

# POLYDIAGONAL COMPACTIFICATION OF CONFIGURATION SPACES

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ABSTRACT. A smooth compactification  $X\langle n \rangle$  of the configuration space of  $n$  distinct labeled points in a smooth algebraic variety  $X$  is constructed by a natural sequence of blowups, with the full symmetry of the permutation group  $\mathbb{S}_n$  manifest at each stage. The strata of the normal crossing divisor at infinity are labeled by *leveled trees* and their structure is studied. This is the maximal wonderful compactification in the sense of De Concini–Procesi, and it has a strata-compatible surjection onto the Fulton–MacPherson compactification. The degenerate configurations added in the compactification are geometrically described by *polyscreens* similar to the screens of Fulton and MacPherson.

In characteristic 0, isotropy subgroups of the action of  $\mathbb{S}_n$  on  $X\langle n \rangle$  are abelian, thus  $X\langle n \rangle$  may be a step toward an explicit resolution of singularities of the symmetric products  $X^n/\mathbb{S}_n$ .

## INTRODUCTION

The configuration space  $F(X, n)$  of  $n$  distinct labeled points in a topological space  $X$  is the complement in the Cartesian product  $X^n$  of the union of the large diagonals  $\Delta^{ij} = \{(x_1, \dots, x_n) \mid x_i = x_j\}$ . Pioneering studies of these spaces by Fadell, Neuwirth, Arnold and Cohen [Ar, C, Fa, FaN] evolved into a still active area of algebraic topology; Totaro opens his paper with a brief review [Tot]. Somewhat later, a compactification of  $F(\mathbb{C}, n)$  modulo affine automorphisms, known as the Grothendieck–Knudsen moduli space of stable  $n$ -pointed curves of genus 0, rose to prominence in modern algebraic geometry [De2, Ka1, Ke, Kn].

Then Fulton and MacPherson devised a powerful construction that works for any nonsingular algebraic variety and produces a compactification  $X[n]$  of  $F(X, n)$  with a remarkable combination of properties [FM]:

- ▷  $X[n]$  is nonsingular.
- ▷  $X[n]$  naturally comes equipped with a proper map onto  $X^n$ .
- ▷  $X[n]$  is symmetric: it carries an action of the symmetric group  $\mathbb{S}_n$  by permuting the labels.
- ▷ The complement  $D = X[n] \setminus F(X, n)$  is a normal crossing divisor.

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- ▷ The combinatorial structure of  $D$  and of the resulting stratification of  $X[n]$  is explicitly described: the components of  $D$  correspond to the subsets of  $[n] = \{1, \dots, n\}$  with at least 2 elements; their intersections, the strata, correspond to nested collections of such subsets, and the latter are just a reincarnation of rooted trees with  $n$  marked leaves.
- ▷ Degenerate configurations have simple geometric descriptions.

Further results of Fulton and MacPherson include: a functorial description of  $X[n]$ , used to prove many of its properties listed above; a fact that all isotropy subgroups of  $\mathbb{S}_n$  acting on  $X[n]$  are solvable; some intersection theory, namely, a presentation of the intersection rings of  $X[n]$  and of its strata, and, as an application, a computation of the rational cohomology ring of  $F(X, n)$  for  $X$  a smooth compact complex variety.

About the same time, constructions related to the Fulton–MacPherson compactification appeared, all motivated by, and suited to, some problems of mathematical physics: for real manifolds [AS, Ko]; for complex curves [BG], with later extension to higher dimensions [Gi].

The compactifications  $X[n]$  are defined inductively, with the step from  $X[n]$  to  $X[n+1]$  performed by a sequence of blowups

$$X[n+1] = Y_n \xrightarrow{\alpha_{n-1}} Y_{n-1} \xrightarrow{\alpha_{n-2}} \cdots \xrightarrow{\alpha_1} Y_1 \xrightarrow{\alpha_0} Y_0 = X[n] \times X,$$

where the center of the blowup  $\alpha_k$  is a disjoint union of subvarieties in  $Y_k$  corresponding in a specified way to the subsets of  $[n]$  of cardinality  $n-k$ . Thus, the symmetry of  $\mathbb{S}_{n+1}$  is not present at the intermediate stages. An alternative, and completely symmetric, description of  $X[n]$  as the closure of  $F(X, n)$  in a product of blowups does not provide much insight into the structure of  $X[n]$ , so the inductive sequence of blowups is essential for that.

Fulton and MacPherson remark:

It would be interesting to see if other sequences of blowups give compactifications that are symmetric, and whose points have explicit and concise descriptions [FM, bottom of p. 196].

An example of such a compactification, for any nonsingular algebraic variety  $X$ , is studied in the present paper. I denote it by  $X\langle n \rangle$  and call it a *polydiagonal compactification*, because the blowup loci are not only the diagonals of  $X^n$ , but also their *intersections*. The idea is very simple: one who tries to blow up all diagonals of the same dimension simultaneously is forced to blow up all their intersections prior to that, and this prescribes the sequence. Following Fulton and MacPherson’s terminology,  $X\langle n \rangle$  is a compactification even though it is only compact when  $X$  itself is compact. In general, it is equipped with a canonical proper map onto  $X^n$ .

This construction applies also to real manifolds, with real blowups replacing algebraic blowups. The compactification is then a manifold with corners, and the results about the strata presented here can be rephrased to describe the combinatorics of its boundary.

The construction of  $X\langle n \rangle$  is in some respects similar to that of  $X[n]$ , with one important difference: the former is completely symmetric *at each stage*. This reduces logical complexity of the construction even though it involves (considerably) more blowups. From this last fact stems another feature of  $X\langle n \rangle$ : it distinguishes some collisions that are treated as equal by Fulton and MacPherson. There is a surjection  $\vartheta_n: X\langle n \rangle \rightarrow X[n]$  that essentially retreats from making these distinctions, and it is completely symmetric as well. Regardless of  $X$ , this map, derived from a description of  $X\langle n \rangle$  as the closure of  $F(X, n)$  in a product of blowups, is an isomorphism for  $n \leq 3$  only, and an iterated blowup otherwise. The fibers of  $\vartheta_n$  have purely combinatorial nature and do not depend even on the dimension of  $X$ ; their detailed description will appear in a separate paper [U].

Geometrically, the limiting configurations in the Fulton–MacPherson compactification are viewed in terms of tree-like successions of *screens*, each of which is a tangent space to  $X$  with several labeled points in it, considered modulo translations and dilations. In a similar visualization for points in  $X\langle n \rangle$ , labels of a new kind, necessary because  $X\langle n \rangle$  has ‘more’ points than  $X[n]$ , augment the screens. This rests on a study of the strata: they are bundles over  $X\langle r \rangle$ ,  $r < n$ , with fibers decomposable into products of certain projective varieties. Named *bricks*, they form a family indexed by integer partitions that includes, for example, permutahedral varieties. The latter in fact show up in each brick as constituents that account for those new labels.

As for the combinatorics underlying  $X\langle n \rangle$ , here the place of subsets of  $[n]$ , nested collections of such subsets, and plain rooted trees is taken by partitions of the set  $[n]$ , chains of such partitions, and rooted trees whose vertices are assigned integer numbers, called *levels*. With these changes, the natural stratification of  $X\langle n \rangle$  is quite similar to that of  $X[n]$ ; moreover,  $\vartheta_n$  is a strata-compatible map corresponding to the forgetful map from leveled trees to usual rooted trees.

Analogues for  $X\langle n \rangle$  of most results of Fulton and MacPherson follow purely geometrically. Since the proofs do not require a functorial description of the space, it is omitted.

The action of the symmetric group  $\mathbb{S}_n$  on  $X^n$  by permuting the labels has fixed points. Fulton and MacPherson showed that the isotropy subgroups of the label permutation action of  $\mathbb{S}_n$  on  $X[n]$  are solvable [FM, Theorem 5]. It turns out that in characteristic 0 the similar action of  $\mathbb{S}_n$  on  $X\langle n \rangle$  has only abelian isotropy subgroups; thus, singularities of  $X\langle n \rangle/\mathbb{S}_n$  can in principle be resolved by toric methods [AMRT, Br, KKMS, O]. The resulting space will provide an explicit desingularization of the symmetric product  $X^n/\mathbb{S}_n$ , as well as a smooth compactification of  $B(X, n) = F(X, n)/\mathbb{S}_n$ , the configuration space of  $n$  *unlabeled* points in  $X$ .

De Concini and Procesi developed a general approach to compactifying complements of linear subspace arrangements by iterated blowups [DP]. For each arrangement, it yields a family of *wonderful* blowups with minimal and

maximal elements. Although they work with linear subspaces, their technique is local and can be applied to  $X^n \setminus F(X, n)$  for any smooth variety  $X$ ; in this case, the Fulton–MacPherson compactification is the minimal one, while the polydiagonal compactification is the maximal one. Along the lines of De Concini, MacPherson and Procesi [MP], Yi Hu has extended many results presented here in Sections 4, 5 and 6 to blowups of arrangements of smooth subvarieties and then recovered Kirwan’s partial desingularization of geometric invariant theory quotients [Hu, Ki].

In addition, Hu computed the intersection rings in that general context of arrangements. In the case of  $X\langle n \rangle$  these rings may be used to build a differential graded algebra model of  $F(X, n)$  for  $X$  a compact complex algebraic manifold, as Fulton and MacPherson did. After that, Kriz streamlined their differential graded algebra, while Totaro extracted a presentation of the cohomology ring of the configuration space from the Leray spectral sequence of its embedding into its ‘naive’ compactification  $X^n$  [Kr, Tot].

**Historical note.** (Communicated by W. Fulton.) Fulton and MacPherson sought to build the space whose points would be described by screens; early attempts led them to consider the spaces denoted here by  $X\langle 4 \rangle$  and  $X\langle 5 \rangle$ , and to identify what to blow down to create the desired  $X[4]$  and  $X[5]$ . Seeing that as  $n$  grows, the blowdown description quickly becomes unwieldy, they chose not to pursue this in general and finally settled on a nonsymmetric procedure. D. Thurston pointed out a symmetric construction of  $X[n]$  and used its real analogue in his work on knot invariants [Th].

**Standing assumptions.** Throughout the paper,  $X$  is a smooth irreducible  $m$ -dimensional ( $m > 0$ ) algebraic variety over some field  $\mathbb{k}$ , and  $n$  is the number of labeled points in  $X$ . The section on Hodge polynomials applies only to complex varieties, and that on the symmetric group action, only to the characteristic 0 case.

**Outline of the paper.** The first section is informal and serves to introduce the basic ideas of the polydiagonal compactification on the simplest example. A combinatorial interlude of Section 2 is followed by a discussion of polyscreens and colored screens that represent points in  $X\langle n \rangle$ .

Formally stated and proved results begin in Section 4 that contains: construction of  $X\langle n \rangle$  by a symmetric sequence of blowups, a description of the combinatorics of the complement  $X\langle n \rangle \setminus F(X, n)$  as a divisor with normal crossings and of the ensuing stratification of  $X\langle n \rangle$ , and a recurrent formula for the number of the strata. If  $X$  is a complex variety, the blowup construction translates into a formula for the (virtual) Hodge polynomial  $e(X\langle n \rangle)$  in terms of  $e(X)$  derived in the next section. In Section 6, a consideration of  $X\langle n \rangle$  as the closure of  $F(X, n)$  in a product of blowups implies a surjection  $\vartheta_n: X\langle n \rangle \rightarrow X[n]$ , written then as an iterated blowup. Technical analysis of the strata of  $X\langle n \rangle$  occupies Section 7, and the last section deals with the isotropy subgroups of  $S_n$  acting on  $X\langle n \rangle$ .

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## 1. SMALL NUMBERS OF COLLIDING POINTS

The purpose of this section is to introduce the main ideas of the paper by looking at the case of 4 points—the smallest integer  $n$  for which  $X\langle n \rangle$  is different from  $X[n]$  is 4.

