DUALITY OF GRADED GRAPHS THROUGH OPERADS

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ABSTRACT. We use operads, algebraic devices abstracting the notion of composition of combinatorial objects, to build pairs of graded graphs. For this, we first construct a pair of graded graphs where vertices are syntax trees, the elements of free nonsymmetric operads. This pair of graphs is dual for a new notion of duality called ϕ -diagonal duality, similar to the ones introduced by Fomin. We also provide a general way to build pairs of graded graphs from operads, wherein underlying posets are analogs to the Young lattice. Some examples of operads leading to new pairs of graded graphs involving integer compositions, Motzkin paths, and m-trees are considered.

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Introduction

The well-known formula

$$\sum_{\lambda \vdash n} f_{\lambda}^2 = n!,\tag{0.0.1}$$

relating the numbers f_{λ} of standard Young tableaux of shape λ and number of permutations is one of the most fascinating identities appearing in algebraic combinatorics. This formula, admitting a lot of different proofs [Sag01], arises in the context of representations of symmetric groups and the Robinson–Schensted correspondence. One of its proofs is surprisingly beautiful and uses the Young lattice $\mathbb Y$ on integer partitions and its structure of a differential poset [Sta88]. Such a poset satisfies the relation

$$\mathbf{U}^{\star}\mathbf{U} - \mathbf{U}\mathbf{U}^{\star} = I \tag{0.0.2}$$

where I is the identity map, and \mathbf{U} (resp. \mathbf{U}^{\star}) is the linear map sending each element of the poset to the formal sum of its coverings (resp. of the elements it covers). One can interpret (0.0.1) as an identity between Hasse walks in \mathbb{Y} starting from the empty integer partition to an integer partition of rank n and returning to the empty integer partition.

A natural question concerns the generalization of this concept of differential posets, in order to obtain new combinatorial proofs similar to the previous formula or to discover new ones. In this context, the notion of graded graph duality [Fom94] makes sense. Here, we work not only with posets but with multigraphs wherein analogs of (0.0.2) hold between two different graphs, called pairs of dual graphs. All this maintains close connections with algebra since, from the origins, the Hasse diagram of \mathbb{Y} is in fact the multiplication graph of the algebra of the symmetric functions on the basis of Schur functions s_{λ} , where edges encode the multiplication by s_1 . A striking and nice fact is that one can construct similar graphs for other algebraic structures [Nze06,LS07] like the algebra of the noncommutative symmetric functions or the Hopf algebra of planar binary trees [HNT05].

The starting motivation of this work was to link this theory of duality of graded graphs with the theory of operads, with the aim to construct new pairs of dual graded graphs and explore the combinatorial properties they offer. Operads [Mé15,LV12,Gir18] are algebraic structures wherein elements are themselves operations, and can be composed. From a combinatorial point of view, operads allow to *insert* combinatorial objects inside other ones to form bigger ones [Gir15]. Since operads enclose a rich combinatorics, we can expect that these structures are good combinatorial sources to build interesting graphs.

We begin by constructing a pair $(S_{\bullet}(\mathfrak{G}), U, V)$ of graphs where vertices are the elements of free nonsymmetric operads, that are planar rooted trees labeled on an alphabet \mathfrak{G} . The graphs $(S_{\bullet}(\mathfrak{G}), U)$ and $(S_{\bullet}(\mathfrak{G}), V)$ are dual with respect to a new notion of duality called ϕ -diagonal duality, generalizing in a certain way some of the previous ones. Also, the poset for which $(S_{\bullet}(\mathfrak{G}), U)$ is the Hasse diagram has some combinatorial properties like to be a meet-semilattice and all to have all intervals that are distributive lattices. Then, given an operad 0 satisfying some not so restrictive properties, we extend the previous construction to build a pair of graded graphs $(0_{\bullet}, U, V)$, potentially ϕ -diagonal dual. We consider four examples: the pair corresponding with the associative operad (which is called the *chain*

in [Fom94]), with the diassociative operad [Lod01] (which is not ϕ -diagonal dual but is ϕ -diagonal self-dual), with the operad of integer compositions [Gir15] (which leads to the composition poset introduced in [BP05]), and with the operad of Motzkin paths [Gir15] (which is ϕ -diagonal dual).

This paper is organized as follows. Section 1 contains the preliminary definitions used in the rest of the document including graded graphs, formal power series on combinatorial objects, syntax trees, and nonsymmetric operads. We also set here our definition of ϕ -diagonal duality. We then introduce in Section 2 two graded graphs of syntax trees: the prefix graded graph ($S_{\bullet}(\mathfrak{G})$, U) and the twisted prefix graded graph ($S_{\bullet}(\mathfrak{G})$, V). We prove here in particular that the pair of graded graphs ($S_{\bullet}(\mathfrak{G})$, U, V) is ϕ -diagonal dual for a certain linear map ϕ . Some combinatorial properties of these graphs are established: the numbers of Hasse walks in the prefix graded graphs are related with the hook-length formula of trees [Knu98] and the numbers of Hasse walks in the twisted ones are related with a variation of this formula. In Section 3, we study the posets associated with the prefix graded graphs. In particular, we describe the structure of the intervals of these posets. Finally, Section 4 is devoted to generalize the previous constructions of graded graphs in order to obtain pairs of graded graphs from nonsymmetric operads subjected to some conditions. We apply these constructions to some operads introduced in [Gir15], involving integer compositions, Motzkin paths, and m-trees.

General notations and conventions. All the considered vector spaces are defined over a ground field \mathbb{K} of characteristic zero. For any integers i and j, [i,j] denotes the set $\{i,i+1,\ldots,j\}$. For any integer i, [i] denotes the set [1,i]. The empty word is denoted by ϵ .

1. Graded graphs, trees, and operads

We start by setting up our context by providing definitions about graded sets, series, graded graphs, and nonsymmetric operads. We introduce also the notion of ϕ -diagonal duality.

- 1.1. **Graded graphs and diagonal duality.** The aim of this section is to make some recalls about graded graphs and their associated formal power series, about graded graph duality, and to introduce ϕ -diagonal duality.
- 1.1.1. Graded sets. A graded set is a set expressing as a disjoint union

$$G := \bigsqcup_{d \in \mathbb{N}} G(d) \tag{1.1.1}$$

such that all G(d), $d \in \mathbb{N}$, are sets. The rank rk(x) of an $x \in G$ is the unique integer d such that $x \in G(d)$. A graded set is *combinatorial* if all G(d), $d \in \mathbb{N}$, are finite. In this case, the *generating series* $\mathcal{R}_G(t)$ of G is defined by

$$\mathcal{R}_G(t) := \sum_{x \in G} t^{\text{rk}(x)} \tag{1.1.2}$$

and counts the elements of G with respect to their ranks. If G_1 and G_2 are two graded sets, a map $\psi: G_1 \to G_2$ is a graded set morphism if for any $x \in G_1$, $\operatorname{rk}(\psi(x)) = \operatorname{rk}(x)$. Besides G_2 is a graded subset of G_1 if for any $d \in \mathbb{N}$, $G_2(d) \subseteq G_1(d)$.

1.1.2. Polynomials and series. We shall consider in the sequel linear spans of graded sets G, denoted by $\mathbb{K}\langle G \rangle$. The dual space $\mathbb{K}\langle\langle G \rangle\rangle$ of $\mathbb{K}\langle G \rangle$ is by definition the space of the maps $\mathbf{f}: G \to \mathbb{K}$, called G-series. Let \mathbf{f}_1 and \mathbf{f}_2 be two G-series. The scalar product of \mathbf{f}_1 and \mathbf{f}_2 is the element

$$\langle \mathbf{f}_1, \mathbf{f}_2 \rangle := \sum_{x \in G} \mathbf{f}_1(x) \mathbf{f}_2(x) \tag{1.1.3}$$

of \mathbb{K} . Note that the scalar product may be not defined for some G-series. For any subset X of G, the *characteristic series* of X is the G-series ch(X) satisfying, for any $x \in G$, $ch(X)(x) = [x \in X]$, where [-] is the Iverson bracket. By a slight abuse of notation, we denote simply by x the G-series $ch(\{x\})$. Let $\mathbf{f} \in \mathbb{K}(\langle G \rangle)$. Observe that for any $x \in G$, $\langle x, \mathbf{f} \rangle = \mathbf{f}(x)$. The *support* of \mathbf{f} is the set $Supp(\mathbf{f}) := \{x \in G : \langle x, \mathbf{f} \rangle \neq 0\}$. An element x of G appears in \mathbf{f} if $x \in Supp(\mathbf{f})$. By a slight abuse of notation, this property is denoted by $x \in \mathbf{f}$. By exploiting the vector space structure of $\mathbb{K}(\langle G \rangle)$, any G-series \mathbf{f} expresses as

$$\mathbf{f} = \sum_{x \in G} \langle x, \mathbf{f} \rangle x. \tag{1.1.4}$$

This notation using potentially infinite sums of elements of G accompanied with coefficients of \mathbb{K} is common in the context of formal power series. In the sequel, we shall define and handle some G-series using the notation (1.1.4). A G-series having a finite support is a G-polynomial. The space $\mathbb{K}\langle G\rangle$ can be seen as the subspace of $\mathbb{K}\langle\langle G\rangle\rangle$ consisting in all G-polynomials. The $Hadamard\ product$ of two G-series \mathbf{f}_1 and \mathbf{f}_2 is the series $\mathbf{f}_1 \boxtimes \mathbf{f}_2$ defined, for any $x \in G$, by $\langle x, \mathbf{f}_1 \boxtimes \mathbf{f}_2 \rangle := \langle x, \mathbf{f}_1 \rangle \langle x, \mathbf{f}_2 \rangle$.

The space of all generating series on one formal parameter t is denoted by $\mathbb{K}\langle\langle t \rangle\rangle$. The *trace* of a G-series \mathbf{f} is the generating series $\mathrm{tr}(\mathbf{f})$ of $\mathbb{K}\langle\langle t \rangle\rangle$ defined by

$$\operatorname{tr}(\mathbf{f}) := \sum_{x \in G} \langle x, \mathbf{f} \rangle t^{\operatorname{rk}(x)}. \tag{1.1.5}$$

This series could be not well-defined when G is not combinatorial. Observe that if f is the characteristic series of G, then tr(f) is the generating series of G.

1.1.3. Graded graphs. A graded graph [Fom94] is a pair (G, \mathbf{U}) where G is a combinatorial graded set of vertices and $\mathbf{U} : \mathbb{K}\langle G \rangle \to \mathbb{K}\langle G \rangle$ is a linear map such that $\mathbf{U}(x) \in \mathbb{K}\langle G(d+1) \rangle$ for any $x \in G(d)$. In the sequel, I is the identity map on $\mathbb{K}\langle G \rangle$.

Given a pair $(x,y) \in G^2$, let us set $\omega_U(x,y) := \langle y, U(x) \rangle$. We say that (x,y) is an edge of (G,U) if $\omega_U(x,y) \neq 0$. In this case the weight of this edge is $\omega_U(x,y)$. A path from $x_1 \in G$ to $x_\ell \in G$ is a sequence (x_1,\ldots,x_ℓ) , $\ell \geqslant 1$, of vertices of G such that for any $i \in [\ell-1]$, (x_i,x_{i+1}) is an edge of (G,U). The length of (x_1,\ldots,x_ℓ) is $\ell-1$ and its weight is

$$\omega_{\mathbf{U}}(x_1,\ldots,x_{\ell}) := \prod_{i \in [\ell-1]} \omega_{\mathbf{U}}(x_i,x_{i+1}). \tag{1.1.6}$$

As a particular case, the weight of any path of length 0 is 1. The set of all paths of (G, \mathbf{U}) from x to y is denoted by $\mathcal{P}_{\mathbf{U}}(x,y)$. When for all $(x,y) \in G^2$, the coefficients $\omega_{\mathbf{U}}(x,y)$ are nonnegative integers, (G,\mathbf{U}) is *natural*. In this case, one can interpret any edge $(x,y) \in G^2$ as a bunch of $\omega_{\mathbf{U}}(x,y)$ multi-edges from x to y. Hence, for any $x,y \in G$, the sum of the weights of all paths from x to y can be interpreted as the number of multipaths from x to y. When moreover all coefficients $\omega_{\mathbf{U}}(x,y)$ belong to $\{0,1\}$, (G,\mathbf{U}) is *simple*. Besides, when there is an element $\mathbf{0}$ of G such that for any $x \in G$, there is a path from $\mathbf{0}$ to x, (G,\mathbf{U}) is *rooted* and $\mathbf{0}$ is the *root* of the graded graph. Observe that if (G,\mathbf{U}) is rooted, its root is unique. In this case, for any $x \in G$, an *initial path* to x is a path from $\mathbf{0}$ to x in (G,\mathbf{U}) .

The *poset* of (G, \mathbf{U}) is the poset (G, \preccurlyeq) wherein $x \preccurlyeq y$ if there is a path in (G, \mathbf{U}) from the vertex x to the vertex y of G. The covering relation of this poset is denoted by $\lessdot_{\mathbf{U}}$ and it satisfies, for any $x, y \in G$, $x \lessdot_{\mathbf{U}} y$ if and only if y appears in $\mathbf{U}(x)$.

We shall draw graded graphs where edges are implicitly oriented from top to bottom. The weight of an edge is written onto it, with the convention that unlabeled edges have weight 1. For instance, Figure 1 shows the Young graded graph \mathbb{Y} . The poset of \mathbb{Y} is the

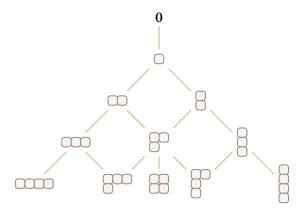


FIGURE 1. The Young graded graph up to integer partitions of size 4.

Young lattice [Sta88]. Recall that in \mathbb{Y} , vertices are integer partitions (represented as Young diagrams) and $\mathbf{U}(\lambda)$ is the sum of all partitions that can be obtained by adding one box to the integer partition λ .

Let $U^* : \mathbb{K}\langle G \rangle^* \to \mathbb{K}\langle G \rangle^*$ be the adjoint map of U. Due to the fact that G is combinatorial and $\mathbb{K}\langle G \rangle$ is a graded space decomposing as

$$\mathbb{K}\langle G \rangle = \bigoplus_{d \in \mathbb{N}} \mathbb{K}\langle G(d) \rangle \tag{1.1.7}$$

with finite dimensional homogeneous components $\mathbb{K}\langle G(d)\rangle$, $d\geqslant 0$, the space $\mathbb{K}\langle G\rangle$ can be identified with its graded dual $\mathbb{K}\langle G\rangle^*$. Therefore, for any $y\in G$,

$$\mathbf{U}^{\star}(y) = \sum_{x \in G} \langle x, \mathbf{U}(y) \rangle x = \sum_{x \in G} \omega_{\mathbf{U}}(x, y) x. \tag{1.1.8}$$

In the case where (G, \mathbf{U}) is rooted, the *hook series* of (G, \mathbf{U}) is the *G*-series $\mathbf{h}_{\mathbf{U}}$ defined by the functional equation

$$\langle x, \mathbf{h}_{\mathbf{U}} \rangle = [x = \mathbf{0}] + \langle \mathbf{U}^{\star}(x), \mathbf{h}_{\mathbf{U}} \rangle.$$
 (1.1.9)

For any $x \in G$, $\langle x, \mathbf{h}_{\mathbf{U}} \rangle$ is the *hook coefficient* of x in (G, \mathbf{U}) .

Proposition 1.1.1. Let (G, \mathbf{U}) be a rooted graded graph. For any $x \in G$,

$$\langle x, \mathbf{h}_{\mathbf{U}} \rangle = \sum_{p \in \mathcal{P}_{\mathbf{U}}(\mathbf{0}, x)} \omega_{\mathbf{U}}(p).$$
 (1.1.10)

Moreover, $\mathbf{h}_{U} = (I - \mathbf{U})^{-1}(\mathbf{0}).$

Proof. We proceed by induction on the rank d of x. When d = 0, since (G, \mathbf{U}) is rooted, we necessarily have $x = \mathbf{0}$. Therefore, since $[\mathbf{0} = \mathbf{0}] = 1$ and $\mathbf{U}^*(\mathbf{0}) = 0$, the property is satisfied in this case. Otherwise, $x \neq \mathbf{0}$ and we have by definition of hook series,

$$\langle x, \mathbf{h}_{\mathbf{U}} \rangle = \langle \mathbf{U}^{*}(x), \mathbf{h}_{\mathbf{U}} \rangle$$

$$= \left\langle \sum_{y \in G} \langle x, \mathbf{U}(y) \rangle y, \mathbf{h}_{\mathbf{U}} \right\rangle$$

$$= \sum_{y \in G} \langle x, \mathbf{U}(y) \rangle \langle y, \mathbf{h}_{\mathbf{U}} \rangle.$$
(1.1.11)

Now, for any $y \in G$, if x appears in U(y), then the rank of y is d-1, and by induction hypothesis, $\langle y, \mathbf{h}_U \rangle$ satisfies (1.1.10). Since all paths from 0 to x in (G, \mathbf{U}) decompose as paths from 0 to elements y of rank d-1 followed by edges from y to x, the statement of the proposition follows.

Let us establish the second part of the statement. For this, we prove that for any $x \in G$,

$$\langle x, \mathbf{U}^{\mathrm{rk}(x)}(\mathbf{0}) \rangle = \langle x, \mathbf{h}_{\mathbf{U}} \rangle$$
 (1.1.12)

by induction on the rank d of x. If d=0, since (G,\mathbf{U}) is rooted, x=0, and since $\langle \mathbf{0},\mathbf{U}^0(\mathbf{0})\rangle=1$ and $\langle \mathbf{0},\mathbf{h}_{\mathbf{U}}\rangle=1$, the property is satisfied. Assume that $d\geqslant 1$. By (1.1.11) and by induction hypothesis,

$$\langle x, \mathbf{h}_{\mathbf{U}} \rangle = \sum_{y \in G} \langle x, \mathbf{U}(y) \rangle \langle y, \mathbf{h}_{\mathbf{U}} \rangle$$

$$= \sum_{y \in G} \langle x, \mathbf{U}(y) \rangle \langle y, \mathbf{U}^{\mathrm{rk}(y)}(\mathbf{0}) \rangle$$

$$= \langle x, \mathbf{U}^{\mathrm{rk}(x)}(\mathbf{0}) \rangle.$$
(1.1.13)

Since finally $(I - \mathbf{U})^{-1} = \sum_{d \in \mathbb{N}} \mathbf{U}^d$, the stated expression for $\mathbf{h}_{\mathbf{U}}$ follows.

When (G, \mathbf{U}) is moreover natural, Proposition 1.1.1 says that the hook coefficient of any $x \in G$ can be interpreted as the number of initial multipaths to x in (G, \mathbf{U}) . These coefficients define a statistics on the elements of G which can be of independent combinatorial interest. For instance, the hook coefficient of a partition λ in \mathbb{Y} is given by the hook-length formula [FRT54], is also the number of initial paths to λ , and is also the number of standard Young tableaux of shape λ . Therefore, a standard Young tableau of

shape λ is to the integer partition λ what an initial path to $x \in G$ is to x in the case where (G, \mathbf{U}) is a natural rooted graded graph. Moreover, the *initial paths series* of (G, \mathbf{U}) is the generating series $\mathbf{ip}_{\mathbf{U}} := \mathrm{tr}(\mathbf{h}_{\mathbf{U}})$. By definition, for any $\ell \in \mathbb{N}$, the coefficient $\langle t^{\ell}, \mathbf{ip}_{\mathbf{U}} \rangle$ can be interpreted as the number of initial multipaths of (G, \mathbf{U}) of length ℓ . In the case of \mathbb{Y} , we obtain the generating series counting the standard Young tableaux as initial path series (see Sequence $\mathbf{A000085}$ of \mathbf{Slo} for its coefficients).

1.1.4. Pairs of graded graphs. A pair of graded graphs is a triple (G, U, V) such that both (G, U) and (G, V) are graded graphs. When (G, U) and (G, V) are both natural, (G, U, V) is natural. When (G, U) and (G, V) are both rooted and share the same root (G, U, V) is rooted and (G, V) is the root of (G, U, V). A returning path from $x \in G$ to $y \in G$ is a pair (p, p') such that p is a path from x to y in (G, U) and p' is a path from x to y in (G, V). The length of (p, p') is the length of (p, p') and its weight is

$$\omega_{\mathbf{U},\mathbf{V}}(p,p') := \omega_{\mathbf{U}}(p)\omega_{\mathbf{V}}(p'). \tag{1.1.14}$$

When (G, U, V) is rooted, a returning initial path to x is a returning path from 0 to x in (G, U, V) and the returning hook series of (G, U, V) is the G-series $\mathbf{rh}_{U,V}$ defined by

$$\mathbf{rh}_{\mathbf{U},\mathbf{V}} := \mathbf{h}_{\mathbf{U}} \boxtimes \mathbf{h}_{\mathbf{V}}. \tag{1.1.15}$$

For any $x \in G$, $\langle x, \mathbf{rh}_{U,V} \rangle$ is the returning hook coefficient of x in (G, U, V).

Proposition 1.1.2. Let (G, U, V) be a rooted pair of graded graphs. For any $x \in G$,

$$\langle x, \mathbf{rh}_{\mathbf{U}, \mathbf{V}} \rangle = \sum_{\substack{p \in \mathcal{P}_{\mathbf{U}}(\mathbf{0}, x) \\ p' \in \mathcal{P}_{\mathbf{V}}(\mathbf{0}, x)}} \omega_{\mathbf{U}, \mathbf{V}}(p, p'). \tag{1.1.16}$$

Proof. By definition of returning hook series,

$$\langle x, \mathbf{rh}_{\mathbf{U}, \mathbf{V}} \rangle = \langle x, \mathbf{h}_{\mathbf{U}} \boxtimes \mathbf{h}_{\mathbf{V}} \rangle = \langle x, \mathbf{h}_{\mathbf{U}} \rangle \langle x, \mathbf{h}_{\mathbf{V}} \rangle.$$
 (1.1.17)

By Proposition 1.1.1, the statement of the proposition follows.

