

# How To Guess a Generating Function

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Most of people knows the following problem,

here is a sequence: 1, 2, 4, 7, ...

What is the next term ?

What expression would give us the general term ?

It would be nice to have a formula to  
generate all the terms in the sequence.

how do we find the formula?

here is the way to become a 'genius' at MENSA tests.

We took a source of integer sequences

A Handbook of Integer Sequences.

by  
N.J.A. Sloane

Academic Press, 1973

4568 sequences. (1991)

for example the sequence #391 of HIS is

1,2,4,7,11,16,22,29,37,46,56,67,79,92...

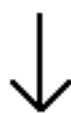
“slicing a cake with n slices”

or the “lazy caterer sequence”

references are : Mathematical Magazine vol. 30 page 150 (1946)

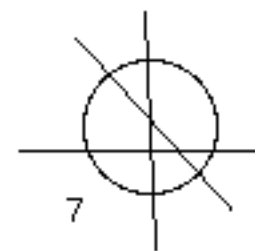
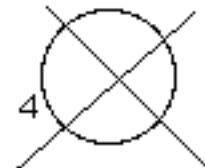
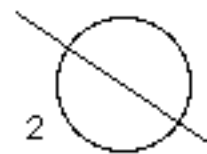
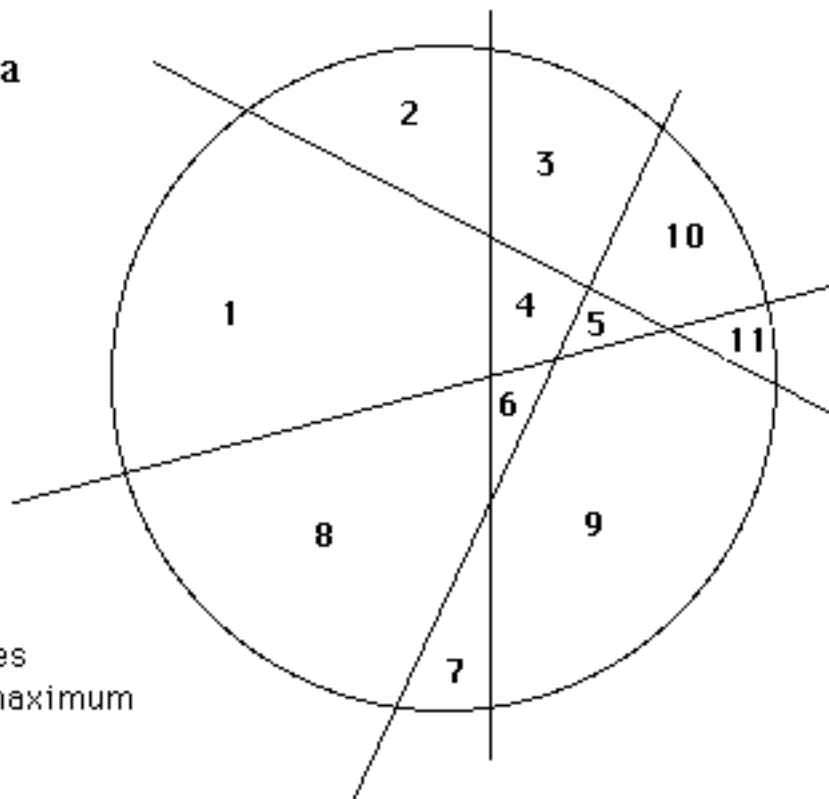
and Fibonacci Quartely vol. 30 page 296 (1961).

One may find by hand,



$$n^2 - \frac{1}{2}n + 1$$

slices of a  
cake...



with 4 slices  
there is a maximum  
of 11 parts.

$$a_0, a_1, a_2, a_3, \dots, a_n$$

$$a_i \in \mathbb{Z} \text{ i.e. the integers.}$$

Let's look at power series representation of a sequence.

$$f(x) = a_0 + a_1x + a_2x^2 + a_2x^3 + a_2x^4 \dots + a_nx^n$$

There is always a way to put ,

$$f(x) = P(x)/Q(x)$$

A rational fraction.

$$Q(x) \neq 0 \text{ et } \deg(P) + \deg(Q) < n$$

More generally speaking will be looking at a general formula for the  $n$ 'th term of a sequence.