To begin with, consider an example of two collisions of four points in  $X = \mathbb{C}^2$ . The corresponding two limiting configurations arising in the approach of Fulton and MacPherson coincide; however, the polydiagonal compactification will distinguish them. Take four points labeled by 1 through 4 and make them collide as  $t \rightarrow 0$  in the following way:

- ◇ the distance between 1 and 2 is  $O(t^3)$ ,
- ◇ the distance between 3 and 4 is  $O(t^2)$ ,
- ◇ the distance between the two pairs (12) and (34) is  $O(t)$ .

Then do the same thing, except for a small exchange:

- ◇ the distance between 1 and 2 is  $O(t^2)$ ,
- ◇ the distance between 3 and 4 is  $O(t^3)$ ,

and call the two limiting points  $\mathbf{x}_1$  and  $\mathbf{x}_2$ .

Both limiting points lie in the same stratum of  $X[4]$ , the intersection of three divisors  $D(1234)$ ,  $D(12)$ , and  $D(34)$ . The dimension of this stratum is 5; the dimension of its fiber over a point in the small diagonal  $\Delta \subset X^4$  is 3. The three parameters record the ‘directions’ of collisions encoded by the middle tree in Figure 1. Specifying these directions for the two approach curves, that is, vectors hidden behind the symbol  $O$ , one can arrange that  $\mathbf{x}_1 = \mathbf{x}_2$  in  $X[4]$ .

These approach curves actually belong to a whole family  $\mathcal{F}$  of curves in  $F(X, 4)$  whose limits in  $X[4]$  may coincide. Indeed, consider the diagonals  $\Delta^{12}$  and  $\Delta^{34}$  in  $X^4$ , and their intersection  $\Delta^{12|34}$ . Both curves approach this intersection, but the first one does it while having a 3rd degree osculation to  $\Delta^{12}$ , and the second one does the same with  $\Delta^{34}$ . The projectivized normal space  $\mathbb{P}(T_p X^4 / T_p \Delta^{12|34})$  parametrizes the family, and the two curves above correspond to normal directions going along  $\Delta^{12}$  and  $\Delta^{34}$  respectively. This suggests looking into a possibility of involving blowups of subvarieties like  $\Delta^{12} \cap \Delta^{34}$ , if the objective is to obtain a compactification that would distinguish from one another collisions produced by curves in such families.



FIGURE 1.

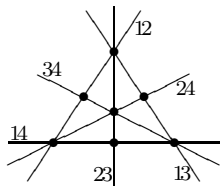


FIGURE 2.

The space that achieves this results from implementing a simple idea of blowing up ‘from the bottom to the top’. Although the dominant feature of the general case first comes to light when  $n = 4$ , it may be useful to begin with the cases of two and three colliding points.

Assume that  $\dim X > 1$ . There is no ambiguity about the case of  $n = 2$  points: the compactification is the blowup of the diagonal in  $X^2$ . If  $n = 3$ , blowing up the small diagonal  $\Delta \subset X^3$  creates disjoint proper transforms of  $\Delta^{12}$ ,  $\Delta^{13}$  and  $\Delta^{23}$  that can then be blown up in any order. The resulting compactification coincides with  $X[3]$ . For  $n > 3$ , however, this strategy will not work, and some additional blowups are needed [FM, bottom of p. 196], but what they are Fulton and MacPherson do not specify.

The left graph in Figure 3 shows the diagonals in  $X^4$ , including the space itself, as vertices, and (nonrefinable) inclusions of the diagonals into each other as edges. As before, blow up the small diagonal first, then blow up the (disjoint) proper transforms of the four larger diagonals, like  $\Delta^{123}$ . Now try to blow up the next level below them simultaneously. It does not work: these six largest diagonals have not been made disjoint. How can this be fixed?

The six lines intersecting at seven points depicted in Figure 2 are the images of the large diagonals of  $\mathbb{R}^4$  in the real projective plane  $\mathbb{P}(\mathbb{R}^4/\Delta)$ , where  $\Delta$  is the small diagonal. Four of the points correspond to diagonals like  $\Delta^{123}$ , and the other three, where the intersections are normal, represent additional loci that need to be blown up to make the large diagonals disjoint. The second graph in Figure 3 is obtained from the first one by adding these three intersections  $\Delta^{12} \cap \Delta^{34}$ ,  $\Delta^{13} \cap \Delta^{24}$  and  $\Delta^{14} \cap \Delta^{23}$ . All seven vertices in the second row correspond to subvarieties pairwise disjoint after the blowup of the small diagonal  $\Delta \subset X^4$ , so they can be blown up simultaneously, and—crucially—after that the subvarieties from the row just below become disjoint and can be blown up simultaneously. This gives a compactification  $X\langle 4 \rangle$  of  $F(X, 4)$ .

FIGURE 3. Diagonals (left) and polydiagonals (right) in  $X^4$

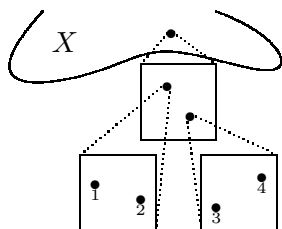


FIGURE 4. A point in  $X[4]$

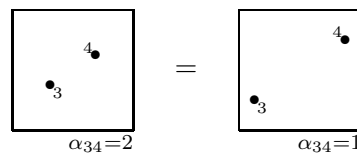


FIGURE 5. Dilation

The construction of  $X\langle 4 \rangle$  involves three more blowups than that of  $X[4]$ , so the complement of  $F(X, 4)$  in  $X\langle 4 \rangle$  has three additional components  $D^{12|34}$ ,  $D^{13|24}$  and  $D^{14|23}$ . Collisions belonging to the family  $\mathcal{F}$  discussed above result in points in  $Z = D^{1234} \cap D^{12|34}$ . To accommodate these, as well as more complicated degenerations of the same nature that appear for  $n > 4$ , two new features are added to Fulton–MacPherson screens: the screens are grouped into **levels**, and the group on each level bears a new parameter living in a projective space.

Figure 4 illustrates the screen description of the limiting points in  $X[4]$  of the family  $\mathcal{F}$ : its macroscopic part is a single point in  $X$  and its microscopic part consists of three screens, one for each of the subsets 1234, 12 and 34 of  $\{1, 2, 3, 4\}$ . A screen is a tangent space  $T_p X$  with a configuration of points in it, considered up to dilations and translations. In particular, the last two screens,  $S_{12}$  and  $S_{34}$ , are completely independent of each other.

Pictures like the left one in Figure 6 will represent generic points of  $Z$ . It consists of three levels:

- (0) one point in  $X$ ,
- (1) a screen for 1234 with two distinct points, and
- (2) a pair of screens  $S_{12}$  and  $S_{34}$  together with their **scale factors**  $\alpha_{12}$  and  $\alpha_{34}$ , where the pair  $[\alpha_{12} : \alpha_{34}]$  is considered as a point in  $\mathbb{P}^1$ .

The scale factors serve to compare the approach speeds of the pairs 12 and 34 by keeping track of independent dilations of their respective screens: for all nonzero scalars  $\phi_i$ , the pairs  $(S_i, \alpha_i)$  and  $(\phi_i S_i, \alpha_i / \phi_i)$  are identified, where the screen in the second pair is the dilation of  $S_i$  by the factor of  $\phi_i$ , as in Figure 5.

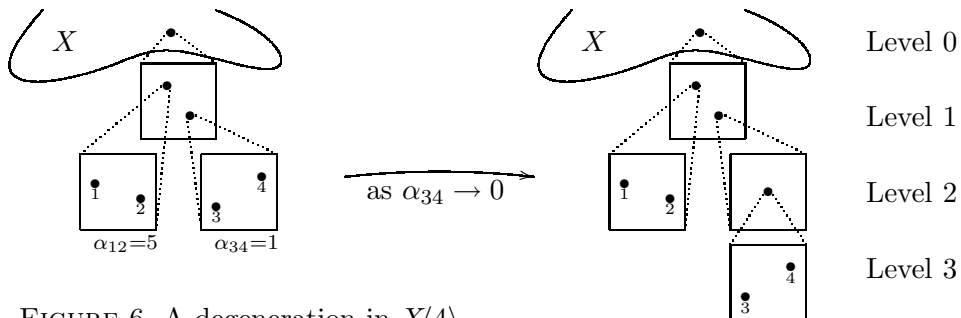


FIGURE 6. A degeneration in  $X\langle 4 \rangle$

Nongeneric points of  $Z$ , which lie in  $Z \cap D^{12}$  and  $Z \cap D^{34}$ , correspond to incomparable speeds and to the points  $[0 : 1]$  and  $[1 : 0]$  in  $\mathbb{P}^1$ . They result from collisions mentioned in the beginning of the section. Keeping the screen  $S_{34}$  fixed while letting  $\alpha_{34} \rightarrow 0$  is the same thing as keeping  $\alpha_{34}$  fixed while contracting the screen. In the limit the two points in it collide, but a new screen appearing on level 3 separates them. Trivial screens, which contain a single point, may be omitted from the pictures.

Similarly, points in  $D^{12|34}$  away from  $D^{1234}$  are represented by configurations of two distinct points in  $X$ , labeled 12 and 34, plus screens  $S_{12}$  and  $S_{34}$  together with their scale factors, generically on the same level and degenerating to two levels.

The microscopic levels in Figure 6 correspond to the intersecting divisors: the first to  $D^{1234}$ , the second to  $D^{12|34}$  and, in the right half of the figure, the third to  $D^{34}$ . Accordingly, trees that link screens together acquire some extra structure: levels of vertices. For example, the two pictures in Figure 6 correspond to the middle and right trees in Figure 1. Such trees index the strata of  $X\langle 4 \rangle$ .

Scale factors are redundant on any level that contains only one nontrivial screen. Since the middle tree in Figure 1 is, up to relabeling, the only tree with four leaves in which two vertices may be on the same level, points in  $X\langle 4 \rangle$  outside the three additional divisors will have exactly the same screen description as for  $X[4]$ . In fact, forgetting the scale factors gives a map  $\vartheta_4: X\langle 4 \rangle \rightarrow X[4]$  that blows down the divisor  $D^{12|34}$  to the stratum  $D(12) \cap D(34)$ , and respectively for  $D^{13|24}$  and  $D^{14|23}$ .

A combinatorial basis is necessary in order to generalize these ideas to an arbitrary number of points, and it is very easy to find. The definition of  $\Delta^S$  for any subset  $S$  of  $[n] = \{1, \dots, n\}$  applied to  $S = \{k\}$  gives  $\Delta^{\{k\}} = X^n$ , hence  $\Delta^{123} = \Delta^{123} \cap \Delta^4$  and so on. The true combinatorial basis will thus be *the partitions of the set*  $[n]$ . Indeed, when  $n = 4$ , the first blowup is that of  $\Delta = \Delta^{1234}$ , which corresponds to the only partition into one block; the next stage blowup centers correspond to all partitions into two blocks; finally, all those corresponding to partitions into three blocks are blown up:  $\Delta^{12} = \Delta^{12} \cap \Delta^3 \cap \Delta^4$  and so on.

## 2. COMBINATORIAL BACKGROUND

This section is a short primer on the language of the rest of the paper: it deals with basic properties of set partitions and a bijection between partition chains and leveled trees.

Let  $[n]$  denote the set  $\{1, \dots, n\}$  of integers. A partition  $\pi$  of  $[n]$  is a set of disjoint subsets of  $[n]$ , called the blocks of  $\pi$ , whose union is  $[n]$ . Nonsingleton blocks are called **essential**. The two functions of partitions that are most important for this work are  $\rho(\pi)$ , the number of blocks, and  $\epsilon(\pi)$ , the number of essential blocks. The integer partition whose parts are *one less than* the cardinalities of the essential blocks of  $\pi$  is called the **essential shape** of  $\pi$  and denoted by  $\lambda(\pi)$ . For example,  $\pi_1 = \{12357, 9, 468\}$  and



$\pi_2 = \{15, 23, 7, 9, 468\}$  are two partitions of  $[9]$  with

$$\begin{aligned} \rho(\pi_1) &= 3, & \epsilon(\pi_1) &= 2, & \lambda(\pi_1) &= (4, 2), \\ \rho(\pi_2) &= 5, & \epsilon(\pi_2) &= 3, & \lambda(\pi_2) &= (2, 1, 1). \end{aligned}$$

Let  $L_{[n]}$  be the set of all partitions of  $[n]$ . There is a refinement partial order on  $L_{[n]}$ :  $\pi_1 \leq \pi_2$  whenever each block of  $\pi_2$  is contained in a block of  $\pi_1$ , as in the example. This makes  $L_{[n]}$  a ranked lattice, with  $\rho(\pi)$  being the rank function. The minimal (bottom) and maximal (top) elements of  $L_{[n]}$  are denoted by  $\perp$  and  $\top$  respectively.

