

Generating effective symmetry-breaking predicates for search problems [★]

Ilya Shlyakhter

MIT Lab for Computer Science, Software Design Group

Abstract

Consider the problem of testing for the existence of an n -node graph G satisfying some condition P , expressed as a Boolean constraint among the $n \times n$ Boolean entries of the adjacency matrix M . This problem reduces to satisfiability of $P(M)$. If P is preserved by isomorphism, $P(M)$ is satisfiable iff $P(M) \wedge SB(M)$ is satisfiable, where $SB(M)$ is a *symmetry-breaking predicate* — a predicate satisfied by at least one matrix M in each isomorphism class. $P(M) \wedge SB(M)$ is more constrained than $P(M)$, so it's solved faster by backtracking than $P(M)$ — especially if $SB(M)$ rules out most matrices in each isomorphism class. This method, proposed by Crawford et al [1], applies not just to graphs but to testing existence of a combinatorial object satisfying any property that respects isomorphism, as long as the property can be compactly specified as a Boolean constraint on the object's binary representation.

We present methods for generating symmetry-breaking predicates for several classes of combinatorial objects: acyclic digraphs, permutations, functions, and arbitrary-arity relations (direct products). We define a uniform optimality measure for symmetry-breaking predicates, and evaluate our constraints according to this measure. Results indicate that these constraints are either optimal or near-optimal for their respective classes of objects. We also evaluate some previously published predicates according to our measure, and confirm that these predicates eliminate most isomorphism.

1 Introduction

Consider a universe U of combinatorial objects representable by m -bit binary numbers. We will speak interchangeably of an object and its binary representation. Let U be divided into equivalence classes of isomorphic objects. A

[★] Expanded version of a paper published in Electronic Notes on Discrete Mathematics, Volume 9, June 2001, available online at <http://www.elsevier.nl/locate/endm/volume9.free>

permutation θ of the m bits is a *symmetry* of the universe iff applying θ to any object $X \in U$ yields an object isomorphic to X . The set of all symmetries is the *symmetry group* of the universe U , denoted by Sym .

For example, n -node digraphs can be represented by $n \times n$ adjacency matrices, and two matrices A, B are isomorphic iff there exists a permutation θ of the n nodes such that $\theta(A) = B$, where $(\theta(A))_{i,j} = A_{\theta(i),\theta(j)}$. Note that θ is a permutation of the n nodes of the digraph, but it also acts on the n^2 -bit *adjacency matrices*, because each permutation of the nodes induces a corresponding permutation of the adjacency matrix bits [2]. The symmetry group Sym has order $n!$ and is isomorphic to σ_n , the symmetric group of order n .

Suppose you need to find an object X from a universe U , satisfying a property $P(X)$ (or determine that no such object exists). Suppose also that P is preserved under isomorphism, i.e. is constant on each isomorphism class. Enumerating all elements of U and testing P on each is clearly wasteful: it's enough to test P on one object per isomorphism class. For some classes of objects, procedures exist for isomorph-free exhaustive generation [3–5]. Faster generation procedures may be developed at the cost of generating more than one labeled object per isomorphism class and/or repeating objects.

If no object in U satisfies P , the generate-and-test approach must explicitly generate a complete representation of at least one representative per isomorphism class to verify unsatisfiability. On the other hand, backtracking methods [6] can rule out entire sets of objects without explicit generation, by determining that no object extending a *partial* binary representation satisfies P . If P can be encoded as a polynomial-size Boolean constraint on the bits of the fixed-length binary representation (as opposed to black-box computer code), backtracking methods for satisfiability can be used. Such methods can significantly outperform explicit generate-and-test approaches, as demonstrated by satisfiability encoding of planning problems [7].

Crawford et al [1] have proposed an approach to taking advantage of isomorphism structure in this framework. We define a *symmetry-breaking predicate* on U , $SB(X)$, which is *true* on at least one *representative* object per isomorphism class. We then test for satisfiability of $P'(X) = P(X) \wedge SB(X)$. Since P is constant on each isomorphism class, P' is satisfiable iff P satisfiable. Moreover, P' is solved much faster than P by backtracking, because it is more constrained: the algorithm will backtrack if none of the extensions of its current partial instantiation are isomorphism class representatives selected by SB . Experiments show that symmetry-breaking predicates can reduce search time by orders of magnitude with no changes to the search algorithm [1,2].

