom{n_1 + n_2}{k} = \sum_{k_1 + k_2 = k} \binom{n_1}{k_1} \binom{n_1}{n_1 - n_2, k_2, n_2 - k_2}.$$

The complex owner could first choose which  $n_2$  of the  $n_1$  buildings will receive a second floor, and then  $k$  tenants could choose which of the  $n_1 + n_2$  units to rent. Alternatively,

for all  $k_1$  in between 0 and  $k$ , the owner could first rent out  $k_1$  of the  $n_1$  first floor units, and then of the  $n_1$  buildings:  $n_1 - n_2$  buildings could receive no second floor;  $k_2$  of them could receive a second floor that is rented; and  $n_2 - k_1$  could receive a second floor that is unrented. This can generalize as follows.

**Proposition 2.8** *If  $n_1, \dots, n_j, k$  are nonnegative integers such that  $n_j \leq \dots \leq n_1$  and  $k \leq n_1 + \dots + n_j$ , then*

$$\left( \prod_{i=2}^j \binom{n_{i-1}}{n_i} \right) \binom{n_1 + \dots + n_j}{k} = \sum_{k_1 + \dots + k_j = k} \binom{n_1}{k_1} \prod_{i=2}^j \binom{n_{i-1}}{n_{i-1} - n_i, n_i - k_i, k_i}.$$

**Proof.** For every  $2 \leq i \leq j$ , let  $S_{i-1}$  be the set  $S_{n_{i-1}}^2(n_i, n_{i-1} - n_i)$ . Also let  $S_j$  be the set  $S_{n_1 + \dots + n_j}^2(k, n_1 + \dots + n_j - k)$ . In addition, let  $T_1$  be the set  $S_{n_1}^2(k_1, n_1 - k_1)$ . For every  $2 \leq i \leq j$ , let  $T_i$  be the set  $S_{n_{i-1}}^3(n_{i-1} - n_i, n_i - k_i, k_i)$ .

Define

$$\varphi: \prod_{i=1}^j S_i \rightarrow \prod_{i=1}^j T_j \text{ via } (\sigma^1, \dots, \sigma^j) \mapsto (\tau^1, \dots, \tau^j)$$

in the following way. For every  $1 \leq i \leq j - 1$ , let  $C_i = \{s \in [n_i] \mid \sigma_s^i = 2\}$ . Express  $C_i$  as  $\{c_{i,1}, \dots, c_{i,n_{i+1}}\}$  where  $c_{i,p} < c_{i,p+1}$  for every  $1 \leq p \leq n_{i+1} - 1$ . Further, let  $N_i$  be equal to  $n_1 + \dots + n_i$ . Finally, for every  $1 \leq i \leq j - 1$ , let

$$\begin{aligned} \tau_s^1 &= \sigma_s^j, \\ \tau_s^{i+1} &= \begin{cases} 1 & \text{if } \sigma_s^i = 1, \\ 2 & \text{if } \sigma_s^i = 2 \text{ and } \sigma_{N_i+p}^j = 1 \text{ where } s = c_{i,p}, \\ 3 & \text{if } \sigma_s^i = 2 \text{ and } \sigma_{N_i+p}^j = 2 \text{ where } s = c_{i,p}. \end{cases} \end{aligned}$$

The desired result follows from observing that  $\varphi$  is bijective.  $\square$

**Proposition 2.9** *If  $n_1, \dots, n_j, k$  are nonnegative integers such that  $n_j \leq \dots \leq n_1$  and  $k \leq n_1 + \dots + n_j$ , then*

$$\left( \prod_{i=2}^j \binom{n_{i-1}}{n_i}_q \right) \binom{n_1 + \dots + n_j}{k}_q = \sum_{k_1 + \dots + k_j = k} q^{f(K)} \binom{n_1}{k_1}_q \prod_{i=2}^j \binom{n_{i-1}}{n_{i-1} - n_i, n_i - k_i, k_i}_q$$

where  $f(K) = \sum_{i=1}^{j-1} k_i \left( \sum_{u=i+1}^j n_u - k_u \right)$  for every  $K$  equal to  $(k_1, \dots, k_j)$ .