The Stirling number of the second kind  $S(n, k)$  is the number of partitions of  $[n]$  into exactly  $k$  blocks. Many textbooks on combinatorics discuss these numbers and the partition lattice, for instance, Andrews [An] and Stanley [Sta1].

An interval  $[\pi', \pi'']$  in a lattice  $L$  is its subset  $\{\pi \mid \pi' \leq \pi \leq \pi''\}$ . In  $L_{[n]}$ , every lower interval  $[\perp, \pi]$  is isomorphic to  $L_{[\rho(\pi)]}$  and every upper interval  $[\pi, \top]$  is isomorphic to  $L_{[\nu_1+1]} \times \cdots \times L_{[\nu_r+1]}$ , where  $\lambda = \lambda(\pi) = (\nu_1, \dots, \nu_r)$  is the essential shape of  $\pi$ . This product will be denoted by  $L_\lambda$ .

A totally ordered subset of a partially ordered set is called a chain. The length of a chain is the number of its elements. Half of the chains in  $L_{[n]}$  contain the top (finest) partition, and the other half do not; from now on, a chain will mean a partition chain of the latter kind.

Lengyel represented [Le] partition chains as trees. If  $\gamma = \{\pi_1, \dots, \pi_k\}$ , where  $\pi_i < \pi_{i+1}$  for  $1 \leq i \leq k$ , then the associated tree has the blocks of each partition as its interior vertices, one additional vertex (the root) and leaves labeled by  $1, \dots, n$ . Edges indicate inclusions of blocks of  $\pi_{i+1}$  into those of  $\pi_i$  and of the elements of  $[n]$  into the blocks of  $\pi_k$ ; they also connect the blocks of  $\pi_1$  to the root. The left tree in Figure 7 goes with the chain  $\gamma = \{\pi_1, \pi_2, \pi_3\}$ , where

$$\pi_1 = \{12357, 9, 468\}, \quad \pi_2 = \{15, 23, 7, 9, 468\}, \quad \pi_3 = \{1, 5, 23, 7, 9, 46, 8\}.$$

The 2-valent vertices (except for the root if it happens to be such) may be called the **phantom vertices** because it is often convenient to omit them; this gives trees like the middle one in the same figure. Furthermore, labels of interior vertices are also unnecessary. In thus simplified tree the set of interior vertices is the set  $\{12357, 468, 23, 46, 15\}$  of all essential blocks in the three partitions, and they appear to be on different *levels* reflecting how far in the chain they survive unsubdivided. This leads to the following

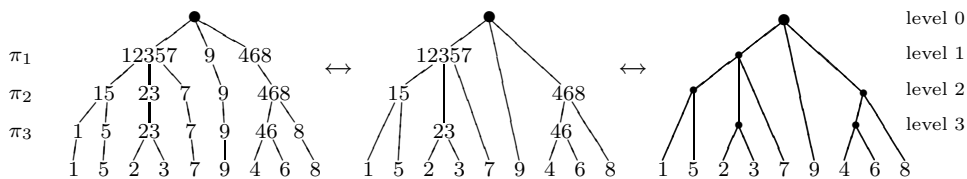


FIGURE 7. From a partition chain to a leveled tree (and back)

**Definition.** A *k*-leveled tree is a pair  $(T, \eta)$ , where  $T$  is a rooted tree without 2-valent vertices, except possibly for the root, and  $\eta$  is a surjective poset map from the set of vertices of  $T$  with the parent-descendant partial order to the set of integers  $\{0, \dots, k\}$  with its standard order. (The root goes to 0.) The number  $\eta(v)$  is called the **level** of the vertex  $v$ . The map from leveled trees with marked leaves to usual rooted trees with marked leaves by  $(T, \eta) \mapsto T$  is denoted by  $\theta$ .

The term *leveled tree* belongs to Loday, although his trees are binary [Lo]. An inspiring picture evinces that Tonks used leveled trees implicitly [Ton]. In both references the leaves are not marked. The sole purpose of the root is to simplify wording: without it, we would be dealing not only with trees, but also with groves (disjoint unions of trees).

The example above demonstrates how to pass from a *k*-chain  $\gamma$  of partitions of  $[n]$  to a *k*-leveled tree  $(T_\gamma, \eta_\gamma)$  with  $n$  marked leaves; this is actually a bijection when restricted to such chains  $\gamma$  that  $\top \notin \gamma$ . There is a unique (shortest) path from the root of  $(T, \eta)$  to each leaf, and each pair of such separate at a vertex on certain level  $j$ . The labels of the two leaves will be in the same block in the partitions  $\pi_i$  for  $i \leq j$ , and they will be in different blocks in  $\pi_i$  for  $i > j$ . This defines the *k*-chain  $\gamma(T, \eta)$ .

It will also be useful to associate with a *k*-leveled tree  $(T, \eta)$  a sequence  $\{\lambda_i(T, \eta)\} = \{\lambda_i(\gamma)\}$  of integer partitions as follows. While  $\lambda_0$  has just one part, equal to the valency of the root of  $T$ , the partition  $\lambda_i$ ,  $1 \leq i \leq k$ , is to have as many parts as there are vertices of  $(T, \eta)$  on level  $i$ , and each part is to be one less than the number of direct descendants of the corresponding vertex. With that,  $\rho(\pi_1) = \lambda_0(\gamma)$  and  $[\pi_i, \pi_{i+1}] \simeq L_{\lambda_i(\gamma)}$  for  $1 \leq i \leq k$ , where  $\gamma = \gamma(T, \eta) = \{\pi_1, \dots, \pi_k\}$  and  $\pi_{k+1} = \top$ . For the example above,  $\lambda_0 = (3)$ ,  $\lambda_1 = (2)$ ,  $\lambda_2 = (1, 1)$  and  $\lambda_3 = (1, 1)$ .

### 3. POLYSCREENS AND COLORED SCREENS

Partition chains and leveled trees of the previous section play in the polydiagonal compactification  $X\langle n \rangle$  the same role as nests of subsets of  $[n]$  and usual trees (groves) do in the Fulton–MacPherson compactification  $X[n]$ . They index the strata and are an integral part of the geometric description of points in  $X\langle n \rangle$ , explained in this section without any proofs. It is implied by the technical work of Section 7.

For a chain  $\gamma = \{\pi_1, \dots, \pi_k\}$ , each point  $\mathbf{x}$  in the stratum  $S_\gamma$  of  $X\langle n \rangle$  is represented by a configuration  $\mathbf{x}'$  of distinct points in  $X$  labeled by the blocks of  $\pi_1$  and a coherent sequence of polyscreens  $\text{PS}^{\pi_1}, \dots, \text{PS}^{\pi_k}$  at  $\mathbf{x}'$ . Let  $p(\mathbf{x}', \beta)$  be the point in the configuration  $\mathbf{x}'$  labeled by the block of  $\pi_1$  that contains  $\beta \subseteq [n]$ . This makes sense for every block  $\beta$  of every  $\pi \geq \pi_1$ .

**Definition.** A **polyscreen**  $\text{PS}^\pi$  at  $\mathbf{x}'$  is given by: for each block  $\beta_i$  of  $\pi$ , a configuration  $\mathbf{S}_i$  of  $\text{card}(\beta_i)$  points in the tangent space to  $X$  at  $p(\mathbf{x}', \beta_i)$ , labeled by the elements of  $\beta_i$ , and a nonzero scalar  $\alpha_i$ , called the **scale factor** of  $\mathbf{S}_i$ . The data is considered modulo the following relations:

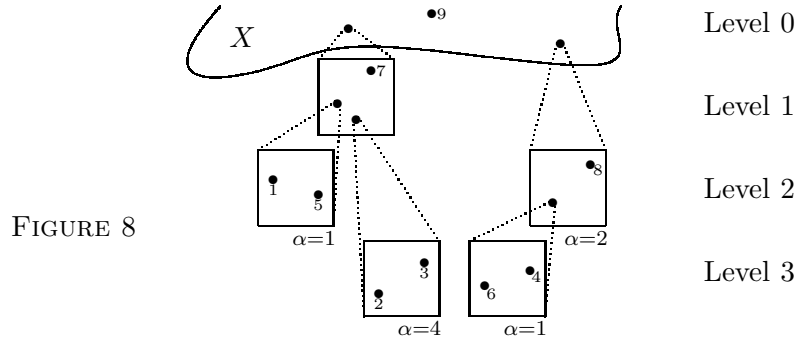


FIGURE 8

- (a) translation of any screen  $\mathbf{S}_i$ ;
- (b) dilation of any screen  $\mathbf{S}_i$  with compensating change of its scale factor:  
 $(\mathbf{S}_i, \alpha_i) \sim (\phi \mathbf{S}_i, \phi^{-1} \alpha_i)$ ,  $\phi \in \mathbb{k}^\times$ ;
- (c) simultaneous multiplication of all  $\alpha_i$  by an element of  $\mathbb{k}^\times$  (rescaling).

A sequence of polyscreens  $\text{PS}^{\pi_1}, \dots, \text{PS}^{\pi_k}$  is **coherent** if, for all  $j = 1, \dots, k-1$ , two labeled points in  $\text{PS}^{\pi_j}$  coincide if and only if their labels belong to the same block of  $\pi_{j+1}$ , and all labeled points in  $\text{PS}^{\pi_k}$  are distinct.

Coherence makes a sequence  $\text{PS}^\gamma$  conform to the leveled tree  $(T_\gamma, \eta_\gamma)$ , as the example in Figure 8 of a point in  $X \langle 9 \rangle$  does to the (right) tree in Figure 7. This means that the root of the tree corresponds to  $X$ , each internal vertex has a screen attached to it and the direct descendants of each vertex form a configuration of distinct points in  $X$  or in the respective screen. The screens in  $\text{PS}^\gamma$  attached to the phantom vertices of  $(T_\gamma, \eta_\gamma)$  contain just one distinct labeled point and are called **trivial**; they carry no information and are left out of the pictures.

Nontrivial screens in a polyscreen  $\text{PS}^{\pi_j}$  are exactly Fulton–MacPherson screens for those blocks of  $\pi_j$  that are subdivided in  $\pi_{j+1}$  (all essential blocks of  $\pi_j$  if  $j = k$ ). The data of  $\text{PS}^{\pi_j}$  is equivalent to this collection of screens together with the point in the projective space  $\mathbb{P}^{r_j-1}$  given by the  $r_j$ -tuple of scale factors, where  $r_j$  is the number of nontrivial screens in  $\text{PS}^{\pi_j}$ .

If  $\gamma = \{\pi_1, \dots, \pi_k\}$  starts with the bottom partition  $\perp$ , then for all points  $\mathbf{x}$  in  $S_\gamma$  the configuration  $\mathbf{x}'$  is a single point  $p$  in  $X$ , and all screens in  $\text{PS}^\gamma(\mathbf{x})$  are based on the *same* tangent space  $T_p X$ . Under the additional assumption that  $\text{char } \mathbb{k} = 0$ , now made for the rest of this section, the data of each polyscreen  $\text{PS}^{\pi_j}(\mathbf{x})$  then fits into a single *colored screen*  $\text{CS}^{\pi_j}(\mathbf{x})$ .

**Definition.** Let a **color** be any nonempty subset of  $[n]$ . A **colored screen**  $\text{CS}^\pi$  at  $p$  is a configuration of  $n$  colored points  $x_1, \dots, x_n$  in  $T_p X$ , considered modulo dilations of  $T_p X$ , where the color of  $x_i$  is the block of  $\pi$  that contains  $i$ , such that the points of each color are centered around the origin (their vector sum is 0).