The difficulty of this approach lies in generating the symmetry-breaking predicate. In general, generating a *complete* symmetry-breaking predicate (*true*

of exactly one representative per isomorphism class) is NP-complete [1]; the practical choice is between *partial* symmetry-breaking predicates, *true* of at least one (typically more than one) representative per isomorphism class. To be effective, the predicate must rule out a large fraction of objects from each isomorphism class. On the other hand, the predicate must be compact; otherwise, checking the predicate’s constraints at each search node will slow down the search, erasing the benefit of expanding fewer search nodes. Balancing these contradictory requirements is the subject of this paper.

The rest of the paper is organized as follows. Section 2 summarizes prior approaches and points out their deficiencies. Section 3 describes the generation of symmetry-breaking predicates for several classes of combinatorial objects. Section 4 gives a uniform optimality measure for symmetry-breaking predicates, and evaluates the predicates from Section 3 according to this measure. Section 5 describes directions for future work.

2 Prior work

In his original paper on symmetry-breaking predicates, Crawford proposes the following general framework for predicate generation. Fix an ordering of the bits in the object’s binary representation. This induces a strict lexicographical ordering on all objects. Construct a symmetry-breaking predicate which is true on the *lexicographically smallest* object in each isomorphism class, as follows.

Let V be a fixed ordering of the bits of the binary representation. Then

$$\bigwedge_{\Theta \in Sym} V \leq \theta(V)$$

is a symmetry-breaking predicate, true of only the lexicographically smallest object in each symmetry class. This predicate explicitly requires that *any* symmetry map either fix the the representative object, or map it to a lexicographically higher object – i.e. that the representative object be lexicographically smaller than any isomorphic object.

Unfortunately, in many important cases Sym is very large. For example, for n -node digraphs $|Sym| = n!$, because any permutation of the graph’s nodes (and the corresponding permutation of adjacency matrix entries) leads to an isomorphic graph. Crawford suggests mitigating the problem by replacing Sym with a polynomial-size *subset* $Sym' \in Sym$, thus requiring that the object be lexicographically smallest with respect to only some of the symmetries.

Crawford gives no formal guidance on choosing the subset of symmetries to break or the fixed variable numbering to use. This paper begins to fill the gap

by describing polynomial-size symmetry-breaking predicates for some common combinatorial objects. For some objects, we refine Crawford’s algorithm by determining Sym' and V . For others, we present new predicate constructions, giving the first concrete alternatives to Crawford’s lexicographic approach.

Crawford uses empirical measurements to gauge the effectiveness of his symmetry-breaking predicates. While such end-to-end tests are certainly useful, they give no hint of optimality of a given predicate, and reflect peculiarities of a particular backtracking algorithm (such as the dynamic variable-ordering heuristic [6]) besides the inherent complexity reduction brought by the predicate. We present an alternative approach which directly measures predicate pruning power, and gives an optimality measure relative to a complete symmetry-breaking predicate.

3 Generating symmetry-breaking predicates

In this section, we present methods for generating symmetry-breaking predicates on several classes of combinatorial objects: acyclic digraphs, permutations, direct products, and functions. These objects commonly occur in formal descriptions of system designs [8], the analysis of which motivates this work. Each subsection deals with one class of combinatorial objects, describing the binary representation, the isomorphism classes, and the construction of the symmetry-breaking predicate in terms of the binary representation.

3.1 Acyclic digraphs

Let U be the set of $n \times n$ adjacency matrices representing acyclic digraphs. Two matrices representing isomorphic digraphs are isomorphic. The symmetry group Sym has order $n!$.

Any acyclic digraph has an isomorphic counterpart that is topologically sorted with respect to a given node ordering. In terms of adjacency matrices, this means that every isomorphism class of adjacency matrices representing acyclic digraphs includes an upper-triangular matrix (since the lower triangle represents “backwards” edges from higher-numbered to lower-numbered nodes). Our symmetry-breaking predicate simply requires all entries below the diagonal to be *false*. This does not completely eliminate all isomorphic matrices, but as measurements in section 4.1 show, eliminates most.