**Proof.** We will utilize the notation of Proposition 2.8 and interpret the  $q$ -analogs within this identity as generating functions for the inversion statistic on sequences.

We will begin by accounting for the inversions associated with  $\binom{n_1 + \dots + n_j}{k}_q$ . For every  $i$  in  $[j]$ , define  $X_i$  to be  $\{x \in \mathbb{Z} \mid n_0 + \dots + n_{i-1} + 1 \leq x \leq n_1 + \dots + n_i\}$  where  $n_0$  is

equal to zero. Observe that ordered pairs  $(a, b)$  associated with  $\text{inv}(\sigma^j)$  are of exactly one of the following forms:  $a, b$  are both in  $X_i$  for some  $i$  in  $[j]$ ;  $a, b$  are not both in  $X_i$  for some  $i$  in  $[j]$ .

Note that for every  $i$  in  $[j]$ , the ordered pairs  $(a, b)$  associated with inversions of  $\text{inv}(\sigma^j)$  such that  $a, b$  are both in  $X_i$  is accounted for by

$$\begin{aligned} & \text{inv}(\tau^1), \text{ when } i = 1; \\ & \sum_{\tau_s^i=2} r(\tau_s^i), \text{ when } i \geq 2. \end{aligned}$$

Also note that  $q^{f(K)}$  accounts for ordered pairs  $(a, b)$  associated with inversions such that  $a, b$  are not both in  $X_i$  for some  $i$  in  $[j]$ .

We will now account for inversions associated with  $\prod \binom{n_i-1}{n_i}_q$ . Observe that for every  $2 \leq i \leq j$ ,

$$\text{inv}(\sigma^{i-1}) = \sum_{\sigma_s^{i-1}=1} r(\sigma_s^{i-1}) = \sum_{\tau_s^i=1} r(\tau_s^i).$$

The desired result follows as an application of Corollary 1.3.  $\square$

Notice that developing a complete enumerative understanding of the original ‘‘apartment complex’’ identity in terms of sequences enabled us to develop the corresponding  $q$ -analog. It is the viewpoint of the authors that a deep grasp of the enumerative combinatorics of any binomial or multinomial identity enables the development of a  $q$ -analog generalization.

### 3 Galois Numbers and Integer Partitions

In this section, we will investigate a connection between the coefficients of generalized Galois numbers and integer partitions with kinds.

#### 3.1 Major Index Statistic

To support our investigation, we will require a different statistic on sequences from [5].

**Definition 3.1** *If  $m, n$  are nonnegative integers and  $\sigma = (\sigma_1, \dots, \sigma_n)$  is a sequence whose elements are in  $[m]$ , then*

$$\text{maj}(\sigma) := \sum_{\substack{a \in [n-1] \\ \sigma_a > \sigma_{a+1}}} a.$$

*The value of  $\text{maj}(\sigma)$  shall be referred to as the major index of  $\sigma$ .*

Figure 5 contains some examples, and the following two lemmas and corollary will develop additional familiarity with the major index statistic while also proving useful in a later theorem.

2211	2121	2112
$\text{maj}(\sigma) = 2$	$\text{maj}(\sigma) = 4$	$\text{maj}(\sigma) = 1$
1221	1212	1122
$\text{maj}(\sigma) = 3$	$\text{maj}(\sigma) = 2$	$\text{maj}(\sigma) = 0$

Figure 5: All sequences of length 4 with two 2s and two 1s.

**Lemma 3.2** *Let  $m, n, k$  be nonnegative integers such that  $n - m + 1 \geq k + 1$ ,*

$$\begin{aligned} \mathcal{M}_n^{m+1}(k) &:= \{ \sigma \in S_n^{m+1} \mid \text{maj}(\sigma) = k \}, \\ A_i &= \{ \sigma \in \mathcal{M}_n^{m+1}(k) \mid \sigma_{n-i} = \sigma_{n-i+1} \} \text{ when } 1 \leq i \leq m-1, \\ A_m &= \{ \sigma \in \mathcal{M}_n^{m+1}(k) \mid \sigma_n = m+1 \}. \end{aligned}$$

Then,

$$\mathcal{M}_n^{m+1}(k) \setminus \bigcup_{i \in [m]} A_i = \{ \sigma \in \mathcal{M}_n^{m+1}(k) \mid \sigma_{k+1} = 1 \text{ and } \omega = (1, 2, \dots, m) \},$$

where  $\omega = (\sigma_{n-m+1}, \dots, \sigma_n)$  is the subsequence of  $\sigma$  containing its last  $m$  elements.