When $(G, \mathbf{U}, \mathbf{V})$ is moreover natural, Proposition 1.1.2 says that the returning hook coefficient of any $x \in G$ can be interpreted as the number of returning initial paths to x in $(G, \mathbf{U}, \mathbf{V})$. These coefficients define a statistics on the elements of G which can be of independent combinatorial interest. For instance, by seeing \mathbb{Y} as a pair of graded graphs with $\mathbf{V} = \mathbf{U}$, the returning hook coefficient of a partition λ in \mathbb{Y} is given by the square of the hook-length formula. Therefore, a pair of standard Young tableaux of the same shape λ is to the integer partition λ what a returning initial path to $x \in G$ is to x in the case where $(G, \mathbf{U}, \mathbf{V})$ is a natural rooted pair of graded graphs. Moreover, the *returning initial paths series* of $(G, \mathbf{U}, \mathbf{V})$ is the generating series $\mathbf{rip}_{\mathbf{U},\mathbf{V}} := \mathbf{tr}(\mathbf{rh}_{\mathbf{U},\mathbf{V}})$. By definition, for any $\ell \in \mathbb{N}$, the coefficient of $\langle t^{\ell}, \mathbf{rip}_{\mathbf{U},\mathbf{V}} \rangle$ can be interpreted as the number of returning initial multipaths of $(G, \mathbf{U}, \mathbf{V})$ of length ℓ . In the case of \mathbb{Y} , we obtain the generating series counting the permutations as returning initial paths series (see (0.0.1) and $[\mathbf{Sag01}]$).

1.1.5. Dual graded graphs. Let $(G, \mathbf{U}, \mathbf{V})$ be a pair of graded graphs. One says that $(G, \mathbf{U}, \mathbf{V})$ is ϕ -diagonal dual if $\phi : \mathbb{K}\langle G \rangle \to \mathbb{K}\langle G \rangle$ is a diagonal linear map that is, for any $x \in G$, $\phi(x) = \lambda_x x$ where $\lambda_x \in \mathbb{K}$, and

$$\mathbf{V}^{\star}\mathbf{U} - \mathbf{U}\mathbf{V}^{\star} = \phi. \tag{1.1.18}$$

This notion is a generalization of r_d -duality, and hence, of r-duality and duality of graded graphs (see [Fom94]). Indeed, in the case where $\phi(x) = r_{\mathrm{rk}(x)} x$ for any $x \in G$, one recovers r_d -duality. Duality of graded graphs is very closely connected with the theory of r-differential posets [Sta88]. In the case where (G, U, U) is ϕ -diagonal dual, we say that (G, U) is ϕ -diagonal self-dual.

Proposition 1.1.3. Let $(G, \mathbf{U}, \mathbf{V})$ be a pair of ϕ -diagonal dual graded graphs. For any $n \ge 0$,

$$\mathbf{V}^{\star}\mathbf{U}^{n} = \mathbf{U}^{n}\mathbf{V}^{\star} + \sum_{\substack{k_{1},k_{2} \geqslant 0 \\ k_{1}+k_{2}=n-1}} \mathbf{U}^{k_{1}}\phi\mathbf{U}^{k_{2}}.$$
(1.1.19)

Proof. We proceed by induction on n. When n=0, the property holds since the left-hand side of (1.1.19) is $\mathbf{V}^*\mathbf{U}^0 = \mathbf{V}^*I = \mathbf{V}^*$ while its right-hand side is $\mathbf{U}^0\mathbf{V}^* = I\mathbf{V}^* = \mathbf{V}^*$. Assume that the property holds for a $n \ge 0$. Hence, by induction hypothesis and by using Relation (1.1.18) implied by of ϕ -diagonal duality of $(G, \mathbf{U}, \mathbf{V})$, we have

$$\mathbf{V}^{\star}\mathbf{U}^{n+1} = \mathbf{V}^{\star}\mathbf{U}^{n}\mathbf{U}
= \mathbf{U}^{n}\mathbf{V}^{\star}\mathbf{U} + \sum_{\substack{k_{1},k_{2}\geqslant 0\\k_{1}+k_{2}=n-1}} \mathbf{U}^{k_{1}}\phi\mathbf{U}^{k_{2}+1}
= \mathbf{U}^{n}(\phi + \mathbf{U}\mathbf{V}^{\star}) + \sum_{\substack{k_{1},k_{2}\geqslant 0\\k_{1}+k_{2}=n-1}} \mathbf{U}^{k_{1}}\phi\mathbf{U}^{k_{2}+1}
= \mathbf{U}^{n+1}\mathbf{V}^{\star} + \mathbf{U}^{n}\phi + \sum_{\substack{k_{1},k_{2}\geqslant 0\\k_{1}+k_{2}=n-1}} \mathbf{U}^{k_{1}}\phi\mathbf{U}^{k_{2}+1}
= \mathbf{U}^{n+1}\mathbf{V}^{\star} + \sum_{\substack{k_{1},k_{2}\geqslant 0\\k_{1}+k_{2}=n}} \mathbf{U}^{k_{1}}\phi\mathbf{U}^{k_{2}},$$
(1.1.20)

establishing (1.1.19).

Observe that when $(G, \mathbf{U}, \mathbf{V})$ is a pair of ϕ -diagonal dual graded graphs such that ϕ commutes with \mathbf{U} , there exists an $r \in \mathbb{K}$ such that the map ϕ satisfies $\phi(x) = rx$ for any $x \in G$. This implies that in this case, $(G, \mathbf{U}, \mathbf{V})$ is a pair of r-dual graphs and Proposition 1.1.3 brings us the well-known identity [Sta88]

$$\mathbf{V}^{\star}\mathbf{U}^{n} = \mathbf{U}^{n}\mathbf{V}^{\star} + nr\mathbf{U}^{n-1} \tag{1.1.21}$$

holding for any $n \ge 0$.

1.2. **Syntax trees.** We set here elementary definitions and notations about syntax trees and composition operations on syntax trees. Most of these notions can be found in [Gir18, Chapter 3.].

1.2.1. Elementary definitions. An alphabet is a graded set \mathfrak{G} such that $\mathfrak{G}(0) = \emptyset$. The elements of \mathfrak{G} are letters. The arity |a| of a letter $a \in \mathfrak{G}$ is its rank. A \mathfrak{G} -tree (also called \mathfrak{G} -syntax tree) is a planar rooted tree such that its internal nodes of arity k are labeled by letters of arity k of \mathfrak{G} . More precisely, a \mathfrak{G} -tree is either the leaf | or a pair $(a,(t_1,\ldots,t_{|a|}))$ where $a \in \mathfrak{G}$ and $t_1,\ldots,t_{|a|}$ are \mathfrak{G} -trees. Unless otherwise specified, we use in the sequel the standard terminology (such as node, internal node, leaf, edge, root, child, ancestor, etc.) about planar rooted trees [Knu97] (see also [Gir18]). Let us set here the most important definitions employed in this work.

Let $\mathfrak{t} = (\mathfrak{a}, (\mathfrak{t}_1, \dots, \mathfrak{t}_{|\mathfrak{a}|}))$ be a \mathfrak{G} -tree. For any word u of positive integers, let $u \mapsto \mathfrak{t}(u)$ be the partial map defined recursively as follows.

- (i) If $u = \epsilon$, then $\mathfrak{t}(u) := \mathfrak{t}$;
- (ii) If $u = u_1 u_2 \dots u_k$ with $k \geqslant 1$ and $u_1 \in [|a|]$, then $\mathfrak{t}(u) := \mathfrak{t}_{u_1}(u_2 \dots u_k)$;
- (iii) Otherwise, t(u) is not defined.

A node of t is any word u of positive integers such that t(u) is well-defined. In this case, t(u) is the u-suffix subtree of t. Moreover, for any $i \in [k]$ where k is the arity of the node u in t, t(ui) is the i-th subtree of u in t. A node u of t is internal if u is a proper prefix of an other node of t. A leaf of t is a node of t which is not internal. We denote by $\mathcal{N}(t)$ (resp. $\mathcal{N}_{\bullet}(t)$, $\mathcal{N}_{\bullet}(t)$) the set of all nodes (resp. internal nodes, leaves) of t.

The degree deg(t) (resp. arity |t|) of t is its number of internal nodes (resp. leaves). The only \mathfrak{G} -tree of degree 0 and arity 1 is the *leaf* and is denoted by . For any $a \in \mathfrak{G}(k)$, the *corolla* labeled by a is the tree $\mathfrak{c}(a)$ consisting in one internal node labeled by a having as children k leaves. The leaves of t are totally ordered by their position in $\mathcal{N}_{|}(t)$ with respect to the lexicographic order. They are thus implicitly indexed from 1 to |t|.

For instance, if $\mathfrak{G} := \mathfrak{G}(2) \sqcup \mathfrak{G}(3)$ with $\mathfrak{G}(2) := \{a, b\}$ and $\mathfrak{G}(3) := \{c\}$,

$$\mathfrak{t} := \begin{array}{ccc} & & & \\$$

is a G-tree of degree 5 and arity 8. Its root is labeled by c and has arity 3. Moreover, we have

and $\mathcal{N}(\mathfrak{t}) = \{\epsilon, 1, 11, 12, 2, 3, 31, 311, 312, 313, 32, 321, 322\}$, $\mathcal{N}_{\bullet}(\mathfrak{t}) = \{\epsilon, 1, 3, 31, 32\}$, and $\mathcal{N}_{I}(\mathfrak{t}) = \{11, 12, 2, 311, 312, 313, 321, 322\}$.

1.2.2. Graded sets of syntax trees and partial compositions. Given an alphabet \mathfrak{G} , we denote by $S_{\bullet}(\mathfrak{G})$ (resp. $S_{\bullet}(\mathfrak{G})$) the graded set of all the \mathfrak{G} -trees where the rank of a tree is

its arity (resp. its degree). When \mathfrak{G} is finite, the graded set $S_{\bullet}(\mathfrak{G})$ is combinatorial and its generating series $\mathcal{R}_{S_{\bullet}(\mathfrak{G})}(t)$, counting its elements with respect to their degrees, satisfies

$$\mathcal{R}_{S_{\bullet}(\mathfrak{G})}(t) = 1 + t \mathcal{R}_{\mathfrak{G}}(\mathcal{R}_{S_{\bullet}(\mathfrak{G})}(t)). \tag{1.2.3}$$

Given $\mathfrak{t},\mathfrak{s}\in S_{\bullet}(\mathfrak{G})$ and $i\in [|\mathfrak{t}|]$, the partial composition $\mathfrak{t}\circ_i\mathfrak{s}$ is the \mathfrak{G} -tree obtained by grafting the root of \mathfrak{s} onto the i-th leaf of \mathfrak{t} . For instance, by considering the previous graded set \mathfrak{G} of Section 1.2.1, one has

Moreover, for any $u \in \mathcal{N}(t)$, we shall denote by $t \circ^u \mathfrak{s}$ the \mathfrak{G} -tree obtained by grafting the root of \mathfrak{s} into the leaf u of t. For instance, the partial composition shown in (1.2.4) is the same as the one obtained by composing the two considered trees through o^{22} since the 5-th leaf of the first tree is 22.

By a slight but convenient abuse of notation, given $a, b \in \mathfrak{G}$ and $i \in [|a|]$, we shall in some cases simply write $a \circ_i b$ instead of $\mathfrak{c}(a) \circ_i \mathfrak{c}(b)$. Moreover, when the context is clear, we shall even write a for $\mathfrak{c}(a)$. In addition, given some \mathfrak{G} -trees $\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|}$, we shall write $a(\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|})$ instead of $(a, (\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|}))$.

- 1.3. **Nonsymmetric operads.** We set here elementary definitions and notations about nonsymmetric operads, free nonsymmetric operads, and presentations by generators and relations. Most of these notions can be found in [Gir18, Chapter 5.] or in [Mé15].
- 1.3.1. Elementary definitions. A nonsymmetric operad in the category of sets, or a non-symmetric operad for short, is a graded set 0 together with maps

$$\circ_i: \mathcal{O}(n) \times \mathcal{O}(m) \to \mathcal{O}(n+m-1), \qquad 1 \leqslant i \leqslant n, \ 1 \leqslant m, \tag{1.3.1}$$

called *partial compositions*, and a distinguished element $1 \in O(1)$, the *unit* of 0. This data has to satisfy, for any $x, y, z \in O$, the three relations

$$(x \circ_i y) \circ_{i+j-1} z = x \circ_i (y \circ_j z), \qquad i \in [|x|], \ j \in [|y|],$$
 (1.3.2a)

$$(x \circ_i y) \circ_{j+|y|-1} z = (x \circ_j z) \circ_i y, \qquad i, j \in [|x|], \quad i < j,$$
 (1.3.2b)

$$\mathbf{1} \circ_1 x = x = x \circ_i \mathbf{1}, \quad i \in [|x|].$$
 (1.3.2c)

Since we consider in this work only nonsymmetric operads, we shall call these simply operads. The arity |x| of any $x \in \Theta$ is its rank. An operad Θ is combinatorial if Θ is combinatorial as a graded set.

Given an operad 0, one defines the full composition maps of 0 as the maps

$$\circ: \mathcal{O}(n) \times \mathcal{O}(m_1) \times \cdots \times \mathcal{O}(m_n) \to \mathcal{O}(m_1 + \cdots + m_n), \qquad 1 \leqslant n, \ 1 \leqslant m_1, \ldots, 1 \leqslant m_n, \ (1.3.3)$$
 defined, for any $x \in \mathcal{O}(n)$ and $y_1, \ldots, y_n \in \mathcal{O}$, by

$$x \circ [y_1, \dots, y_n] := (\dots ((x \circ_n y_n) \circ_{n-1} y_{n-1}) \dots) \circ_1 y_1. \tag{1.3.4}$$

When \emptyset is combinatorial, the *Hilbert series* $\mathcal{H}_{\mathbb{O}}(t)$ of \emptyset is the generating series $\mathcal{G}_{\mathbb{O}}(t)$. If \emptyset_1 and \emptyset_2 are two operads, a graded set morphism $\psi: \emptyset_1 \to \emptyset_2$ is an *operad morphism* if it sends the unit of \emptyset_1 to the unit of \emptyset_2 and commutes with partial composition maps. We say that \emptyset_2 is a *suboperad* of \emptyset_1 if \emptyset_2 is a graded subset of \emptyset_1 , \emptyset_1 and \emptyset_2 have the same unit, and the partial compositions of \emptyset_2 are the ones of \emptyset_1 restricted on \emptyset_2 . For any subset \mathfrak{G} of \emptyset , the *operad generated* by \mathfrak{G} is the smallest suboperad $\emptyset^{\mathfrak{G}}$ of \emptyset containing \mathfrak{G} . When $\emptyset^{\mathfrak{G}} = \emptyset$ and \mathfrak{G} is minimal with respect to the inclusion among the subsets of \mathfrak{G} satisfying this property, \mathfrak{G} is a *minimal generating set* of \emptyset and its elements are *generators* of \emptyset . An *operad congruence* of \emptyset is an equivalence relation \equiv respecting the arities and such that, for any $x, y, x', y' \in \emptyset$, $x \equiv x'$ and $y \equiv y'$ implies $x \circ_i y \equiv x' \circ_i y'$ for any $i \in [|x|]$. The \equiv -equivalence class of any $x \in \emptyset$ is denoted by $[x]_{\equiv}$. Given an operad congruence \equiv , the *quotient operad* $\emptyset/_{\equiv}$ is the operad on the set of all \equiv -equivalence classes and defined in the usual way.

1.3.2. Free operads. Let \mathfrak{G} be an alphabet. The free operad on \mathfrak{G} is the operad defined on the graded set $S_i(\mathfrak{G})$ wherein the partial compositions \circ_i are the partial compositions of \mathfrak{G} -trees. By considering the previous graded set \mathfrak{G} of Section 1.2.1, one has, as an example of a full composition in $S_i(\mathfrak{G})$,

$$\begin{bmatrix}
b \\
a
\end{bmatrix}$$

$$\begin{bmatrix}
a \\
b
\end{bmatrix}$$

$$\begin{bmatrix}
a \\
a \\
b
\end{bmatrix}$$

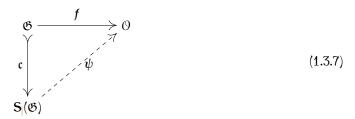
$$\begin{bmatrix}
a \\
a \\
b
\end{bmatrix}$$

$$\begin{bmatrix}
a \\$$

When \mathfrak{G} is combinatorial and satisfies $\mathfrak{G}(1) = \emptyset$, the Hilbert series $\mathfrak{R}_{S(\mathfrak{G})}(t)$ satisfies

$$\mathfrak{H}_{\mathbf{S}_{|(\mathfrak{G})}}(t) = t + \mathfrak{R}_{\mathfrak{G}}\left(\mathfrak{H}_{\mathbf{S}_{|(\mathfrak{G})}}(t)\right). \tag{1.3.6}$$

Free operads satisfy the following universality property. The free operad $S_{|}(\mathfrak{G})$ is the unique operad (up to isomorphism) such that for any operad \mathfrak{G} and any graded set morphism $f:\mathfrak{G}\to \mathfrak{G}$, there exists a unique operad morphism $\psi:S_{|}(\mathfrak{G})\to \mathfrak{G}$ such that the factorization $f=\psi\circ\mathfrak{c}$ holds. In other terms, the diagram



commutes.

1.3.3. Presentations and treelike expressions. A presentation of an operad Θ is a pair (\mathfrak{G},\equiv) such that \mathfrak{G} is an alphabet, \equiv is an operad congruence of $S_{|}(\mathfrak{G})$, and Θ is isomorphic to $S_{|}(\mathfrak{G})/_{\equiv}$. In most of the practical cases, \mathfrak{G} is a subset of Θ such that \mathfrak{G} is a minimal generating set of Θ .

When \emptyset satisfies $\emptyset(0) = \emptyset$, \emptyset is in particular an alphabet. For this reason, $S_{|}(\emptyset)$ is a well-defined free operad. The *evaluation map* of \emptyset is the map $\operatorname{ev}: S_{|}(\emptyset) \to \emptyset$ defined as the unique surjective operad morphism satisfying, for any $x \in \emptyset$, $\operatorname{ev}(\mathfrak{c}(x)) = x$. Given S a subset of \emptyset , a *treelike expression* on S of an element x of \emptyset is a \emptyset -tree \mathfrak{t} belonging to the fiber $\operatorname{ev}^{-1}(x)$ and such that all internal nodes of \mathfrak{t} are labeled by elements of S. The set of all treelike expressions on S of x is denoted by $\mathcal{T}_S(x)$.

1.3.4. Linear operads. The partial and the full composition operations of an operad \emptyset extend by linearity on the space $\mathbb{K}\langle\emptyset\rangle$. This fact will be used implicitly in the sequel. Moreover, it is convenient in what follows, when $x\in\emptyset(n)$ and $y\in\emptyset$, to set $x\circ_i y:=0$ whenever $i\notin[n]$. This convention will be used also implicitly in the sequel. Besides, when \emptyset is in particular the free operad on \mathfrak{G} , by a slight abuse of notation, for any $a\in\mathfrak{G}$ and $S_{[\mathfrak{G})}$ -polynomials $f_1,\ldots,f_{[a]}$, we shall write $a(f_1,\ldots,f_{[a]})$ for $\mathfrak{c}(a)\circ[f_1,\ldots,f_{[a]}]$.

2. Graded graphs of syntax trees

The objective of this section is to introduce two graded graphs of syntax trees which are ϕ -diagonal dual for a certain map ϕ . These graphs will be used as raw material in the next sections in order to associate pairs of graded graphs with operads.

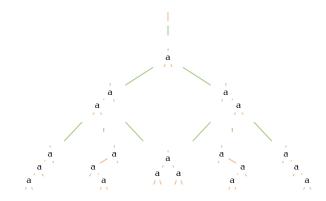
- 2.1. **Prefix graded graphs.** We begin by introducing prefix graded graphs and present some of their combinatorial properties.
- 2.1.1. First definitions and properties. For any finite alphabet \mathfrak{G} , let $(S_{\bullet}(\mathfrak{G}), U)$ be the graded graph wherein, for any $\mathfrak{t} \in S_{\bullet}(\mathfrak{G})$,

$$\mathbf{U}(\mathfrak{t}) := \sum_{\substack{\mathbf{a} \in \mathfrak{G} \\ i \in [|\mathfrak{t}|]}} \mathfrak{t} \circ_i \mathbf{a}. \tag{2.1.1}$$

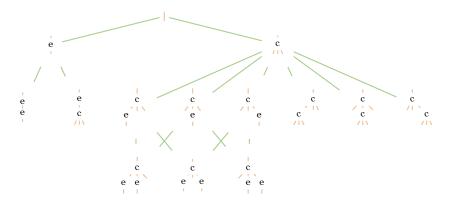
We call $(S_{\bullet}(\mathfrak{G}), U)$ the \mathfrak{G} -prefix graph. Since \mathfrak{G} is finite, $U(\mathfrak{t})$ is a $S_{\bullet}(\mathfrak{G})$ -polynomial. Moreover, since all trees appearing in $U(\mathfrak{t})$ are of rank $deg(\mathfrak{t})+1$, the \mathfrak{G} -prefix graph is a well-defined graded graph. Observe that this graded graph is simple and that its admits as root. Figure 2 shows examples of such graphs.

An internal node u of a \mathfrak{G} -tree \mathfrak{t} is maximal if u has only leaves as children. The set of all maximal nodes of \mathfrak{t} is denoted by $\mathcal{N}^{\mathrm{m}}_{\bullet}(\mathfrak{t})$. For any $u \in \mathcal{N}^{\mathrm{m}}_{\bullet}(\mathfrak{t})$, the *deletion* of u in \mathfrak{t} is the \mathfrak{G} -tree $del_u(\mathfrak{t})$ obtained by replacing the node u of \mathfrak{t} by a leaf. By relying on these definitions, the adjoint map of U satisfies, for any $\mathfrak{t} \in S_{\bullet}(\mathfrak{G})$,

$$\mathbf{U}^{\star}(\mathfrak{t}) = \sum_{u \in \mathcal{N}^{m}_{\bullet}(\mathfrak{t})} \operatorname{del}_{u}(\mathfrak{t}). \tag{2.1.2}$$



(A) For $\mathfrak{G} = \{a\}$ with |a| = 2 up to degree 3.



(B) For $\mathfrak{G}=\{e,c\}$ with |e|=1 and |c|=3 up to degree 2 and with some trees of degree 3.

FIGURE 2. Two graded graphs $(S_{\bullet}(\mathfrak{G}), U)$.

2.1.2. Diagonal self-duality. We give here a necessary and sufficient condition on the alphabet \mathfrak{G} for the fact that $(S_{\bullet}(\mathfrak{G}), U)$ is ϕ -diagonal self-dual.

Proposition 2.1.1. The graded graph $(S_{\bullet}(\mathfrak{G}), U)$ is ϕ -diagonal self-dual if and only if \mathfrak{G} is the empty alphabet or a singleton alphabet. When \mathfrak{G} is a singleton, $\phi : \mathbb{K}\langle S_{\bullet}(\mathfrak{G}) \rangle \to \mathbb{K}\langle S_{\bullet}(\mathfrak{G}) \rangle$ satisfies

$$\phi(\mathfrak{t}) = (|\mathfrak{t}| - \# \mathcal{N}_{\bullet}^{\mathbf{m}}(\mathfrak{t})) \mathfrak{t} \tag{2.1.3}$$

for any G-tree t.