*A polynomial is ALWAYS a rational fraction.  
The converse is not true.*

Other methods of attack are leading to the same result.

i.e. Finite Differences,  
Montmort Formula,  
A base of the polynomials  $1, n, n^2, n^3, \dots$

This gives back the terms in the sequence once developed into Taylor series.

$$f := \frac{1 - x + x^2}{(1 - x)^3}$$

```
> (1-x+x**2)/(1-x)**3;
```

$$\frac{1 - x + x^2}{(1 - x)^3}$$

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> series(",x,12);
```

$$1 + 2x + 4x^2 + 7x^3 + 11x^4 + 16x^5 + 22x^6 + 29x^7 + 37x^8 + 46x^9 + 56x^{10} + 67x^{11} + O(x^{12})$$

---

A simple example.

$$\frac{1}{1 - x - x^2}$$

By developping this fraction  
(long division) we generate Fibonacci Numbers.

$$1 + x + 2x^2 + 3x^3 + 5x^4 + 8x^5 + 13x^6 + 21x^7 + 34x^8 + 55x^9 + 89x^{10} + \dots$$

It is not a polynomial.  
The n'th term is given by Binet's formula.

$$\frac{1}{\sqrt{5}} (\phi_1^n + \phi_2^n)$$

This gives us also information on the behaviour of F(n) when n gets large.

### Puzzle #1

try to find a closed expression of f(n) if  
the rational polynomial is

$$\frac{1}{1 - 6x + 25x^2}$$

## Puzzle #2

here is a exponential g.f.  
guess what is  $f(n)$  ?

$$- \frac{2/5 \sinh\left(\frac{1}{2} \sqrt{5} x\right)}{\exp\left(\frac{1}{2} x\right)}$$

! answer  $f(n)$  are the Fibonacci Numbers !

To go from  
sequence  $\rightarrow$  rational fraction,  
is mechanical...

We put the series into a system of linear equations.

$$a_0 + a_1X + a_2X^2 + a_3X^3 + a_4X^4 \dots + a_nX^n = P(X)/Q(X)$$

We simply solve that system, by using the method of Undetermined Coefficients.

There are a FINITE number of steps to do,  
in principle this problem is SOLVED, so to speak.

Well (if we are lazy) we use a program for doing such.

The 'routine' CONVERT/RATPOLY of MapleV  
does it so well.

(a jewel of program : 800 lines of Maple) : 2 Ph. D. thesis in it.

Why not using it on all the sequences in the table ???!

The first experimental result found

$$\frac{1 + x + 2x^2 + 4x^3}{(1+x)(1+x^2)(x-1)^2}$$

Hurwitz-Radon function at powers of 2.

Theory of binary quadratic forms, T.Y. Lam p.131

For example if we take the sequence of the first prime numbers...

$$2 + 3x + 5x^2 + 7x^3 + 11x^4 + 13x^5 + 17x^6 + 19x^7 + 23x^8 + 29x^9 + 31x^{10} \\ + 37x^{11} + 41x^{12} + 43x^{13} + 47x^{14} + 53x^{15} + 59x^{16} + 61x^{17} + 67x^{18} \\ + 71x^{19}$$

The program returns this formula (Yuk !)

$$\left( 2 + \frac{19979}{4767}x + \frac{22105}{4767}x^2 + \frac{58889}{9534}x^3 + \frac{12844}{1589}x^4 + \frac{106381}{9534}x^5 + \frac{41033}{4767}x^6 + \frac{51467}{9534}x^7 \right. \\ \left. + \frac{4261}{4767}x^8 + \frac{12856}{1589}x^9 + \frac{10337}{4767}x^{10} \right) / \left( 1 + \frac{2839}{4767}x - \frac{10247}{9534}x^2 - \frac{2749}{9534}x^3 \right. \\ \left. - \frac{4037}{9534}x^4 + \frac{8783}{9534}x^5 - \frac{14015}{9534}x^6 - \frac{6061}{3178}x^7 - \frac{289}{4767}x^8 + \frac{4842}{1589}x^9 \right)$$

The expression with 48 terms is a monster...



**BUT ,**  
how to decide if the rational fraction found is a good one?

The **DEGREE** of the expression found tells  
if we are in the presence of a good candidate.

$P(X)$  : initial conditions  