A sequence  $\text{CS}^{\pi_1}, \dots, \text{CS}^{\pi_k}$  is **coherent** if, for all  $j = 1, \dots, k-1$ , two points of the same color coincide in  $\text{CS}^{\pi_j}$  if and only if they have the same color in  $\text{CS}^{\pi_{j+1}}$ , and in  $\text{CS}^{\pi_k}$  no points of the same color coincide.

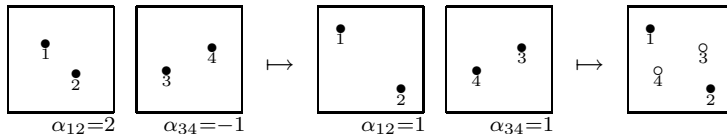


FIGURE 9. Conversion to color

To convert a polyscreen  $\text{PS}^\pi$  into a colored screen  $\text{CS}^\pi$ , first translate the representative screens of  $\text{PS}^\pi$  to center the points around the origin, then dilate them to make all scale factors equal. Identifying now the underlying spaces of the screens, place several configurations in the same  $T_p X$ . To tell them apart, colors of points are added as a way of recording which one of the screens each point comes from. Figure 9 shows the simplest nontrivial example.

Since this conversion of polyscreens into colored screens respects coherence, points in  $X\langle n \rangle$  corresponding to collisions at a single point in  $X$  can be viewed in terms of coherent sequences of colored screens. This interpretation is useful in Section 8 for studying the natural action of  $\mathbb{S}_n$  on  $X\langle n \rangle$ .

#### 4. CONSTRUCTION OF THE COMPACTIFICATION

For a partition  $\pi$  of  $[n]$ , denote by  $\Delta^\pi \subseteq X^n$  the subset of all points  $(x_1, \dots, x_n)$  with  $x_i = x_j$  whenever  $i$  and  $j$  are in the same block of  $\pi$ , and call  $\Delta^\pi$  a **polydiagonal**. The diagonals of  $X^n$  correspond to partitions with only one essential block. The set of all polydiagonals in  $X^n$  is naturally a lattice isomorphic to  $L_{[n]}$ , with its top element  $X^n$  itself.

**Theorem 1.** *The following  $(n - 1)$ -stage sequence of blowups results in a smooth compactification  $X\langle n \rangle$  of the configuration space of  $n$  distinct labeled points in a smooth algebraic variety  $X$ :*

- the first stage is the blowup of  $\Delta$ , the small diagonal of  $X^n$ ;
- the  $k$ -th stage,  $1 < k < n$ , is the blowup of the disjoint union of the previous stage proper transforms  $Y_{k-1}^\pi$  of  $\Delta^\pi$ , for all partitions  $\pi$  of the set  $[n] = \{1, \dots, n\}$  into exactly  $k$  blocks.

*Remark.* In the language of De Concini and Procesi [DP], the building set for this iterated blowup construction consists of *all* possible intersections of the diagonals of  $X^n$ , and therefore it is maximal. The building set of the Fulton–MacPherson compactification includes only those intersections that fail to be normal, so  $X[n]$  is the minimal compactification of  $F(X, n)$  with the property that the complement to the configuration space is a divisor with normal crossings.

Two smooth subvarieties  $U$  and  $V$  of a smooth algebraic variety  $W$  are said to **intersect cleanly** if  $U \not\subset V \not\subset U$ , their scheme-theoretic intersection is smooth and the tangent bundles satisfy  $T(U \cap V) = TU \cap TV$ . Two polydiagonals  $\Delta^{\pi_1}$  and  $\Delta^{\pi_2}$  in  $X^n$  intersect cleanly unless one of them contains

the other; the noncontainment condition is that the partitions  $\pi_1$  and  $\pi_2$  are incomparable in  $L_{[n]}$ .

Recall two standard results about the behaviour of clean intersections under blowups:

**Lemma 1.** *Let  $W$  be a smooth algebraic variety and let  $U, V$  be smooth subvarieties of  $W$  intersecting cleanly. Then*

- (a) *the proper transforms of  $U$  and  $V$  in  $\text{Bl}_{U \cap V} W$  are disjoint;*
- (b) *if  $Z$  is a smooth subvariety of  $U \cap V$ , then the proper transforms of  $U$  and  $V$  in  $\text{Bl}_Z W$  intersect cleanly.*  $\square$

*Proof of Theorem 1.* Denote the space obtained at stage  $k$  by  $Y_k$  and organize the projections of the fiber squares of all stages as

$$(1) \quad X\langle n \rangle = Y_{n-1} \longrightarrow Y_{n-2} \longrightarrow \cdots \longrightarrow Y_1 \longrightarrow Y_0 = X^n.$$

Then  $Y_0^\pi = \Delta^\pi$  and  $Y_k^\pi$  is the proper transform of  $Y_{k-1}^\pi$  in  $Y_k$  if  $\rho(\pi) \neq k$ , while  $Y_{\rho(\pi)}^\pi$  is the component of the exceptional divisor over  $Y_{\rho(\pi)-1}^\pi$ .

The statement will follow once it has been shown that the stated sequence of blowups can indeed be performed. For this, it suffices to check that the centers of those simultaneous blowups will have indeed become disjoint after the previous stages of the construction. The proof will be done by induction on  $k$ , for all  $X\langle n \rangle$  at the same time; after stage  $k$ , the induction will stop for  $X\langle k+1 \rangle$ , and it will continue on for  $X\langle n \rangle$  with  $n > k+1$ .

For any pair of distinct partitions  $\pi_1$  and  $\pi_2$  of  $[n]$  into two blocks, their meet  $\pi_1 \wedge \pi_2$  is the ‘nonpartition,’ so  $\Delta^{\pi_1} \cap \Delta^{\pi_2} = \Delta^{\pi_1 \wedge \pi_2} = \Delta$ , the small diagonal of  $X^n$ . By Lemma 1a, the transforms  $Y_1^{\pi_1}$  and  $Y_1^{\pi_2}$  will be disjoint, making the second stage possible.

Assume that stage  $k-1$  has been performed; this means that the varieties  $X\langle n \rangle$  have been constructed for  $1 \leq n \leq k$ , and only those for  $n > k$  are still being built. Also assume that the proper transforms  $Y_{k-1}^\pi$  for  $\pi$  with  $\rho(\pi) = k$  are disjoint.

For each partition  $\pi \in L_{[n]}$  with  $\rho(\pi) = k$ , the projection  $X\langle k \rangle \rightarrow X^k$  pulls back the obvious isomorphism  $X^k \simeq \Delta^\pi \subset X^n$  to an isomorphism  $X\langle k \rangle \simeq Y_{k-1}^\pi \subset Y_{k-1}$ . All these subvarieties are disjoint by the inductive assumption, and can all be blown up at the same time. This defines the variety  $X\langle k+1 \rangle$ .

To provide the inductive step necessary to continue the construction of  $X\langle n \rangle$  for  $n > k+1$ , the intersection  $Y_k^{\pi_1} \cap Y_k^{\pi_2}$  must be empty for all pairs of distinct  $\pi_1, \pi_2$  in  $L_{[n]}$  with  $\rho(\pi_1) = \rho(\pi_2) = k+1$ . Such a pair automatically satisfies the noncontainment condition; so  $\Delta^{\pi_1} \cap \Delta^{\pi_2} = \Delta^{\pi_1 \wedge \pi_2}$  is a clean intersection. Since  $\rho = \rho(\pi_1 \wedge \pi_2) < k+1$ , a repeated use of Lemma 1b shows that  $Y_{\rho-1}^{\pi_1} \cap Y_{\rho-1}^{\pi_2} = Y_{\rho-1}^{\pi_1 \wedge \pi_2}$  is a clean intersection, and then Lemma 1a implies that  $Y_\rho^{\pi_1} \cap Y_\rho^{\pi_2}$  is empty. The proper transforms of  $\Delta^{\pi_1}$  and  $\Delta^{\pi_2}$  become disjoint after stage  $\rho \leq k$ , and the proof is complete.  $\square$

**Corollary 1.** *For each  $\pi \in L_{[n]}$  we have  $Y_{\rho(\pi)-1}^\pi \simeq X\langle \rho(\pi) \rangle$ .*

*Proof.* This has been obtained while proving the theorem, and is formulated separately only for the ease of future reference.  $\square$

**Flag Blowup Lemma.** *Let  $V_0^1 \subset V_0^2 \subset \dots \subset V_0^s \subset W_0$  be a flag of smooth subvarieties in a smooth algebraic variety  $W_0$ . For  $k = 1, \dots, s$ , define inductively:  $W_k$  as the blowup of  $W_{k-1}$  along  $V_{k-1}^k$ ;  $V_k^k$  as the exceptional divisor in  $W_k$ ; and  $V_k^i$ , for  $i \neq k$ , as the proper transform of  $V_{k-1}^i$  in  $W_k$ . Then the preimage of  $V_0^s$  in the resulting variety  $W_s$  is a normal crossing divisor  $V_s^1 \cup \dots \cup V_s^s$ .*

*Remark.* This auxiliary result is implicit in earlier works [FM, Ka2].

*Proof.* In a blowup  $p: \text{Bl}_Z W \rightarrow W$  of a smooth algebraic variety  $W$  along a smooth center  $Z$ , if  $\tilde{V}$  is the proper transform of a smooth variety  $V \supset Z$ , then in terms of ideal sheaves  $\mathcal{I}(p^{-1}(V)) = \mathcal{I}(\tilde{V}) \cdot \mathcal{I}(E)$ . Applied at each step, this equality yields  $\mathcal{I}(p_s^{-1}(V_0^s)) = \mathcal{I}(V_s^1) \times \dots \times \mathcal{I}(V_s^s)$ , where  $p_s: W_s \rightarrow W_0$  denotes the composition of the stated blowups.  $\square$

**Proposition 1.** *For each partition  $\pi$  of  $[n]$  with at least one essential block, there is a smooth divisor  $D^\pi \subset X\langle n \rangle$  such that:*

- (a) *The union of these divisors is  $D = X\langle n \rangle \setminus \text{F}(X, n)$ .*
- (b) *Any set of these divisors meets transversally.*
- (c) *An intersection  $D^{\pi_1} \cap \dots \cap D^{\pi_k}$  of divisors is nonempty exactly when the partitions form a chain. In other words, the incidence graph of  $D$  coincides with the comparability graph of the lattice  $L_{[n]}$  with the top partition removed.*

**Corollary 2.** (a)  *$X\langle n \rangle$  is stratified by strata  $S_\gamma = \bigcap_{\pi \in \gamma} D^\pi$  parametrized by all chains  $\gamma$  in  $L_{[n]}$ .*

- (b) *The codimension of  $S_\gamma$  in  $X\langle n \rangle$  is equal to the length of  $\gamma$ .*
- (c) *The intersection of two strata  $S_\gamma$  and  $S_{\gamma'}$  is nonempty exactly when  $\gamma \cup \gamma'$  is a chain, in which case  $S_\gamma \cap S_{\gamma'} = S_{\gamma \cup \gamma'}$ . In particular,  $S_\gamma \supset S_{\gamma'}$  if and only if  $\gamma \subset \gamma'$ .*

*Proof.* We concentrate on the normal crossing property, which implies the other claims.

By construction, the proper transform of every polydiagonal  $\Delta^\pi \subset X^n$  under  $X\langle n \rangle \rightarrow X^n$  is a smooth divisor; it will be denoted by  $D^\pi$ . The proper transforms of  $\Delta^{\pi_1}$  and  $\Delta^{\pi_2}$  become disjoint when that of their intersection  $\Delta^{\pi_1 \wedge \pi_2}$  is blown up, unless one of  $\Delta^{\pi_1}$  and  $\Delta^{\pi_2}$  contains the other, that is, unless  $\{\pi_1, \pi_2\}$  is a chain.