Proof. First of all, when \mathfrak{G} is empty, $(S_{\bullet}(\mathfrak{G}), U)$ is immediately ϕ -diagonal self-dual for the zero map ϕ . Assume that \mathfrak{G} is the singleton $\{a\}$. When $\mathfrak{t} = I$, since $(U^*U - UU^*)(I) = I$, the property is satisfied. Assume now that \mathfrak{t} has at least one internal node. All terms of $(U^*U)(\mathfrak{t})$ are obtained by changing a leaf of \mathfrak{t} into an internal node labeled by \mathfrak{a} , and then by suppressing a maximal internal node of the obtained tree. Then, in particular when

the suppressed internal node is the one which has been just added, t occurs in $(U^*U)(t)$. For this reason, the coefficient of the term t is |t|. Moreover, all terms of $(UU^*)(t)$ are obtained by suppressing a maximal internal node of t, and then by changing a leaf into an internal node labeled by a of the obtained tree. For this reason, the coefficient of the term t is $\mathcal{N}^m_{\bullet}(t)$. Finally, since all trees different from t appearing $(U^*U)(t)$ or in $(UU^*)(t)$ are the same and have all 1 as coefficient, the statement of the proposition follows.

Conversely, assume that $\mathfrak G$ is not empty neither a singleton. Hence, there exist $a,b\in \mathfrak G$ with $a\neq b$, and we have in particular

$$(\mathbf{U}^{\star}\mathbf{U} - \mathbf{U}\mathbf{U}^{\star})(\mathbf{a}) = \mathbf{U}^{\star} \left(\sum_{\substack{\mathbf{c} \in \mathfrak{G} \\ i \in [[\mathbf{a}]]}} \mathbf{a} \circ_{i} \mathbf{c} \right) - \mathbf{U}(\mathbf{0}) = (\#\mathfrak{G})|\mathbf{a}| \mathbf{a} - \sum_{\mathbf{c} \in \mathfrak{G}} \mathbf{c}. \tag{2.1.4}$$

Since b appears in (2.1.4), this shows that $(S_{\bullet}(\mathfrak{G}), \mathbf{U})$ is not ϕ -diagonal self-dual.

2.1.3. Path enumeration. Recall that if $(\mathcal{P}, \preccurlyeq)$ is a finite poset, a linear extension of \mathcal{P} is a bijective map $\sigma: \mathcal{P} \to [\#\mathcal{P}]$ such that for any $x, y \in \mathcal{P}$, $x \preccurlyeq y$ implies $\sigma(x) \leqslant \sigma(y)$, where \leqslant is the natural total order on the set of natural numbers $[\#\mathcal{P}]$. The linear extension σ can be encoded by the permutation

$$(x_1,\ldots,x_{\#\mathscr{P}}) \tag{2.1.5}$$

of elements of \mathcal{P} , wherein for any $x \in \mathcal{P}$, $\sigma(x)$ is the position of x in the word (2.1.5). Observe that if \mathcal{P} is the disjoint union of some posets $\mathcal{P}_1, \ldots, \mathcal{P}_k, k \geq 0$, each permutation encoding a linear extension σ of \mathcal{P} is obtained by shuffling the permutations encoding respectively linear extensions $\sigma_1, \ldots, \sigma_k$ of $\mathcal{P}_1, \ldots, \mathcal{P}_k$. Therefore, if each \mathcal{P}_i , $i \in [k]$, has a_i linear extensions, then \mathcal{P} has the multinomial

$$[a_1, \dots, a_k]! := \frac{(a_1 + \dots + a_k)!}{a_1! \dots a_k!}$$
 (2.1.6)

as number of linear extensions.

The poset induced by a \mathfrak{G} -tree \mathfrak{t} is the poset $\mathbb{P}(\mathfrak{t}) := (\mathcal{N}_{\bullet}(\mathfrak{t}), \preccurlyeq)$ wherein for any $u, v \in \mathcal{N}_{\bullet}(\mathfrak{t}), u \preccurlyeq v$ if u is an ancestor of v. The number $h(\mathfrak{t})$ of all linear extensions of $\mathbb{P}(\mathfrak{t})$ is given by the hook-length formula for rooted planar trees [Knu98] and satisfies

$$h(t) = \frac{\deg(t)!}{\prod_{u \in \mathcal{N}_{\bullet}(t)} \deg(t(u))}.$$
 (2.1.7)

By elementary computations involving multinomial coefficients, and by structural induction on \mathfrak{G} -trees, one can show that these numbers satisfy the recurrence relation

$$h(1) = 1,$$
 (2.1.8a)

$$h(a(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|a|})) = (\deg(\mathfrak{s}_1),\ldots,\deg(\mathfrak{s}_{|a|}))! \prod_{i\in[|a|]} h(\mathfrak{s}_i)$$
 (2.1.8b)

for any $a\in \mathfrak{G}$ and any $\mathfrak{G}\text{-trees }\mathfrak{s}_1,\,\ldots,\,\mathfrak{s}_{|a|}.$

Proposition 2.1.2. For any finite alphabet \mathfrak{G} , the hook series of $(S_{\bullet}(\mathfrak{G}), U)$ satisfies $\langle \mathfrak{t}, \mathbf{h}_U \rangle = h(\mathfrak{t})$ for any \mathfrak{G} -tree \mathfrak{t} .

Proof. Let us proceed by induction on the degree of the \mathfrak{G} -trees. By definition of \mathbf{h}_{U} , $\langle |, \mathbf{h}_{\mathrm{U}} \rangle = 1$, and since $\mathbf{h}(|) = 1$, the property holds. Now, let \mathbf{t} be a \mathfrak{G} -tree of degree $d \geqslant 1$. We have, by definition of \mathbf{h}_{U} , by (2.1.2), and by induction hypothesis,

$$\begin{split} \langle \mathfrak{t}, \mathbf{h}_{\mathrm{U}} \rangle &= \langle \mathbf{U}^{\star}(\mathfrak{t}), \mathbf{h}_{\mathrm{U}} \rangle \\ &= \left\langle \sum_{u \in \mathcal{N}^{\mathrm{m}}_{\bullet}(\mathfrak{t})} \mathrm{del}_{u}(\mathfrak{t}), \mathbf{h}_{\mathrm{U}} \right\rangle \\ &= \sum_{u \in \mathcal{N}^{\mathrm{m}}_{\bullet}(\mathfrak{t})} \langle \mathrm{del}_{u}(\mathfrak{t}), \mathbf{h}_{\mathrm{U}} \rangle \\ &= \sum_{u \in \mathcal{N}^{\mathrm{m}}_{\bullet}(\mathfrak{t})} \mathrm{h}(\mathrm{del}_{u}(\mathfrak{t})). \end{split} \tag{2.1.9}$$

From the definition of $\mathbb{P}(t)$, it follows that any permutation encoding a linear extension of $\mathbb{P}(t)$ writes as

$$\left(\epsilon, v^{(1)}, \dots, v^{(d-2)}, u\right) \tag{2.1.10}$$

where the permutation $(\epsilon, v^{(1)}, \dots, v^{(d-2)})$ encodes a linear extension of $\mathbb{P}(\text{del}_u(\mathfrak{t}))$ and u is a maximal node of \mathfrak{t} . This leads, by (2.1.9), to $\langle \mathfrak{t}, \mathbf{h}_{\mathbf{U}} \rangle = \mathbf{h}(\mathfrak{t})$.

For any alphabet \mathfrak{G} , let $m_{\mathfrak{G}}$ be the arity of a letter having a maximal arity in \mathfrak{G} .

Proposition 2.1.3. For any finite alphabet \mathfrak{G} , the initial path series of $(S_{\bullet}(\mathfrak{G}), U)$ satisfies

$$\langle t^d, \mathbf{ip}_{\mathbf{U}} \rangle = \sum_{n \in [1 + (m_{\mathfrak{G}} - 1)d]} \theta(d, n)$$
 (2.1.11)

for any $d \ge 0$, where $\theta(d,n)$ satisfies the recurrence $\theta(d,n) = 0$ for any $n \le 0$ and $d \in \mathbb{Z}$, $\theta(0,1) = 1$, and

$$\theta(d,n) = \sum_{\substack{a \in \mathfrak{G} \\ |a| \leqslant n}} (n+1-|a|) \ \theta(d-1,n+1-|a|) \tag{2.1.12}$$

for any $d \ge 1$ and $n \ge 1$.

 arity. Indeed, this maximal arity is reached for trees consisting only in internal nodes of a maximal arity $m_{\mathfrak{G}}$.

Here are the sequences of the first coefficients of some initial paths series of $(S_{\bullet}(\mathfrak{G}), U)$:

$$1, 1, 2, 6, 24, 120, 720, 5040,$$
 for $\mathfrak{G} = \{a\} \text{ with } |a| = 2,$ (2.1.13a)

1, 1, 3, 15, 105, 945, 10395, 135135, for
$$\mathfrak{G} = \{c\}$$
 with $|c| = 3$, (2.1.13b)

$$1, 2, 8, 48, 384, 3840, 46080, 645120,$$
 for $\mathfrak{G} = \{a, b\}$ with $|a| = |b| = 2,$ (2.1.13c)

1, 2, 10, 82, 938, 13778, 247210, 5240338, for
$$\mathfrak{G} = \{a, c\} \text{ with } |a| = 2, |c| = 3.$$
 (2.1.13d)

These are respectively Sequences A000142, A001147, A000165, and A112487 of [Slo].

- 2.2. **Twisted prefix graded graphs.** We now introduce a second sort of graded graphs and present some of their combinatorial properties.
- 2.2.1. First definitions and properties. For any finite alphabet \mathfrak{G} , let $V^*: \mathbb{K}\langle S_{\bullet}(\mathfrak{G}) \rangle \to \mathbb{K}\langle S_{\bullet}(\mathfrak{G}) \rangle$ be the linear map satisfying the recurrence

$$\mathbf{V}^{\star}():=0, \tag{2.2.1a}$$

$$\mathbf{V}^{\star}(\mathbf{a}(\mathfrak{s},|,\ldots,|)) := \mathfrak{s}, \tag{2.2.1b}$$

$$\mathbf{V}^{\star}(\mathbf{a}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{|\mathbf{a}|})) := \sum_{j\in[2,|\mathbf{a}|]} \mathbf{a}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{j-1},\mathbf{V}^{\star}(\mathfrak{s}_{j}),\mathfrak{s}_{j+1},\ldots,\mathfrak{s}_{|\mathbf{a}|}), \tag{2.2.1c}$$

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees \mathfrak{s} , $\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|}$ such that there is at least $a \ j \in [2, |a|]$ such that $\mathfrak{s}_j \neq |.$ For instance, for $\mathfrak{G} = \{e, a, c\}$ where |e| = 1, |a| = 2, and |c| = 3, one has

$$\mathbf{V}^{\star} \begin{pmatrix} \mathbf{c} & \mathbf{c$$

This recursive definition for V^* is convenient to set up proofs by induction of properties involving this map. Nevertheless, we shall consider also a non-recursive description relying on the following definitions. Let $\mathfrak t$ be a $\mathfrak G$ -tree decomposing as $\mathfrak t=\mathfrak s\circ^u$ (a $\mathfrak o_i\,\mathfrak s'$) where $\mathfrak s$ and $\mathfrak s'$ are $\mathfrak G$ -trees, $u\in\mathcal N_i(\mathfrak s)$, $i\in[|\mathfrak a|]$, and $\mathfrak a\in\mathfrak G$. The *contraction* of the internal node u of $\mathfrak t$ is the $\mathfrak G$ -tree $\mathrm{con}_u(\mathfrak t):=\mathfrak s\circ^u\mathfrak s'$. For instance, by considering the same alphabet $\mathfrak G$ as in the previous example, the contraction of the node $\mathfrak 2$ of the $\mathfrak G$ -tree

is

Observe that when u is a maximal internal node of t, one has $con_u(t) = del_u(t)$.

Besides, an internal node u of t is quasi-maximal if u admits no occurrence of the letter 1 and all uj are leaves for all $j \in [2, k]$ where k is the arity of u in t. In other words, the path connecting the root of t with u does never go through a first edge of an internal node and u has only leaves as children except possibly at first position. We denote by $\mathcal{N}^{qm}_{\bullet}(t)$ the set of all quasi-maximal nodes of t. For instance, by considering the same alphabet \mathfrak{G} as in the previous examples, here is a \mathfrak{G} -tree wherein its quasi-maximal nodes are framed:

Observe that for any $u \in \mathcal{N}^{qm}_{\bullet}(\mathfrak{t})$, one has $\mathfrak{t} = \mathfrak{s} \circ^u (\mathfrak{a} \circ_1 \mathfrak{s}')$ where \mathfrak{s} and \mathfrak{s}' are \mathfrak{G} -trees, possibly leaves. Therefore, $\operatorname{con}_u(\mathfrak{t})$ is well-defined.

By relying on these definitions, the map V^* rephrases, in a non-recursive way, as follows.

Proposition 2.2.1. For any finite alphabet \mathfrak{G} and any \mathfrak{G} -tree \mathfrak{t} , the map V^* satisfies

$$\mathbf{V}^{\star}(\mathfrak{t}) = \sum_{u \in \mathcal{N}^{\mathrm{qm}}_{\bullet}(\mathfrak{t})} \mathrm{con}_{u}(\mathfrak{t}). \tag{2.2.6}$$

Proof. We proceed by induction on the degree d of t. If d=0, then t=| and we have $V^*(|)=0$. Moreover, since | has no internal node, the right-hand side of (2.2.6) is equal to 0. Hence, the property holds here. Assume now that $d\geqslant 1$. If t decomposes as $t=a(\mathfrak{s},|,\ldots,|)$ where $a\in\mathfrak{G}$ and \mathfrak{s} is a \mathfrak{G} -tree, we have $V^*(\mathfrak{t})=\mathfrak{s}$ and, since the root ϵ of t is the only quasi-maximal node of t, the right-hand side of (2.2.6) is equal to $\mathrm{con}_{\epsilon}(t)=\mathfrak{s}$. Hence, the property holds here also. It remains to explore the case where t decomposes as $t=a(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|a|})$ where $a\in\mathfrak{G}$ and $\mathfrak{s}_1,\ldots,\mathfrak{s}_{|a|}$ are \mathfrak{G} -trees such that there is a $j\in[2,|a|]$ such that $\mathfrak{s}_j\neq |$. We have, by definition of V^* and by induction hypothesis,

$$\mathbf{V}^{\star}(\mathfrak{t}) = \sum_{j \in [2,|\mathbf{a}|]} \mathbf{a}(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|\mathbf{a}|})
= \sum_{j \in [2,|\mathbf{a}|]} \mathbf{a}(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \sum_{u \in \mathcal{N}^{\mathrm{qm}}_{\bullet}(\mathfrak{s}_{j})} \mathrm{con}_{u}(\mathfrak{s}_{j}), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|\mathbf{a}|}).$$
(2.2.7)

Now, the last member of (2.2.7) is equal to the right-hand side of (2.2.6) since

$$\mathcal{N}_{\bullet}^{qm}(\mathfrak{t}) = \bigcup_{j \in [2,|\mathbf{a}|]} \{ ju : u \in \mathcal{N}_{\bullet}^{qm}(\mathfrak{s}_j) \}. \tag{2.2.8}$$

This says that the quasi-maximal nodes of \mathfrak{t} come from the quasi-maximal nodes of the \mathfrak{s}_j , $j \in [2, |a|]$. Whence (2.2.6) is established.

It follows from Proposition 2.2.1 that for any \mathfrak{G} -tree \mathfrak{t} , all the trees appearing in $V^*(\mathfrak{t})$ have $\deg(\mathfrak{t})-1$ has degree. For this reason, the graph $(S_{\bullet}(\mathfrak{G}),V)$ is graded and the rank of a \mathfrak{G} -tree is its degree. We call $(S_{\bullet}(\mathfrak{G}),V)$ the \mathfrak{G} -twisted prefix graph. Besides, again by Proposition 2.2.1, for any \mathfrak{G} -tree \mathfrak{t} , the trees appearing in $V^*(\mathfrak{t})$ have trivial coefficients.

For this reason, the graph $(S_{\bullet}(\mathfrak{G}), V)$ is simple. Moreover, one can prove by structural induction on \mathfrak{G} -trees that any \mathfrak{G} -tree \mathfrak{t} different from the leaf admits at least one internal node which is quasi-maximal. For this reason, if \mathfrak{t} is a \mathfrak{G} -tree different from the leaf, $V^{\star}(\mathfrak{t}) \neq 0$, implying that $(S_{\bullet}(\mathfrak{G}), V)$ admits as root. Observe also that when \mathfrak{G} contains only unary letters, $(S_{\bullet}(\mathfrak{G}), V)$ is the line.

Since V is the adjoint map of V^* , we can provide a recursive description of V from the recursive definition of V^* of (2.2.1a), (2.2.1b), and (2.2.1c). Indeed, it is possible to show by induction on the degrees of the \mathfrak{G} -trees that V satisfies

$$\mathbf{V}(1) = \sum_{\mathbf{a} \in \mathfrak{G}} \mathbf{a},\tag{2.2.9a}$$

$$\mathbf{V}(\mathbf{b}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{|\mathbf{b}|})) = \left(\sum_{\mathbf{a}\in\mathfrak{G}} \mathbf{a}\circ_{1}\mathbf{b}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{|\mathbf{b}|})\right) + \left(\sum_{j\in[2,|\mathbf{b}|]}\mathbf{b}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{j-1},\mathbf{V}(\mathfrak{s}_{j}),\mathfrak{s}_{j+1},\ldots,\mathfrak{s}_{|\mathbf{b}|})\right),$$

$$(2.2.9b)$$

for any $b \in \mathfrak{G}$ and any \mathfrak{G} -trees $\mathfrak{s}_1, \ldots, \mathfrak{s}_{|b|}$. For instance, by considering the same alphabet \mathfrak{G} as in the previous example, one has for instance

Observe that when \mathfrak{G} contains at least one letter a such that $|a| \geqslant 2$, $(S_{\bullet}(\mathfrak{G}), V)$ is not ϕ -diagonal self-dual. Indeed,

$$(\mathbf{V}^*\mathbf{V} - \mathbf{V}\mathbf{V}^*)(\mathbf{a} \circ_1 \mathbf{a}) = \mathbf{V}^* \left(\left(\sum_{\mathbf{b} \in \mathfrak{G}} \mathbf{b} \circ_1 (\mathbf{a} \circ_1 \mathbf{a}) \right) + \left(\sum_{\substack{j \in [2, |\mathbf{a}|] \\ \mathbf{b} \in \mathfrak{G}}} (\mathbf{a} \circ_j \mathbf{b}) \circ_1 \mathbf{a} \right) \right) - \mathbf{V}(\mathbf{a})$$

$$= (\#\mathfrak{G}) \ \mathbf{a} \circ_1 \mathbf{a} + (\#\mathfrak{G})(|\mathbf{a}| - 1) \ \mathbf{a} \circ_1 \mathbf{a} - \left(\sum_{\mathbf{b} \in \mathfrak{G}} \mathbf{b} \circ_1 \mathbf{a} \right) - \left(\sum_{\substack{j \in [2, |\mathbf{a}|] \\ \mathbf{b} \in \mathfrak{G}}} \mathbf{a} \circ_j \mathbf{b} \right)$$

$$= (\#\mathfrak{G})|\mathbf{a}| \ \mathbf{a} \circ_1 \mathbf{a} - \left(\sum_{\mathbf{b} \in \mathfrak{G}} \mathbf{b} \circ_1 \mathbf{a} \right) - \left(\sum_{\substack{j \in [2, |\mathbf{a}|] \\ \mathbf{b} \in \mathfrak{G}}} \mathbf{a} \circ_j \mathbf{b} \right). \tag{2.2.11}$$

Besides, in the particular case where $\mathfrak{G}=\mathfrak{G}(2)=\{a\}$, the map V^{\star} satisfies the recurrence

$$\mathbf{V}^{\star}(\mathbf{I}) = 0, \tag{2.2.12a}$$

$$\mathbf{V}^{\star}(\mathbf{a}(\mathfrak{s},||)) = \mathfrak{s},\tag{2.2.12b}$$

$$\mathbf{V}^{\star}(\mathbf{a}(\mathfrak{s}_1,\mathfrak{s}_2)) = \mathbf{a}(\mathfrak{s}_1,\mathbf{V}^{\star}(\mathfrak{s}_2)), \tag{2.2.12c}$$

for any \mathfrak{G} -trees \mathfrak{s} , \mathfrak{s}_1 , and \mathfrak{s}_2 such that $\mathfrak{s}_2 \neq \mathbb{I}$. It follows by induction on the degrees of the \mathfrak{G} -trees that for any \mathfrak{G} -tree \mathfrak{t} , there is at most one term appearing in $V^*(\mathfrak{t})$. For this reason, the graph $(S(\mathfrak{G}),V)$ is a tree.

2.2.2. Path enumeration. The twisted poset induced by a \mathfrak{G} -tree \mathfrak{t} is the poset $\mathbb{P}'(\mathfrak{t}) := (\mathcal{N}_{\bullet}(\mathfrak{t}), \preccurlyeq')$ wherein for any $u, v \in \mathcal{N}_{\bullet}(\mathfrak{t})$, one has $u \preccurlyeq' v$ if u = v, or v = uiv' where $i \geqslant 2$ and v' is any word of integers, or u = v1u' where u' is any word of integers. In other words, this says that one has $u \preccurlyeq' v$ if u = v, or u is an ancestor of v but v is not in the first subtree of v, or v is in the first subtree of v. For instance, by considering the same alphabet v as in the previous examples, in the twisted poset induced by the v-tree

$$\mathfrak{t} := \begin{array}{c|c} & & \\ & c & \\ & c & \\ & &$$

we have $11 \preccurlyeq' 1 \preccurlyeq' \epsilon$, $12 \preccurlyeq' \epsilon$, $1 \preccurlyeq' 12$, $\epsilon \preccurlyeq' 12$, and $\epsilon \preccurlyeq' 12$, $\epsilon \preccurlyeq' 12$, and $\epsilon \preccurlyeq' 12$

For any $\mathfrak G$ tree $\mathfrak t$, let the statistics $\mathfrak t\mapsto h'(\mathfrak t)$ satisfying the recurrence relation

$$h'(1) = 1,$$
 (2.2.14a)

$$h'(a(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|a|})) = (\deg(\mathfrak{s}_2),\ldots,\deg(\mathfrak{s}_{|a|}))! \prod_{i\in[|a|]} h'(\mathfrak{s}_i), \tag{2.2.14b}$$

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees $\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|}$. For instance, by considering the \mathfrak{G} -tree \mathfrak{t} defined in (2.2.13), one has $h'(\mathfrak{t}) = 4$.