**Proof.** Let  $\sigma$  be in  $\mathcal{M}_n^{m+1}(k) \setminus \cup A_i$ . Since  $n - m + 1$  must be at least  $k + 1$  in value and  $\text{maj}(\sigma)$  is equal to  $k$ , the subsequence  $\omega$  must be nondecreasing. In addition, since  $\sigma$  is not in  $\cup A_i$ , the subsequence  $\omega$  must be strictly increasing and not end in  $m + 1$ . Given that the length of  $\omega$  is  $m$ , it is forced that  $\omega = (1, 2, \dots, m)$ . The desired inclusion follows from observing that for every  $k + 1 \leq j \leq n - m + 1$ , the value of  $\sigma_j$  must be 1 or else the major index of  $\sigma$  would be greater than  $k$ .

The reverse inclusion follows by the definitions of the  $A_i$ 's and  $\mathcal{M}_n^{m+1}(k)$ .  $\square$

**Corollary 3.3** *Let  $m, n, k$  be nonnegative integers such that  $n - m + 1 \geq k + 1$ . Also let  $A_1, \dots, A_m$  be as in Lemma 3.2. Then,*

$$\left| \mathcal{M}_n^{m+1}(k) \setminus \bigcup_{i \in [m]} A_i \right| = \left| \{ \sigma \in \mathcal{M}_{k+1}^{m+1}(k) \mid \sigma_{k+1} = 1 \} \right|.$$

**Proof.** The result follows from observing that for every  $\sigma$  in  $\mathcal{M}_n^{m+1}(k) \setminus \cup A_i$ , the value of elements  $\sigma_{k+2}, \dots, \sigma_n$  are fixed and can be removed without affecting  $\text{maj}(\sigma)$ .  $\square$

**Lemma 3.4** *Let  $m, n, k$  be nonnegative integers such that  $n - m + 1 \geq k + 1$ . Also let  $A_1, \dots, A_m$  and  $\omega$  be as in Lemma 3.2. If  $J$  is a subset of  $[m]$  with  $|J| = i$ , then*

$$\left| \bigcap_{j \in J} A_j \right| = \left| \mathcal{M}_{n-i}^{m+1}(k) \right|.$$

**Proof.** Let  $\varphi: \cap A_j \rightarrow \mathcal{M}_{n-i}^{m+1}(k)$  via  $\sigma \mapsto \bar{\sigma}$ , where  $\sigma$  is  $(\sigma_1, \dots, \sigma_{n-m}, \omega_1, \dots, \omega_m)$  and  $\bar{\sigma}$  is the subsequence of  $\sigma$  with  $\omega_j$  removed for every  $j \in J$ . Note that  $\bar{\sigma}$  is of the proper length for the expressed codomain of  $\varphi$ . Also note that the elements of  $\sigma$  whose indices are accounted for by  $\text{maj}(\sigma)$  are unaffected by  $\varphi$ : when  $|J| < m$ , the values of  $n - m$  is at least  $k$ ; when  $|J| = m$ , every  $\omega_i$  equals  $m + 1$ . As such, the values of  $\text{maj}(\sigma)$  and  $\text{maj}(\bar{\sigma})$  are equal. Hence, the image of  $\varphi$  is contained within the desired codomain.

To show surjectivity, observe that each  $A_j$  in  $\cap A_j$  induces a loss of one degree of freedom in the expression of any  $\sigma$  from  $\mathcal{M}_n^{m+1}(j)$ . Viewing this loss as being induced on the element  $\omega_j$ , the map  $\varphi$  results in  $\bar{\sigma}$  being free from the adjacent element equality that is forced by the  $A_j$ 's.