 $Q(X)$  : recurrence relation itself.

The **LENGTH** of the expression is a  
simple criteria.



So, why is it good ?

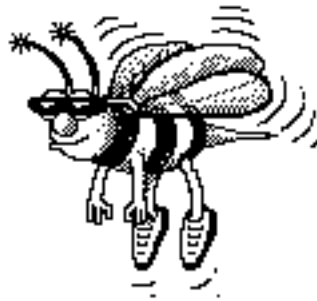
The algorithm of Geddes/Padé/Choi/Cabay  
is one of the best known.

Results are reduced (in size) to a maximum.

The C.A.S. always returns an answer,  
even a monster, so we can always decide.

Some examples ----->

# of hits : 614



a bug

IF,  
from a given sequence we can decide wheter or not we have  
a simple  $P(x)/Q(x)$ ,

THEN why not use it to find,

$\ln(\text{sequence})$ ,  
 $\exp(\text{sequence})$ ,  
 $\text{derivative}(\text{sequence})$ ,  
 $\text{logarithmic derivative}(\text{sequence})$ ,  
 $\text{inverse}(\text{sequence})$   
and **then**  
try IF  $P(x)/Q(x)$  ? \*

\*F.B.

By doing such , we would be able to find formulas like,

$$\ln\left(\frac{P(x)}{Q(x)}\right) \quad e^{\left(\frac{P(x)}{Q(x)}\right)} \quad e^{\int\left(\frac{P(x)}{Q(x)}\right)}$$

$$y(x) = \left(\frac{P(x)}{Q(x)}\right)^{\langle -1 \rangle}$$

...and combinations of these.

It is not necessary to combine an arbitrary number of these...  
 only 3 are enough for most of the simple cases.  
 (experimental evidence).  
 The first 2, are equivalent to F.O.D.E.

That is ,

the derivative,  
 the logarithmic derivative  
 and  
 the functional inverse.

guessgf( ) of GFUN

The functional INVERSE an example, with Motzkin Numbers.

> read A1006;

[1, 1, 2, 4, 9, 21, 51, 127, 323, 835, 2188, 5798, 15511, 41835, 113634,  
 310572, 853467, 2356779, 6536382, 18199284, 50852019, 142547559,  
 400763223, 1129760415]

> listtoseries("x,revogf);

$x - x^2 + x^4 - x^5 + x^7 - x^8 + x^{10} - x^{11} + x^{13} - x^{14} + x^{16} - x^{17} + x^{19} - x^{20}$   
 $+ x^{22} - x^{23} + 0(x^{25})$

> convert("ratpoly);

$$\frac{x}{1 + x + x^2}$$

> "=y;

$$\frac{x}{1 + x + x^2} = y$$

> solve("x);

bytes used=2001524, alloc=1310480, time=2.80

$$- \frac{1}{2} \frac{-1 + y + (1 - 2y - 3y^2)^{1/2}}{y}, \quad - \frac{1}{2} \frac{-1 + y - (1 - 2y - 3y^2)^{1/2}}{y}$$

> op(1, ["1]);

$$- \frac{1}{2} \frac{-1 + y + (1 - 2y - 3y^2)^{1/2}}{y}$$

> series("y, 35);

$$\begin{aligned}
& y^2 + y^3 + 2y^4 + 4y^5 + 9y^6 + 21y^7 + 51y^8 + 127y^9 + 323y^{10} + 835y^{11} \\
& + 2188y^{12} + 5798y^{13} + 15511y^{14} + 41835y^{15} + 113634y^{16} + 310572y^{17} \\
& + 853467y^{18} + 2356779y^{19} + 6536382y^{20} + 18199284y^{21} + 50852019y^{22} \\
& + 142547559y^{23} + 400763223y^{24} + 1129760415y^{25} + 3192727797y^{26} \\
& + 9043402501y^{27} + 25669818476y^{28} + 73007772802y^{29} + 208023278209y^{30} \\
& + 593742784829y^{31} + 1697385471211y^{32} + 4859761676391y^{33}
\end{aligned}$$

21,483,6468,66066,570570,4390386,31039008,205633428,1293938646,  
7808250450,45510945480...

**Rooted genus-2 maps with n edges.**

**Réf : JCT A13 page 215 1972.**

$$21 \frac{1 + x}{(1 - 4x)^{11/2}}$$

In this case,  
we could *extend* the sequence,  
correct errors from the original paper  
and verify typing errors.

Some nice formulas,...

$$\frac{1}{(1 - 6x + x^2)^{1/2}}$$

$$\frac{1+x}{(1-2x)^{5/2}}$$

Bessel polynomials

$$\frac{4x^2 + 10x + 1}{(1-4x)^{7/2}}$$

$$\frac{1+2x}{(1-4x)^{5/2}}$$

$$\frac{1}{(1-4x)^{3/2}}$$