Lemma 2.2.2. For any alphabet \mathfrak{G} and any \mathfrak{G} -tree \mathfrak{t} , the number of linear extensions of the poset $\mathbb{P}'(\mathfrak{t})$ is $h'(\mathfrak{t})$.

Proof. We proceed by induction on the degree d of \mathfrak{t} . If d=0, then $\mathfrak{t}=\mathfrak{l}$, and since $\mathbb{P}'(\mathfrak{l})$ has exactly one linear extension which is the empty one, and $h'(\mathfrak{t})=1$, the property is satisfied. If $d\geqslant 1$, then $\mathfrak{t}=\mathfrak{a}(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|\mathfrak{a}|})$ for an $\mathfrak{a}\in\mathfrak{G}$ and \mathfrak{G} -trees $\mathfrak{s}_1,\ldots,\mathfrak{s}_{|\mathfrak{a}|}$. From the definition of $\mathbb{P}'(\mathfrak{t})$, it follows that any permutation encoding a linear extension of $\mathbb{P}'(\mathfrak{t})$ writes as

$$(1u^{(1)}, \dots, 1u^{(k)}, \epsilon, i_1v^{(1)}, \dots, i_\ell v^{(\ell)})$$
 (2.2.15)

where the permutation $(u^{(1)},\ldots,u^{(k)})$ encodes a linear extension of $\mathbb{P}'(\mathfrak{s}_1)$ and the permutation $(\epsilon,i_1v^{(1)},\ldots,i_\ell v^{(\ell)})$ encodes a linear extension of $\mathbb{P}'(\mathfrak{a}(|\mathfrak{s}_2,\ldots,\mathfrak{s}_{|\mathfrak{a}|}))$. Indeed, by definition of the order relation \preccurlyeq' of $\mathbb{P}'(\mathfrak{t})$, all the nodes $u^{(1)},\ldots,u^{(k)}$ of \mathfrak{s}_1 are smaller than the root ϵ of \mathfrak{t} , and the root of \mathfrak{t} is itself smaller than all the nodes $v^{(1)},\ldots,v^{(\ell)}$ of, respectively, $\mathbb{P}'(\mathfrak{s}_2),\ldots,\mathbb{P}'(\mathfrak{s}_{|\mathfrak{a}|})$. Moreover, again by definition of \preccurlyeq' , for any $j,j'\in[2,|\mathfrak{a}|]$, $v\in\mathcal{N}_{\bullet}(\mathfrak{s}_j)$, and $v'\in\mathcal{N}_{\bullet}(\mathfrak{s}_{j'})$, if $j\neq j'$ then the nodes jv and j'v' of \mathfrak{t} are incomparable in $\mathbb{P}'(\mathfrak{t})$. Thus, by (2.1.6), $\mathbb{P}'(\mathfrak{a}(|\mathfrak{s}_2,\ldots,\mathfrak{s}_{|\mathfrak{a}|}))$ has $\{\deg(\mathfrak{s}_2),\ldots,\deg(\mathfrak{s}_{|\mathfrak{a}|})\}$! linear extensions. Finally, since each $\mathbb{P}'(\mathfrak{s}_i)$, $i\in[|\mathfrak{a}|]$, has by induction hypothesis $h'(\mathfrak{s}_i)$ linear extensions, it follows from (2.2.14b) that $\mathbb{P}'(\mathfrak{t})$ admits $h'(\mathfrak{t})$ linear extensions.

By Lemma 2.2.2, and in pursuit of the previous example, the &-tree defined in (2.2.13) has four linear extensions. The four permutations encoding these are

$$(11, 1, 12, \epsilon, 2, 311, 31, 3),$$
 $(2.2.16a)$

$$(11, 1, 12, \epsilon, 311, 2, 31, 3),$$
 $(2.2.16b)$

$$(11, 1, 12, \epsilon, 311, 31, 2, 3),$$
 $(2.2.16c)$

$$(11, 1, 12, \epsilon, 311, 31, 3, 2).$$
 (2.2.16d)

Proposition 2.2.3. For any finite alphabet \mathfrak{G} , the hook series of $(S_{\bullet}(\mathfrak{G}), V)$ satisfies $\langle \mathfrak{t}, h_V \rangle = h'(\mathfrak{t})$ for any \mathfrak{G} -tree \mathfrak{t} .

Proof. Let us proceed by induction on the degree of the \mathfrak{G} -trees. By definition of $\mathbf{h}_{\mathbf{V}}$, $\langle |, \mathbf{h}_{\mathbf{V}} \rangle = 1$, and since $\mathbf{h}'(|) = 1$, the property holds. Now, let \mathbf{t} be a \mathfrak{G} -tree of degree $d \geqslant 1$. We have, by definition of $\mathbf{h}_{\mathbf{V}}$, by Proposition 2.2.1, and by induction hypothesis,

$$\begin{split} \langle \mathfrak{t}, \mathbf{h}_{\mathbf{V}} \rangle &= \langle \mathbf{V}^{\star}(\mathfrak{t}), \mathbf{h}_{\mathbf{V}} \rangle \\ &= \left\langle \sum_{u \in \mathcal{N}^{\mathrm{qm}}_{\bullet}(\mathfrak{t})} \mathrm{con}_{u}(\mathfrak{t}), \mathbf{h}_{\mathbf{V}} \right\rangle \\ &= \sum_{u \in \mathcal{N}^{\mathrm{qm}}_{\bullet}(\mathfrak{t})} \langle \mathrm{con}_{u}(\mathfrak{t}), \mathbf{h}_{\mathbf{V}} \rangle \\ &= \sum_{u \in \mathcal{N}^{\mathrm{qm}}_{\bullet}(\mathfrak{t})} \mathbf{h}'(\mathrm{con}_{u}(\mathfrak{t})). \end{split} \tag{2.2.17}$$

From the definition of $\mathbb{P}'(\mathfrak{t})$, it follows that any permutation encoding a linear extension of $\mathbb{P}'(\mathfrak{t})$ writes as

$$(v^{(1)}, \dots, v^{(d-1)}, u)$$
 (2.2.18)

where the permutation $(v^{(1)}, \ldots, v^{(d-1)})$ encodes a linear extension of $\mathbb{P}'(\operatorname{con}_u(\mathfrak{t}))$ and u is a quasi-maximal node of \mathfrak{t} . This leads, by using Lemma 2.2.2, to $\langle \mathfrak{t}, \mathbf{h}_{\mathbf{v}} \rangle = \mathbf{h}'(\mathfrak{t})$.

Here are the sequences of the first coefficients of some initial paths series of $(S_{\bullet}(\mathfrak{G}), V)$:

$$1, 1, 2, 5, 14, 42, 132, 429,$$
 for $\mathfrak{G} = \{a\} \text{ with } |a| = 2,$ (2.2.19a)

$$1, 1, 3, 13, 71, 465, 3563, 31429,$$
 for $\mathfrak{G} = \{c\}$ with $|c| = 3,$ (2.2.19b)

$$1, 2, 8, 40, 224, 1344, 8448, 54912,$$
 for $\mathfrak{G} = \{a, b\}$ with $|a| = |b| = 2,$ (2.2.19c)

1, 2, 10, 70, 606, 6210, 73842, 1006318, for
$$\mathfrak{G} = \{a, c\}$$
 with $|a| = 2$, $|c| = 3$. (2.2.19d)

The first and third ones are respectively Sequences A000108 and A151374 of [Slo]. The two other ones do not appear for the time being in [Slo].

- 2.3. **Diagonal duality.** We prove here that the pair of graded graphs consisting in the \mathfrak{G} -prefix graph and the \mathfrak{G} -twisted prefix graph is ϕ -diagonal dual. The description of the map ϕ requires the use of a particular statistics on \mathfrak{G} -trees introduced here.
- 2.3.1. Non-first leaves statistics. Let $\mathfrak G$ be an alphabet and $\mathfrak t$ be a $\mathfrak G$ -tree. A leaf u of $\mathfrak t$ is non-first if u admits no occurrence of the letter 1. In other words, the path connecting the root of $\mathfrak t$ with u does never go through a first edge of an internal node. We denote by $\mathcal N_{|}^{\rm nf}(\mathfrak t)$ the set of all non-first leaves of $\mathfrak t$. For instance, by considering the same alphabet $\mathfrak G$ as in the previous examples, here is a $\mathfrak G$ -tree wherein its non-first leaves are framed:



Moreover, let us define the *non-first leaves* statistics $\mathfrak{t} \mapsto nfl(\mathfrak{t})$ by setting $nfl(\mathfrak{t}) = \#\mathcal{N}_{|}^{nf}(\mathfrak{t})$. Immediately from the definition of non-first leaves, this statistics on $S(\mathfrak{G})$ satisfies the recurrence

$$nfl() = 1, (2.3.2a)$$

$$nfl(a(\mathfrak{s},|,\ldots,|)) = |a| - 1, \qquad (2.3.2b)$$

$$\operatorname{nfl}(a(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|a|})) = \sum_{j\in[2,|a|]} \operatorname{nfl}(\mathfrak{s}_j),$$
 (2.3.2c)

for any $a \in \mathfrak{G}$ and any \mathfrak{G} -trees \mathfrak{s} , \mathfrak{s}_1 , ..., $\mathfrak{s}_{|a|}$ such that there is at least $a \ j \in [2,|a|]$ satisfying $\mathfrak{s}_j \neq |a|$.

2.3.2. Diagonal duality.

Theorem 2.3.1. For any finite alphabet \mathfrak{G} , the pair of graded graphs $(S_{\bullet}(\mathfrak{G}), U, V)$ is ϕ -diagonal dual for the linear map $\phi : \mathbb{K}(S_{\bullet}(\mathfrak{G})) \to \mathbb{K}(S_{\bullet}(\mathfrak{G}))$ satisfying

$$\phi(\mathfrak{t}) = (\#\mathfrak{G}) \operatorname{nfl}(\mathfrak{t}) \mathfrak{t} \tag{2.3.3}$$

for any G-tree t.

Proof. Let us consider the G-tree polynomial

$$f(\mathfrak{t}) := (\mathbf{V}^{\star}\mathbf{U} - \mathbf{U}\mathbf{V}^{\star})(\mathfrak{t}) = \sum_{\substack{\mathbf{b} \in \mathfrak{G} \\ i \geqslant 0}} \mathbf{V}^{\star}(\mathfrak{t} \circ_{i} \mathbf{b}) - \mathbf{V}^{\star}(\mathfrak{t}) \circ_{i} \mathbf{b}. \tag{2.3.4}$$

Notice that we use here the convention exposed in Section 1.3.4 about extension by linearity of the composition maps of operads in order to write the sum (2.1.1) without bounding i. Nevertheless, this sum is finite. We proceed by structural induction on \mathfrak{G} -trees to show that $f(\mathfrak{t}) = \phi(\mathfrak{t})$.

We have to consider three cases following t. First, when t = |, we immediately have $f(\mathfrak{t}) = (\#\mathfrak{G})|$. Since $\mathrm{nfl}(|) = 1$, the property is satisfied. Second, when t is of the form $\mathfrak{t} = a(\mathfrak{s}_1, |, \ldots, |)$ for an $a \in \mathfrak{G}$ and a \mathfrak{G} -tree \mathfrak{s}_1 , we obtain

$$f(t) = \sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \mathbf{V}^{*}(\mathbf{a}(\mathfrak{s}_{1}, |, \dots, |) \circ_{i} b) - \mathfrak{s}_{1} \circ_{i} b$$

$$= \left(\sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \mathbf{V}^{*}(\mathbf{a}(\mathfrak{s}_{1} \circ_{i} b, |, \dots, |)) - \mathfrak{s}_{1} \circ_{i} b \right) + \left(\sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \sum_{j \in [2, |\mathbf{a}|]} \mathbf{V}^{*}\left(\mathbf{a}(\mathfrak{s}_{1}, |, \dots, |, b, |, \dots, |) \right) \right)$$

$$= \left(\sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \mathfrak{s}_{1} \circ_{i} b - \mathfrak{s}_{1} \circ_{i} b \right) + \left(\sum_{\substack{b \in \mathfrak{G} \\ j \in [2, |\mathbf{a}|]}} \sum_{j \in [2, |\mathbf{a}|]} \mathbf{a}(\mathfrak{s}_{1}, |, \dots, |, b, |, \dots, |) \right)$$

$$= \sum_{\substack{b \in \mathfrak{G} \\ j \in [2, |\mathbf{a}|]}} \mathfrak{a}(\mathfrak{s}_{1}, |, \dots, |, b, |, \dots, |, \dots, |, b, |, \dots, |, \dots, |, b, |, \dots, |, \dots, |, b, |, \dots, |, \dots$$

Since t has the considered form, nfl(t) = |a| - 1. Hence, $f(t) = (\#\mathfrak{G})nfl(t)$ t, so that the property is satisfied. Finally, it remains to consider the case where t is of the form $\mathfrak{t} = a(\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|})$ for an $a \in \mathfrak{G}$ and for \mathfrak{G} -trees $\mathfrak{s}_1, \ldots, \mathfrak{s}_{|a|}$ where there is at least a $j \in [2, |a|]$

such that $\mathfrak{s}_i \neq 1$. In this case, we obtain

$$f(\mathfrak{t}) = \sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \sum_{j \in [2,|a|]} a(\mathfrak{s}_{1} \circ_{i} b, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|}) + \dots$$

$$+ a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j} \circ_{i} b), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|}) + \dots + a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|} \circ_{i} b)$$

$$- a(\mathfrak{s}_{1} \circ_{i} b, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|}) - \dots$$

$$- a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}) \circ_{i} b, \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|}) - \dots - a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|} \circ_{i} b)$$

$$= \sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \sum_{j \in [2,|a|]} a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j} \circ_{i} b), \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|})$$

$$- a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j}) \circ_{i} b, \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|})$$

$$= \sum_{\substack{b \in \mathfrak{G} \\ i \geqslant 0}} \sum_{j \in [2,|a|]} a(\mathfrak{s}_{1}, \dots, \mathfrak{s}_{j-1}, \mathbf{V}^{\star}(\mathfrak{s}_{j} \circ_{i} b) - \mathbf{V}^{\star}(\mathfrak{s}_{j}) \circ_{i} b, \mathfrak{s}_{j+1}, \dots, \mathfrak{s}_{|a|}).$$

$$(2.3.6)$$

By induction hypothesis, we get

$$\sum_{\substack{\mathbf{b} \in \mathfrak{G} \\ i > 0}} \mathbf{V}^{\star} (\mathfrak{s}_{j} \circ_{i} \mathbf{b}) - \mathbf{V}^{\star} (\mathfrak{s}_{j}) \circ_{i} \mathbf{b} = (\mathbf{V}^{\star} \mathbf{U} - \mathbf{U} \mathbf{V}^{\star}) (\mathfrak{s}_{j}) = f(\mathfrak{s}_{j}) = \phi(\mathfrak{s}_{j}). \tag{2.3.7}$$

We now obtain from (2.3.6), (2.3.7), and (2.3.2c) that

$$f(\mathfrak{t}) = \sum_{j \in [2,|\mathbf{a}|]} \mathbf{a}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{j-1},\phi(\mathfrak{s}_{j}),\mathfrak{s}_{j+1},\ldots,\mathfrak{s}_{|\mathbf{a}|})$$

$$= \sum_{j \in [2,|\mathbf{a}|]} (\#\mathfrak{G}) \operatorname{nfl}(\mathfrak{s}_{j}) \ \mathbf{a}(\mathfrak{s}_{1},\ldots,\mathfrak{s}_{j-1},\mathfrak{s}_{j},\mathfrak{s}_{j+1},\ldots,\mathfrak{s}_{|\mathbf{a}|})$$

$$= (\#\mathfrak{G}) \operatorname{nfl}(\mathfrak{t}) \ \mathfrak{t}.$$

$$(2.3.8)$$

Therefore, $f(\mathfrak{t}) = (\#\mathfrak{G}) \operatorname{nfl}(\mathfrak{t}) \mathfrak{t}$, establishing the statement of theorem.

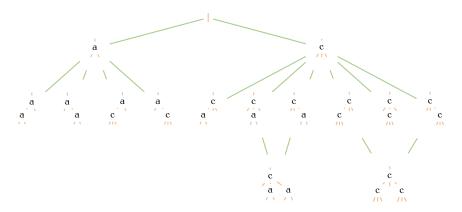
Figure 3 shows an example of a pair of ϕ -diagonal dual graded graphs.

2.3.3. Bracket tree. As already noticed before, when $\mathfrak{G} = \mathfrak{G}(2) = \{a\}$, the graph $(S_{\bullet}(\mathfrak{G}), V)$ is a tree. Moreover, $(S_{\bullet}(\mathfrak{G}), U, V)$ is a pair of dual graded graphs isomorphic to the pair consisting in the finite order ideals of the infinite binary tree and the *Bracket tree*, known from [Fom94] (see also [HNT05]). One can see Theorem 2.3.1 as a generalization of this prototypical instance for the present case of \mathfrak{G} -trees and ϕ -diagonal duality.

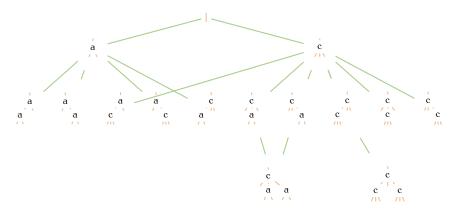
3. Posets of syntax trees

We present here a combinatorial study of the posets of the &-prefix graphs. In particular we look at their lattice properties, the structure of their intervals, enumerate the trees in a given interval, and enumerate all intervals with respect to the degrees of theirs bounds.

3.1. **Posets and their intervals.** We begin by describing the order relation and covering relation of the posets of G-prefix graphs. We prove that any interval of these posets are distributive lattices



(A) The graph $(S_{\bullet}(\mathfrak{G}), U)$ up to degree 2 and with some trees of degree 3.



(B) The graph $(S_{\bullet}(\mathfrak{G}), V)$ up to degree 2 and with some trees of degree 3.

Figure 3. The pair $(S_{\bullet}(\mathfrak{G}),U,V)$ of ϕ -diagonal dual graded graphs where $\mathfrak{G}:=\{a,c\}$ with |a|=2 and |c|=3.

3.1.1. Prefix posets. Let $\mathfrak G$ be a finite alphabet. The $\mathfrak G$ -prefix poset is the poset $(S_{\bullet}(\mathfrak G), \preccurlyeq_p)$ of $(S_{\bullet}(\mathfrak G), U)$. Besides, for any $\mathfrak G$ -trees $\mathfrak s$ and $\mathfrak t$, $\mathfrak s$ is a prefix of $\mathfrak t$ if there exist $\mathfrak G$ -trees $\mathfrak r_1, \ldots, \mathfrak r_{|\mathfrak s|}$ such that $\mathfrak t = \mathfrak s \circ [\mathfrak r_1, \ldots, \mathfrak r_{|\mathfrak s|}]$.

Lemma 3.1.1. Let \mathfrak{G} be an alphabet, and \mathfrak{s} and \mathfrak{t} be two \mathfrak{G} -trees. Then, \mathfrak{s} is a prefix of \mathfrak{t} if and only if $\mathfrak{s} = \mathfrak{l}$, or the roots of \mathfrak{s} and \mathfrak{t} are both labeled by the same letter $a \in \mathfrak{G}$, and for all $i \in [|a|]$, $\mathfrak{s}(i)$ is a prefix of $\mathfrak{t}(i)$.

Proof. This follows directly from the definition of the notion of prefix just introduced. \Box

Proposition 3.1.2. For any finite alphabet \mathfrak{G} , the order relation \preccurlyeq_p of the \mathfrak{G} -prefix poset satisfies $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$ if and only if the \mathfrak{G} -tree \mathfrak{s} is a prefix of the \mathfrak{G} -tree \mathfrak{t} . Moreover, the covering relation \lessdot_U of the \mathfrak{G} -prefix poset satisfies $\mathfrak{s} \lessdot_U \mathfrak{t}$ for any \mathfrak{G} -trees \mathfrak{s} and \mathfrak{t} if and only if there is $u \in \mathcal{N}_{\bullet}^m(\mathfrak{t})$ such that $\mathfrak{s} = \operatorname{del}_u(\mathfrak{t})$.

Proof. Assume that $\mathfrak{s} \preccurlyeq_{\mathfrak{p}} \mathfrak{t}$. By definition of the \mathfrak{G} -prefix poset, there exist an integer $k \geqslant 0$, letters a_1, \ldots, a_k of \mathfrak{G} , and positive integers i_1, \ldots, i_k such that

$$\mathfrak{t} = (\dots((\mathfrak{s} \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_k} a_k. \tag{3.1.1}$$

It follows straightforwardly by induction on k that there exist \mathfrak{G} -trees $\mathfrak{r}_1, \ldots, \mathfrak{r}_{|\mathfrak{s}|}$ such that $\mathfrak{t} = \mathfrak{s} \circ [\mathfrak{r}_1, \ldots, \mathfrak{r}_{|\mathfrak{s}|}]$. Therefore, \mathfrak{s} is a prefix of \mathfrak{t} .

Conversely, assume that $\mathfrak s$ is a prefix of $\mathfrak t$. Hence, there exist $\mathfrak G$ -trees $\mathfrak r_1, \ldots, \mathfrak r_{|\mathfrak s|}$ such that $\mathfrak t = \mathfrak s \circ [\mathfrak r_1, \ldots, \mathfrak r_{|\mathfrak s|}]$. By (1.3.4),

$$\mathfrak{t} = \left(\dots\left(\left(\mathfrak{s} \circ_{|\mathfrak{s}|} \mathfrak{r}_{|\mathfrak{s}|}\right) \circ_{|\mathfrak{s}|-1} \mathfrak{r}_{|\mathfrak{s}|-1}\right) \dots\right) \circ_1 \mathfrak{r}_1,\tag{3.1.2}$$

and, by expressing now each tree \mathfrak{r}_i , $i \in [|\mathfrak{s}|]$, by means of partial compositions involving letters of \mathfrak{G} , and by arranging this expression so that it becomes bracketed from the left to the right by using Relation (1.3.2a), one obtains an expression of the same form as (3.1.1) for \mathfrak{t} . Therefore, $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$.

The second part of the statement is a direct consequence of the fact that the adjoint map U^* of the map U of the \mathfrak{G} -prefix graph satisfies (2.1.2).