To show injectivity, consider  $\sigma^1, \sigma^2$  in  $\cap A_j$  such that  $\sigma^1$  and  $\sigma^2$  are unequal. Let  $a$  be the largest index of element such that  $\sigma_a^1$  differs from  $\sigma_a^2$ . If  $a$  is greater than  $n - m$ , the result follows from observing that  $\sigma_a^1$  and  $\sigma_a^2$  are necessarily not among the  $\omega_j$  removed by  $\varphi$ . Should  $a$  be at most  $n - m$ , the result follows given that such  $\sigma_a^1$  and  $\sigma_a^2$  are unaffected by  $\varphi$ .  $\square$

It is encouraged to take a moment to observe the parallelism that exists between Figure 1 and Figure 5. This parallelism is in fact not a coincidence. MacMahon showed in [5] that when considering the set of sequences  $S_n^m(k_1, \dots, k_m)$ , the generating function for major index and the generating function for inversions are equal. Stated precisely, if  $m, n, k_1, \dots, k_m$  are nonnegative integers such that  $k_1 + \dots + k_m = n$ , then

$$\binom{n}{k_1, \dots, k_m}_q = \sum_{\sigma \in S_n^m(k_1, \dots, k_m)} q^{\text{inv}(\sigma)} = \sum_{\sigma \in S_n^m(k_1, \dots, k_m)} q^{\text{maj}(\sigma)}. \quad (1)$$

### 3.2 The Insertion Method

We now want to describe a construction that uses the major index statistic to form a unique sequence of length  $n$  whose entries are in  $[m]$  from a given fundamental sequence in  $F_n^m$ . This construction, called The Insertion Method, was first developed by Carlitz [1] and later was clarified by Wilson [11].

Let  $m, n$  be nonnegative integers, and let  $(F_1, \dots, F_m)$  be a fundamental sequence in  $F_n^m$ . For every  $v$  in  $[m]$ , list the elements of  $F_v$  in nonincreasing order, labeling them as  $f_{v,1} \geq \dots \geq f_{v,k_v}$  where  $k_v$  equals  $|F_v|$ . The sequence  $(f_{1,1}, f_{1,2}, \dots, f_{m,k_m})$  will be referred to as  $\tau = (\tau_1, \dots, \tau_n)$ . Also define the value function  $v: [n] \rightarrow [m]$  such that  $v(i)$  equals  $j$ , where  $\tau_i$  corresponds to its respective  $f_{j,k}$ . We will build a sequence  $\sigma$  in  $S_n^m$  inductively using  $\tau$  and  $v$ .

Let  $\sigma^1 = (v(1))$ . For every  $2 \leq i \leq n$ , there is some  $a \in [i]$  such that  $\sigma_a^i$  equals  $v(i)$ . Moreover, the sequence  $\sigma^i$  shall be of the form

$$\sigma_b^i = \begin{cases} v(i) & \text{when } b = a, \\ \sigma_b^{i-1} & \text{when } 1 \leq b < a, \\ \sigma_{b-1}^{i-1} & \text{when } a < b \leq i. \end{cases}$$

The value  $a$  shall be determined by the following process:

1. Label  $\sigma_i^i$  with a zero.
2. Working greatest to least among  $j$  in  $[i - 2]$ , for every  $\sigma_j^{i-1} > \sigma_{j+1}^{i-1}$  label  $\sigma_{j+1}^i$  with successively increasing positive integers  $1, 2, 3, \dots, d$ .
3. Working least to greatest among  $j$  in  $[i - 1]$ , if  $\sigma_j^i$  is currently unlabeled, label  $\sigma_j^i$  with successively positive integers  $d + 1, d + 2, \dots, i - 1$ .
4. Find the  $\sigma_j^i$  labeled with a  $\tau_i$ , and let  $a$  equal  $j$ .

**Example 3.5** Consider the fundamental sequence

$$(F_1, F_2, F_3, F_4) = (\{0, 0\}, \{1\}, \{2, 3\}, \{1, 5\}).$$

Note the contents of Figure 6.