In order to show that for any saturated (maximal length) chain  $\gamma = \{\pi_i\}$ , the union  $D^\gamma = D^{\pi_1} \cup \dots \cup D^{\pi_{n-1}}$  is a normal crossing divisor in  $X\langle n \rangle$ , consider the flag of polydiagonals  $\Delta^{\pi_1} \subset \dots \subset \Delta^{\pi_{n-1}} \subset X^n$ . The blowups of  $Y_{\rho(\pi)-1}^\pi$  for  $\pi \notin \gamma$  are irrelevant for the intersection of the components

of  $D^\gamma$  because their centers are disjoint from

$$\bigcap_{i=1}^{n-1} Y_{\rho(\pi)-1}^{\pi_i};$$

hence, the Flag Blowup Lemma can be applied. The normal crossing property of  $D^\gamma$  follows by the lemma, and so does the proposition: since any chain  $\gamma'$  is refined by a saturated chain  $\gamma$ , the components of  $\bigcup_{\pi \in \gamma'} D^\pi$  form a subset of components of  $\bigcup_{\pi \in \gamma} D^\pi$ .  $\square$

**Enumeration of the strata.** The number of strata in  $X\langle n \rangle$ ,  $n > 1$ , is equal to the number  $2Z(n)$  of chains in  $L_{[n]}$ . There is a factor of 2 here because half of the chains contain  $\perp$  and half do not (the top  $\top$  is always excluded). Sloane and Plouffe [SP] catalogued the sequence  $\{Z(n)\}$  of integers as M3649. Since the following recurrence relation is immediate:

$$Z(n) = \sum_{k=1}^{n-1} S(n, k)Z(k),$$

the first few values of  $Z(n)$  are easy to compute. No closed general formula is known, although Babai and Lengyel described the asymptotics of  $Z(n)$ , up to yet undetermined constant [BL, Le].

Here is a small table of the numbers of strata in  $X[n]$  and  $X\langle n \rangle$ :

$n$	2	3	4	5	6	7	8	9
$X[n]$	2	8	52	472	5504	78416	1320064	25637824
$X\langle n \rangle$	2	8	64	872	18024	525520	20541392	1036555120

As codimension-1 strata are the components  $D^\pi$  of the divisor at infinity, there are  $B(n) - 1$  of them, where  $B(n)$  is the Bell number, equal to the number of partitions of  $[n]$ . The minimal strata have codimension  $n - 1$  and correspond to saturated chains in  $L_{[n]}$ , whose number is  $2^{1-n}n!(n - 1)!$ .

## 5. THE HODGE POLYNOMIAL OF $X\langle n \rangle$

If  $X$  is a smooth complex algebraic variety, the construction of  $X\langle n \rangle$  allows an easy derivation of a formula for the Hodge polynomial, hence, for the Poincaré polynomial of  $X\langle n \rangle$  in terms of those of  $X$ .

The notion of a *virtual Poincaré polynomial* extends the usual one to all complex algebraic varieties and provides a good tool for computing the Poincaré polynomials of blowup constructions.

- Lemma 2.** (a) *If  $Y$  is smooth and compact, the virtual Poincaré polynomial  $P(Y)$  coincides with the usual Poincaré polynomial of  $Y$ .*  
 (b) *If  $Z$  is a closed subvariety of  $Y$ , then  $P(Y) = P(Z) + P(Y \setminus Z)$ .*  
 (c) *If  $Y' \rightarrow Y$  is a bundle with fiber  $F$  which is locally trivial in the Zariski topology, then  $P(Y') = P(Y)P(F)$ .*  $\square$

Using Deligne's mixed Hodge theory [De1, De3], Danilov and Khovanskii defined a refinement of  $P(X)$ , the *virtual Hodge polynomial*  $e(X)$ , also called the *Serre polynomial*, and proved [DKh] that it has the properties listed in Lemma 2, also independently found by Durfee [Du]. Cheah, Getzler and Manin computed the Hodge polynomials of the Fulton–MacPherson compactifications via generating functions [Ch, Ge, M], while the original paper dealt with summation over trees (groves).

**Proposition 2.** *For any two positive integers  $m$  and  $n$ , there is a polynomial  $U_n^m(t, x)$  such that for any smooth  $m$ -dimensional complex algebraic variety  $X$  the Hodge polynomial of  $X\langle n \rangle$  is  $e(X\langle n \rangle; z, \bar{z}) = U_n^m(z\bar{z}, e(X; z, \bar{z}))$ , and in particular,  $P(X\langle n \rangle; t) = U_n^m(t, P(X; t))$ . The polynomials  $U_n^m(t, x)$  satisfy the recurrence relation*

$$U_n^m(t, x) = x^n + \sum_{k=1}^{n-1} S(n, k) h_{(n-k)m}(t) U_k^m(t, x),$$

where  $h_d(t) = P(\mathbb{C}\mathbb{P}^{d-1}) - 1 = t^{2d-2} + \dots + t^4 + t^2$ .

*Proof.* Straightforwardly from the construction of  $X\langle n \rangle$  and Lemma 2,

$$e(Y_k) = e(Y_{k-1}) + \sum_{\rho(\pi)=k} (e(\mathbb{P}(N^\pi)) - 1) e(Y_{k-1}^\pi),$$

where  $N^\pi$  is the fiber of the normal bundle to  $Y_{k-1}^\pi$  in  $Y_{k-1}$ , which is  $\mathbb{C}^{(n-k)m}$  by an easy dimension count. Corollary 1 converts this formula into

$$e(Y_k) = e(Y_{k-1}) + S(n, k) h_{(n-k)m}(z\bar{z}) e(X\langle k \rangle).$$

Since  $Y_0 = X^n$  and  $Y_{n-1} = X\langle n \rangle$ , there results a recurrence relation

$$e(X\langle n \rangle) = e(X)^n + \sum_{k=1}^{n-1} S(n, k) h_{(n-k)m}(z\bar{z}) e(X\langle k \rangle),$$

and both claims immediately follow.  $\square$

A nonrecursive expression for  $U_n^m(t, x)$  can be found by expanding in the right-hand side of the recurrence the terms with the highest  $k$  present in a loop down to  $k = 2$  terms:

$$U_n^m(t, x) = x^n + \sum_{s=1}^{n-1} \left( x^s \sum_{r=1}^{n-s} \sum_{J_{s,n}^r} \prod_{i=1}^r S(j_i, j_{i-1}) h_{(j_i-j_{i-1})m}(t) \right),$$

where  $J_{s,n}^r = \{(j_0, \dots, j_r) \in \mathbb{Z}^{r+1} \mid s = j_0 < \dots < j_r = n\}$ .

Similar computations of the Hodge polynomials of the strata in the stratification of  $X\langle n \rangle$  from Corollary 2 can be carried out using the description of their structure given in Section 7.



6.  $X\langle n \rangle$  AS A CLOSURE AND A SURJECTION  $X\langle n \rangle \rightarrow X[n]$ 

In this section I present  $X\langle n \rangle$  as the closure of the configuration space embedded in a product of blowups, exhibit a surjection  $X\langle n \rangle \rightarrow X[n]$ , and write it as an iterated blowup.

First, the results of Section 4 about the structure of  $X\langle n \rangle$  at infinity should be rephrased in terms of ideal sheaves. Let  $\mathcal{I}(\Delta^\pi)$  be the ideal sheaf of  $\Delta^\pi$  in  $\mathcal{O}_{X^n}$ . For any  $k$ ,  $1 \leq k \leq n-1$ , let  $\tau_k: Y_k \rightarrow X^n$  be the appropriate composition of projections from Eq. (1), let  $\mathcal{I}_k(\pi)$  be the ideal sheaf in  $\mathcal{O}_{Y_k}$  generated by  $\tau_k^*(\mathcal{I}(\Delta^\pi))$ , and also let  $\mathcal{I}(Y_k^\pi)$  be the ideal sheaf of  $Y_k^\pi$  in  $\mathcal{O}_{Y_k}$ . This notation, although similar to Fulton and MacPherson's, is not quite the same. The assertions of Proposition 1 can be restated as

$$\mathcal{I}_{n-1}(\pi) = \prod_{\pi' \leq \pi} \mathcal{I}(D^{\pi'}),$$

while at the intermediate stages

$$\mathcal{I}_k(\pi) = \prod_{\pi' \leq \pi \text{ with } \rho(\pi') \leq k} \mathcal{I}(Y_k^{\pi'}).$$

Since  $Y_k^{\pi'} \subset Y_k$  is a divisor if  $\rho(\pi') < k$ , it follows that  $\mathcal{I}_k(\pi) = \mathcal{I}(Y_k^\pi) \cdot \mathcal{J}$ , where  $\mathcal{J}$  is an invertible ideal sheaf.

**Proposition 3.** *The variety  $X\langle n \rangle$  constructed by blowing up is the closure of the configuration space  $F(X, n)$  in*

$$\prod_{\pi \in L[n]} \text{Bl}_{\Delta^\pi} X^n.$$

*Remark.* The top partition contributes the factor  $X^n$ .

*Proof.* By induction on  $k$ , each  $Y_k$  is the closure of  $F(X, n)$  in

$$X^n \times \prod_{\rho(\pi) \leq k} \text{Bl}_{\Delta^\pi} X^n.$$

The basis is clear:  $Y_0 = X^n$ . Then,  $Y_k$  is the blowup of  $Y_{k-1}$  along

$$\prod_{\rho(\pi)=k} Y_{k-1}^\pi,$$

or in other terms, along

$$\mathcal{I} \left( \prod_{\rho(\pi)=k} Y_{k-1}^\pi \right) = \prod_{\rho(\pi)=k} \mathcal{I}(Y_{k-1}^\pi).$$

This ideal sheaf becomes

$$\mathcal{I}_{k-1} = \prod_{\rho(\pi)=k} \mathcal{I}_{k-1}(\pi)$$

upon multiplying by an invertible ideal sheaf, and blowing up  $\mathcal{I}_{k-1}$  is equivalent to taking the closure of the graph of the rational map from  $Y_{k-1}$  to

$$\prod_{\rho(\pi)=k} \text{Bl}_{\Delta^\pi} X^n.$$

This provides the inductive step, and eventually  $Y_{n-1} = X\langle n \rangle$ .  $\square$

Both the statement and its proof parallel those by Fulton and MacPherson [FM, Prop. 4.1], who use pullbacks by  $X[n] \rightarrow X^n \rightarrow X^S$ , for  $S \subset [n]$ ,  $\#S > 1$ , and also by  $f_S: Y_k \rightarrow X^n \rightarrow X^S$  at the intermediate stages, while here  $\tau_k: Y_k \rightarrow X^n$ . A slight reformulation of their characterization of  $X[n]$  as a closure elucidates its similarity with  $X\langle n \rangle$ . For each  $S$  as before, take the diagonal  $\Delta^S \subset X^n$  and pull back its ideal sheaf by the first of the two arrows whose composition is  $f_S$ ; this gives the same ideal sheaf  $f_S^*(\mathcal{I}(\Delta))$ .

**Proposition 4.** *The variety  $X[n]$  is the closure of  $F(X, n)$  in*

$$X^n \times \prod_{S \subset [n], \#S > 1} \text{Bl}_{\Delta^S} X^n.$$

The two compactifications can now be related.

**Proposition 5.** *For each  $n \geq 1$ , there is a surjection  $\vartheta_n: X\langle n \rangle \rightarrow X[n]$ .*

*Proof.* Start with notation for the products from Propositions 3 and 4:

$$\Pi = \prod_{\epsilon(\pi) \geq 1} \text{Bl}_{\Delta^\pi} X^n, \quad \text{and} \quad \Pi' = \prod_{\epsilon(\pi)=1} \text{Bl}_{\Delta^\pi} X^n,$$

where  $\epsilon(\pi)$  is the number of essential blocks in a partition  $\pi$ . If  $S$  is the only essential block of  $\pi$ , then  $\Delta^S = \Delta^\pi$ , so  $\Pi'$  can indeed be used for  $X[n]$ .