3.1.2. Distributive lattices. Let \mathfrak{G} be a finite alphabet. Let \wedge be the binary operation on $S_{\bullet}(\mathfrak{G})$, called *intersection*, defined recursively by

$$\mathfrak{t} \wedge | := | = : | \wedge \mathfrak{t}, \tag{3.1.3a}$$

$$a(\mathfrak{t}_1,\ldots,\mathfrak{t}_{|a|}) \wedge b(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|b|}) := I, \tag{3.1.3b}$$

$$a(t_1, \ldots, t_{|a|}) \wedge a(t'_1, \ldots, t'_{|a|}) := a(t_1 \wedge t'_1, \ldots, t_{|a|} \wedge t'_{|a|}), \tag{3.1.3c}$$

for any $a,b \in \mathfrak{G}$ such that $a \neq b$, and any \mathfrak{G} -trees $\mathfrak{t}, \mathfrak{t}_1, \ldots, \mathfrak{t}_{|a|}, \mathfrak{t}_1', \ldots, \mathfrak{t}_{|a|}'$, and $\mathfrak{s}_1, \ldots, \mathfrak{s}_{|b|}$. From an intuitive point of view, $\mathfrak{t} \wedge \mathfrak{t}'$ is the tree obtained by considering the largest common part between the \mathfrak{G} -trees \mathfrak{t} and \mathfrak{t}' starting from their roots. For instance,

Lemma 3.1.3. For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees \mathfrak{t} and \mathfrak{t}' , $\mathfrak{t} \wedge \mathfrak{t}'$ is greatest lower bound of $\{\mathfrak{t},\mathfrak{t}'\}$ in the \mathfrak{G} -prefix poset.

Proof. We use here Proposition 3.1.2 and its description of the order relation \preccurlyeq_p of the \mathfrak{G} -prefix poset in terms of prefixes of \mathfrak{G} -trees. Let us denote by $L(\mathfrak{t},\mathfrak{t}')$ the set all lower bounds of $\{\mathfrak{t},\mathfrak{t}'\}$. By structural induction on \mathfrak{t} and \mathfrak{t}' , we show that $\max_{\preccurlyeq_p} L(\mathfrak{t},\mathfrak{t}')$ exists and that $\max_{\preccurlyeq_p} L(\mathfrak{t},\mathfrak{t}') = \mathfrak{t} \wedge \mathfrak{t}'$. First, immediately from the definition of \wedge , we have $|\wedge \mathfrak{t} = \mathfrak{t} \wedge| = |$ and $L(\mathfrak{t},\mathfrak{t}) = L(\mathfrak{t},\mathfrak{t}) = \{|\}$ so that the statement of the lemma holds in this case. Assume now that \mathfrak{t} and \mathfrak{t}' are both different from the leaf so that $\mathfrak{t} = \mathfrak{a}(\mathfrak{t}_1, \ldots, \mathfrak{t}_{|\mathfrak{a}|})$ and $\mathfrak{t}' = \mathfrak{a}'(\mathfrak{t}'_1, \ldots, \mathfrak{t}'_{|\mathfrak{a}'|})$ where $\mathfrak{a},\mathfrak{a}' \in \mathfrak{G}$ and $\mathfrak{t}_1, \ldots \mathfrak{t}_{|\mathfrak{a}|}, \mathfrak{t}'_1, \ldots, \mathfrak{t}'_{|\mathfrak{a}'|}$ are \mathfrak{G} -trees. If $\mathfrak{a} \neq \mathfrak{a}'$, we have $\mathfrak{t} \wedge \mathfrak{t}' = |$ and $L(\mathfrak{t},\mathfrak{t}') = \{|\}$ since the leaf is the only \mathfrak{G} -tree which is a common prefix of both \mathfrak{t} and \mathfrak{t}' .

Hence, the statement of the lemma holds in this case. For the last case to consider, one has a = a', and it follows by induction hypothesis that

$$\mathfrak{t} \wedge \mathfrak{t}' = \mathfrak{a}(\mathfrak{t}_1 \wedge \mathfrak{t}'_1, \dots, \mathfrak{t}_{|\mathfrak{a}|} \wedge \mathfrak{t}'_{|\mathfrak{a}|}) = \mathfrak{a}(\mathfrak{s}_1, \dots, \mathfrak{s}_{|\mathfrak{a}|}), \tag{3.1.5}$$

where for any $i \in [|a|]$, $\mathfrak{s}_i := \max_{\preccurlyeq_p} L(\mathfrak{t}_i, \mathfrak{t}_i')$. Now, since for any $i \in [|a|]$, \mathfrak{s}_i is a prefix of both \mathfrak{t}_i and \mathfrak{t}_i' , and since all trees different from the leaf of $L(\mathfrak{t}, \mathfrak{t}')$ have a root labeled by a, by Lemma 3.1.1, $\mathfrak{t} \wedge \mathfrak{t}'$ is a prefix of both \mathfrak{t} and \mathfrak{t}' so that $\mathfrak{t} \wedge \mathfrak{t}' \in L(\mathfrak{t}, \mathfrak{t}')$. To show finally that $\mathfrak{t} \wedge \mathfrak{t}'$ is the greatest element of $L(\mathfrak{t}, \mathfrak{t}')$, assume that \mathfrak{r} is a \mathfrak{G} -tree of $L(\mathfrak{t}, \mathfrak{t}')$. First, the root of \mathfrak{r} is labeled by a. Second, since for any $i \in [|a|]$, $\mathfrak{r}(i)$ is a prefix of both \mathfrak{t}_i and \mathfrak{t}_i' , and since \mathfrak{s}_i is the greatest \mathfrak{G} -tree which is a common prefix of \mathfrak{t}_i and \mathfrak{t}_i' , $\mathfrak{r}(i)$ is a prefix of \mathfrak{s}_i . Therefore, by Lemma 3.1.1, this implies that \mathfrak{r} is a prefix of $\mathfrak{t} \wedge \mathfrak{t}'$ and establishes the statement of the lemma.

In the same way, let \vee be the partial binary operation on $S_{\bullet}(\mathfrak{G})$, called *union*, defined recursively by

$$\mathfrak{t} \vee | := \mathfrak{t} =: | \vee \mathfrak{t}, \tag{3.1.6a}$$

$$a(\mathfrak{t}_{1},\ldots,\mathfrak{t}_{|a|})\vee a(\mathfrak{t}'_{1},\ldots,\mathfrak{t}'_{|a|}):=a(\mathfrak{t}_{1}\vee\mathfrak{t}'_{1},\ldots,\mathfrak{t}_{|a|}\vee\mathfrak{t}'_{|a|}), \tag{3.1.6b}$$

and where

$$a(\mathfrak{t}_1,\ldots,\mathfrak{t}_{|a|})\vee b(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|b|}) \tag{3.1.7}$$

is not defined, for any $a,b \in \mathfrak{G}$ such that $a \neq b$, and any \mathfrak{G} -trees $\mathfrak{t},\mathfrak{t}_1,\ldots,\mathfrak{t}_{|a|},\mathfrak{t}'_1,\ldots,\mathfrak{t}'_{|a|}$, and $\mathfrak{s}_1,\ldots,\mathfrak{s}_{|b|}$. From an intuitive point of view, $\mathfrak{t}\vee\mathfrak{t}'$ is the tree obtained by superimposing \mathfrak{t} and \mathfrak{t}' . For instance,

Lemma 3.1.4. For any finite alphabet $\mathfrak G$ and any $\mathfrak G$ -trees $\mathfrak t$ and $\mathfrak t'$ such $\{\mathfrak t,\mathfrak t'\}$ admits an upper bound in the $\mathfrak G$ -prefix poset, $\mathfrak t \vee \mathfrak t'$ is well-defined and is the least upper bound of $\{\mathfrak t,\mathfrak t'\}$.

Proof. We use here Proposition 3.1.2 and its description of the order relation \preccurlyeq_p of the \mathfrak{G} -prefix poset in terms of prefixes of \mathfrak{G} -trees. Let us denote by $U(\mathfrak{t},\mathfrak{t}')$ the set of all upper bounds of $\{\mathfrak{t},\mathfrak{t}'\}$. By structural induction on \mathfrak{t} and \mathfrak{t}' , we show that $\min_{\preccurlyeq_p} U(\mathfrak{t},\mathfrak{t}')$ exists and that $\min_{\preccurlyeq_p} U(\mathfrak{t},\mathfrak{t}') = \mathfrak{t} \vee \mathfrak{t}'$. First, immediately from the definition of \vee , we have $|\vee\mathfrak{t} = \mathfrak{t} \vee| = \mathfrak{t}$ and $U(\mathfrak{t},\mathfrak{t}) = U(\mathfrak{t},\mathfrak{t}) = \{\mathfrak{t}\}$ so that the statement of the lemma holds in this case. Assume now that \mathfrak{t} and \mathfrak{t}' are both different from the leaf so that $\mathfrak{t} = \mathfrak{a}(\mathfrak{t}_1, \ldots, \mathfrak{t}_{|\mathfrak{a}|})$ and $\mathfrak{t}' = \mathfrak{a}'(\mathfrak{t}'_1, \ldots, \mathfrak{t}'_{|\mathfrak{a}'|})$ where $\mathfrak{a},\mathfrak{a}' \in \mathfrak{G}$ and $\mathfrak{t}_1, \ldots \mathfrak{t}_{|\mathfrak{a}|}, \mathfrak{t}'_1, \ldots, \mathfrak{t}'_{|\mathfrak{a}'|}$ are \mathfrak{G} -trees. Since $\{\mathfrak{t},\mathfrak{t}'\}$ admits, by hypothesis, an upper bound, both \mathfrak{t} and \mathfrak{t}' have to be prefixes of a same \mathfrak{G} -tree. This implies that $\mathfrak{a} = \mathfrak{a}'$. It follows by induction hypothesis that

$$\mathfrak{t}\vee\mathfrak{t}'=a(\mathfrak{t}_1\vee\mathfrak{t}_1',\ldots,\mathfrak{t}_{|a|}\vee\mathfrak{t}_{|a|}')=a(\mathfrak{s}_1,\ldots,\mathfrak{s}_{|a|}), \tag{3.1.9}$$

where for any $i \in [|\mathbf{a}|]$, $\mathfrak{s}_i := \min_{\preccurlyeq_p} U(\mathfrak{t}_i, \mathfrak{t}_i')$. Observe that the \mathfrak{G} -tree specified by (3.1.9) is well-defined by induction hypothesis. Indeed, by calling \mathfrak{r} an upper bound of $\{\mathfrak{t},\mathfrak{t}'\}$, for any $i \in [|\mathbf{a}|]$, \mathfrak{r}_i is an upper bound of $\{\mathfrak{t}_i,\mathfrak{t}_i'\}$. Now, since for any $i \in [|\mathbf{a}|]$, both \mathfrak{t}_i and \mathfrak{t}_i' are

prefixes of \mathfrak{s}_i , and since all trees of $U(\mathfrak{t},\mathfrak{t}')$ have a root labeled by a, by Lemma 3.1.1 both \mathfrak{t} and \mathfrak{t}' are prefixes of $\mathfrak{t}\vee\mathfrak{t}'$ so that $\mathfrak{t}\vee\mathfrak{t}'\in U(\mathfrak{t},\mathfrak{t}')$. To show finally that $\mathfrak{t}\vee\mathfrak{t}'$ is the least element of $U(\mathfrak{t},\mathfrak{t}')$, assume that \mathfrak{r} is a \mathfrak{G} -tree to $U(\mathfrak{t},\mathfrak{t}')$. Since for any $i\in[|\mathfrak{a}|]$, both \mathfrak{t}_i and \mathfrak{t}'_i are prefixes of $\mathfrak{r}(i)$, and since \mathfrak{s}_i is the smallest \mathfrak{G} -tree admitting both \mathfrak{t}_i and \mathfrak{t}'_i as prefixes, \mathfrak{s}_i is a prefix of $\mathfrak{r}(i)$. Therefore, by Lemma 3.1.1, this implies that $\mathfrak{t}\vee\mathfrak{t}'$ is a prefix of \mathfrak{r} and establishes the statement of the lemma.

By seeing \mathfrak{G} -trees as terms, the term encoded by $\mathfrak{t} \vee \mathfrak{t}'$ is in fact, if it exists, the unification of the terms encoded by the \mathfrak{G} -trees \mathfrak{t} and \mathfrak{t}' (see [BN98, Ter03]).

Proposition 3.1.5. For any finite alphabet \mathfrak{G} , the \mathfrak{G} -prefix poset is a meet-semilattice for the operation \wedge . Moreover, each interval $[\mathfrak{s},\mathfrak{t}]$ of this poset is a distributive lattice for the operations \wedge and \vee .

Proof. Lemma 3.1.3 says that the \mathfrak{G} -prefix poset is a meet-semilattice for the operation \wedge . Moreover, by Lemma 3.1.4, the operation \vee is well-defined for any pair of elements of the interval $I := [\mathfrak{s},\mathfrak{t}]$ since \mathfrak{t} is an upper bound of any pair of trees of I. Hence, I is a join-semilattice and thus also a lattice for the operations \wedge and \vee .

Let us now prove that I is a distributive lattice. We proceed by structural induction on the three \mathfrak{G} -trees \mathfrak{r}_1 , \mathfrak{r}_2 , and \mathfrak{r}_3 of I to show that $\alpha_{\mathfrak{r}_1,\mathfrak{r}_2,\mathfrak{r}_3} = \beta_{\mathfrak{r}_1,\mathfrak{r}_2,\mathfrak{r}_3}$ where $\alpha_{\mathfrak{r}_1,\mathfrak{r}_2,\mathfrak{r}_3} := \mathfrak{r}_1 \wedge (\mathfrak{r}_2 \vee \mathfrak{r}_3)$ and $\beta_{\mathfrak{r}_1,\mathfrak{r}_2,\mathfrak{r}_3} := (\mathfrak{r}_1 \wedge \mathfrak{r}_2) \vee (\mathfrak{r}_1 \wedge \mathfrak{r}_3)$. First, we have

$$\alpha_{|\mathbf{r}_2,\mathbf{r}_3} = |\wedge(\mathbf{r}_2 \vee \mathbf{r}_3)| = |\wedge (\mathbf{r}_2 \vee \mathbf{r}_3)| = |\wedge (\mathbf{r}_3 \vee \mathbf{r}_3)| = |\wedge (\mathbf{r}$$

and

$$\beta_{|,\mathbf{r}_{2},\mathbf{r}_{3}} = (|\wedge \mathbf{r}_{2}) \vee (|\wedge \mathbf{r}_{3}) = |\vee| = |. \tag{3.1.11}$$

Second, we have

$$\alpha_{\mathfrak{r}_1,\mathfrak{l},\mathfrak{r}_3} = \mathfrak{r}_1 \wedge (\mathsf{l} \vee \mathfrak{r}_3) = \mathfrak{r}_1 \wedge \mathfrak{r}_3, \tag{3.1.12}$$

and

$$\beta_{\mathfrak{r}_1, |_{\mathfrak{r}_3}} = (\mathfrak{r}_1 \wedge |) \vee (\mathfrak{r}_1 \wedge \mathfrak{r}_3) = | \vee (\mathfrak{r}_1 \wedge \mathfrak{r}_3) = \mathfrak{r}_1 \wedge \mathfrak{r}_3. \tag{3.1.13}$$

Similarly, the relation $\alpha_{\mathfrak{r}_1,\mathfrak{r}_2,|}=\mathfrak{r}_1\wedge\mathfrak{r}_2=\beta_{\mathfrak{r}_1,\mathfrak{r}_2,|}$ holds. We can now assume that $\mathfrak{r}_1,\mathfrak{r}_2$, and \mathfrak{r}_3 are different from the leaf. Moreover, since $\mathfrak{r}_1\preccurlyeq_p\mathfrak{t}$, $\mathfrak{r}_2\preccurlyeq_p\mathfrak{t}$, and $\mathfrak{r}_3\preccurlyeq_p\mathfrak{t}$, the roots of $\mathfrak{r}_1,\mathfrak{r}_2$, and \mathfrak{r}_3 are labeled by the same letter a of \mathfrak{G} . Therefore,

$$\alpha_{\mathfrak{r}_{1},\mathfrak{r}_{2},\mathfrak{r}_{3}} = \mathfrak{r}_{1} \wedge a(\mathfrak{r}_{2}(1) \vee \mathfrak{r}_{3}(1), \dots \mathfrak{r}_{2}(|a|) \vee \mathfrak{r}_{3}(|a|))$$

$$= a(\mathfrak{r}_{1}(1) \wedge (\mathfrak{r}_{2}(1) \vee \mathfrak{r}_{3}(1)), \dots, \mathfrak{r}_{1}(|a|) \wedge (\mathfrak{r}_{2}(|a|) \vee \mathfrak{r}_{3}(|a|)))$$

$$= a(\alpha_{\mathfrak{r}_{1}(1),\mathfrak{r}_{2}(1),\mathfrak{r}_{3}(1)}, \dots, \alpha_{\mathfrak{r}_{1}(|a|),\mathfrak{r}_{2}(|a|)}, \mathfrak{r}_{3}(|a|)),$$
(3.1.14)

and

$$\begin{split} \beta_{\mathfrak{r}_{1},\mathfrak{r}_{2},\mathfrak{r}_{3}} &= a(\mathfrak{r}_{1}(1) \wedge \mathfrak{r}_{2}(1), \dots, \mathfrak{r}_{1}(|a|) \wedge \mathfrak{r}_{2}(|a|)) \vee a(\mathfrak{r}_{1}(1) \wedge \mathfrak{r}_{3}(1), \dots, \mathfrak{r}_{1}(|a|) \wedge \mathfrak{r}_{3}(|a|)) \\ &= a((\mathfrak{r}_{1}(1) \wedge \mathfrak{r}_{2}(1)) \vee (\mathfrak{r}_{1}(1) \wedge \mathfrak{r}_{3}(1)), \dots, (\mathfrak{r}_{1}(|a|) \wedge \mathfrak{r}_{2}(|a|)) \vee (\mathfrak{r}_{1}(|a|) \wedge \mathfrak{r}_{3}(|a|))) \\ &= a(\beta_{\mathfrak{r}_{1}(1),\mathfrak{r}_{2}(1),\mathfrak{r}_{3}(1)}, \dots, \beta_{\mathfrak{r}_{1}(|a|),\mathfrak{r}_{2}(|a|),\mathfrak{r}_{3}(|a|)}). \end{split}$$
(3.1.15)

By induction hypothesis, the relation $\alpha_{\mathfrak{r}_1,\mathfrak{r}_2,\mathfrak{r}_3} = \beta_{\mathfrak{r}_1,\mathfrak{r}_2,\mathfrak{r}_3}$ follows.

3.1.3. Structure of the intervals. A \mathfrak{G} -tree \mathfrak{t} is stringy if any internal node of \mathfrak{t} has at most one child which is an internal node. A \mathfrak{G} -tree \mathfrak{t} is co-irreducible in $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$ if \mathfrak{t} covers at most one element.

Proposition 3.1.6. For any finite alphabet \mathfrak{G} , the set of co-irreducible elements of the \mathfrak{G} -prefix poset is the set of all stringy \mathfrak{G} -trees. Moreover, the number of such elements of degree $d \ge 1$ is $\mathcal{R}_{\mathfrak{G}}(1)\mathcal{R}'_{\mathfrak{G}}(1)^{d-1}$, where $\mathcal{R}'_{\mathfrak{G}}$ is the derivative of $\mathcal{R}_{\mathfrak{G}}(t)$ with respect to t.

Proof. We use here Proposition 3.1.2 and its description of the covering relation \leq_U of the \mathfrak{G} -prefix poset in terms of deletion of maximal nodes. First, if \mathfrak{t} is a stringy \mathfrak{G} -tree different from the leaf, by definition of stringy trees, \mathfrak{t} admits exactly one maximal internal node u. Therefore, $del_u(\mathfrak{t})$ is the only tree covered by \mathfrak{t} . Conversely, if \mathfrak{t} covers exactly one \mathfrak{G} -tree \mathfrak{t}' , then \mathfrak{t} has only one maximal internal node. This implies that \mathfrak{t} is stringy. This establishes the first part of the statement.

By definition, a stringy G-tree t decomposes as

$$\mathfrak{t} = \mathbf{a}_1 \circ_{i_1} (\mathbf{a}_2 \circ_{i-2} (\dots (\mathbf{a}_{d-1} \circ_{i_{d-1}} \mathbf{a}_d) \dots)) \tag{3.1.16}$$

where (a_1, \ldots, a_d) is a sequence of elements of \mathfrak{G} and (i_1, \ldots, i_{d-1}) is a sequence of indices satisfying $i_j \in [|a_j|]$ for any $j \in [d-1]$. This tree t is moreover entirely specified by these two sequences. For this reason, by denoting by $\theta(d)$ the number of stringy \mathfrak{G} -trees of degree $d \geq 0$, we have

$$\theta(d) = \sum_{(\mathbf{a}_1, \dots, \mathbf{a}_d) \in \mathfrak{G}^d} |\mathbf{a}_1| \dots |\mathbf{a}_{d-1}|$$

$$= \left(\sum_{\mathbf{a} \in \mathfrak{G}} |\mathbf{a}|\right)^{d-1} (\#\mathfrak{G})$$

$$= \mathcal{R}'_{\mathfrak{G}}(1)^{d-1} \mathcal{R}_{\mathfrak{G}}(1).$$
(3.1.17)

This shows the second part of the statement.

Here are the sequences of the first numbers of stringy 6-trees:

$$1, 1, 2, 4, 8, 16, 32, 64,$$
 for $\mathfrak{G} = \{a\}$ with $|a| = 2,$ (3.1.18a)

$$1, 1, 3, 9, 27, 81, 243, 729,$$
 for $\mathfrak{G} = \{c\} \text{ with } |c| = 3,$ (3.1.18b)

$$1, 2, 8, 32, 128, 512, 2048, 8192,$$
 for $\mathfrak{G} = \{a, b\}$ with $|a| = |b| = 2,$ (3.1.18c)

$$1, 2, 10, 50, 250, 1250, 6250, 31250,$$
 for $\mathfrak{G} = \{a, c\} \text{ with } |a| = 2, |c| = 3,$ (3.1.18d)

A \mathfrak{G} -forest is a nonempty word of \mathfrak{G} -trees. The *length* of a \mathfrak{G} -forest is the number of trees it contains. If $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$, the *difference* between \mathfrak{t} and \mathfrak{s} is the \mathfrak{G} -forest $\mathfrak{t} \setminus \mathfrak{s} := (\mathfrak{r}_1, \ldots, \mathfrak{r}_{|\mathfrak{s}|})$ such that $\mathfrak{r}_1, \ldots, \mathfrak{r}_{|\mathfrak{s}|}$ are the unique \mathfrak{G} -trees such that $\mathfrak{t} = \mathfrak{s} \circ [\mathfrak{r}_1, \ldots, \mathfrak{r}_{|\mathfrak{s}|}]$. Moreover, from any \mathfrak{G} -forest $(\mathfrak{r}_1, \ldots, \mathfrak{r}_k)$, we denote by $\Diamond_k(\mathfrak{r}_1, \ldots, \mathfrak{r}_k)$ the $\mathfrak{G}_{\Diamond_k}$ -tree obtained by grafting the \mathfrak{G} -trees $\mathfrak{r}_1, \ldots, \mathfrak{r}_k$ to a root labeled by the letter \Diamond_k of arity k, where $\mathfrak{G}_{\Diamond_k}$ is the alphabet $\mathfrak{G} \sqcup \{\Diamond_k\}$.