$i$	$\tau_i$	$v(i)$	Labeling for $\sigma^i$	$\sigma^i$	$\text{maj}(\sigma^i)$
1	0	1		(1)	0
2	0	1	(1, 0)	(1, 1)	0
3	1	2	(1, 2, 0)	(2, 1, 1)	1
4	3	3	(2, 1, 3, 0)	(2, 1, 3, 1)	4
5	2	3	(3, 2, 4, 1, 0)	(2, 3, 1, 3, 1)	6
6	5	4	(3, 4, 2, 5, 1, 0)	(2, 3, 1, 4, 3, 1)	11
7	1	4	(4, 5, 3, 6, 2, 1, 0)	(2, 3, 1, 4, 3, 4, 1)	12

Figure 6: The construction of  $\sigma$  for Example 3.5

The proof of the fact that The Insertion Method provides a bijection from  $F_n^m$  to  $S_n^m$  is omitted here as it is contained in [1]. Additional consequences of [1] include:  $\text{maj}(\sigma^i) = \text{maj}(\sigma^{i-1}) + \tau_i$ , which will be an essential observation for the two propositions that follow.

**Proposition 3.6** *Let  $m, n$  be nonnegative integers, let  $\sigma$  be in  $S_n^m$ , and let  $F(\sigma)$  be the fundamental sequence of  $\sigma$ . Then,  $\sigma_n$  equals 1 if and only if all elements of the multisets  $F_2, \dots, F_m$  are nonzero.*

**Proof.** The desired result follows from: step 1 in The Insertion Method, namely that  $\sigma_i^i$  is labeled with a zero; and the fact that  $\text{maj}(\sigma^i) = \text{maj}(\sigma^{i-1}) + \tau_i$   $\square$

Our observations can be further clarified through the following.

**Proposition 3.7** *Let  $m, n, k$  be nonnegative integers. Then*

$$F_n^m(k) := \{F(\sigma) \mid \sigma \in S_n^m \text{ and } \text{inv}(\sigma) = k\} = \{F(\sigma) \mid \sigma \in S_n^m \text{ and } \text{maj}(\sigma) = k\}.$$

**Proof.** The desired result follows from: the definition of  $F(\sigma)$ ; and the fact that  $\text{maj}(\sigma^i) = \text{maj}(\sigma^{i-1}) + \tau_i$ .  $\square$

### 3.3 Integer Partitions with Kinds

We will now define the notion of an integer partition with kinds, which can be found in [3].

**Definition 3.8** *Let  $k, m$  be nonnegative integers. An integer partition of  $k$  with  $m$  kinds is a composition of  $k$  whose parts are positive integers of the form*

$$k = k_1^1 + \cdots + k_1^{i_1} + k_2^1 + \cdots + k_2^{i_2} + \cdots + k_m^1 + \cdots + k_m^{i_m},$$

where  $i_1, \dots, i_m$  are nonnegative integers, and when  $i_a$  is nonzero  $k_a^j \geq k_a^{j+1}$  for all  $j$  in the set  $[i_a - 1]$ . The set of all integer partitions of  $k$  with  $m$  kinds shall be referred to as  $P_k^m$ .

Figure 7 contains some examples.

$3_1$	$3_2$	$2_1 + 1_1$	$2_1 + 1_2$	$1_1 + 2_2$
$2_2 + 1_2$	$1_1 + 1_1 + 1_1$	$1_1 + 1_1 + 1_2$	$1_1 + 1_2 + 1_2$	$1_2 + 1_2 + 1_2$

Figure 7: The integer partitions of 3 with 2 kinds.