Additionally, this symmetry-breaking predicate, together with the requirement that diagonal entries be *false* (eliminating self-loops), implies the acyclicity

constraint, so no additional constraints on the matrix are needed. By contrast, expressing the acyclicity constraint on general digraphs requires a constraint of size $\Omega(\text{MatMult}(n) \log n)$, where $\text{MatMult}(n)$ is the complexity of matrix multiplication. Shorter constraints require less time to check at every search node, leading to faster search. In general, in cases where not all binary representations represent valid combinatorial objects from our universe U , constraints restricting the object to valid values are separate from the symmetry-breaking predicate. This example illustrates a new use of symmetry-breaking predicates: to reduce the size of original problem constraints.

Note that this symmetry-breaking predicate does not use Crawford’s methodology. It’s not even clear that a single fixed variable ordering exists which corresponds to this predicate. The next section on permutations gives another example of a symmetry-breaking predicate not based on lexicographic comparison.

3.2 Permutations

Let U be the set of $n \times n$ binary matrices representing permutations of n items. Matrix A represents the permutation mapping i to j iff $A_{i,j}$ is true. A matrix A represents a valid permutation (is a *permutation matrix*) iff every column and every row has exactly one *true* bit.

Two permutations are isomorphic if they have the same cycle structure, i.e. the same multiset of cycle lengths. Thus, an isomorphism class of permutation matrices corresponds to one permutation on a set of n indistinguishable objects. We define a canonical representative of each isomorphism class, and give a polynomial-size Boolean predicate on permutation matrices which is true only of the canonical representatives. We thus achieve full symmetry-breaking with a polynomial-size predicate.

The canonical form is most easily explained using cycle notation for permutations [9]. We require that each cycle consist of a continuous segment of items, that each item map to the immediately succeeding one or (for highest-numbered item in a cycle) to the smallest item in the cycle, and that longer cycles use higher-numbered items than shorter ones. For example, the permutation $(12)(345)$ is in canonical form, but the isomorphic permutations $(123)(45)$, $(12)(354)$ and $(15)(234)$ are not. Formally, given an $n \times n$ permutation matrix A , we have the following predicate in terms of the Boolean entries $A_{i,j}$:

$$(\forall i, j | (j > i + 1) \Rightarrow \neg A_{i,j}) \wedge$$

$$((\forall i, j | ((j > i) \wedge A_{j,i}) \Rightarrow ((\bigwedge_{k=i..(j-1)} A_{k,k+1}) \bigwedge (\bigwedge_{k=(j+1)..(2j-i)} \neg A_{k,j}))))$$

In this predicate, the condition $(j > i + 1) \Rightarrow \neg A_{i,j}$ requires that an item mapped to a higher-numbered item map to the immediately succeeding item: e.g. 3 must map either to 4 (in which case 3 is not the highest-numbered item in its cycle), or to an item numbered not higher than 3 (in which case 3 *is* the highest-numbered item in its cycle). The condition $\bigwedge_{k=i..(j-1)} A_{k,k+1}$, implied by a backward edge $A_{j,i} (i < j)$, says that every backward edge implies the corresponding forward cycle: e.g. if 5 maps to 3 then 5 must be the highest-numbered item in the cycle and the cycle must be (345). The condition $\bigwedge_{k=(j+1)..(2j-i)} \neg A_{k,j}$, implied by the presence of a cycle $(i \ i + 1 \ \dots \ j - 1 \ j)$, requires the immediately succeeding cycle to be no shorter, in effect sorting cycles by increasing length: e.g. the cycle (345) excludes the cycles (6) and (67). Together with the original constraints restricting A to be a permutation matrix, these constraints permit exactly one permutation with a given multiset of cycle lengths, i.e. one permutation from each isomorphism class.

The size of this predicate $O(n^3)$, which matches the order of growth of the original constraints. It may be possible to reduce this order of growth by introducing auxiliary Boolean variables, but since n is typically small (under 15) in our analyses, cubic growth has been acceptable.

3.3 Relations

Consider the direct product $D = D_1 \times \dots \times D_k$ of k disjoint finite nonempty sets (we call them *domains*). We define our universe U to be $P(D)$, the power set of D . Each element of U , called a *relation*, can be represented by $\prod_{i=1}^k |D_i|$ bits. Each bit corresponds to an ordered k -tuple (d_1, \dots, d_k) , $d_i \in D_i$, and is *true* in the binary representation of a relation iff the relation contains the corresponding ordered k -tuple. We will speak interchangeably of the bits and corresponding ordered k -tuples.