Proposition 3.1.7. Let \mathfrak{G} be a finite alphabet, and \mathfrak{s} and \mathfrak{t} be two \mathfrak{G} -trees such that $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$. As subposets of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$, one has the poset isomorphisms

$$[\mathfrak{s},\mathfrak{t}]\simeq[\mathfrak{l},\mathfrak{r}_{1}]\times\cdots\times[\mathfrak{l},\mathfrak{r}_{|\mathfrak{s}|}]\simeq[\mathfrak{c}(\Diamond_{|\mathfrak{s}|}),\Diamond_{|\mathfrak{s}|}(\mathfrak{r}_{1},\ldots,\mathfrak{r}_{|\mathfrak{s}|})], \tag{3.1.19}$$

where $(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|})$ is the \mathfrak{G} -forest $\mathfrak{t}\setminus\mathfrak{s}$.

Proof. We use here Proposition 3.1.2 and its description of the order relation \preccurlyeq_p of the $\mathfrak G$ -prefix poset in terms of prefixes of $\mathfrak G$ -trees. Let us call $\mathscr P$ the poset $[\tt I,\mathfrak r_1]\times\cdots\times[\tt I,\mathfrak r_{|\mathfrak s|}]$ and let us denote by \preccurlyeq its partial order relation. Let $\psi:[\mathfrak s,\mathfrak t]\to\mathscr P$ be the map defined for any $\mathfrak G$ -tree $\mathfrak u\in [\mathfrak s,\mathfrak t]$ by $\psi(\mathfrak u):=(\mathfrak u_1,\ldots,\mathfrak u_{|\mathfrak s|})$ where $(\mathfrak u_1,\ldots,\mathfrak u_{|\mathfrak s|})=\mathfrak u\setminus\mathfrak s$. This map is well-defined because by Lemma 3.1.1, $\mathfrak u_i$ is a prefix of $\mathfrak v_i$ for any $i\in [|\mathfrak s|]$. Since ψ admits as inverse the map ψ^{-1} satisfying $\psi^{-1}((\mathfrak u_1,\ldots,\mathfrak u_{|\mathfrak s|}))=\mathfrak s\circ [\mathfrak u_1,\ldots,\mathfrak u_{|\mathfrak s|}]$, ψ is a bijection. Assume that $x:=(\mathfrak u_1,\ldots,\mathfrak u_{|\mathfrak s|})$ and $y:=(\mathfrak v_1,\ldots,\mathfrak v_{|\mathfrak s|})$ are elements of $\mathscr P$. Now, $x\preccurlyeq y$ is equivalent to the fact that $\mathfrak u_i\preccurlyeq_p\mathfrak v_i$ for all $i\in [|\mathfrak s|]$. This, again by Lemma 3.1.1, is in turn equivalent to the fact that $\mathfrak s\circ [\mathfrak u_1,\ldots,\mathfrak u_{|\mathfrak s|}]\preccurlyeq_p\mathfrak s\circ [\mathfrak v_1,\ldots,\mathfrak v_{|\mathfrak s|}]$, that is $\psi^{-1}(x)\preccurlyeq_p\psi^{-1}(y)$. Therefore, this establishes the first isomorphism of the statement of the proposition.

Let us call \mathbb{Q} the poset $[\mathfrak{c}(\lozenge_{|\mathfrak{s}|}), \lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1, \ldots, \mathfrak{r}_{|\mathfrak{s}|})]$ and let $\psi' : \mathscr{P} \to \mathbb{Q}$ be the map defined for any $(\mathfrak{u}_1, \ldots, \mathfrak{u}_{|\mathfrak{s}|}) \in \mathscr{P}$ by $\psi'((\mathfrak{u}_1, \ldots, \mathfrak{u}_{|\mathfrak{s}|})) := \lozenge_{|\mathfrak{s}|}(\mathfrak{u}_1, \ldots, \mathfrak{u}_{|\mathfrak{s}|})$. Again by Lemma 3.1.1, it follows that ψ' is a well-defined map, which is additionally a bijection, and a poset embedding.

For instance, by considering the same alphabet \mathfrak{G} as in the previous examples, Proposition 3.1.7 says that one has the isomorphism

between respectively an interval of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$ and an interval of $(S_{\bullet}(\mathfrak{G}_{\lozenge_5}), \preccurlyeq_p)$.

A shadow is defined recursively as being a (possibly empty) finite multiset $\{s_1, \ldots, s_k\}$ of shadows. A shadow encodes hence a nonplanar unlabeled rooted tree. For any \mathfrak{G} -tree \mathfrak{t} different from the leaf, we construct the shadow $\mathfrak{sh}(\mathfrak{t})$ recursively by

$$\operatorname{sh}(\mathfrak{t}) := \operatorname{fsh}(\mathfrak{t}(i)) : i \in [k] \text{ and } \mathfrak{t}(i) \neq \emptyset, \tag{3.1.21}$$

where k is the arity of the root of t. For instance,

$$\operatorname{sh}\left(\begin{array}{c} c \\ a \\ c \\ a \end{array}\right) = \left(\begin{array}{c} \emptyset, \emptyset \right), \emptyset, \emptyset \right) = \left(\begin{array}{c} 0 \\ 0 \end{array}\right). \tag{3.1.22}$$

Given a shadow s, the *poset induced* by s is the poset $\mathbb{P}(s)$ on its set of nodes different from the root wherein a node u is smaller than a node v if u is an ancestor of v. In other words, $\mathbb{P}(s)$ is the poset having as Hasse diagram the nonplanar rooted tree s without its root. Besides, we say that a poset $(\mathcal{P}, \preccurlyeq)$ is a *forest poset* if $x \preccurlyeq y$ and $x' \preccurlyeq y$ imply $x \preccurlyeq x'$

or $x' \preccurlyeq x$ for all $x, x', y \in \mathcal{P}$. Observe that any poset induced by a shadow is a forest poset and conversely, for any forest poset \mathcal{P} , there is a shadow s such that \mathcal{P} and $\mathbb{P}(s)$ are isomorphic.

For any poset (\mathcal{P}, \leq) , let $(\mathbb{J}(\mathcal{P}), \wedge, \vee)$ be the lattice wherein

$$\mathbb{J}(\mathcal{P}) := \{ X \subseteq \mathcal{P} : x \in X \text{ and } y \in \mathcal{P} \text{ and } y \preccurlyeq x \text{ imply } y \in X \}, \tag{3.1.23}$$

and the operation \land (resp. \lor) is the intersection (resp. the union) of the sets. In other words, $\mathbb{J}(\mathcal{P})$ is the set of all order ideals of \mathcal{P} ordered by inclusion. The *Fundamental theorem for distributive lattices* (see [Sta11]) states that for any finite distributive lattice \mathcal{L} , there exists a unique finite poset \mathcal{P} such that \mathcal{L} and $\mathbb{J}(\mathcal{P})$ are isomorphic as lattices. An element x of a finite lattice \mathcal{L} is *join-irreducible* if x covers exactly one element. It is known that the set of all join-irreducible elements of $\mathbb{J}(\mathcal{P})$ forms a subposet of $\mathbb{J}(\mathcal{P})$ which is isomorphic as a poset to \mathcal{P} .

Lemma 3.1.8. Let s be a shadow. The set of join-irreducible elements of the lattice $\mathbb{J}(\mathbb{P}(s))$ is the set of the nonempty saturated chains of $\mathbb{P}(s)$.

Proof. Let us denote by \lessdot the covering relation of the poset $\mathbb{P}(s)$. First, \emptyset is not a join-irreducible element of $\mathbb{J}(\mathbb{P}(s))$ since \emptyset covers no elements. Any nonempty saturated chain $x_1 \lessdot \cdots \lessdot x_{\ell-1} \lessdot x_\ell$ of $\mathbb{P}(s)$ covers exactly the chain $x_1 \lessdot \cdots \lessdot x_{\ell-1}$, so that any nonempty saturated chain is join-irreducible. Finally, if X is an element of $\mathbb{J}(\mathbb{P}(s))$ which is not a chain, there are $x, x' \in X$ such that x and x' are incomparable in $\mathbb{P}(s)$. Since $\mathbb{P}(s)$ is a forest poset, we can assume that x and x' are maximal elements of X. Hence, X covers the elements $X \setminus \{x\}$ and $X \setminus \{x'\}$ of $\mathbb{J}(\mathbb{P}(s))$. This shows that X is not join-irreducible and establishes the statement of the lemma.

Lemma 3.1.9. For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees $\mathfrak{r}_1, \ldots, \mathfrak{r}_k$, the set of join-irreducible elements of the lattice $[\mathfrak{c}(\Diamond_k), \Diamond_k(\mathfrak{r}_1, \ldots, \mathfrak{r}_k)]$ is the set of all $\mathfrak{G}_{\Diamond_k}$ -trees of the form $\mathfrak{c}(\Diamond_k) \circ_i \mathfrak{r}'_i$ where $i \in [k]$ and \mathfrak{r}'_i is a stringy tree, different from the leaf, and a prefix of \mathfrak{r}_i .

Proof. We use here Proposition 3.1.2 and its descriptions of the order relation $\leq_{\mathbb{P}}$ and of the covering relation $\leq_{\mathbb{U}}$ of the \mathfrak{G} -prefix poset respectively in terms of prefixes of \mathfrak{G} -trees and of deletion of maximal nodes. Let $\mathfrak{t} := \mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}'_i$. Since \mathfrak{r}'_i is stringy, \mathfrak{t} also is. For this reason, \mathfrak{t} covers at most one element in $[\mathfrak{c}(\lozenge_k), \lozenge_k(\mathfrak{r}_1, \dots, \mathfrak{r}_k)]$. Moreover, due to the fact that \mathfrak{r}'_i is by hypothesis different from the leaf, there is a \mathfrak{G} -tree \mathfrak{r}'' , a $j \in [|\mathfrak{r}''|]$, and a letter $\mathfrak{a} \in \mathfrak{G}$ such that $\mathfrak{r}'_i = \mathfrak{r}'' \circ_j \mathfrak{a}$. Now, by using Relation (1.3.2a) satisfied by the partial composition maps of $S_{\bullet}(\mathfrak{G}_{\lozenge_k})$, we have

$$\mathfrak{t} = \mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}'_i = \mathfrak{c}(\lozenge_k) \circ_i (\mathfrak{r}'' \circ_j \mathfrak{a}) = (\mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}'') \circ_{i+j-1} \mathfrak{a}. \tag{3.1.24}$$

This shows that t covers only $\mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}''$. It remains to show that when t is a tree different from the description of the statement of the lemma, t covers zero or two or more elements. First, if $\mathfrak{t} = \mathfrak{c}(\lozenge_k)$, t covers no elements. Second, if $\mathfrak{t} = \mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}'_i$ where \mathfrak{r}'_i is not stringy, there are at least two maximal internal nodes in \mathfrak{r}'_i . By removing one of these internal nodes, one obtains at least two different trees \mathfrak{r}''_1 and \mathfrak{r}''_2 covered by \mathfrak{r}'_i . Thus, $\mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}''_1$ and $\mathfrak{c}(\lozenge_k) \circ_i \mathfrak{r}''_2$

are both covered by t. Finally, it remains to consider the case where $\mathfrak{t}=\mathfrak{c}(\lozenge_k)\circ [\mathfrak{r}'_1,\ldots,\mathfrak{r}'_k]$ where $\mathfrak{r}'_1,\ldots,\mathfrak{r}'_k$ are \mathfrak{G} -trees such that for any $i\in [k]$, $\mathfrak{r}'_i\preccurlyeq_p\mathfrak{r}_i$, and there are at least two indices $j,\ell\in [k]$ such that $j\neq \ell,\mathfrak{r}'_j\neq l$, and $\mathfrak{r}'_\ell\neq l$. By Lemma 3.1.1, $\mathfrak{t}\in [\mathfrak{c}(\lozenge_k),\lozenge_k(\mathfrak{r}_1,\ldots,\mathfrak{r}_k)]$. These assumptions on \mathfrak{t} lead to the fact that \mathfrak{t} is covered by two different trees, respectively obtained by replacing \mathfrak{r}'_j (resp. \mathfrak{r}'_ℓ) by any tree covered by \mathfrak{r}'_j (resp. \mathfrak{r}'_ℓ). All this establishes the statement of the lemma.

Proposition 3.1.10. For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees \mathfrak{s} , \mathfrak{t} such that $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$, the interval $[\mathfrak{s},\mathfrak{t}]$ of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$ is isomorphic as a lattice to $\mathbb{J}(\mathbb{P}(s))$ where $s := \mathrm{sh}(\lozenge_{|\mathfrak{s}|}(\mathfrak{t} \setminus \mathfrak{s}))$.

Proof. By Proposition 3.1.7, the interval $[\mathfrak{s},\mathfrak{t}]$ is isomorphic as a poset to the interval $[\mathfrak{c}(\lozenge_{|\mathfrak{s}|}),\lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|})]$ where $(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|})$ is the \mathfrak{G} -forest $\mathfrak{t}\setminus\mathfrak{s}$. Therefore, the statement of the proposition is equivalent to saying that

$$\left[\mathfrak{c}(\lozenge_{|\mathfrak{s}|}), \lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1, \dots, \mathfrak{r}_{|\mathfrak{s}|})\right] \simeq \mathbb{J}(\mathbb{P}(\operatorname{sh}(\lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1, \dots, \mathfrak{r}_{|\mathfrak{s}|})))). \tag{3.1.25}$$

By Lemmas 3.1.8 and 3.1.9, the respective sets of join-irreducible elements of the two lattices $\mathbb{J}(\mathbb{P}(\operatorname{sh}(\lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|}))))$ and $[\mathfrak{c}(\lozenge_{|\mathfrak{s}|}),\lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|})]$ are in one-to-one correspondence. They also preserves the ordering so that these two subposets are isomorphic as posets. Now, since by Proposition 3.1.5, $[\mathfrak{c}(\lozenge_{|\mathfrak{s}|}),\lozenge_{|\mathfrak{s}|}(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|})]$ is a finite distributive lattice, by the Fundamental theorem for finite distributive lattices, the statement of the proposition follows.

Theorem 3.1.11. For any finite alphabet $\mathfrak G$ and any $\mathfrak G$ -trees $\mathfrak s$, $\mathfrak t$, $\mathfrak s'$, and $\mathfrak t'$ such that $\mathfrak s \preccurlyeq_p \mathfrak t$ and $\mathfrak s' \preccurlyeq_p \mathfrak t'$, the intervals $[\mathfrak s,\mathfrak t]$ and $[\mathfrak s',\mathfrak t']$ of $\big(S_{\bullet}(\mathfrak G), \preccurlyeq_p\big)$ are isomorphic as posets if and only if

$$\operatorname{sh}(\lozenge_{|\mathfrak{s}|}(\mathfrak{t}\setminus\mathfrak{s})) = \operatorname{sh}(\lozenge_{|\mathfrak{s}'|}(\mathfrak{t}'\setminus\mathfrak{s}')).$$
 (3.1.26)

Proof. Proposition 3.1.10 brings a one-to-one correspondence between shadows and intervals of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$ up to lattice isomorphism. This is equivalent to the statement of the theorem.

For instance, by considering the same alphabet $\mathfrak G$ as in the previous example, Theorem 3.1.11 says that since

one has the isomorphism

between these two intervals of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$.

- 3.2. Enumerative properties. We end the study of the G-prefix posets by describing a way to count the elements of a given interval and by enumerating all its intervals with respect to the degrees of their minimal and maximal elements.
- 3.2.1. Cardinalities of intervals. The load ld(s) of a shadow $s := \{s_1, \ldots, s_k\}$ is the integer ld(s) recursively defined by

$$\operatorname{ld}((s_1,\ldots,s_k)) := \prod_{i \in [k]} (1 + \operatorname{ld}(s_i)).$$
 (3.2.1)

For instance, the load of the shadow s appearing in (3.1.22) is 20. Indeed, by labeling each node u of s by the load of the subtree of s rooted at u, we have

Proposition 3.2.1. For any finite alphabet \mathfrak{G} and any \mathfrak{G} -trees \mathfrak{s} and \mathfrak{t} such that $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$, in $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_p)$,

$$\#[\mathfrak{s},\mathfrak{t}] = \mathrm{ld}(\mathrm{sh}(\lozenge_{|\mathfrak{s}|}(\mathfrak{t}\setminus\mathfrak{s}))). \tag{3.2.3}$$

Proof. Let $\theta(t) := \#[t, t]$. By Proposition 3.1.2, $\theta(t)$ is the number of prefixes of t. By Lemma 3.1.1, any prefix t of t is either the leaf, or when t is not the leaf, the roots of t and t have the same label $a \in \mathfrak{G}$ and each t is a prefix of t for all $t \in [|a|]$. Hence,

$$\theta(\mathfrak{t}) = 1 + \prod_{i \in [|\mathfrak{a}|]} \theta(\mathfrak{t}(i)). \tag{3.2.4}$$

Moreover, by definition of the maps sh and ld, we have

$$\operatorname{ld}(\operatorname{sh}(\lozenge_1(\mathfrak{t}\setminus \mathsf{I}))) = \operatorname{ld}(\operatorname{sh}(\lozenge_1 \circ_1 \mathfrak{t})) = \theta(\mathfrak{t}). \tag{3.2.5}$$

Finally, by Proposition 3.1.7, Equation (3.2.3) is a consequence of the fact the cardinality of $[\mathfrak{s},\mathfrak{t}]$ is the product of the cardinalities of $[\mathfrak{l},\mathfrak{r}_1],\ldots,[\mathfrak{l},\mathfrak{r}_{|\mathfrak{s}|}]$ where $(\mathfrak{r}_1,\ldots,\mathfrak{r}_{|\mathfrak{s}|})$ is the forest $\mathfrak{t}\setminus\mathfrak{s}$.

3.2.2. Generating series of the intervals. Let us consider now the generating series

$$\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) := \sum_{\substack{\mathfrak{s},\mathfrak{t}\in\mathbf{S}_{\bullet}(\mathfrak{G})\\\mathfrak{s}\preccurlyeq_{\mathfrak{D}}\mathfrak{t}}} q^{\deg(\mathfrak{s})} t^{\deg(\mathfrak{t})} \tag{3.2.6}$$

enumerating all intervals $[\mathfrak{s},\mathfrak{t}]$ of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_{\mathfrak{p}})$ with respect to the degree of \mathfrak{s} (parameter q) and the degree of \mathfrak{t} (parameter t).

Proposition 3.2.2. For any finite alphabet \mathfrak{G} , the series $\mathfrak{I}_{S_{\bullet}(\mathfrak{G})}(q,t)$ satisfies

$$\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) = 1 + t \, \mathcal{R}_{\mathfrak{G}} \left(\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) - qt \, \mathcal{R}_{\mathfrak{G}} \left(\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) \right) \right) + qt \, \mathcal{R}_{\mathfrak{G}} \left(\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) \right). \tag{3.2.7}$$

Proof. Let $[\mathfrak{s},\mathfrak{t}]$ be an interval of $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_{p})$. By Proposition 3.1.2, \mathfrak{s} is a prefix of \mathfrak{t} . Moreover, Proposition 3.1.7 implies that this interval $[\mathfrak{s},\mathfrak{t}]$ can be encoded as the tree obtained by marking in \mathfrak{t} the common internal nodes between \mathfrak{s} and \mathfrak{t} . Since \mathfrak{s} is a prefix of \mathfrak{t} , if a node different from the root is marked, its father is also marked. Now, let $F(q,\mathfrak{t})$ and $G(q,\mathfrak{t})$ be the two series satisfying

$$F(q,t) = G(q,t) + qt \sum_{a \in OB} F(q,t)^{|a|},$$
(3.2.8a)

$$G(q,t) = 1 + t \sum_{a \in \mathfrak{G}} G(q,t)^{|a|}.$$
 (3.2.8b)

The series G(q,t) enumerates the \mathfrak{G} -trees with respect to their degree by the parameter t, and due to the previous description of the encoding of intervals, F(q,t) enumerates the marked trees with respect to their degree by the parameter t and their number of marked nodes by the parameter q. Now, by bringing in play the series $\mathcal{R}_{\mathfrak{G}}(t)$, these two series express as

$$F(q,t) = G(q,t) + qt \mathcal{R}_{\mathfrak{G}}(F(q,t)), \tag{3.2.9a}$$

$$G(q, t) = 1 + t \mathcal{R}_{\mathfrak{G}}(G(q, t)).$$
 (3.2.9b)

This implies that

$$F(q, t) = 1 + t \mathcal{R}_{\mathfrak{G}}(G(q, t)) + qt \mathcal{R}_{\mathfrak{G}}(F(q, t)),$$
 (3.2.10a)

$$G(q, t) = F(q, t) - qt \, \mathcal{R}_{\mathfrak{G}}(F(q, t)),$$
 (3.2.10b)

and since by construction $\mathcal{G}_{S_{\bullet}(\mathfrak{G})}(q,t) = F(q,t)$, the stated relation for $\mathcal{G}_{S_{\bullet}(\mathfrak{G})}(q,t)$ follows. \square

For instance, when \mathfrak{G} consists in one binary letter, $\mathcal{R}_{\mathfrak{G}}(t) = t^2$ and $\mathcal{G}_{S_{\bullet}(\mathfrak{G})}(q,t)$ satisfies

$$1 - \mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) + t\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t)^2 + qt\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t)^2 - 2qt^2\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t)^3 + q^2t^3\mathcal{G}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t)^4 = 0 \quad (3.2.11)$$

and

$$\mathcal{F}_{\mathbf{S}_{\bullet}(\mathfrak{G})}(q,t) = 1 + (1+q)t + 2(1+q+q^2)t^2 + (5+6q+5q^2+5q^3)t^3 + 2(7+10q+9q^2+7q^3+7q^4)t^4 + 14(3+5q+5q^2+4q^3+3q^4+3q^5)t^5 + \cdots$$
(3.2.12)

The coefficients of $\mathcal{G}_{S_{\bullet}(\mathfrak{G})}(1,t)$ are

and form Sequence A121988 of [Slo], enumerating the vertices of the multiplihedra, which form a sequence of posets [Sta70].