Now take the left of these two diagrams, where  $\phi$  and  $\psi$  are rational maps defined on  $F(X, n)$ , and notice that the projection  $\text{id} \times p$  maps the closure  $\overline{G(\phi)}$  of the graph of  $\phi$  onto the closure  $\overline{G(\psi)}$  of the graph of  $\psi$ .  $\square$

This surjection  $\vartheta_n$  admits a more explicit description. For  $n \leq 3$ , it is the identity map; otherwise, it can be written as a composition

$$(2) \quad X\langle n \rangle = W_{n-2} \xrightarrow{\beta_{n-2}} W_{n-3} \xrightarrow{\beta_{n-3}} \cdots \xrightarrow{\beta_3} W_2 \xrightarrow{\beta_2} W_1 = X[n],$$

where  $W_k \xrightarrow{\beta_k} W_{k-1}$  is the blowup in  $W_{k-1}$  of (the disjoint union of the proper transforms under  $\beta_{k-1} \circ \cdots \circ \beta_2$  of) some strata  $X(\mathcal{S})$  of  $X[n]$ ; their

encoding nests  $\mathcal{S}$  are characterized below. Favoring imprecision over repetitiveness, I will neglect to reiterate the ritual phrase that in the previous sentence appears in parentheses.

Let  $U \subset X[n]$  be the union of all strata  $X(\mathcal{S})$  such that the nest  $\mathcal{S}$  contains two disjoint subsets of  $[n]$ . The irreducible components of this codimension 2 reduced subscheme are  $X(\mathcal{S})$  for all nests  $\mathcal{S} = \{S_1, S_2\}$  with  $S_1 \cap S_2 = \emptyset$ , which intersect transversally [FM, Theorem 3]. The map  $\vartheta_n$  is an iterated blowup of  $X[n]$  along  $U$ , but not all the strata contained in  $U$  are centers of a blowup  $\beta_k$ . The components of the center of  $\beta_k$  are the strata  $X(\mathcal{S})$  such that  $\mathcal{S}$  is the set of all essential blocks of a partition  $\pi \in L_{[n]}$  with  $\rho(\pi) = k$  and  $\epsilon(\pi) > 1$ , which is always a nest. The transversality of the strata guarantees that, whenever the sequence  $\beta_2, \dots, \beta_{n-2}$  calls for two intersecting strata to be in the center of the same  $\beta_k$ , the previous stages will have made them disjoint. The sequence itself implies that, whenever  $X(\mathcal{S}) \subset X(\mathcal{S}')$  are both to become centers, the smaller stratum is blown up before the larger one.

Alternatively, the variety  $W_k$  can be defined as the closure of  $F(X, n)$  in

$$X^n \times \prod_{\rho(\pi) \leq k \text{ or } \epsilon(\pi)=1} \text{Bl}_{\Delta^\pi} X^n,$$

and an argument similar to Proposition 3 shows that this is equivalent to the blowup description.

**Examples.** Here  $X(S_1, \dots, S_k) = D(S_1) \cap \dots \cap D(S_k)$  refers to strata of  $X[n]$ .

The map  $\vartheta_4$  is the blowup of 3 disjoint codimension-2 strata  $X(12, 34)$  and alike, for the nests obtained from the 3 partitions of shape  $(2, 2)$ . The divisor  $D^{12|34} \subset X(4)$  is a  $\mathbb{P}^1$ -bundle over  $X(12, 34)$ .

For  $n = 5$ , there are two maps in Eq. (2). The first blows up 10 disjoint codimension-2 strata, like  $X(123, 45)$ , corresponding to the partitions of shape  $(3, 2)$ . The second blows up 15 disjoint codimension-2 strata, like  $X(12, 34)$ , corresponding to  $(2, 2, 1)$ .

For  $n = 6$ , there are three stages according to the partitions

$$(4, 2), \quad (3, 3); \quad (3, 2, 1), \quad (2, 2, 2); \quad (2, 2, 1, 1).$$

Here we encounter inclusions like  $X(12, 34, 56) \subset X(12, 34)$ . Interestingly, the proper transform by  $\vartheta_6$  of  $X(12, 34, 56)$ , which is the divisor  $D^{12|34|56}$ , is a bundle over  $X(12, 34, 56)$  with fiber  $\mathbb{P}^2$  blown up at three points. Proposition 11 generalizes this observation.

The preimage in  $X\langle n \rangle$  of a stratum of  $X[n]$  is

$$\vartheta_n^{-1}(X(\mathcal{S})) = \bigcup_{(T, \eta) \in \theta^{-1}(T(\mathcal{S}))} S_{(T, \eta)},$$

the union of all strata encoded by the leveled trees  $(T, \eta)$  with the same base tree  $T(\mathcal{S})$  and any legal assignment of levels to its interior vertices. The map  $\vartheta_n$  is thus strata-compatible.

**Proposition 6.** *The fibers of  $\vartheta_n: X\langle n \rangle \rightarrow X[n]$  are independent of  $X$  and even of its dimension. The fiber over a point in  $X(\mathcal{S})$  that is not in any smaller stratum is completely determined by the nest  $\mathcal{S}$ .*

*Proof.* The normal space  $N_{\mathbf{x}}$  at a point  $\mathbf{x}$  to  $X(\mathcal{S}) \subset X[n]$  is independent of  $\dim X$  (assumed positive): its dimension is equal to the cardinality of the nest  $\mathcal{S}$ . The nest alone determines the iterated blowup of  $N_{\mathbf{x}}$  induced from Eq. (2), and the preimage of the origin under it is isomorphic to  $\vartheta_n^{-1}(\mathbf{x})$ .  $\square$

## 7. STRUCTURE OF THE STRATA

This section begins by discussing a family of linear subspace arrangements indexed by integer partitions; each of them leads to a projective variety that will be called a brick. Points of a brick correspond to polyscreens, and by presenting the strata of  $X\langle n \rangle$  as bundles over  $X\langle k \rangle$  whose fibers are products of bricks, the polyscreen description of  $X\langle n \rangle$  is established here.

The configuration space  $F(\mathbb{A}^1, n)$  is the complement to the braid arrangement of hyperplanes in  $\mathbb{A}^n$ , the motivating example for much of the theory of hyperplane arrangements [OT]. The analogue for  $\mathbb{A}^m$ , denoted by  $\bar{\mathcal{B}}_n^m$ , is an arrangement of codimension  $m$  linear subspaces of  $(\mathbb{A}^m)^n$ . Its strata are various intersections of the large diagonals, so the partitions of  $[n]$  index them, for each  $m \geq 1$ ; in other words, the intersection lattice of  $\bar{\mathcal{B}}_n^m$  is isomorphic to the partition lattice  $L_{[n]}$ . These and all other subspace arrangements encountered in this section are  $c$ -plexifications of hyperplane arrangements [Bj]. This means practically that most information about  $\bar{\mathcal{B}}_n^m$  can be extracted from the braid arrangement  $\bar{\mathcal{B}}_n^1$ .

For any partition  $\pi$  of  $[n]$ , the images in the quotient  $C_\pi^m = (\mathbb{A}^m)^n / \Delta^\pi$  of those large diagonals that contain  $\Delta^\pi$  form an induced arrangement  $\mathcal{B}_\pi^m$ . For  $\pi = \perp(L_{[n]})$ , it is denoted by  $\mathcal{B}_{n-1}^m$  (actual subscripts will be integers  $\nu_i$ ); if  $m = 1$ , this is the Coxeter arrangement of type  $A_{n-1}$ . For other partitions,  $\mathcal{B}_\pi^m$  is a product arrangement, as Lemma 3 shows below.

For two subspace arrangements  $\mathcal{A}_i = \{K_1^i, \dots, K_{s_i}^i\}$  in  $\mathbb{k}$ -vector spaces  $V_i$ ,  $i = 1, 2$ , the product arrangement  $\mathcal{A}_1 \times \mathcal{A}_2$  in  $V_1 \oplus V_2$  is the collection of subspaces  $\{K_1^1 \oplus V_2, \dots, K_{s_1}^1 \oplus V_2, K_1^2 \oplus V_1, \dots, K_{s_2}^2 \oplus V_1\}$ . For each integer partition  $\lambda = (\nu_1, \dots, \nu_r)$ , define  $\mathcal{B}_\lambda^m$  as the product  $\mathcal{B}_{\nu_1}^m \times \dots \times \mathcal{B}_{\nu_r}^m$ . The intersection lattice of a product is the product of those of the factors; for  $\mathcal{B}_\lambda^m$  this gives the lattice  $L_\lambda = L_{[\nu_1+1]} \times \dots \times L_{[\nu_r+1]}$ .

As an example, take for  $\lambda$  the finest partition  $(1, \dots, 1)$  of  $r$ , often denoted by  $1^r$ . Since  $\mathcal{B}_1^1$  is the arrangement  $\{0\}$  in  $\mathbb{k}$ , its  $r$ -th power  $\mathcal{B}_{1^r}^1$  is the arrangement of coordinate hyperplanes in  $\mathbb{k}^r$ .

**Lemma 3.** *Up to a change of coordinates  $\mathcal{B}_\pi^m \simeq \mathcal{B}_\lambda^m$ , where  $\lambda = \lambda(\pi)$  is the essential shape of  $\pi$ .*

*Proof.* Look at the equations of the large diagonals containing  $\Delta^\pi$ , that is,  $\Delta^{ij} = \{(x_1, \dots, x_n) \in (\mathbb{A}^m)^n \mid x_i = x_j\}$  for all pairs of  $i$  and  $j$  belonging to the same block of  $\pi$ . Equations coming from different blocks of  $\pi$  are independent of each other, leading to the product decomposition.  $\square$

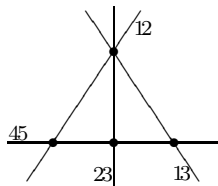


FIGURE 10

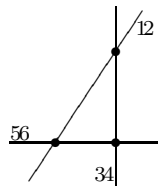
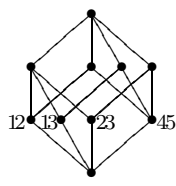
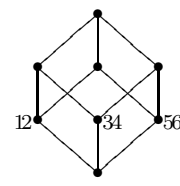


FIGURE 11



The polydiagonal compactification  $\mathbb{A}^m \langle n \rangle$  is the maximal blowup of the arrangement  $\bar{\mathcal{B}}_n^m$ , in the sense that all strata of  $\bar{\mathcal{B}}_n^m$  are blown up in the course of its construction. In the same fashion, all strata of the arrangement  $\mathcal{B}_\lambda^m$  can be blown up in the ascending order given by their dimensions. The first stage is always the blowup of the origin, creating the exceptional divisor  $\mathbb{P}(C_\lambda^m) \simeq \mathbb{P}^{m|\lambda|-1}$ , where  $|\lambda|$  is the sum of all parts of  $\lambda$ .

The main objects of interest for this section are defined as follows.

**Definition.** For any integer partition  $\lambda$ , a **brick**  $M_\lambda^m$  is the proper transform of  $\mathbb{P}(C_\lambda^m)$  in the maximal blowup of  $\mathcal{B}_\lambda^m$ . If  $\lambda$  has only one part, the brick  $M_\lambda^m$  is **simple**, otherwise it is **compound**. The **open brick**  ${}^\circ M_\lambda^m$  is the complement in  $\mathbb{P}(C_\lambda^m)$  of the projectivization of  $\mathcal{B}_\lambda^m$ .

**Examples.** The brick  $M_1^m$  is just  $\mathbb{P}^{m-1}$  (a single point if  $m = 1$ ).

The bricks  $M_2^m$  and  $M_{1,1}^m$  are blowups of  $\mathbb{P}^{2m-1}$ ; their centers are, respectively, three and two copies of  $M_1^m$ .

The bricks  $M_3^m$ ,  $M_{2,1}^m$  and  $M_{1,1,1}^m$  are 2-stage blowups of  $\mathbb{P}^{3m-1}$ ; the lower intervals in  $L_3$ ,  $L_{2,1}$  and  $L_{1,1,1}$  determine their centers, respectively:

- 7 copies of  $M_1^m$ , then 6 copies of  $M_2^m$ ;
- 4 copies of  $M_1^m$ , then 3 copies of  $M_{1,1}^m$  and 1 copy of  $M_2^m$ ;
- 3 copies of  $M_1^m$ , then 3 copies of  $M_{1,1}^m$ .