Isomorphism classes are defined by treating elements within each domain as indistinguishable. The symmetry group Sym of our universe U is isomorphic to direct product of k symmetric groups: $Sym \cong \sigma_{|D_1|} \times \dots \times \sigma_{|D_k|}$. An element $\Theta = (\theta_1, \dots, \theta_k)$ of Sym maps a relation r to a relation r' , such that r' contains an ordered tuple (d_1, \dots, d_k) iff r contains the ordered tuple $(\theta_1^{-1}(d_1), \dots, \theta_k^{-1}(d_k))$.

With $|Sym| = \prod_{i=1}^k |D_i|!$, direct application of Crawford's method is impractical. Nevertheless, it is possible to break all symmetries which permute a *single* domain with a linear-size predicate. Even though such symmetries represent

only a tiny fraction of all symmetries, experiments show that this predicate rules out most of the isomorphic objects.

We start with an example for the case $k = 2$, then generalize to arbitrary k .

Consider a binary relation $r \in A \times B$, $A = \{a_0, a_1, a_2\}$, $B = \{b_0, b_1, b_2\}$. Let us use the following orderly numbering V for bits of the binary representation of r :

	b_0	b_1	b_2
a_0	1	2	3
a_1	4	5	6
a_2	7	8	9

Under this numbering, Crawford's symmetry-breaking condition for the symmetry exchanging a_0 with a_1 and fixing all other elements (denoted $a_0 \leftrightarrow a_1$) is

$$\overline{123456789} \leq \overline{456123789}$$

which simplifies to $\overline{123} \leq \overline{456}$. Together with the condition for $a_1 \leftrightarrow a_2$, we have

$$\overline{123} \leq \overline{456} \leq \overline{789}$$

which breaks all symmetries permuting only A . Similarly, the conditions for $b_0 \leftrightarrow b_1$ and $b_1 \leftrightarrow b_2$ together simplify to

$$\overline{147} \leq \overline{258} \leq \overline{369}$$

breaking all symmetries which permute only B . Together, these conditions allow only those relations for which permuting either the rows *or* the columns (but not both simultaneously) leads to a lexicographically higher (or the same) relation, according to the given bit ordering. These conditions still allow values of r mapped to lexicographically lower values by symmetries which permute *both* A and B .

In general, consider a relation $r \in D_1 \times D_2 \times \dots \times D_k$. We use Crawford's lexicographic method with the following numbering. Denoting the elements of D_i as $a_{i,0}, a_{i,1}, \dots, a_{i,|D_i|-1}$, we number the bit corresponding to tuple

$(a_{1,e_1}, \dots, a_{k,e_k}), 0 \leq e_i < |D_i|$, as

$$\sum_{i=1}^k (e_i \times \prod_{j=i+1}^k |D_j|)$$

Now consider a transposition $\theta = a_{i,p} \leftrightarrow a_{i,p+1}$. The effect of this transposition on the binary representation of r is to fix all k -tuples except those with p or $p+1$ as their i 'th coordinate, and among the tuples with p or $p+1$ as their i 'th coordinate, to swap k -tuples differing only in their i 'th coordinate. Within each pair of swapped tuples, the tuple with $p+1$ in i 'th coordinate is numbered *higher* than the tuple with p in i 'th coordinate. Therefore, Crawford's $V \leq \theta(V)$ condition reduces to $P \leq P'$, where P lists the bits corresponding to k -tuples with p in i 'th coordinate, in increasing order by number in our numbering, and P' lists the bits corresponding to k -tuples with $p+1$ in i 'th coordinate, in increasing order by number in the numbering. Then the right-hand side of Crawford's $V \leq \theta(V)$ condition for $a_{i,p} \leftrightarrow a_{i,p+1}$ equals the left-hand side of the condition for $a_{i,p+1} \leftrightarrow a_{i,p+2}$, so asserting the condition for adjacent pairs of elements breaks *all* permutations which permute only D_i .

The size of this predicate, expressed in conjunctive normal form (CNF), is linear in the size of each domain. The size of a single n -bit comparator is $O(n)$ [1]. For each domain D_i , we have $|D_i| - 1$ comparators of length $\prod_{j \in \{1, \dots, i-1, i+1, \dots, k\}} |D_j|$, for a total comparator size of $O(k \times \prod_{i=1}^k |D_i|)$. Measurements of symmetry-breaking coverage provided by this predicate is given in section 4.2.