4. Graded graphs from operads

The aim of this section is to extend the previous definitions of $\mathfrak G$ -prefix graded graphs and $\mathfrak G$ -twisted prefix graded graphs so that vertices of the graphs can be any combinatorial objects endowed with the structure of an operad subjected to some conditions. This generalization, applied on free operads —that are operad of $\mathfrak G$ -trees endowed with the partial composition of trees— gives back the previous graded graphs. As we shall see, the pairs of graded graphs thus obtained are not always ϕ -diagonal dual. We end this section by presenting some examples of such pairs of graded graphs.

- 4.1. **Prefix and twisted prefix graded graphs.** We start by introducing the notion of homogeneous and finitely generated operad. We then describe the construction of a pair of graded graphs from any homogeneous and finitely generated operad.
- 4.1.1. *Homogeneous operads and degrees.* Let us begin by an elementary result on the minimal generating sets of operads satisfying some conditions.

Lemma 4.1.1. If Θ is an operad such that $\Theta(0) = \emptyset$ and $\Theta(1) = \{1\}$, then Θ admits a unique minimal generating set.

Proof. This follows from the following algorithm to compute a minimal set \mathfrak{G} of generators of \mathfrak{O} up to a given arity. First, since $\mathfrak{O}(0) = \emptyset$ and $\mathfrak{O}(1) = \{1\}$, then $\mathfrak{G}(1) = \emptyset$. Now, assume that there is an $m \geq 1$ such that we know the sets $\mathfrak{G}(n)$ for all $n \in [m]$. A candidate for $\mathfrak{G}(m+1)$ is the set $\mathfrak{O}(m+1) \setminus \mathfrak{O}^{\mathfrak{G}'}$ where \mathfrak{G}' is the graded set consisting exactly in the elements of \mathfrak{G} up to arity m. In other terms, this candidate for $\mathfrak{G}(m+1)$ contains the elements of arity m+1 of \mathfrak{O} which cannot be obtained by composing elements of arity $k \leq m$ of \mathfrak{G} . The fact that $\mathfrak{G}(1) = \emptyset$ ensures that the arity of any partial composition $x \circ_i y$ where $x \in \mathfrak{O}$ and $y \in \mathfrak{G}$ (resp. $x \in \mathfrak{G}$ and $y \in \mathfrak{O}$) is greater than the arity of x (resp. y). For this reason, the set $\mathfrak{G}(m+1)$ is unique, so that the given candidate for this set is the only possible one. Finally, since by construction \mathfrak{G} is minimal, the statement of lemma follows.

By Lemma 4.1.1, we shall denote by \mathfrak{G}_{0} the unique minimal generating set of any operad \mathfrak{O} satisfying $\mathfrak{O}(0)=\emptyset$ and $\mathfrak{O}(1)=\{1\}$. Moreover, given the alphabet \mathfrak{G}_{0} , there is a unique operad congruence $\equiv_{\mathfrak{O}}$ such that $S_{|}(\mathfrak{G}_{0})/_{\equiv_{\mathfrak{O}}}\simeq \mathfrak{O}$. Indeed, $\equiv_{\mathfrak{O}}$ satisfies necessarily $\mathfrak{t}\equiv_{\mathfrak{O}}\mathfrak{t}'$ for all $\mathfrak{t},\mathfrak{t}'\in S_{|}(\mathfrak{G}_{0})$ such that $\mathrm{ev}(\mathfrak{t})=\mathrm{ev}(\mathfrak{t}')$. For this reason, \mathfrak{O} admits $(\mathfrak{G}_{0},\equiv_{\mathfrak{O}})$ as unique presentation. These properties arising from the fact that $\mathfrak{O}(0)=\emptyset$ and $\mathfrak{O}(1)=\{1\}$ are consequences of the previous lemma, used implicitly in the sequel.

Let \emptyset be an operad such that $\emptyset(0) = \emptyset$ and $\emptyset(1) = \{1\}$. If the presentation $(\mathfrak{G}_{\emptyset}, \equiv_{\emptyset})$ of \emptyset is such that for any $\mathfrak{t}, \mathfrak{t}' \in S_{|}(\mathfrak{G}_{\emptyset})$, $\mathfrak{t} \equiv_{\emptyset} \mathfrak{t}'$ implies $\deg(\mathfrak{t}) = \deg(\mathfrak{t}')$, then \emptyset is homogeneous. Besides, if \mathfrak{G}_{\emptyset} is finite, then \emptyset is finitely generated. In the sequel, we shall mainly consider homogeneous and finitely generated operads.

Given an homogeneous operad \emptyset , the $degree \deg(x)$ of $x \in \emptyset$ is the degree of a treelike expression of x on \mathfrak{G}_{\emptyset} . Observe that since \emptyset is homogeneous, if x admits two treelike expressions \mathfrak{t} and \mathfrak{t}' , we necessarily have $\deg(\mathfrak{t}) = \deg(\mathfrak{t}')$ so that $\deg(x)$ is well-defined. Moreover, we denote by \emptyset_{\bullet} the graded set wherein for any $d \geq 0$, $\emptyset_{\bullet}(d)$ is the set of all elements of \emptyset having d as degree. Remark that if \emptyset is finitely generated, since \emptyset is by definition a quotient of $S_{\bullet}(\mathfrak{G}_{\emptyset})$, and since $S_{\bullet}(\mathfrak{G}_{\emptyset})$ is combinatorial, \emptyset_{\bullet} is also combinatorial.

4.1.2. Prefix graded graphs. For any homogeneous and finitely generated operad Θ , let (Θ_{\bullet}, U) be the graded graph wherein, for any $x \in \Theta_{\bullet}$,

$$\mathbf{U}(x) := \sum_{\substack{\mathbf{a} \in \mathfrak{G}_{0} \\ i \in [|x|]}} x \circ_{i} \mathbf{a}. \tag{4.1.1}$$

In words, this says that $y \in \mathcal{O}_{\bullet}$ appears in $\mathbf{U}(x)$ with a coefficient λ where λ is the number of pairs $(\mathbf{a}, i) \in \mathfrak{G}_{0} \times \mathbb{N}$ such that $y = x \circ_{i} \mathbf{a}$. We call $(\mathcal{O}_{\bullet}, \mathbf{U})$ the *prefix graph* of \mathcal{O} . As a side remark, this graph is the derivation graph of the so-called monochrome bud generating system of \mathcal{O} introduced in [Gir19].

Proposition 4.1.2. Let \emptyset be an homogeneous and finitely generated operad. The prefix graph of \emptyset is a natural rooted graded graph.

Proof. Since \emptyset is finitely generated, for any $x \in \mathcal{O}_{\bullet}$, $\mathbf{U}(x)$ is an \mathcal{O}_{\bullet} -polynomial, so that \mathbf{U} is a well-defined map from $\mathbb{K}\langle \mathcal{O}_{\bullet} \rangle$ to $\mathbb{K}\langle \mathcal{O}_{\bullet} \rangle$. Moreover, since \emptyset is homogeneous, each element of \emptyset has a well-defined degree. For any $x \in \mathcal{O}_{\bullet}$, the degree of $x \circ_i$ a where $i \in [|x|]$ and $a \in \mathfrak{G}_{\emptyset}$ is $\deg(x) + 1$. Therefore, \mathbf{U} sends any element of \mathcal{O}_{\bullet} of degree $d \geq 0$ to a sum of elements of degrees d + 1. This shows that $(\mathcal{O}_{\bullet}, \mathbf{U})$ is a graded graph. Moreover, since by (1.3.2a), any $x \in \mathcal{O}$ writes as

$$x = (\dots((1 \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_d} a_d \tag{4.1.2}$$

where $d \ge 0$, $a_1, \ldots, a_d \in \mathfrak{G}_0$, and $i_1, i_2, \ldots, i_d \in \mathbb{N}$, there is at least a path from **1** to x in $(\mathfrak{O}_{\bullet}, \mathbf{U})$. Hence, this graded graph admits | as root. Finally, since all coefficients of $\mathbf{U}(x)$ are obviously nonnegative, the statement of the proposition is established.

Observe that when \emptyset is free, then $\emptyset \simeq S_{|}(\mathfrak{G}_{\emptyset})$, and $(\mathfrak{S}_{\bullet}(\mathfrak{G}_{\emptyset}), U)$ and $(S_{\bullet}(\mathfrak{G}_{\emptyset}), U)$ coincide as graded graphs.

4.1.3. Twisted prefix graded graphs. For any homogeneous and finitely generated operad \emptyset , let $(\emptyset_{\bullet}, \mathbf{V})$ be the graded graph wherein, for any $x \in \emptyset_{\bullet}$,

$$\mathbf{V}(x) := \mathrm{ch}(\mathrm{Supp}(\mathbf{V}'(x))), \tag{4.1.3}$$

where $V': \mathbb{K}\langle O_{\bullet} \rangle \to \mathbb{K}\langle O_{\bullet} \rangle$ is the linear map defined recursively by

$$\mathbf{V}'(x) := \left(\sum_{\mathbf{a} \in \mathfrak{G}_{0}} \mathbf{a} \circ_{1} x\right) + \left(\sum_{\substack{\mathbf{b} \in \mathfrak{G}_{0} \\ y_{1}, \dots, y_{|\mathbf{b}|} \in \mathcal{O} \\ x = \mathbf{bo}[y_{1}, \dots, y_{|\mathbf{b}|}]}} \sum_{j \in [2, |\mathbf{b}|]} \mathbf{b} \circ [y_{1}, \dots, y_{j-1}, \mathbf{V}'(y_{j}), y_{j+1}, \dots, y_{|\mathbf{b}|}]\right). \quad (4.1.4)$$

In words, this says that $y \in \mathcal{O}_{\bullet}$ appears in V(x) if there is a treelike expression \mathfrak{t}_y on $\mathfrak{G}_{\mathcal{O}}$ of y and a treelike expression \mathfrak{t}_x on $\mathfrak{G}_{\mathcal{O}}$ of x such that \mathfrak{t}_y appears in $V(\mathfrak{t}_x)$, where this last occurrence of V is the linear map of the $\mathfrak{G}_{\mathcal{O}}$ -twisted prefix graph ($S_{\bullet}(\mathfrak{G}_{\mathcal{O}})$, V) (see Section 2.2.1). We call (\mathcal{O}_{\bullet} , V) the twisted prefix graph of \mathcal{O} .

Proposition 4.1.3. Let \emptyset be an homogeneous and finitely generated operad. The twisted prefix graph of \emptyset is a simple rooted graded graph.

Proof. Since \emptyset is finitely generated, \mathfrak{G}_{\emptyset} is finite and thus, the sum appearing in (4.1.4) is finite. Therefore, $(S_{\bullet}(\mathfrak{G}_{\emptyset}), V)$ is a well-defined graded graph. Let $x, y \in \emptyset_{\bullet}$ such that y appears in V(x). Then, there are $\mathfrak{s} \in \mathcal{T}_{\mathfrak{G}_{\emptyset}}(x)$, $\mathfrak{t} \in \mathcal{T}_{\mathfrak{G}_{\emptyset}}(y)$ such that \mathfrak{t} appears in $V(\mathfrak{s})$. Since \mathfrak{G}_{\emptyset} -twisted prefix graphs are ranked by the degrees of the \mathfrak{G}_{\emptyset} -trees, we have $\deg(\mathfrak{t}) = \mathbb{C}_{\emptyset}(x)$

deg(\mathfrak{s}) + 1. Therefore, and since \mathfrak{O} is homogeneous so that each element of \mathfrak{O}_{\bullet} as a well-defined degree, deg(y) = deg(x) + 1. Hence, V sends any element of \mathfrak{O}_{\bullet} of degree $d \geq 0$ to a sum of elements of degrees d+1. This implies that (\mathfrak{O}_{\bullet} , V) is a graded graph. Moreover, as noticed in Section 2.2.1, for each $\mathfrak{G}_{\mathfrak{O}}$ -tree \mathfrak{t} different from the leaf, $V^*(\mathfrak{t}) \neq 0$. This implies that for any $\mathfrak{G}_{\mathfrak{O}}$ -tree \mathfrak{s} different from the leaf, there is a $\mathfrak{G}_{\mathfrak{O}}$ -tree \mathfrak{t} such that \mathfrak{s} appears in $V(\mathfrak{t})$. For this reason, for any $y \in \mathfrak{O}_{\bullet}$ such that $y \neq 1$, there is an $x \in \mathfrak{O}_{\bullet}$ such that V(x) = y. Therefore, and because 1 is the only element of \mathfrak{O} of degree 0, (\mathfrak{O}_{\bullet} , V) admits 1 as root. Finally, directly by definition, all coefficients of V(x) are 0 or 1. This establishes the statement of the proposition.

Observe that when Θ is free, (Θ_{\bullet}, V) and $(S_{\bullet}(\mathfrak{G}_{\Theta}), V)$ coincide as graded graphs. Besides, when Θ is an homogeneous and finitely generated operad, by Propositions 4.1.2 and 4.1.3, the two graded graphs (Θ_{\bullet}, U) and (Θ_{\bullet}, V) are both ranked by the degrees of their elements. Hence, (Θ_{\bullet}, U, V) is a pair of graded graphs.

4.2. **Posets from operads.** Let us study the posets of the prefix graphs of prefix graphs built from operads.

4.2.1. Prefix posets. Let \emptyset be a homogeneous and finitely generated operad. The prefix poset of \emptyset is the poset $(\emptyset_{\bullet}, \preccurlyeq_p)$ of (\emptyset_{\bullet}, U) . Observe that \mathfrak{G}_{\emptyset} is the set of the atoms of the prefix poset of \emptyset .

Proposition 4.2.1. Let \emptyset be an homogeneous and finitely generated operad. For any $x,y \in \emptyset_{\bullet}$, we have $x \preccurlyeq_{p} y$ if and only if there exist $\mathfrak{s} \in \mathcal{T}_{\mathfrak{G}_{\emptyset}}(x)$ and $\mathfrak{t} \in \mathcal{T}_{\mathfrak{G}_{\emptyset}}(y)$ such that $\mathfrak{s} \preccurlyeq_{p} \mathfrak{t}$ in the \mathfrak{G} -prefix poset $(S_{\bullet}(\mathfrak{G}), \preccurlyeq_{p})$.

Proof. By definition of the prefix poset of 0, $x \leq_p y$ is equivalent to the fact that there exist an integer $k \geq 0$, generators a_1, \ldots, a_k of \mathfrak{G}_0 , and positive integers i_1, \ldots, i_k such that

$$y = (\dots((x \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_k} a_k. \tag{4.2.1}$$

Let \mathfrak{s} be any treelike expression on \mathfrak{G}_0 of x. From (4.2.1), the tree

$$\mathfrak{t} := (\dots ((\mathfrak{s} \circ_{i_1} a_1) \circ_{i_2} a_2) \dots) \circ_{i_k} a_k, \tag{4.2.2}$$

is a treelike expression on $\mathfrak{G}_{\mathbb{O}}$ of y. Moreover, by definition of the $\mathfrak{G}_{\mathbb{O}}$ -prefix graded graph, this is equivalent to the fact that there is a path from \mathfrak{s} to \mathfrak{t} in $(S_{\bullet}(\mathfrak{G}_{\mathbb{O}}), U)$. Therefore, $\mathfrak{s} \preccurlyeq_{\mathbb{P}} \mathfrak{t}$.

For any $x, y \in \mathcal{O}_{\bullet}$, x is a *prefix* of y if there exist some elements $z_1, \ldots, z_{|x|}$ of \mathcal{O}_{\bullet} such that $y = x \circ [z_1, \ldots, z_{|x|}]$.

Proposition 4.2.2. Let \emptyset be an homogeneous and finitely generated operad. The order relation \leq_p of the prefix poset of \emptyset satisfies $x \leq_p y$ if and only if x is a prefix of y for any $x, y \in \Theta_{\bullet}$. Moreover, the covering relation \leq_U of the prefix poset of \emptyset satisfies $x \leq_U y$ for any $x, y \in \Theta_{\bullet}$ if and only if there is an $a \in G_{\emptyset}$ and an $i \in [|x|]$ such that $y = x \circ_i a$.

Proof. By Proposition 4.2.1, we have $x \preccurlyeq_p y$ if and only if $\mathfrak{s} \preccurlyeq_p \mathfrak{t}$ where \mathfrak{s} (resp. \mathfrak{t}) is a treelike expression on \mathfrak{G}_0 of x (resp. y). By Proposition 3.1.2, this is equivalent to the fact that \mathfrak{s} is a prefix of \mathfrak{t} . Hence, we have $\mathfrak{t} = \mathfrak{s} \circ [\mathfrak{r}_1, \dots, \mathfrak{r}_{|\mathfrak{s}|}]$ for some $\mathcal{O}_{\mathfrak{G}}$ -trees $\mathfrak{r}_1, \dots, \mathfrak{r}_{|\mathfrak{s}|}$. This says that $\mathrm{ev}(\mathfrak{t}) = \mathrm{ev}\big(\mathfrak{s} \circ \big[\mathfrak{r}_1, \dots, \mathfrak{r}_{|\mathfrak{s}|}\big]\big)$ and, since ev is an operad morphism, that $y = x \circ \big[\mathrm{ev}(\mathfrak{r}_1), \dots, \mathrm{ev}(\mathfrak{r}_{|\mathfrak{s}|})\big]$. This is, by definition of the order relation \preccurlyeq_p on \mathfrak{O}_{\bullet} , equivalent to the fact that $x \preccurlyeq_p y$.

The second part of the statement is a direct consequence of the definition of the map U.

4.2.2. Functorial construction.

Theorem 4.2.3. The construction sending any homogeneous and finitely generated operad \emptyset to its prefix poset $(\emptyset_{\bullet}, \preccurlyeq_p)$ and sending any morphism $\psi : \emptyset \to \emptyset'$ of homogeneous and finitely generated operads \emptyset and \emptyset' to the same map between \emptyset_{\bullet} and \emptyset'_{\bullet} , is a functor preserving injections and surjections from the category of homogeneous and finitely generated operads to the category of posets.

Proof. By Proposition 4.1.2, this construction produces from an homogeneous and finitely presented operad \emptyset a well-defined poset $(\emptyset_{\bullet}, \preccurlyeq_{p})$. It remains to prove that this construction sends operad morphisms to poset morphisms and preserves their injectivity and surjectivity. For this, let \emptyset and \emptyset' be two homogeneous and finitely presented operads, and $\psi:\emptyset\to\emptyset'$ be an operad morphism. Let also $x,y\in\emptyset_{\bullet}$ and assume that $x\preccurlyeq_{p}y$. By Proposition 4.2.1, there are $\mathfrak{s}\in\mathcal{T}_{\mathfrak{G}_{\emptyset}}(x)$ and $\mathfrak{t}\in\mathcal{T}_{\mathfrak{G}_{\emptyset}}(y)$ such that $\mathfrak{s}\preccurlyeq_{p}\mathfrak{t}$. By the universality property of free operads (See Section 1.3.2), the map ψ is entirely specified by the map $f:\mathfrak{G}_{\emptyset}\to\emptyset'$ satisfying $f(\mathfrak{a})=\psi(\mathfrak{a})$ for all $\mathfrak{a}\in\mathfrak{G}_{\emptyset}$. Let $\bar{f}:\mathfrak{G}_{\emptyset}\to S_{|}(\mathfrak{G}_{\emptyset'})$ be a map sending any $\mathfrak{a}\in\mathfrak{G}_{\emptyset}$ to a treelike expression on $\mathfrak{G}_{\emptyset'}$ of $f(\mathfrak{a})$. Let also \mathfrak{s}' (resp. \mathfrak{t}') be the $\mathfrak{G}_{\emptyset'}$ -tree obtained by replacing each internal node $\mathfrak{a}\in\mathfrak{G}_{\emptyset}$ of \mathfrak{s} (resp. \mathfrak{t}) by $\bar{f}(\mathfrak{a})$. By construction, we have $\mathfrak{ev}(\mathfrak{s}')=\psi(x)$ and $\mathfrak{ev}(\mathfrak{t}')=\psi(y)$. Moreover, since $\mathfrak{s}\preccurlyeq_{p}\mathfrak{t}$, by Proposition 3.1.2, \mathfrak{s} is a prefix of \mathfrak{t} . This implies by construction of \mathfrak{s}' and \mathfrak{t}' that $\mathfrak{s}'\preccurlyeq_{p}\mathfrak{t}'$. Hence, by Proposition 4.2.1, we have $\psi(x)\preccurlyeq_{p}\psi(y)$. Therefore, ψ is a poset morphism. Finally, injections and surjections are preserved since operad morphisms are sent to poset morphisms without any change. \square

As a consequence of Theorem 4.2.3, if \emptyset is an homogeneous and finitely generated operad, then the operad surjection $ev: S_{|}(\mathfrak{G}_{\emptyset}) \to \emptyset$ is a surjective poset morphism from $(S_{\bullet}(\mathfrak{G}_{\emptyset}), \preccurlyeq_p)$ to $(\emptyset_{\bullet}, \preccurlyeq_p)$.

4.3. **Examples.** Before ending this paper, we consider here some examples of pairs of graded graphs constructed from some homogeneous and finitely generated operads. Some of these pairs of graded graphs are ϕ -diagonal dual and some other not. Most of the considered operads arise in a combinatorial context.

4.3.1. Associative operad. The associative operad As is the operad wherein As $(n) := \{\star_n\}$ for all $n \ge 1$, and $\star_n \circ_i \star_m := \star_{n+m-1}$ for all $n \ge 1$, and $i \in [n]$. This operad admits the presentation $(\mathfrak{G}_{As}, \equiv_{As})$ where

$$\mathfrak{G}_{\mathbf{As}} := \{ \star_2 \}, \tag{4.3.1}$$

and \equiv_{As} is the smallest operad congruence of $S(\mathfrak{G}_{As})$ satisfying

$$\mathfrak{c}(\star_2) \circ_1 \mathfrak{c}(\star_2) \equiv_{\mathbf{A}\mathbf{s}} \mathfrak{c}(\star_2) \circ_2 \mathfrak{c}(\star_2). \tag{4.3.2}$$

Therefore, As is homogeneous and finitely presented.