For  $M_3^1$ , look again at Figures 2 and 3 on page 6. Similar pictures for  $M_{2,1}^1$  and  $M_{1,1,1}^1$  are in Figures 10 and 11. Comparison of these figures suggests that refining the indexing partition corresponds to omitting some subspaces from the arrangement. This is proved in general in Proposition 12.

Of special importance is the brick  $M_{1^r}^1$  that arises from the coordinate arrangement in  $\mathbb{k}^r$ : blow up  $r$  points in  $\mathbb{P}^{r-1}$  in general position, then blow up the proper transforms of all lines spanned by pairs of these points, then blow up those of all planes spanned by triples, and so on. Thus  $M_{1^r}^1$  is isomorphic to the space  $\Pi_r$  that Kapranov called the *permutahedral space* [Ka2, p. 105]. It is the compact projective toric variety whose encoding polytope is the permutahedron  $P_r$ , usually defined as the convex hull of the set of  $r!$  points in  $\mathbb{R}^r$  with coordinates  $(\sigma^{-1}(1), \dots, \sigma^{-1}(r))$ , for all  $\sigma \in \mathbb{S}_r$ . This polytope can also be obtained from the standard  $(r - 1)$ -simplex by chopping off first all its vertices, then all that remains of its edges, then faces, and so on; this corresponds to the sequence of blowups producing  $\Pi_r$ . In addition, this variety is the closure of a principal toric orbit in the complete

flag variety and it has been extensively studied from various perspectives [At, DL, GS, P, Sta2, Ste1, Ste2].

For each  $m \geq 1$ , the brick  $M_{1^r}^m$  is a toric variety because all strata of  $\mathcal{B}_{1^r}^m$ , sitting in  $\mathbb{P}^{rm-1}$ , are  $(\mathbb{k}^\times)^{rm}$ -invariant.

**Proposition 7.** *Every open compound brick has the structure of a bundle*

$$(3) \quad {}^\circ M_{1^r}^1 \longrightarrow {}^\circ M_\lambda^m \longrightarrow {}^\circ M_{\nu_1}^m \times \cdots \times {}^\circ M_{\nu_r}^m,$$

where  $\lambda$  is the integer partition  $(\nu_1, \dots, \nu_r)$ .

*Proof.* The complement to  $\mathcal{B}_{\nu_i}^m$  in  $(\mathbb{A}^m)^{\nu_i}$  is  $F(\mathbb{A}^m, \nu_i + 1)/\mathbb{A}^m$ , the configuration space of  $\nu_i + 1$  distinct labeled points in  $\mathbb{A}^m$  modulo translations. Since  ${}^\circ M_\lambda^m$  is the complement in  $\mathbb{P}(C_\lambda^m)$  to the projectivization of the arrangement  $\mathcal{B}_\lambda^m = \mathcal{B}_{\nu_1}^m \times \cdots \times \mathcal{B}_{\nu_r}^m$ , it follows that

$$(4) \quad {}^\circ M_\lambda^m = \mathbb{P}({}^\circ C_\lambda^m), \quad \text{where} \quad {}^\circ C_\lambda^m = \prod_{i=1}^r \left( F(\mathbb{A}^m, \nu_i + 1) / \mathbb{A}^m \right),$$

is the orbit space of the diagonal action of  $\mathbb{k}^\times$  on this product by dilations.

Separate actions of  $\mathbb{k}^\times$  on each factor together give that of  $(\mathbb{k}^\times)^r$  on  ${}^\circ C_\lambda^m$ . Its total orbit space is isomorphic to the product of those coming from the factors, which is  ${}^\circ M_{\nu_1}^m \times \cdots \times {}^\circ M_{\nu_r}^m$ . The orbit space  ${}^\circ M_\lambda^m$  maps into this product, with fiber  $(\mathbb{k}^\times)^r / \mathbb{k}^\times \simeq {}^\circ M_{1^r}^1$ .  $\square$

The next two propositions follow from the general work of De Concini and Procesi [DP, pages 480–482], but they can also be proved directly.

**Proposition 8.** (a) *The compactification  $\mathbb{A}^m \langle n \rangle$  is the product  $\mathbb{A}^m \times \mathcal{L}$ , where  $\mathcal{L}$  is the total space of a line bundle over the simple brick  $M_{n-1}^m$ .*  
 (b) *The simple brick  $M_{n-1}^m$  is a compactification of  $F(\mathbb{A}^m, n)/\text{Aff}$ , where  $\text{Aff}$  is the group of all affine transformations in  $\mathbb{A}^m$ .*

*Proof.* (a) The direct factor  $\mathbb{A}^m$  is the small diagonal  $\Delta \subset (\mathbb{A}^m)^n$ . The essential shape of the bottom partition of  $[n]$  is the integer  $n - 1$ , thus by definition, there is a map  $\psi: M_{n-1}^m \rightarrow P = \mathbb{P}((\mathbb{A}^m)^n / \Delta)$ . The bundle  $\mathcal{L}$  is the pullback by  $\psi$  of the tautological line bundle over  $P$ ; since  $\psi$  is an iterated blowup, Lemma 4 (formulated below) has to be used at each stage.

(b) Affine transformations identify any nondegenerate configuration in  $\mathbb{A}^m$  with a degenerate one in which all  $n$  points collide at 0, cancelling both the direct factor  $\mathbb{A}^m$  and the fiber of the line bundle  $\mathcal{L}^n$ .  $\square$

**Lemma 4.** *Let  $V$  be a smooth subvariety of a smooth algebraic variety  $W$ , let  $h: F \rightarrow W$  be a vector bundle over  $W$ , and  $E$  its restriction onto  $V$ . Then  $\text{Bl}_E F$  is a vector bundle over  $\text{Bl}_V W$  isomorphic to the pullback of  $F$  by the blowup projection.*

*Proof.* The normal bundle  $N_{E/F}$  is the pullback  $h^* N_{V/W}$ .  $\square$

There are two differences between the construction of  $\mathbb{A}^m\langle n \rangle$  and that of the bricks: different arrangements to start with and projectivization; both are minor enough that some basic facts about bricks follow by the same arguments that apply to  $\mathbb{A}^m\langle n \rangle$ . In turn, describing first the strata of the bricks provides a quick way of doing the same for  $X\langle n \rangle$ .

**Proposition 9.** *For any integer partition  $\lambda$ , fix a partition  $\pi$  of  $[n]$  of essential shape  $\lambda$  and an isomorphism  $[\pi, \top] \simeq L_\lambda$ .*

- (a) *For each partition  $\pi_1$ ,  $\pi < \pi_1 < \top$ , there is a divisor  $E^{\pi_1}$  in  $M_\lambda^m$ . The union of these divisors is the complement  $M_\lambda^m \setminus {}^\circ M_\lambda^m$ , and any set of them meets transversally.*
- (b) *An intersection  $E^{\pi_1} \cap \cdots \cap E^{\pi_k}$  is nonempty exactly when the partitions form a chain. Thus  $M_\lambda^m$  is stratified by strata parametrized by all chains in  $L_\lambda$  that include neither its bottom nor its top.*
- (c) *For any such chain  $\{\pi_1, \dots, \pi_k\}$ , the corresponding stratum of  $M_\lambda^m$  is isomorphic to  $M_{\lambda_0}^m \times \cdots \times M_{\lambda_k}^m$ , where the integer partitions  $\lambda_0, \dots, \lambda_k$  are determined by  $L_{\lambda_i} \simeq [\pi_i, \pi_{i+1}]$ , with  $\pi_0 = \pi$  and  $\pi_{k+1} = \top$ .*

**Definition.** A smooth subvariety  $V$  of a smooth algebraic variety  $W$  will be called **straight** if the normal bundle  $N_V W$  is isomorphic to a direct sum of copies of a single line bundle. In this case, the exceptional divisor of the blowup  $\text{Bl}_V W$  is a trivial bundle.

- Lemma 5.** (a) *For any two positive integers  $k$  and  $l$ , any linear subvariety  $\mathbb{P}^k$  of  $\mathbb{P}^{k+l+1}$  is straight. (Whence the term.)*
- (b) *Let  $Z$  and  $V$  be smooth subvarieties of a smooth algebraic variety  $W$ , such that either  $Z \cap V = \emptyset$  or  $Z \subset V$ . If  $V$  is straight in  $W$ , then so is its proper transform  $\tilde{V}$  in  $\tilde{W} = \text{Bl}_Z W$ .*

*Proof.* Part (a) follows directly from the definition.

(b) Nothing to be done when  $V$  and  $Z$  are disjoint. When  $Z \subset V$ , denote by  $E$  the exceptional divisor of  $\tilde{W}$ , and by  $p$  the projection  $\tilde{V} \rightarrow V$ , then

$$N_{\tilde{V}/\tilde{W}} \simeq p^* N_{V/W} \otimes \mathcal{O}(-E)|_{\tilde{V}},$$

and the claim follows.  $\square$

*Proof of Proposition 9.* Similarly to Proposition 1, the definition of  $M_\lambda^m$  implies parts (a) and (b).

Part (c) can be checked by induction on  $k$ , where the inductive step follows by applying the case  $k = 1$ . Thus, it is enough to show that each divisor  $E^\pi$  is isomorphic to  $M_{\lambda_0}^m \times M_{\lambda_1}^m$ , where  $L_{\lambda_0} \simeq [\pi, \pi_1]$  and  $L_{\lambda_1} \simeq [\pi_1, \top]$ . The argument is based on Lemmas 4 and 5.

Every partition from  $[\pi, \top]$  belongs to one of the following six groups:

- (i)  $\{\pi\}$ ,
- (ii)  $\{\pi' \mid \pi < \pi' < \pi_1\}$ ,
- (iii)  $\{\pi_1\}$ ,
- (iv)  $\{\pi' \mid \pi_1 < \pi' < \top\}$ ,
- (v)  $\{\top\}$ ,
- (vi) incomparable with  $\pi_1$ .

The proof will be completed by studying the impact of blowups corresponding to partitions in each group on the stratum  $\Delta^{\pi_1}/\Delta^\pi$  of the arrangement  $\mathcal{B}_\lambda^m$ . Before the blowups, the arrangements induced in  $\Delta^{\pi_1}/\Delta^\pi$  and  $(\mathbb{A}^m)^n/\Delta^{\pi_1}$  are isomorphic respectively to  $\mathcal{B}_{\lambda_0}^m$  and  $\mathcal{B}_{\lambda_1}^m$ .

First group, first stage. The exceptional divisor  $\mathbb{P}(C_\lambda^m)$  of the first stage has a straight subvariety  $\mathbb{P}(\Delta^{\pi_1}/\Delta^\pi) \simeq \mathbb{P}(C_{\lambda_0}^m)$  with the projectivization of  $\mathcal{B}_{\lambda_0}^m$  in it, and with the arrangement  $\mathcal{B}_{\lambda_1}^m$  in each normal space to it (Lemma 4). Lemmas 4 and 5 also apply at the subsequent stages, pulling back arrangements inside normal spaces and preserving the straightness of blowup centers. Group (vi) blowups are irrelevant for the divisor  $E^\pi$  at all stages, and no blowup corresponds to  $\top$ .

Group (ii) blowups turn  $\mathbb{P}(C_{\lambda_0}^m)$  into  $M_{\lambda_0}^m$ . Then the group (iii) blowup makes it into a divisor isomorphic to  $M_{\lambda_0}^m \times \mathbb{P}(C_{\lambda_1}^m)$ . The second factor inherits the projectivization of  $\mathcal{B}_{\lambda_1}^m$ , and blowups of the remaining group (iv) transform this divisor into  $E^{\pi_1} \simeq M_{\lambda_0}^m \times M_{\lambda_1}^m$ .  $\square$

**Lemma 6.** *Each divisor  $D^\pi$  of  $X\langle n \rangle$  is isomorphic to a bundle over  $X\langle \rho(\pi) \rangle$  with fiber  $M_{\lambda(\pi)}^m$ . In addition, this bundle is trivial if  $X = \mathbb{A}^m$ .*

*Proof.* Corollary 1 gives  $Y_{r-1}^\pi \simeq X\langle r \rangle$ , where  $r = \rho(\pi)$ . By Lemma 4, the arrangements  $\mathcal{B}_\pi^m$  transform isomorphically from the normal spaces to  $\Delta^\pi$  in  $X\langle n \rangle$  into the normal spaces to  $Y_{r-1}^\pi$  in  $Y_{r-1}$ . At the next stage,  $Y_r^\pi$  is a bundle over  $X\langle r \rangle$  with fibers isomorphic to  $P = \mathbb{P}((\mathbb{A}^m)^n/\Delta)$ . The relevant blowup centers of the subsequent stages are its subbundles; their fibers form in every fiber of  $Y_r^\pi$  an arrangement isomorphic to the projectivization of  $\mathcal{B}_\pi^m$  in  $P$ . Thus in the end, the fibers of  $Y_r^\pi$  transform into  $M_{\lambda(\pi)}^m$ .