3.4 Functions

A function is a restricted kind of relation: a two-dimensional relation $r \in A \times B$ with each element of A (the domain) related to *exactly one* element of B (the range). Two functions are isomorphic iff they have the same multiset of preimage sizes. In analyses of relational specifications [8], functions occur more frequently than general relations. For functions, we give a polynomial-size symmetry-breaking predicate which breaks *all* symmetries.

First, we break all symmetries permuting only A by sorting the rows of r as binary numbers, as in the preceding section. For notational convenience, here we make the leftmost column (the bits corresponding to b_0) the least significant bit. Second, we sort the columns by the count of *true* bits. Formally, the constraints on r read

$$(\forall i \in \{0, \dots, |A| - 2\} |$$

$$\begin{aligned} & (\overline{r_{i,|B|-1}r_{i,|B|-2} \cdots r_{i,1}r_{i,0}} \leq \overline{r_{i+1,|B|-1}r_{i+1,|B|-2} \cdots r_{i+1,1}r_{i+1,0}}) \wedge \\ & (\forall j \in \{0, \dots, |B| - 2\} (|\{i|r_{i,j}\}| \leq |\{i|r_{i,j+1}\}|)) \end{aligned}$$

We show that together, these constraints define a *complete* symmetry-breaking predicate.

Since r represents a function, there are $|B|$ possible values for a row of r . Sorting the rows of r makes identical rows adjacent, so that the preimage of each $b_j \in B$ occupies a continuous segment of A . In addition, for $i < j$, rows mapped to b_i represent smaller binary numbers than rows mapped to b_j . Therefore, elements of A mapped to $b_j \in B$ have lower indices in A than elements of A mapped to b_{j+1} . Alternatively, listing the elements of A in increasing order by index, we first list the elements that map to b_0 (if any), followed by the elements that map to b_1 (if any), and so on, with the elements that map to $b_{|B|-1}$ (if any) at the end of the list.

We now show that adding the second requirement, that the columns be sorted by cardinality (the count of *true* bits in the column), forces a canonical form. Since all matrices in an isomorphism class have the same multiset of preimage sizes (i.e. column cardinalities), sorting the columns by cardinality uniquely determines the cardinality of each column. In other words, all matrices in an isomorphism class satisfying the column-sorting condition have the same cardinalities in the corresponding columns. But given the constraints described in the preceding paragraph, this uniquely determines the image in B of each $a_i \in A$. If $c_j = |\{i|r_{i,j}\}|$, i.e. c_j is the cardinality of the j 'th column, then the first c_0 elements of A must map to $b_0 \in B$, the next c_1 elements of A must map to $b_1 \in B$, and so on.

For example, here are three isomorphic function matrices satisfying the row-sorting condition:

$$\begin{array}{cccccc} b_0 & b_1 & b_2 & b_3 & b_4 & & b_0 & b_1 & b_2 & b_3 & b_4 & & b_0 & b_1 & b_2 & b_3 & b_4 \\ a_0 & 1 & 0 & 0 & 0 & 0 & a_0 & 0 & 1 & 0 & 0 & 0 & a_0 & 0 & 0 & 1 & 0 & 0 \\ a_1 & 1 & 0 & 0 & 0 & 0 & a_1 & 0 & 1 & 0 & 0 & 0 & a_1 & 0 & 0 & 0 & 1 & 0 \\ a_2 & 1 & 0 & 0 & 0 & 0 & a_2 & 0 & 0 & 1 & 0 & 0 & a_2 & 0 & 0 & 0 & 1 & 0 \\ a_3 & 0 & 0 & 1 & 0 & 0 & a_3 & 0 & 0 & 1 & 0 & 0 & a_3 & 0 & 0 & 0 & 0 & 1 \\ a_4 & 0 & 0 & 0 & 0 & 1 & a_4 & 0 & 0 & 1 & 0 & 0 & a_4 & 0 & 0 & 0 & 0 & 1 \\ a_5 & 0 & 0 & 0 & 0 & 1 & a_5 & 0 & 0 & 0 & 1 & 0 & a_5 & 0 & 0 & 0 & 0 & 1 \end{array}$$

Only the rightmost one also orders the column cardinalities, and is the only matrix in the isomorphism class allowed by our symmetry-breaking predicate.