The pair (As_{\bullet}, U, V) of graded graphs satisfies $U(\star_n) = n \star_{n+1}$ and $V(\star_n) = \star_{n+1}$ for any $n \ge 1$ (see Figure 4). This very elementary example of pair of graded graphs is dual and



Figure 4. The pair (As_{\bullet}, U, V) of graded graphs.

is known as the *chain* in [Fom94]. The hook series of (As_•, U) satisfies

$$\mathbf{h}_{\mathrm{U}} = \sum_{n \geqslant 1} n! \star_n . \tag{4.3.3}$$

4.3.2. Diassociative operad. The diassociative operad Dias is the operad wherein Dias(n) is the set of all words of length $n \ge 1$ on the alphabet $\{0,1\}$ having exactly one occurrence of 0. The partial composition $u \circ_i v$ of two such words u and v consists in replacing the i-th letter of u by v', where v' is the word obtained from v by replacing all its letters a by $\max\{u_i,a\}$. This operad has been introduced in [Lod01] under a slightly different form (see also [Cha05, Gir16]). This operad admits the presentation ($\mathfrak{G}_{\text{Dias}}$, \equiv_{Dias}) where

$$\mathfrak{G}_{Dias} = \{01, 10\} \tag{4.3.4}$$

and \equiv_{Dias} is the smallest operad congruence of $S(\mathfrak{G}_{Dias})$ satisfying

$$\mathfrak{c}(01) \circ_1 \mathfrak{c}(01) \equiv_{\mathbf{Dias}} \mathfrak{c}(01) \circ_2 \mathfrak{c}(01) \equiv_{\mathbf{Dias}} \mathfrak{c}(01) \circ_2 \mathfrak{c}(10),$$
 (4.3.5a)

$$\mathfrak{c}(01) \circ_1 \mathfrak{c}(10) \equiv_{\text{Dias}} \mathfrak{c}(10) \circ_2 \mathfrak{c}(01),$$
 (4.3.5b)

$$\mathfrak{c}(10) \circ_1 \mathfrak{c}(01) \equiv_{\mathbf{Dias}} \mathfrak{c}(10) \circ_1 \mathfrak{c}(10) \equiv_{\mathbf{Dias}} \mathfrak{c}(10) \circ_2 \mathfrak{c}(10). \tag{4.3.5c}$$

Therefore, Dias is homogeneous and finitely generated.

The pair of graded graphs (Dias, U, V) satisfies

$$\mathbf{U}(1^{k}01^{\ell}) = (2k+1)1^{k+1}01^{\ell} + (2\ell+1)1^{k}01^{\ell+1}, \tag{4.3.6}$$

and

$$\mathbf{V}(1^k01^\ell) = 1^k01^{\ell+1} + 1^{k+1+\ell}0, \tag{4.3.7}$$

for any $k, \ell \in \mathbb{N}$ (see Figure 5). This pair of graded graphs is not ϕ -diagonal dual since for instance, $(V^*U - UV^*)(10) = 3(10) + 2(01)$. Nevertheless, we have the following property.

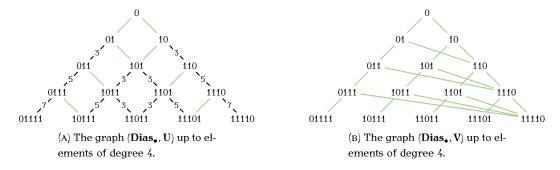


Figure 5. The pair $(\mathbf{Dias}_{\bullet}, \mathbf{U}, \mathbf{V})$ of graded graphs.

Proposition 4.3.1. The graded graph (\mathbf{Dias}_{\bullet} , \mathbf{U}) is ϕ -diagonal self-dual for the linear map $\phi : \mathbb{K}\langle \mathbf{Dias}_{\bullet} \rangle \to \mathbb{K}\langle \mathbf{Dias}_{\bullet} \rangle$ satisfying

$$\phi(1^k 01^\ell) = ([k=0] + [\ell=0] + 8[k \ge 1]k + 8[\ell \ge 1]\ell) 1^k 01^\ell$$
(4.3.8)

for any $k, \ell \in \mathbb{N}$.

Proof. By a straightforward computation, by using (4.3.6), we can show that the relation $(\mathbf{U}^*\mathbf{U} - \mathbf{U}\mathbf{U}^*)(\mathbf{1}^k0\mathbf{1}^\ell) = \phi(\mathbf{1}^k0\mathbf{1}^\ell)$ holds for all $k,\ell \geqslant 0$, establishing the statement of the proposition.

The hook series of $(Dias_{\bullet}, U)$ satisfies

$$\begin{aligned} \mathbf{h}_{\mathrm{U}} &= (0) + (01) + (10) + 3(011) + 2(101) + 3(110) + 15(0111) + 9(1011) \\ &+ 9(1101) + 15(1110) + 105(01111) + 60(10111) + 54(11011) \\ &+ 60(11101) + 105(11110) + \cdots \end{aligned} \tag{4.3.9}$$

These coefficients form Triangle A059366 of [Slo].

4.3.3. Operad of integer compositions. The operad of integer compositions \mathbf{Comp} is the operad wherein $\mathbf{Comp}(n)$ is the set of all words of length $n \ge 1$ on the alphabet $\{0,1\}$ beginning by 0. The partial composition $u \circ_i v$ of two such words u and v consists in replacing the i-th letter of u by v if $u_i = 0$ and by \bar{v} if $u_i = 1$ where \bar{v} is the one complement of v. This operad has been introduced in [Gir15] and admits the presentation $(\mathfrak{G}_{\mathbf{Comp}}, \equiv_{\mathbf{Comp}})$ where

$$\mathfrak{G}_{Comp} = \{00, 01\} \tag{4.3.10}$$

and \equiv_{Comp} is the smallest operad congruence of $S(\mathfrak{G}_{\text{Comp}})$ satisfying

$$\mathfrak{c}(00) \circ_1 \mathfrak{c}(00) \equiv_{\mathbf{Comp}} \mathfrak{c}(00) \circ_2 \mathfrak{c}(00), \tag{4.3.11a}$$

$$\mathfrak{c}(01) \circ_1 \mathfrak{c}(00) \equiv_{\mathbf{Comp}} \mathfrak{c}(00) \circ_2 \mathfrak{c}(01), \tag{4.3.11b}$$

$$\mathfrak{c}(01) \circ_1 \mathfrak{c}(01) \equiv_{\mathbf{Comp}} \mathfrak{c}(01) \circ_2 \mathfrak{c}(00), \tag{4.3.11c}$$

$$\mathfrak{c}(00) \circ_1 \mathfrak{c}(01) \equiv_{\mathbf{Comp}} \mathfrak{c}(01) \circ_2 \mathfrak{c}(01).$$
 (4.3.11d)

Therefore, Comp is homogeneous and finitely generated.

The pair of graded graphs (Comp., U, V) satisfies

$$\mathbf{U}(u) = \sum_{i \in [|u|]} u_1 \dots u_i \, 0 \, u_{i+1} \dots u_{|u|} + u_1 \dots u_i \, 1 \, u_{i+1} \dots u_{|u|}, \tag{4.3.12}$$

and

$$\mathbf{V}(u) = u0 + u1, \tag{4.3.13}$$

for any $u \in Comp$ (see Figure 6). The poset of $(Comp_{\bullet}, U)$ is the composition poset

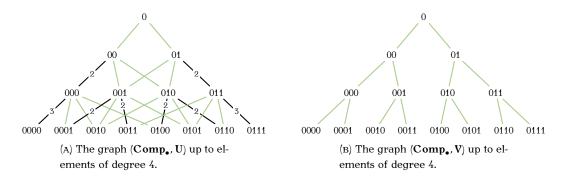


FIGURE 6. The pair (Comp., U, V) of graded graphs.

introduced and studied in [BP05].

Proposition 4.3.2. The pair (Comp, U, V) of graded graphs is 2-dual.

Proof. By a straightforward computation, by using (4.3.12) and (4.3.13), we can infer the relation $(\mathbf{V}^*\mathbf{U} - \mathbf{U}\mathbf{V}^*)(u) = 2u$ for all $u \in \mathbf{Comp}$, establishing the statement of the proposition.

The hook series of $(Comp_{\bullet}, U)$ satisfies

$$\mathbf{h}_{\mathrm{U}} = \sum_{u \in \mathbf{Comp}} (|u| - 1)! u.$$
 (4.3.14)

4.3.4. Operad of Motzkin paths. The operad of Motzkin paths Motz is the operad wherein $\mathbf{Motz}(n)$ is the set of all words of length $n \ge 1$ of nonnegative integers starting and finishing by 0 and such that the absolute difference between two consecutive letters is at most 1. The partial composition $u \circ_i v$ of two such words consists in replacing the *i*-th letter of u by v' where v' is the word obtained by incrementing by u_i all its letters. This operad has been introduced in [Gir15] and admits the presentation $(\mathfrak{G}_{\mathbf{Motz}}, \equiv_{\mathbf{Motz}})$ where

$$\mathfrak{G}_{Motz} := \{00, 010\} \tag{4.3.15}$$

and \equiv_{Motz} is the smallest operad congruence of $S(\mathfrak{G}_{Motz})$ satisfying

$$\mathfrak{c}(00) \circ_1 \mathfrak{c}(00) \equiv_{\mathbf{Motz}} \mathfrak{c}(00) \circ_2 \mathfrak{c}(00),$$
 (4.3.16a)

$$\mathfrak{c}(010) \circ_1 \mathfrak{c}(00) \equiv_{\mathbf{Motz}} \mathfrak{c}(00) \circ_2 \mathfrak{c}(010),$$
 (4.3.16b)

$$\mathfrak{c}(00) \circ_1 \mathfrak{c}(010) \equiv_{\mathbf{Motz}} \mathfrak{c}(010) \circ_3 \mathfrak{c}(00),$$
 (4.3.16c)

$$\mathfrak{c}(010) \circ_1 \mathfrak{c}(010) \equiv_{\mathbf{Motz}} \mathfrak{c}(010) \circ_3 \mathfrak{c}(010).$$
 (4.3.16d)

Therefore, **Motz** is homogeneous and finitely generated. Observe moreover that, since the generator 010 is ternary, **Motz** is not a binary operad.

The pair of graded graphs (Motz, U, V) satisfies

$$\mathbf{U}(u) = \sum_{i \in \lceil |u| \rceil} u_1 \dots u_i u_i u_{i+1} \dots u_{|u|} + u_1 \dots u_i (u_i + 1) u_i u_{i+1} \dots u_{|u|}, \tag{4.3.17}$$

and

$$V(u) = \sum_{\substack{i \in [|u|] \\ i=|u| \text{ or } u_i > u_{i+1}}} u_1 \dots u_i u_i u_{i+1} \dots u_{|u|} + u_1 \dots u_i (u_i+1) u_i u_{i+1} \dots u_{|u|}, \qquad (4.3.18)$$

for any $u \in \mathbf{Motz}$ (see Figure 7).

Proposition 4.3.3. The pair $(Motz_{\bullet}, U, V)$ of graded graphs is ϕ -diagonal dual for the linear map $\phi : \mathbb{K}\langle Motz_{\bullet} \rangle \to \mathbb{K}\langle Motz_{\bullet} \rangle$ satisfying

$$\phi(u) = (2 + \#\{i \in [|u| - 1] : u_i \neq u_{i+1}\}) u \tag{4.3.19}$$

for any $u \in Motz$.

Proof. By a straightforward computation, by using (4.3.17) and (4.3.18), we can infer the relation $(\mathbf{V}^*\mathbf{U} - \mathbf{U}\mathbf{V}^*)(u) = \phi(u)$ for all $u \in \mathbf{Motz}$, establishing the statement of the proposition.

The hook series of (Motz, U) satisfies

$$\begin{split} \mathbf{h}_{U} &= (0) + (00) + 2\,(000) + (010) + 6\,(0000) + 2\,(0010) + 2\,(0100) + (0110) \\ &+ 6\,(00010) + 6\,(00100) + 3\,(00110) + 6\,(01000) + 2\,(01010) + 3\,(01100) \\ &+ 2\,(01110) + (01210) + 6\,(001010) + 3\,(001210) + 6\,(010010) + 6\,(010100) \\ &+ 3\,(010110) + 3\,(011010) + 2\,(011210) + 3\,(012100) + 2\,(012110) + (012210) \\ &+ 6\,(0101010) + 3\,(0101210) + 3\,(0121010) + 2\,(0121210) + (0123210) + \cdots \,. \end{split}$$

We do not have a concise combinatorial description for these hook coefficients.

Besides, by representing any element of **Motz** as a path in the quarter plane (that is, by drawing points $(i-1,u_i)$ for all $i \in [|u|]$ and by connecting all pairs of adjacent points by segments), in the prefix poset of **Motz**, one has $u \preccurlyeq_p v$ if and only if u can be obtained from v by collapsing into points some factors of v that are Motzkin paths. For instance, one has



where the factors to collapse are framed. Observe also that the prefix poset of **Motz** is not a meet-semilattice since $00 \leq_p 0010$, $00 \leq_p 0100$, $010 \leq_p 0010$, $010 \leq_p 0100$, $00 \approx_p 0100$, $00 \approx_p 0100$, and $010 \approx_p 0100$, are incomparable.

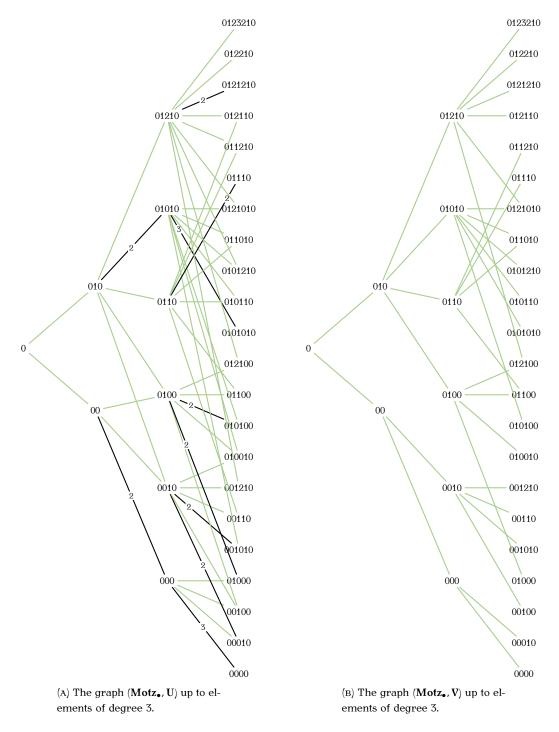


FIGURE 7. The pair $(\mathbf{Motz}_{\bullet}, \mathbf{U}, \mathbf{V})$ of graded graphs.

4.3.5. Operads of m-trees. For any integer $m \geqslant 0$, the operad of m-trees \mathbf{FCat}_m is the operad wherein $\mathbf{FCat}_m(n)$ is the set of all words u of length $n \geqslant 1$ of nonnegative integers such that $u_1 = 0$ and, for all $i \in [n-1]$, $u_{i+1} \leqslant u_i + m$. The partial composition $u \circ_i v$ of two words u and v consists in replacing the i-th letter of u by v' where v' is the word obtained by incrementing by u_i all its letters. This operad has been introduced in [Gir15] and admits the presentation ($\mathfrak{G}_{\mathbf{FCat}_m}$, $\equiv_{\mathbf{FCat}_m}$) where

$$\mathfrak{G}_{\mathbf{FCat}_m} := \{00, 01, \dots, 0m\}$$
 (4.3.22)

and \equiv_{FCat_m} is the smallest operad congruence of $S_{|}(\mathfrak{G}_{FCat_m})$ satisfying

$$\mathfrak{c}(0k_3) \circ_1 \mathfrak{c}(0k_1) \equiv_{\mathbf{FCat}_m} \mathfrak{c}(0k_1) \circ_2 \mathfrak{c}(0k_2), \qquad k_3 = k_1 + k_2.$$
 (4.3.23)

Therefore, $FCat_m$ is homogeneous and finitely generated.

The pair of graded graphs ($FCat_{m\bullet}$, U, V) satisfies

$$\mathbf{U}(u) = \sum_{i \in [|u|]} \sum_{\alpha \in [0,m]} u_1 \dots u_i (u_i + \alpha) u_{i+1} \dots u_{|u|}, \tag{4.3.24}$$

and

$$\mathbf{V}(u) = \sum_{\alpha \in [0, u_{|u|} + m]} u\alpha, \tag{4.3.25}$$

for any $u \in \mathbf{FCat}_m$ (see Figure 8).

Proposition 4.3.4. For any $m \ge 0$, the pair ($\mathbf{FCat}_{m\bullet}$, \mathbf{U} , \mathbf{V}) of graded graphs is ϕ -diagonal dual for the linear map $\phi : \mathbb{K}\langle \mathbf{FCat}_{m\bullet} \rangle \to \mathbb{K}\langle \mathbf{FCat}_{m\bullet} \rangle$ satisfying

$$\phi(u) = (m+1) u \tag{4.3.26}$$

for any $u \in FCat_m$.

Proof. By a straightforward computation, by using (4.3.24) and (4.3.25), we can infer the relation $(\mathbf{V}^*\mathbf{U} - \mathbf{U}\mathbf{V}^*)(u) = \phi(u)$ for all $u \in \mathbf{FCat}_m$, establishing the statement of the proposition.

The hook series of $(FCat_{1\bullet}, U)$ satisfies

$$\begin{aligned} \mathbf{h}_{\mathrm{U}} &= (0) + (00) + (01) + 2(000) + 2(001) + (010) + 2(011) + (012) + 6(0000) \\ &+ 6(0001) + 3(0010) + 6(0011) + 3(0012) + 3(0100) + 3(0101) + 2(0110) \\ &+ 6(0111) + 4(0112) + (0120) + 2(0121) + 2(0122) + (0123) + \cdots \end{aligned}$$

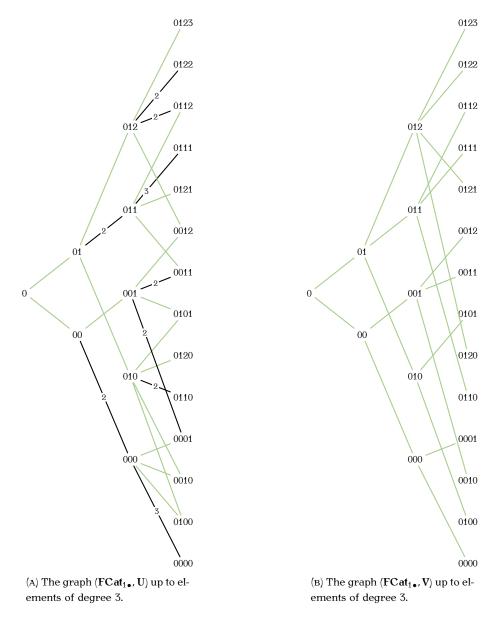


Figure 8. The pair $(FCat_{1\bullet}, U, V)$ of graded graphs.

and the one of (FCat2., U) satisfies

$$\begin{array}{l} \mathbf{h_{U}} = (0) + (00) + (01) + (02) + 2 (000) + 2 (001) + 2 (002) + (010) + 2 (011) + 2 (012) \\ + (013) + (020) + (021) + 2 (022) + (023) + (024) + 6 (0000) + 6 (0001) \\ + 6 (0002) + 3 (0010) + 6 (0011) + 6 (0012) + 3 (0013) + 3 (0020) + 3 (0021) \\ + 6 (0022) + 3 (0023) + 3 (0024) + 3 (0100) + 3 (0101) + 3 (0102) + 2 (0110) \\ + 6 (0111) + 6 (0112) + 4 (0113) + 2 (0120) + 3 (0121) + 6 (0122) + 4 (0123) \\ + 3 (0124) + (0130) + 2 (0131) + 2 (0132) + 2 (0133) + (0134) + (0135) \\ + 3 (0200) + 3 (0201) + 3 (0202) + (0210) + 3 (0211) + 3 (0212) + 2 (0213) \\ + 2 (0220) + 2 (0221) + 6 (0222) + 4 (0223) + 4 (0224) + (0230) + (0231) \\ + 2 (0232) + 2 (0233) + 2 (0234) + (0235) + (0240) + (0241) + 2 (0242) + (0243) \\ + 2 (0244) + (0245) + (0246) + \cdots \end{array}$$

We do not have a concise combinatorial description for these hook coefficients.

PERSPECTIVES AND OPEN QUESTIONS

We finish this work by presenting three open questions and research directions.

As seen in Section 4.3, the pair of graded graphs associated with the operads \mathbf{As} , \mathbf{Comp} , \mathbf{Motz} , and \mathbf{FCat}_m , $m \geq 0$, are ϕ -diagonal dual, while the pair of graded graphs of \mathbf{Dias} is not. By computer exploration, we conjecture that some classical operads appearing in the literature have also this property of ϕ -diagonal duality for their pair of graded graphs. This is the case for the 2-associative operad $\mathbf{2As}$ [LR06], for the operad \mathbf{As}_2 [Dot09], for the dipterous operad \mathbf{Dip} [LR03], and for the duplicial operad \mathbf{Dup} [Lod08]. The first question is to obtain in general a necessary and sufficient condition for an homogeneous and finitely presented operad Θ for the ϕ -diagonal duality of its pair of graded graphs (Θ_{\bullet} , \mathbf{U} , \mathbf{V}). Ideally, this condition should relate to the presentation of Θ .

The second question concerns applications of ϕ -diagonal duality to enumerative problems. We propose to understand to what extent this generalized version of graph duality helps to obtain enumerative formulas. Recall that classical graph duality [Fom94] leads, from the identity $\mathbf{V}^*\mathbf{U}^n = \mathbf{U}^n\mathbf{V}^* + n\mathbf{U}^{n-1}$, $n \ge 0$, to a proof of (0.0.1) relating numbers of standard Young tableaux and numbers of permutations. A starting point is to use Proposition 1.1.3 and the Relation (1.1.19), which is a generalization of the previous identity, in order to relate other families of combinatorial objects in a similar way.

A last research direction consists in, rather than considering operads to construct graded graphs, use operad to construct trees. Roughly speaking, a tree can be built from a graded graph by deleting some of its edges. To obtain such a tree, we can consider a variant of the map U (see (4.1.1)) wherein the apparitions of certain terms are forbidden. This could be achieved by the use of colored operads [Yau16] since the partial composition maps of these structures is restricted due to the use of colors. A similar mechanism is used in [Gir19] wherein graphs of some colored versions of combinatorial objects are built. The main interest to search for trees instead of graded graphs relies on the fact that trees can be thought as generating trees. These structures can be used to design efficient algorithms for the exhaustive generation of the objects or for random generation. The aim is to build a framework leading to such generating trees from any family of combinatorial objects endowed with the structure of a homogeneous and finitely presented operad.

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