**Proposition 3.9** *Let  $m, n, k$  be nonnegative integers such that  $k \leq n$ . Then*

$$|P_k^m| = \left| \{ (F_1, \dots, F_{m+1}) \in F_n^{m+1}(k) \mid 0 \notin F_2, \dots, 0 \notin F_{m+1} \} \right|.$$

**Proof.** Define  $\varphi: P_k^m \rightarrow \{ (F_1, \dots, F_{m+1}) \in F_n^{m+1}(k) \mid 0 \notin F_2, \dots, 0 \notin F_{m+1} \}$  via

$$k_1^1 + \cdots + k_1^{i_1} + k_2^1 + \cdots + k_2^{i_2} + \cdots + k_m^1 + \cdots + k_m^{i_m} \mapsto (F_1, \dots, F_{m+1}),$$

where: for all  $2 \leq j \leq m+1$ , the multiset  $F_j$  equals  $\{k_{j-1}^1, \dots, k_{j-1}^{i_j}\}$ ; and  $F_1$  is a multiset of cardinality  $n - i_1 - \cdots - i_m$  containing only zeros. Since each  $k_j^i$  is positive: the value of  $i_1 + \cdots + i_m$  is at most  $k$  and hence  $|F_1|$  is nonnegative; and all elements of the multisets  $F_2, \dots, F_{m+1}$  are nonzero.

Observing that Definition 1.8 implies  $F_1$  must contain only zeros for any fundamental sequence, the desired bijectivity of  $\varphi$  follows naturally from its rule of assignment.  $\square$

### 3.4 Generalized Galois Numbers

We will begin by defining a generalized Galois number, which can be found in [10].

**Definition 3.10** *If  $m, n$  are nonnegative integers, then*

$$G_n^m := \sum_{k_1 + \cdots + k_m = n} \binom{n}{k_1, \dots, k_m}_q.$$

*This polynomial is sometimes referred to as the generalized Galois number of  $(m, n)$ .*

$$\begin{aligned}
G_2^3 &= 3q + 6 & G_3^3 &= q^3 + 8q^2 + 8q + 10 \\
G_4^3 &= 3q^5 + 9q^4 + 18q^3 + \cdots + 15 & G_5^3 &= 3q^8 + \cdots + 48q^4 + 45q^3 + \cdots + 21 \\
G_6^3 &= q^{12} + \cdots + 107q^4 + 82q^3 + \cdots + 28 & G_7^3 &= 3q^{16} + \cdots + 186q^4 + 129q^3 + \cdots + 36
\end{aligned}$$

Figure 8: Generalized Galois numbers  $G_2^3, \dots, G_7^3$ .

Figure 8 contains examples of generalized Galois numbers of  $(3, n)$  that were calculated using a recursive relation from [10].

**Proposition 3.11** *If  $m, n$  are nonnegative integers, then*

$$G_n^m = \sum_{\sigma \in S_n^m} q^{\text{inv}(\sigma)} = \sum_{\sigma \in S_n^m} q^{\text{maj}(\sigma)}.$$

**Proof.** The result follows from Definition 3.10 and Equation (1).  $\square$

One final definition, from [2], is needed to concisely state the theorem that follows.

**Definition 3.12** *Let  $f: \mathbb{Z} \rightarrow \mathbb{Z}$  be a function, and define the finite difference of  $f$  to be*

$$\nabla f: \mathbb{Z} \rightarrow \mathbb{Z} \quad \text{via} \quad n \mapsto f(n) - f(n-1).$$

Inductively defining the  $m^{\text{th}}$ -finite difference of  $f$  to be  $\nabla^m f := \nabla(\nabla^{m-1} f)$  for any positive integers  $m \geq 2$ , a standard result that can be found in [2] follows

$$\nabla^m f(n) = \sum_{i=0}^m (-1)^i \binom{m}{i} f(n-i). \quad (2)$$

Letting  $f_k^3(n)$  be the coefficient of  $q^k$  in the simplified polynomial  $G_n^3$ , Figure 9 contains some example finite difference computations.

$$\begin{array}{cccc}
\nabla^2 f_3^3(4) = 16 & \nabla^2 f_3^3(5) = 10 & \nabla^2 f_3^3(6) = 10 & \nabla^2 f_3^3(7) = 10 \\
\nabla^2 f_4^3(4) = 9 & \nabla^2 f_4^3(5) = 30 & \nabla^2 f_4^3(6) = 20 & \nabla^2 f_4^3(7) = 20
\end{array}$$

Figure 9: Sample finite difference computations using  $f_k^3(n)$ .