The row-sorting constraint can be expressed as a CNF formula of size $O(|A||B|)$, as described in section 3.3. The column cardinality sorting constraint can be expressed by building a standard binary adder for each column, which adds the entries of that column as one-bit binary numbers. Such an adder for one column has size $O(|A|\log|A|)$. We then use the standard binary comparator among the column adders to assert the column-sorting condition. The entire predicate then has size $O(|A||B| + |A|^2\log|A|)$.

4 Measuring effectiveness of symmetry-breaking predicates

Symmetry-breaking predicates are designed to speed up search, so it would seem natural to judge their effectiveness by measuring the reduction in search time. This approach has several problems, however. Search times can be highly dependent on the particular backtracking algorithm, and on parameter settings such as the splitting heuristic [6]. The addition of the symmetry-breaking predicate changes the whole search tree (since splitting choices are determined by the entire constraint set), so the comparison to the original constraint problem is not completely clean. Machine-dependent effects such as cache locality can also bias the measurements. Most importantly, end-to-end measurements provide no clue to optimality: how much of the reduction afforded by symmetry are we actually utilizing?

As an alternative measure of efficiency, we can directly measure the pruning power of a symmetry-breaking predicate by counting the number of objects satisfying the predicate. For a complete symmetry-breaking predicate, this number is the number of isomorphism classes. For a partial symmetry-breaking predicate, this number will be higher; the question is, how much higher? Where the number of isomorphism classes is known, we can obtain a precise measure of optimality of our partial symmetry-breaking predicate by comparing its pruning effect with the maximum possible pruning effect.

Table 1 describes the numbers computed to measure coverage of partial symmetry-breaking predicates.

The numbers of isomorphism classes are taken from [10], [11], [12] and [13]. The number of objects allowed by the predicate is computed by generating the corresponding satisfiability instance, and counting its solutions with the RELSAT solution counter [14]. Correctness of the implementation was verified by doing complete symmetry-breaking for several classes of objects by Crawford’s explicit lexicographical method method, and checking that the number of allowed instances matches the number of isomorphism classes.

Table 1

Values used to measure coverage of partial symmetry-breaking predicates.

value	formula	meaning
<i>labeled</i>	$ U $	the number of distinct binary representations
<i>unlabeled</i>	from [10,11]	the number of isomorphism classes
<i>allowed</i>	$ \{X \in U SB(X)\} $	# of objects allowed by symmetry-breaking predicate
<i>coverage</i>	$\frac{labeled - allowed}{labeled - unlabeled}$	percentage of excludable objects actually excluded
<i>slack</i>	$\frac{allowed}{unlabeled}$	maximum possible improvement factor

Table 2

Acyclic digraphs: symmetry-breaking coverage.

n	<i>labeled</i>	<i>unlabeled</i>	<i>allowed</i>	<i>coverage</i>	<i>slack</i>
3	25	6	8	89.47%	1.3
4	543	31	64	93.55%	2.1
5	29,281	302	1024	97.51%	3.4
6	3,781,50	5,984	32,768	99.29%	5.5
7	1,138,779,265	243,668	2,097,152	99.84%	8.6

4.1 Acyclic digraphs

Table 2 gives coverage information for the DAG-specific symmetry-breaking predicate described in section 3.1.

4.2 Relations

Table 3 shows the results for binary relations, using the symmetry-breaking predicate described in section 3.3. For each n , the table gives aggregate results over $k_1 \times k_2$ binary relations such that $k_1 \leq k_2$ and $k_1 + k_2 = n$. The “unlabeled” counts in this table were obtained in 5 seconds using Brendan McKay’s bipartite graph generator “makebg” [13]. The “allowed” counts were obtained in 8 minutes using the solution-counting function of the RELSAT satisfiability solver [14]. Both computations were done on a Linux machine with two Pentium III processors and 512MB of memory.

Table 3

Relations: symmetry-breaking coverage.

n	<i>labeled</i>	<i>unlabeled</i>	<i>allowed</i>	<i>coverage</i>	<i>slack</i>
8	102,528	565	1,059	99.516%	1.87
9	1,327,360	1,518	3,834	99.825%	2.53
10	52,494,848	9,713	38,254	99.946%	3.94
11	1,359,217,664	39,379	229,347	99.986%	5.82
12	107,509,450,752	416,032	3,978,677	99.997%	9.56

Table 4

Digraphs without self-loops: symmetry-breaking coverage.

n	<i>labeled</i>	<i>unlabeled</i>	<i>allowed</i>	<i>coverage</i>	<i>slack</i>
3	64	16	21	89.58%	1.3
4	4,096	218	473	93.42%	2.2
5	1,048,576	9,608	35,979	97.46%	3.7
6	1,073,741,824	1,540,944	9,228,259	99.28%	6.0

4.3 Permutations and Functions

In these cases, symmetry-breaking is complete. The only possible improvement would be in reducing the size of the predicate. However, this improvement would only matter in cases where the original problem constraints have a smaller order of growth than the predicate.