If in addition  $X = \mathbb{A}^m$ , a repeated application of Lemma 5 shows that  $Y_{r-1}^\pi$  is straight in  $Y^\pi$ , so  $Y_r^\pi = \mathbb{A}^m\langle r \rangle \times P$  and the result follows.  $\square$

**Proposition 10.** *Let  $\gamma = \{\pi_1, \dots, \pi_k\}$  be a chain of partitions of  $[n]$  and let  $\{\lambda_0, \dots, \lambda_k\}$  be its associated sequence of integer partitions (Section 2).*

- (a) *The stratum  $S_\gamma$  of  $X\langle n \rangle$  is a bundle over  $X\langle \lambda_0 \rangle$  with fiber isomorphic to  $M_{\lambda_1}^m \times \dots \times M_{\lambda_k}^m$ .*
- (b) *Consequently, the complement in  $S_\gamma$  to the union of smaller strata, the open stratum  ${}^\circ S_\gamma$ , is a bundle over  $F(X, \lambda_0)$  with fiber isomorphic to  ${}^\circ M_{\lambda_1}^m \times \dots \times {}^\circ M_{\lambda_k}^m$ .*

*Proof.* Put together Lemma 6 and Proposition 9.  $\square$

By this proposition, a point in a stratum  ${}^\circ S_\gamma$  is given by a configuration of  $r$  distinct points in  $X$  (where the collision occurs) and a sequence consisting of one point in each open brick  ${}^\circ M_{\lambda_i}^m$ . Equations (3) and (4) in Proposition 7 show that such points can be represented by suitable polyscreens: points in each constituent open simple brick are Fulton–MacPherson screens, and points in  ${}^\circ M_{\Gamma_r}^1$  are  $r$ -tuples of scale factors. Thus, points of  $X\langle n \rangle$  indeed have the geometric description explained in Section 3.





FIGURE 12. One level splits into two

**Proposition 11.** *The compound brick  $M_{1^r}^m$  has the structure of a bundle*

$$(5) \quad \Pi_r \longrightarrow M_{1^r}^m \longrightarrow (M_1^m)^r.$$

*Proof.* Fix a partition  $\pi$  of  $[2r]$  into two-element blocks and let the nest  $\mathcal{S}$  be the set  $\{\beta_1, \dots, \beta_r\}$  of blocks of  $\pi$ . The map  $\vartheta_{2r}: \mathbb{A}^m\langle 2r \rangle \rightarrow \mathbb{A}^m[2r]$  takes the divisor  $D^\pi$  of  $\mathbb{A}^m\langle 2r \rangle$  into the stratum  $\mathbb{A}^m(\mathcal{S})$  of  $\mathbb{A}^m[2r]$ . The divisor is isomorphic to  $\mathbb{A}^m\langle r \rangle \times M_{1^r}^m$  by Lemma 6 and the stratum is isomorphic to  $\mathbb{A}^m[r] \times (\mathbb{P}^{m-1})^r$ . Since  $M_1^m \simeq \mathbb{P}^{m-1}$ , it follows that  $M_{1^r}^m$  maps to  $(M_1^m)^r$ .

Tracing  $\vartheta_{2r}^{-1}$  stage by stage, first transform the factor  $\mathbb{A}^m[r]$  into  $\mathbb{A}^m\langle r \rangle$ ; then at stage  $r$  blow up the proper transform of  $\mathbb{A}^m(\mathcal{S})$ , turning the second factor into a  $\mathbb{P}^{r-1}$ -bundle over  $(M_1^m)^r$ . Since  $\mathbb{A}^m[n] \setminus F(\mathbb{A}^m, n)$  is a normal crossing divisor, the divisors  $D(\beta_i)$  induce in each fiber  $\mathbb{P}^{r-1}$  the projectivized coordinate hyperplane arrangement. All of its strata are blown up at the subsequent stages, turning  $\mathbb{P}^{r-1}$  into  $M_{1^r}^1 \simeq \Pi_r$ .  $\square$

The fiber  $\Pi_r$  in Eq. (5) stores scale factors; points in its open part  ${}^\circ M_{1^r}^1$  are generic and each is a part of one polyscreen. The divisor  $E_r = \Pi_r \setminus {}^\circ M_{1^r}^1$  has components isomorphic to  $\Pi_s \times \Pi_{r-s}$ , whose points represent degenerations with  $s$  scale factors tending to zero, and therefore polyscreens that split into two:  $s$  screens form a new level. For example, the left leveled tree in Figure 12 may degenerate into the right one, corresponding to a divisor  $\Pi_4 \times \Pi_3 \subset \Pi_7$ . The new levels may of course split further; intersections of components of  $E_r$  give a stratification of  $\Pi_r$ , and each stratum is a product of a number of smaller permutahedral varieties. This corresponds to the well-known fact that all faces of the permutahedron  $P_r$  are products of lower-dimensional permutahedra [BS].

Other compound bricks, that is,  $M_\lambda^m$  for those integer partitions  $\lambda$  that have parts greater than 1, do not admit decompositions similar to Eq. (5), but each of them is a blowup of  $M_{1^r}^m$  for  $r = |\lambda|$ . Let  $\Lambda_r$  be the set of all partitions of an integer  $r$  partially ordered by refinement:  $(5, 3) < (4, 2, 1, 1)$  in  $\Lambda_8$  because  $5 = 4 + 1$  and  $3 = 2 + 1$ . It turns out that the set of bricks  $\{M_\lambda^m \mid \lambda \in \Lambda_r\}$  has a compatible (reverse) ‘blowing-up’ partial order.

**Proposition 12.** *Suppose that  $\lambda, \lambda' \in \Lambda_r$  and  $\lambda < \lambda'$ .*

- The lattice  $L_{\lambda'}$  contains a sublattice isomorphic to  $L_\lambda$ .*
- The subarrangement of  $\mathcal{B}_{\lambda'}^m$  formed by the subspaces that correspond to this sublattice is  $\mathcal{B}_\lambda^m$ , up to coordinate change.*
- The brick  $M_{\lambda'}^m$  is an iterated blowup of  $M_\lambda^m$ .*

*Proof.* (a) It is enough to show this for  $\lambda' = (r - 1)$  and  $\lambda = (s - 1, r - s)$ . The required sublattice of  $L_{[r]}$  is generated by the union  $[\pi_1, \top] \cup [\pi_2, \top]$ , where the only essential block of  $\pi_1$  ( $\pi_2$ ) is  $\{k \mid k \leq s\}$  (resp.  $\{k \mid k \geq s\}$ ).

(b) It is enough to consider the same  $\lambda$  and  $\lambda'$  as in (a) and then write explicitly the equations for the large diagonals.

(c) The two lattices  $L_\lambda \subset L_{\lambda'}$  determine the sequences of blowups of  $\mathbb{P}^{rm-1}$  creating  $M_\lambda^m$  and  $M_{\lambda'}^m$ . It suffices to show that the blowups making  $M_{\lambda'}^m$  can be rearranged, without changing the outcome (up to an isomorphism), into a different sequence so that an intermediate stage is  $M_\lambda^m$ . This situation is quite similar to the consideration of  $\vartheta_n: X\langle n \rangle \rightarrow X[n]$  in Section 6, and similar is the solution.  $\square$

## 8. ISOTROPY OF THE PERMUTATION ACTION

Assume that the ground field  $\mathbb{k}$  is of characteristic 0. Reading carefully into Fulton and MacPherson's proof of the solvability of the isotropy subgroups of  $\mathbb{S}_n$  acting on  $X[n]$ , one soon realizes that every point where the isotropy subgroup fails to be abelian lies in a stratum whose encoding nest contains a pair of disjoint subsets of  $[n]$ . Exactly these strata are blown up by  $\vartheta_n: X\langle n \rangle \rightarrow X[n]$ , and this observation raises hopes that are not false.

**Theorem 2.** *If  $X$  is a smooth algebraic variety over a field  $\mathbb{k}$  of characteristic 0, then all isotropy subgroups of  $\mathbb{S}_n$  acting on  $X\langle n \rangle$  by permutations of labels are abelian.*

*Proof.* First, reduce to the case of all  $n$  points colliding at the same point in  $X$ . Suppose a collision  $\mathbf{x}$  occurs at  $p_1, \dots, p_r \in X$ . If it could be studied near each  $p_i$  independently of the other points, as for  $X[n]$ , the isotropy subgroup would have been  $G^{p_1} \times \dots \times G^{p_r}$ , where  $G^{p_i}$  is the isotropy subgroup of the collision near  $p_i$ . It would have corresponded to  $r$  independent sequences of colored screens and reduced the proof to the case of one collision point, but this does not suit  $X\langle n \rangle$ . Fortunately, interdependencies among the corresponding levels in those  $r$  sequences only put more restrictions on a permutation aspiring to fix  $\mathbf{x}$ . It means that the isotropy subgroup will be a subgroup of the product above, which still does the trick.

Pick a  $k$ -chain  $\gamma \ni \perp$  and a coherent sequence of colored screens  $\text{CS}^j(\mathbf{x})$  for  $\gamma$ . A permutation  $\sigma \in \mathbb{S}_n$  fixes  $\mathbf{x} \in {}^\circ S_\gamma$  if and only if it fixes all  $\text{CS}^j(\mathbf{x})$ . A colored screen is fixed by  $\sigma$  exactly when these two conditions are fulfilled:

- (F1) it is fixed modulo colors;
- (F2) any two points of the same color go to two points of the same color, not necessarily the original one.

Let  $G$  be the isotropy subgroup at  $\mathbf{x}$ . A permutation  $\sigma \in G$  satisfies (F1) for  $\text{CS}^j(\mathbf{x})$ , therefore it induces the scaling of  $T_p X$  underlying  $\text{CS}^j(\mathbf{x})$  by a scale factor  $f_j(\sigma) \in \mathbb{k}^\times$ . The map  $f_j: G \rightarrow \mathbb{k}^\times$  is a group homomorphism, thus there is a group homomorphism  $(f_1, \dots, f_k) = f: G \rightarrow (\mathbb{k}^\times)^k$ , and to show that it is injective suffices to complete the theorem.

Take  $\sigma \in \ker f$ , then  $\sigma$  does not move points in any of the colored screens  $\text{CS}^j(\mathbf{x})$ ,  $j = 1, \dots, k$ . By coherence, every color in  $\text{CS}^j(\mathbf{x})$  is a point in  $\text{CS}^{j-1}(\mathbf{x})$ , since both are but blocks of the partition  $\pi_j \in \gamma$ . Thus,  $\sigma$  cannot change colors either, in any  $\text{CS}^j(\mathbf{x})$  for  $j = 2, \dots, k$ , and colors in  $\text{CS}^1(\mathbf{x})$  stay unchanged because there is only one such. This shows that  $\sigma$  does not move anything at all, and there is only one such permutation: if  $\sigma \neq \text{id}$  and  $\sigma(a) = b$ , then  $\sigma$  must induce nontrivial scaling on  $\text{CS}^l(\mathbf{x})$ , where  $l$  is the maximal index  $j$  for which  $a$  and  $b$  are in the same block of  $\pi_j \in \gamma$ .  $\square$

*Remark.* This version of the original proof is one substantially simplified with a key idea due to Jean-Luc Brylinski.

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