Apéry's Numbers



In some cases,  
we have found families of formulas  
, in order to deduce a general formula...

example : Delauney Numbers,  
ref. Comtet, page 81.

$$\frac{(1+x)^2}{(x-1)^3}$$

$$\frac{(1+x)^3}{(x-1)^4}$$

$$\frac{(1+x)^5}{(x-1)^6}$$

$$\frac{(1+x)^6}{(x-1)^7}$$

$$\frac{-1 - 6x^2 + 4x^3}{(-1 + 2x^2)(1 - 5x + 2x^2)}$$

1, 5, 31, 141, 659, 3005, 13739, 62669, 285931, 1304285  
 Worst case of a Jacobi symbol algorithm.

$$\frac{\exp(-1/4 x (x + 2))}{(1 - x)^{1/2}}$$

$$\frac{1}{(x - 1)^2} - \frac{2}{x - 1} - \ln(x - 1)$$

Clouds with n points.  
 Comtet page 277.

Associated Stirling numbers.

$$\frac{(1 + 3x)}{(1 - 2x)^{7/2}}$$

$$\frac{(2x^3 + 57x^2 + 64x + 6)}{(1 - 2x)^{11/2}}$$

$$\frac{\exp(1/4 \frac{x(x+1)(x-2)}{x-1})}{(x-1)^{1/2}}$$

Stochastic matrices of integers.

**The nicest...**  
**Extreme points of set of n x n symmetric doubly-substochastic matrices.**

European Journal of Combinatorics  
 vol. 1 page 180 1980.  
 Richard P. Stanley

$$\frac{(x+1)^{1/4} \exp(1/2) x (x^3 - x + 2x^2 - 3)}{(x-1)(x+1)} \frac{1/4}{(x-1)}$$

**The wonderful Demlo Numbers**, seq. #2339.  
 1, 121, 12321, 1234321, 123454321, 12345654321, 1234567654321,  
 123456787654321, 12345678987654321, 1234567900987654321, ...  
 are generated by the following trick.

1\*1  
 11\*11  
 111\*111  
 1111\*1111  
 11111\*11111  
 111111\*111111  
 1111111\*1111111  
 11111111\*11111111

...  
 see HIS for references.

Are in fact simply generated by

$$\frac{1 + 10x}{(1-x)(1-10x)(1-100x)}$$

Finally , we had 1031 formulas ,  
out of the 4568 sequences in the table.

22% success !

Then ?, why not apply this methodology  
to sequences of polynomials !  
For example with the Chebyshev polynomials...

$$\begin{array}{c} 1 \\ \times \\ 2 \\ 2x^2 - 1 \\ 3 \\ 4x^3 - 3x \\ 4 \\ 8x^4 - 8x^2 + 1 \\ 5 \\ 16x^5 - 20x^3 + 5x \\ 6 \\ 32x^6 - 48x^4 + 18x^2 - 1 \\ 7 \\ 64x^7 - 112x^5 + 56x^3 - 7x \end{array}$$

nice christmas tree



After a few seconds of hesitation,  
the program finds,

$$\frac{t x - 1}{2 t x - 1 - x^2}$$

By developping this into a series in regard to x,  
we obtain the diagonals of the tree.

We can recuperate most of the orthogonal polynomials in  
the A. & S. book (the bible of numerical analysis).

an example...

$$\frac{1}{\exp(x)} + \frac{(1 - 2 \exp(x) + 2 \exp(x)^2)^{1/2}}{\exp(x)}$$

$$1 - (3 - 2 \exp(x))^{1/2}$$

Planted binary phylogenetic trees with n labels.

Lecture Notes in Mathematics #884 page 196 1981.

We could obtain a large class of expressions.

$$e^{t(e^x-1)}$$

$$e^{\left(\frac{1-\sqrt{1-2xt}}{x}-t\right)}$$

$$\frac{1}{1-xe^{A(x)}}$$

$A(x)$  is the solution of the functional equation ,  $A(x) = x \exp(A(x))$

What is happening here is the application of a model to a sequence.

Most of the formulas (80%) are from the D-finite (or P-recurrent) world : R.P. Stanley , EJC 1980 vol. 1 page 80.

$$a_0 P_0(n) = a_1 P_1(n) + a_2 P_2(n) + a_3 P_3(n) \dots$$

$$a_k P_k(n)$$

D-finite is equivalent to P-recurrent.

We “know” that.

We “can” go from one to the other by using GFUN --> listtorec, listtodiffeq, rectodiffeq, diffectorec.

## *All algebraic generating functions are D-finite !*

Comtet, 1964 describes a procedure to go  