Observe that  $\nabla^2 f_3^3(5)$ ,  $\nabla^2 f_3^3(6)$ ,  $\nabla^2 f_3^3(7)$  are equal to the number of integer partitions of 3 with 2 kinds (from Figure 7).



**Theorem 3.13** *Let  $m, n, k$  be nonnegative integers such that  $n \geq m + k$ . Then,*

$$\nabla^m f_k^{m+1}(n) = |P_k^m|,$$

where  $f_k^{m+1}(n)$  evaluates to the coefficient of  $q^k$  in the simplified polynomial  $G_n^{m+1}$ .

**Proof.** By the definition of  $\mathcal{M}_n^{m+1}(k)$  in Lemma 3.2, observe that  $f_k^{m+1}(n - i)$  is equal to  $|\mathcal{M}_{n-i}^{m+1}(k)|$ . Applying this observation and Equation 2, we have that

$$\nabla^m f_k^{m+1}(n) = \sum_{i=0}^m (-1)^i \binom{m}{i} |\mathcal{M}_{n-i}^{m+1}(k)|.$$

Note that the assumed relation  $n \geq m + k$  satisfies the similar assumption of Lemma 3.2 and Lemma 3.4. Applying these two lemmas and the Principle of Inclusion and Exclusion from [2], the following equality is yielded

$$\sum_{i=0}^m (-1)^i \binom{m}{i} |\mathcal{M}_{n-i}^{m+1}(k)| = \left| \mathcal{M}_n^{m+1}(k) \setminus \bigcup_{i \in [m]} A_i \right|,$$

where  $A_1, \dots, A_m$  are as defined in Lemma 3.2. Letting  $\mathcal{T}$  be  $\{\sigma \in \mathcal{M}_{k+1}^{m+1}(k) \mid \sigma_{k+1} = 1\}$  and applying Corollary 3.3, it follows that

$$\nabla^m f_k^{m+1}(n) = |\mathcal{T}|.$$

By restricting the domain of  $\varphi$  from Proposition 1.9, we have that

$$|\mathcal{T}| = |\{F(\sigma) \mid \sigma \in \mathcal{T}\}|.$$

Since the rightmost element of every sequence in  $\mathcal{T}$  is 1, Proposition 3.6 applies to  $\mathcal{T}$  and it follows that

$$\nabla^m f_k^{m+1}(n) = |\{(F_1, \dots, F_{m+1}) \in F_{k+1}^{m+1}(k) \mid 0 \notin F_2, \dots, 0 \notin F_{m+1}\}|.$$

Further applying Proposition 3.9, the desired result is achieved.  $\square$

Stated explicitly, Theorem 3.13 expresses that as  $n$  grows the  $m^{\text{th}}$  finite difference of  $f_k^{m+1}(n)$  is eventually constant, and the resulting constant is precisely the number of integer partitions of  $k$  with  $m$  kinds. Reflecting back to Figure 9, observe that the sample computations of  $\nabla^2 f_k^3(n)$  become constant when  $n$  is at least  $k + 2$  in value.

**Corollary 3.14** *If  $n, k$  are nonnegative integers such that  $n \geq k$ , then*

$$\left. \frac{d^k}{dq^k} \left( \frac{G_{n+1}^2 - G_n^2}{k!} \right) \right|_{q=0} = \text{part}(k),$$

where  $\frac{d}{dq}$  is the derivative operator on polynomials and  $\text{part}(k)$  is the number of integer partitions of  $k$  with 1 kind.

**Proof.** Follows directly from Theorem 3.13 and Taylor's Theorem.  $\square$

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*Adrian Avalos*

Coastal Carolina University  
100 Chanticleer Drive East  
Conway, SC 29528  
E-mail: [alavalos@coastal.edu](mailto:alavalos@coastal.edu)

*Mark Bly*

Coastal Carolina University  
100 Chanticleer Drive East  
Conway, SC 29528  
E-mail: [mbly@coastal.edu](mailto:mbly@coastal.edu)