4.4 Digraphs: symmetry-breaking coverage

It has been proposed [1,15] that breaking symmetries for the generators of the symmetry group eliminates most isomorphs, even though the set of generators is exponentially smaller than the set of all symmetries. Here we evaluate this assertion by measuring symmetry-breaking coverage achieved by breaking generator symmetries in the case of a single digraph without self-loops. The results, shown in Table 4, confirm that most isomorphs are eliminated. In the special case of DAGs, we have found that breaking generator symmetries breaks eliminates almost as many isomorphs as using the the DAG-specific symmetry-breaking predicate from section 3.1. However, the DAG-specific predicate still has the advantage of being more compact and expressing the acyclicity constraint in addition to breaking symmetries.

5 Conclusion and future work

We have presented a uniform method to gauge the effectiveness *and* optimality of symmetry-breaking predicates. The method measures the inherent simplification of the constraint problem, which, unlike running-time measurements, does not depend on the details of a particular backtracking algorithm. The method hinges on our ability to lower-bound the number of isomorphism classes in the universe; these numbers are available for a wide variety of combinatorial objects.

The method also depends on the ability to count solutions to a CNF formula. The current implementation of solution counting in RELSAT suffices to obtain useful results. Combining RELSAT’s counting algorithm with recent SAT solving techniques such as those introduced in [16] should extend the range of problems for which counting is feasible. Since approximate counting suffices for our application, it would be interesting to see if approximate counting algorithms can be developed.

We have also presented specific polynomial-size symmetry-breaking predicates for the types of states commonly occurring in analysis of relational specifications. Measurements show that these predicates exclude over 99% of excludable assignments, and come within an order of magnitude of the optimum. These are the first formalized examples of predicates not derived from Crawford’s conditions.

Experiments show that predicate coverage, defined as the fraction of excludable objects actually excluded, grows monotonically with the scope of the objects. In other words, as the search space grows, our use of the available symmetry becomes more complete. On the other hand, the slack factor representing the possible improvement also increases. With search space sizes growing exponentially, improving coverage by even a fraction of a percent can lead to significant reduction in absolute search time.

Most interestingly, breaking a random set of symmetries to small depth often leads to surprisingly effective predicates. Formalizing this observation into a formal randomized symmetry-breaking scheme will be a major goal of future work. Various ways to bias the random selection of symmetries will be investigated. For instance, Crawford’s condition for a single symmetry Θ excludes $2^{n-|\Theta|}$ assignments, where $|\Theta|$ is the number of cycles in Θ . This suggests biasing selection towards symmetries with fewer cycles. On the other hand, overlap between sets of states excluded by the selected symmetries should be minimized. This work could relate to work on probabilistic isomorphism testing.

In this paper, we only cover objects consisting of a single DAG, relation,

function or permutation. In practice, the universe of objects may be the set of abstract states of a system, with each state described by a *collection* of combinatorial object components. For example, in a lock-based multitasking environment, the state can be represented by a *pair* of relations: which process waits on each mutex, and which process holds which mutex. Applying a symmetry-breaking predicate to one component destroys the symmetry of the domains related by that component: the elements of these domains stop being interchangeable. This raises the question: to which of the state components should we apply our symmetry-breaking predicates? A lookup table of known predicate coverages for the common component types, computed as described in this paper, could be used to make the decision that optimizes the pruning effect.

Finally, it is necessary to quantify the correlation between pruning power of the predicate and the search time under various backtracking algorithms. Since search time is directly affected by the size of the predicate, as well as by its pruning power, such measurements are necessary to determine the proper tradeoff values between predicate size and strength. Besides search time, one useful measure might be “symmetry-breaking density”, that is, the number of assignments excluded per literal of the symmetry-breaking predicate. It would be useful to know whether this measure correlates with search time.