from algebraic g.f. ---> diff. eq. (P-recurrence).

The CONVERSE is quite difficult to do in general... (?).

there is a pseudo-algorithm with LLL (S.P.)

To detect if a sequence is D-finite (?),

we may use a method based on Und. Coefficients,  
GFUN does it, for most of the simple cases.

The method is called : brute force.

Not so intelligent : but it works.

Just plug the numbers and wait.

In some cases we can go  
from Diff. eq. ---> explicit solution (Ei(x), W(x), exp(x), Bessel...)  
using GFUN/Maple (with Bruno Salvy around).

P-recurrences ---> Hypergeometrics

Well, are there other models ?

What about all those sequences related to partitions ?  
all these infinite products...

Euler found this trick (apparently) : Andrews book on partitions

Let's suppose that  $a(n)$  is given by

$$\prod_{n \geq 1} \frac{1}{(1 - X^n)^{c(n)}}$$

Given  $c(n)$  , it is easy to find  $a(n)$ ,  
just by expanding the product.

But, if we have  $a(n)$  and we are looking for  $c(n)$ ,

then , well : we know that for  $a(n) = \text{ord. partitions}$   
 $c(n) = 1, 1, 1, 1, 1, \dots$

There are several ways to find  $c(n)$  , we could expand and  
'collect' the coefficients of the same degree... (by hand).

or use the logarithmic derivative.  
and the Mobius function,

$\log(\text{product}) \rightarrow$  sum of terms of same degree : collect the terms.  
each degree can be expressed simply with Mobius function  
on all  $n \leq$  degree. It works.

**IT IS a good model : # of hits : 125**

**Raymond strings, Theta series (some of them).  
sequences related to lattices of all kind...**

**some surprises also.**

## **Beatty Sequences.**

**If a and b are irrational then**

**if  $1/a + 1/b = 1$  then**

**the sequences :**

**$[a], [2*a], [3*a], [4*a], \dots$**

**and**

**$[b], [2*b], [3*b], [4*b], \dots$**

**COVERS  $\mathbb{N}$  with no overlap and holes.**

Let's suppose that the sequence is given simply by

$$[x*n]$$

[ ] = floor function  
x irrational.

or maybe a polynomial in n ?

How can we detect that ?

Simple !

By using Least Squares there is a way to decide if the sequence is a Beatty sequence or not.

If YES then x can be calculated with usually 4 digits.

# of hits : 45 (errors corrected also).

In average finally we had,

around 25 % of success.

## Bosonic string states

CU86.

**A5308**

Euler  
Produit infini

$$\prod_{n \geq 1} \frac{1}{(1 - z^n)^{c(n)}}$$

$$c(n) = 0, 0, 0, 1, 1, 2, 2, 3, 3, 4, 4, \dots$$

1, 0, 0, 0, 1, 1, 2, 2, 4, 4, 7, 8, 14, 16, 25, 31

$$n! \frac{\left[ \frac{[n/2]! 2^{[n/2]}}{\sqrt{e}} + \frac{1}{2} \right]}{2^{[n/2]} [n/2]!}$$

is the EXACT expression of

the n'th term of

1

---

$$\exp(x^2/2) (1-x)$$

Classical asymptotic analysis gives  $(n-1)!/\sqrt{e}$  **ONLY**.

sequences are from

The Encyclopedia of Integer Sequences  
N.J.A. Sloane  
and Simon Plouffe

24068 sequences,  
+10000 references  
with formulas.

or the SEQUENCE SERVER,

sequences@research.att.com  
superseeker@research.att.com

gfun program in the share library of Maple.



## REFERENCES

- Comtet, Louis , Advance Combinatorics, Reidel 1974.
- Routine convert/ratpoly of Maple

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