6 Related work

Since the publication of the conference version of this paper, a number of related results have appeared. Flener et al [17] have generalized the results of section 3.3 to matrices of arbitrary values (we only considered Boolean matrices in this paper). They also showed that the results hold for the case where only a subset of the rows/columns of the matrix is interchangeable. Aloul et al [15] have proposed improved construction of symmetry-breaking predicates, which uses fewer CNF clauses and eliminates more isomorphs than Crawford’s [1] construction. Luks and Roy [18] have shown how to construct small symmetry-breaking predicates when the symmetry group is commutative.

7 Acknowledgement

I’d like to thank Daniel Jackson and Manu Sridharan for helpful discussions, and Brendan McKay for providing an advance copy of his bipartite graph generator.

References

- [1] J. Crawford, M. Ginsberg, E. Luks, and A. Roy. Symmetry-breaking predicates for search problems. In *Fifth International Conference on Principles of Knowledge Representation and Reasoning*, 1996.
- [2] David Joslin and Amitabha Roy. Exploiting symmetry in lifted cps. In *AAAI97*, 1997.
- [3] B. D. McKay. Isomorph-free exhaustive generation. *Journal of Algorithms*, 26:306 – 324, 1998.
- [4] Daniel Jackson, Somesh Jha, and Craig A. Damon. Isomorph-free model enumeration: A new method for checking relational specifications. *ACM Transactions on Programming Languages and Systems*, 20(2):302–343, March 1998.
- [5] C. Norris Ip and David L. Dill. Better verification through symmetry. *Formal Methods in System Design*, 9(1):41–75, August 1996.
- [6] Rina Dechter and Daniel Frost. Backtracking algorithms for constraint satisfaction problems. Technical Report 56, UC-Irvine, 1999.
- [7] Henry Kautz and Bart Selman. Planning as satisfiability. In *Proceedings of the 10th European Conference on Artificial Intelligence*, 1992. <http://portal.research.bell-labs.com/orgs/ssr/people/kautz/papers-ftp/satplan.ps>.
- [8] Daniel Jackson, Ilya Shlyakhter, and Manu Sridharan. A micromodularity mechanism. In *Proc. ACM SIGSOFT Conf. Foundations of Software Engineering/European Software Engineering Conference (FSE/ESEC '01)*, Vienna, 2001.
- [9] Herbert Wilf. East side, west side: an introduction to combinatorial families with maple programming. <http://www.cis.upenn.edu/wilf/eastwest.pdf>, 1999.
- [10] R.C.Read. *An Atlas of Graphs*. Oxford University Press, 1998.
- [11] Neil J. A. Sloane. Sloane’s on-line encyclopedia of integer sequences. <http://www.research.att.com/njas/sequences/>.
- [12] F. Harary and E.M.Palmer. *Graphical Enumeration*. Academic Press, 1973.
- [13] Brendan McKay. Personal communication. <http://cs.anu.edu.au/people/bdm/nauty/>, 2002.
- [14] R. Bayardo and J. Pehoushek. Counting models using connected components. In *AAAI Proceedings*, 2000.
- [15] Fadi A. Aloul, Arathi Ramani, Igor L. Markov, and Karem A. Sakallah. Solving difficult sat instances in the presence of symmetry. In *Proceedings of 39th ACM/IEEE Design Automation Conference, New Orleans, Louisiana*, 2002.

- [16] Matthew W. Moskewicz, Conor F. Madigan, Ying Zhao, Lintao Zhang, and Sharad Malik. Chaff: Engineering an Efficient SAT Solver. In *Proceedings of the 38th Design Automation Conference (DAC'01)*, 2001.
- [17] Pierre Flener, Alan Frisch, Brahim Hnich, Zeynep Kiziltan, Ian Miguel, Justin Pearson, and Toby Walsh. Symmetry in matrix models. In *SymCon'01 – Symmetry in Constraints, CP'01 Post-Conference Workshop, Paphos, Cyprus*, 2001.
- [18] Eugene Luks and Amitabha Roy. Symmetry breaking in constraint satisfaction. In *Proceedings of 7th International Conference of Artificial Intelligence and Mathematics, Ft. Lauderdale, Florida*, 2002. <http://www.cs.bc.edu/aroy/>.
- [19] Daniel Jackson. An intermediate design language and its analysis. In *Proceedings of International Conference on Foundations of Software Engineering, Orlando, FL*, 1998.